Neutrinos and the Origin of Matter

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Outline

- Introduction: two problems
- One solution: Leptogenesis (in type I seesaw)

- Constraints on neutrino parameters
- Challenges and opportunities

Conclusions

The universe as a baby:

- Hot and dense plasma of elementary particles
- Described by combination of general relativity, particle physics and thermodynamics.
- \rightarrow complementary to earth-based experiments.

The universe as a baby:

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Carl von Clausewitz:

Cosmology is the continuation of particle physics by other means



Problem #1: The universe is made of matter.

Baryon asymmetry (from nucleosynthesis and CMB):

$$\eta_B \equiv \frac{n_b - n_{\bar{b}}}{n_{\gamma}} \sim 6 \times 10^{-10}$$

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Problem #1: The universe is made of matter.

Baryon asymmetry (from nucleosynthesis and CMB):

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Possible explanations:

- Symmetric cosmology: nucleons and anti-nucleons annihilate until $T \sim 20 \text{ MeV} \Rightarrow$ residual nucleon to photon ratio $\sim 10^{-18}$
- η_B as initial condition: not compatible with inflation
- Matter and antimatter got separated: at $T \sim 20 \,{\rm MeV}$ causally connected region contained $\sim 10^{-5} M_{\odot}$

Problem #1: The universe is made of matter.

Baryon asymmetry (from nucleosynthesis and CMB):

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must have been generated during evolution of universe!

Necessary ingredients (Sakharov, 1967)

- Baryon number violation
- C and CP violation
- Deviation from thermal equilibrium

Sakharov's third condition

System in thermal equilibrium described by density operator

 $\rho = e^{-H/T}$, where *H*: Hamiltonian

time evolution of baryon number B:

$$B(t) = e^{iHt} B(0) e^{-iHt}$$

$$\Rightarrow \langle B(t) \rangle_T = \operatorname{Tr} \left(e^{-H/T} e^{iHt} B(0) e^{-iHt} \right)$$

$$= \operatorname{Tr} \left(e^{-iHt} e^{-H/T} e^{iHt} B(0) \right)$$

$$= \langle B(0) \rangle_T$$

Baryon number is constant in thermal equilibrium

Sakharov's third condition

Baryon number *B* is odd under *C*, even under *P* and *T* \Rightarrow *B* is odd under *CPT* $\equiv \theta$

Thermal average of baryon number:

$$B_{T} = \operatorname{Tr}\left(e^{-H/T}B\right)$$
$$= \operatorname{Tr}\left(\theta^{-1}\theta e^{-H/T}B\right)$$
$$= \operatorname{Tr}\left(e^{-H/T}\theta B\theta^{-1}\right)$$
$$= -\langle B \rangle_{T}$$

No baryon asymmetry can be generated in thermal equilibrium!

Neutrino masses

- direct mass searches: $m_v \lesssim 2 \,\mathrm{eV}$
- Neutrino flavour oscillations:

atmospheric v oscillations: $\Rightarrow m_{v_i} \gtrsim 0.05 \,\text{eV}$

solar v oscillations: $\Rightarrow m_{v_i} \gtrsim 0.008 \,\mathrm{eV}$

Problem #2:

v masses are $\neq 0$ but orders of magnitude smaller than any other known masses

Both problems, BAU and v masses, cannot be solved in the SM \Rightarrow need extended model

Standard Model:

left- and right-handed quarks and charged leptons

neutrinos only left-handed. Why?

Introduce right-handed neutrinos N

First prediction: neutrino masses (type I seesaw)

$$m_{
m v}\sim rac{v^2}{M}$$

 $v \sim 100 \,\text{GeV}$: SM mass scale; *M*: mass of *N*. Observed light neutrino masses yield clues on *M*

$$m_{\rm v} \gtrsim 0.05 \,{\rm eV} \quad \Rightarrow \quad M \lesssim 10^{14} \,{\rm GeV}$$

Second prediction: lepton number *L* is violated Why do we care?

Seesaw

Baryon and lepton number violation





SM: B + L is violated by instantons

(Klinkhammer & Manton '84; Kuzmin et al. '85)

Sphalerons are in thermal equilibrium above electroweak 'phase transition':

 $T_{ew} \sim 100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$

B+L violated, B-L conserved.



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B and L are not independent at $T\gtrsim 100\,{
m GeV}$

$$\eta_B = c \eta_{B-L} = \frac{c}{c-1} \eta_L$$
, with $c \sim \frac{1}{3}$

L violating processes can generate $\eta_B!$

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Leptogenesis

A free lunch: Leptogenesis in type I seesaw

Right-handed neutrinos can also give rise to η_B (Fukugita and Yanagida '86) Yukawa couplings:

$$\mathscr{L}_Y \simeq \overline{N} \lambda_v lH - \overline{N}MN$$

• Ns are unstable, decay to lepton-Higgs pairs:

$$\Gamma_D \propto \widetilde{m}_1 = rac{v^2}{M_1} (\lambda_v^\dagger \lambda_v)_{11}$$

- N interactions violate $L \rightarrow L \neq 0$, partially converted to $B \neq 0$ by sphalerons
- λ_v complex \Rightarrow *CP* violation ε_i



Out-of-equilibrium condition:

The N_1 are not in thermal equilibrium if N decay width Γ_D smaller than expansion rate H:

 $\Gamma_D < H(T)$

 \Rightarrow upper bound on effective light neutrino mass:

$$\widetilde{m}_1 \lesssim 10^{-3} \,\mathrm{eV}$$
 with $\widetilde{m}_1 = \frac{v^2}{M_1} (\lambda_v^{\dagger} \lambda_v)_{11}$

Scale of light neutrino masses

$$\sqrt{\Delta m^2_{sol}} \simeq 8 imes 10^{-3} \, {
m eV}$$
 and $\sqrt{\Delta m^2_{atm}} \simeq 5 imes 10^{-2} \, {
m eV}$

since $m_{v_1} \leq \widetilde{m}_1 \rightsquigarrow$ deviations from thermal equilibrium small (?)

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Challenge #1: How do the N get produced?

(Luty '92; M.P. '96; Pilaftsis and Underwood '03)

N scattering processes are important all production processes $\propto \tilde{m}_1$

need large \widetilde{m}_1 for efficient production



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Challenge #2: L violating scatterings can destroy η_B

(Fukugita & Yanagida '90; Buchmüller, Di Bari & M.P. '02; Giudice et al. '03) **Two contributions to reaction rate:**

- resonant contribution from N_1 : $\propto \widetilde{m}_1$
- remainder: $\propto M_1 \overline{m}^2$, $\overline{m}^2 = \sum m_{\nu_i}^2$

need small \widetilde{m}_1 and $M_1 \overline{m}^2$ to avoid washout

Two conflicting requirements

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Two conflicting requirements

— network of Boltzmann equations

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Quantitative analysis via Boltzmann equations

competition between production and washout:

$$\frac{dN_{N_1}}{dz} = -(D+S)\left(N_{N_1} - N_{N_1}^{\text{eq}}\right)$$
$$\frac{dN_{B-L}}{dz} = -\varepsilon_1 D\left(N_{N_1} - N_{N_1}^{\text{eq}}\right) - WN_{B-L}$$

$$z = M_1/T \quad \propto \sqrt{t}$$

- *N_i* : number densities in comoving volume
- D : decays
- S : $\Delta L = 1$ scatterings
- W : washout due to L violating scatterings

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produced baryon asymmetry:

$$\eta_B \simeq 10^{-2} \, \varepsilon_1 \, \kappa(\widetilde{m}_1, M_1 \overline{m}^2)$$

need to know:

- *CP* asymmetry ε_1 (from neutrino mass model)
- efficiency factor κ parametrizes N interactions (from integration of Boltzmann eqs.)
 (Barbieri et al. '00: Buchmüller, Di Bari & M.P. '02)

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Baryon asymmetry determined by four parameters

- **O** *CP* asymmetry ε_1
- **2** mass of decaying neutrino M_1
- Solution of N_1 effective light neutrino mass (coupling strength of N_1)

$$\widetilde{m}_1 = \frac{v^2}{M_1} \left(\lambda_v^{\dagger} \lambda_v \right)_{11}$$

Iight neutrino masses

$$\overline{m} = \sqrt{m_{\nu_1}^2 + m_{\nu_2}^2 + m_{\nu_3}^2}$$

since

$$\Gamma_{\Delta L=2} \propto M_1 \overline{m}^2$$

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(M.P. '96; Buchmüller, Di Bari & M.P. '02)



- for $\widetilde{m}_1 \ll 10^{-3} \,\text{eV}$: N production inefficient
- for $\tilde{m}_1 \gg 10^{-3} \,\text{eV}$: washout too strong
- for $M_1 \gtrsim 10^{13} \,\text{GeV}$: $\Gamma_{\Delta L=2} \propto M_1 \overline{m}^2$ becomes important

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lines of constant κ in (\tilde{m}_1, M_1) plane



Baryon asymmetry determined by four parameters

- *CP* asymmetry ε_1
- 2 mass of decaying neutrino M_1
- **③** effective light neutrino mass \widetilde{m}_1 (\propto decay width of N_1)

9 light neutrino masses
$$\overline{m} = \sqrt{m_{
u_1}^2 + m_{
u_2}^2 + m_{
u_3}^2}$$

Final baryon asymmetry

$$\eta_B \simeq 10^{-2} \, \varepsilon_1 \, \kappa(\widetilde{m}_1, M_1 \overline{m}^2)$$

need to know:

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CP asymmetry

$$arepsilon_1 = rac{\Gamma(N o l) - \Gamma(N o ar{l})}{\Gamma(N o l) + \Gamma(N o ar{l})}$$

for $M_{2,3} \gg M_1$: upper bound on ε_1 in terms of light v masses:

(Davidson & Ibarra '02; Buchmüller, Di Bari & M.P. '03; Hambye et al. '03)

$$\varepsilon_1^{\max} = \frac{3}{16\pi} \frac{M_1 m_{\nu_3}}{\nu^2} f(m_{\nu_i}, \widetilde{m}_1)$$

two limiting cases:

• hierarchical light vs: $m_{v_1} \rightarrow 0 \Rightarrow \epsilon_1^{\max} = \frac{5}{16\pi} \frac{M_1 m_v}{v_1^2}$

• degenerate light vs: $m_{v_3} = m_{v_1} \Rightarrow \epsilon_1^{\max} = 0$

ightarrow CP asymm. suppressed if light v spectrum quasi-degenerate

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 \rightarrow CP asymm. suppressed if light v spectrum quasi-degenerate



Constraints on neutrino parameters

- **1** N_1 production processes $\propto \widetilde{m}_1 \Rightarrow$ lower limit on \widetilde{m}_1
- Washout processes:

res. contrib. from $N_1 \propto \widetilde{m}_1 \Rightarrow$ upper limit on \widetilde{m}_1

remainder $\propto M_1 \overline{m}^2 \Rightarrow$ upper limit on M_1 for fixed \overline{m}

Some maximal *CP* asymmetry $\propto M_1 \Rightarrow$ lower limit on M_1 since $\eta_B \propto \varepsilon_1$

for fixed $\overline{m} \Rightarrow$ allowed region in (\widetilde{m}_1, M_1) plane

Size of allowed region depends on \overline{m} since:

- max. CP asymm. suppressed for quasi-degenerate light vs
- $\widetilde{m}_1 \geq m_{\nu_1}$

 \Rightarrow upper bound on \overline{m}



How robust are these bounds???

Initial conditions: Primordial Asymmetry?

initial asymmetry before leptogenesis: effect of washout?







• $N_{N_1} = 0$ at $T \gg M_1$: thick lines

no dependence on initial conditions for $\widetilde{m}_1 \gtrsim 10^{-3} \, {\rm eV}$

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The neutrino mass window for baryogenesis

- upper bound on light v masses $m_{v_i} \lesssim 0.1 \,\mathrm{eV}$
- no dependence on initial conditions for $\widetilde{m}_1 \gtrsim 10^{-3}\,\mathrm{eV}$

since $\widetilde{m}_1 \ge m_{\nu_1} \rightarrow$ leptogenesis window for neutrino masses

 $10^{-3}\,\mathrm{eV} \lesssim m_{\nu_i} \lesssim 0.1\,\mathrm{eV}$

compatible with v oscillations $(m_{\rm atm} \sim 0.05 \, {\rm eV})$

Analytical solution for efficiency factor in leptogenesis window:

$$\kappa = (2 \pm 1) \times 10^{-2} \left(\frac{0.01 \,\mathrm{eV}}{\widetilde{m}_1} \right)^{1.1 \pm 0.1}$$

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How reliable are those results?

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Kinetic vs. thermal equilibrium

Lower limit on M_1 from weak washout regime ($\tilde{m}_1 \ll 10^{-3} \text{ eV}$)

 \rightarrow strong dependence on initial conditions and on how system approaches thermal equilibrium

Usual calculations rely on approximations:

- Maxwell-Boltzmann statistics
- 2 Kinetic equilibrium: $f(E) = \frac{n}{n^{eq}}e^{-E/T}$

Scattering cross sections are energy-dependent, i.e. assuming kinetic equilibrium seems questionable.

Need to study how the system approaches equilibrium and how that depends on different assumptions (with F. Hahn-Woernle).

Thermal corrections

Leptogenesis takes place in a thermal bath

 \rightarrow Thermal corrections have to be considered, should regulate IR divergences

Controversial results, based on high temperature approximations, in literature (Covi et al., '98; Giudice et al., '03)

Need to compute scattering and decay rates in finite temperature field theory (with C. Kiessig and F. Steffen)

Problem: two limiting cases considered in literature

- thermal corrections for heavy states, i.e. $T \ll M$
- 2 thermal effects for massless fields, i.e. $T \gg M$

Relevant regime for leptogenesis: $T \sim M$

Non-thermal leptogenesis (with F. Hahn-Woernle)

Assume: N₁ produced in inflaton decays, not thermally



Lower bound on M_1 relaxed by several orders of magnitude!

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Experimental consequences of leptogenesis?

- Upper bound on light neutrino masses: endpoint of electron spectrum in tritium beta decay → Katrin
- Neutrinos are Majorana particles ⇒ Neutrinoless double beta decay → Gerda et al.
- CP violation in neutrino oscillations: detectable in long baseline experiments if θ₁₃ not too small
 → Double Chooz and Daya Bay

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Origin of normal matter understood!?

Conclusions

Leptogenesis relates the cosmological baryon asymmetry to properties of light neutrinos:

• Quasi-degenerate light v masses are incompatible with η_B :

 $m_{v_i} \lesssim 0.1 \text{ eV}$

• lower bound on the baryogenesis temperature:

 $T_B \gtrsim 10^9 \, {
m GeV} \,, \qquad t_B \sim 10^{-25} \, {
m s}$

leptogenesis works best in neutrino mass window

 $10^{-3}\,\mathrm{eV} \lesssim m_{\nu_i} \lesssim 0.1\,\mathrm{eV}$

consistent with neutrino oscillations



How does a violation of CP arise?

Consider a simple example, e.g. the decay of a particle *X* into some final state *f* and the CP conjugated process $\bar{X} \rightarrow \bar{f}$

Generic amplitude at tree level and one-loop:

$$A(X \to f) = g_0 A_0 + g_1 A_1$$

Decay width at LO (tree level) and NLO (interference between tree level and one-loop):

$$\Gamma(X \to f) = |g_0|^2 I_0 + g_0 g_1^* I_1 + g_0^* g_1 I_1^*$$

 $g_{0,1}$: (products of) coupling constant(s) at tree level and 1-loop $I_{0,1}$: kinematical factors at LO and NLO (phase space, etc.) \rightarrow identical for particles and anti-particles (CPT)

CP conjugated process:

$$\Gamma(\bar{X} \to \bar{f}) = |g_0|^2 I_0 + g_0^* g_1 I_1 + g_0 g_1^* I_1^*$$

CP asymmetry:

Diference of decay widths:

$$\begin{split} \varepsilon & \propto & \Gamma(X \to f) - \Gamma(\bar{X} \to \bar{f}) \\ & = & g_0 g_1^* I_1 + g_0^* g_1 I_1^* - g_0^* g_1 I_1 - g_0 g_1^* I_1^* \\ & = & (g_0 g_1^* - g_0^* g_1) (I_1 - I_1^*) \\ & = & -4 \operatorname{Im} (g_0 g_1^*) \operatorname{Im} (I_1) \end{split}$$

Two different phases are needed in order to get CP violation:

- one phase from the couplings
- one phase from the kinematical factors: rescattering phase, arises if particles in loop are on-shell

Alternatives?

What if light neutrinos are quasi-degenerate?

What if the reheating temperature is lower than $\sim 10^9 \,\text{GeV}$?

- decouple light neutrino masses from baryogenesis, i.e. contribution to light v masses and/or baryogenesis from triplet Higgs some other mechanism for light v masses,...
- resonant leptogenesis, soft leptogenesis in SUSY models
- flavour effects
- non-thermal leptogenesis, i.e. through inflaton decay or Affleck-Dine, ...

Resonant Leptogenesis

Resonant enhancement of CP-asymmetry for $M_{2,3} - M_1 \ll M_1$:



Almost no effect on bound on light v masses, but lower limit on T_B, M_1 can be relaxed.

However: many different results in literature !?

Problem: N_i unstable, i.e. cannot appear as in- or out-states of S-matrix elements Solution: scattering amplitudes of stable particles with N_i as intermediate states Factorisation: effective one-loop couplings of N_i

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Factorisation: effective one-loop couplings of N_i

Resummation of self-energies

regularizes resonant propagator \Rightarrow mixing effects

$$\left(S^{-1}\right)_{ij}=p-M_i-\Sigma_{ij}$$

Renormalization known (Kniehl & Pilaftsis '96)

Chiral decomposition of propagator:

$$S = P_R S^{RR} + P_L S^{LL} + P_L \not p S^{LR} + P_R \not p S^{RL}$$

Contribute to different scattering processes:

$$\begin{aligned} \mathcal{M}(l_r \to \bar{l}_s) &\propto h_{ri} S_{ij}^{LL} h_{sj} & \mathcal{M}(\bar{l}_r \to l_s) &\propto h_{ri}^* S_{ij}^{RR} h_{sj}^* \\ \mathcal{M}(l_r \to l_s) &\propto h_{ri}^* S_{ij}^{RL} h_{sj} & \mathcal{M}(\bar{l}_r \to \bar{l}_s) &\propto h_{ri} S_{ij}^{LR} h_{sj}^* \end{aligned}$$

Contributions of different N_i mass eigenstates?

Factorization (Anisimov, Broncano & M.P. '05):

Different methods:

Decompose scattering ampl. into partial fractions, e.g.:

$$\mathscr{M}(l_r \to \overline{l}_s) \propto \lambda_{r1} \frac{1}{p^2 - \hat{M}_1^2} \lambda_{s1} + \lambda_{r2} \frac{1}{p^2 - \hat{M}_2^2} \lambda_{s2} + \dots$$

 λ_{ri} : resummed effective N_i Yukawa coupling

Consistency: all 4 amplitudes can be factorized simultaneously.

2 Diagonalization of propagators, e.g.: $US^{LL}U^T = S^{\text{diag}}$

$$\mathcal{M}(l_r \to \overline{l}_s) \propto \left(hU^T\right)_{ri} S_{ii}^{\text{diag}} \left(hU^T\right)_{si}$$

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Both methods yield identical results for physical quantities:

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$$\varepsilon_1 \propto \frac{M_2^2 - M_1^2}{\left(M_2^2 - M_1^2\right)^2 + \left(M_2 \,\Gamma_2 - M_1 \,\Gamma_1\right)^2} \,,$$

Previous approaches, e.g., resum only self-energy Σ_{jj} of intermediate neutrino $N_j \Rightarrow$ regulator: Γ_j (Pilaftsis & Underwood '04)

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Relative one-loop correction to couplings of N_1

Our result (thick line) compared to the one of Pilaftsis et al.:



thin line has resonance at $p^2 = M_2^2$, i.e. contributions from different neutrino mass eigenstates not properly separated in previous approaches.

Type I Seesaw: introduce right-handed neutrinos N

Lepton Yukawa couplings: $\mathscr{L}_Y = \overline{E} \lambda_l l H + \overline{N} \lambda_v l H - \frac{1}{2} \overline{N} M N$ Dirac masses $m_l = \lambda_l v$ and $m_D = \lambda_v v$

$$ightarrow v$$
 mass matrix: $\left(egin{array}{cc} 0 & m_D \ m_D^T & M \end{array}
ight)$

natural assumption: $M \gg m_{\rm D}$

First prediction: 6 neutrino Majorana mass eigenstates

$$N$$
 with $m_N \simeq M$
 v with $m_v \simeq -m_{\text{D}} \frac{1}{M} m_{\text{D}}^T = \mathscr{O}\left(\frac{v^2}{M}\right)$

 $v \sim 100 \,\text{GeV}$: SM mass scale; *M*: mass of *N*.