



Measurement of θ_{13} in Neutrino Oscillation Experiments

Stefan Roth, RWTH Aachen
Seminar, DESY, 21st/22nd January 2014

Overview

- Experiments with neutrinos
- Neutrino oscillations
- Reactor and accelerator neutrino experiments
- Current status and future sensitivities

Two experiments with RWTH participation:

➤ Reactor neutrino experiment Double Chooz
Near and far detector
at nuclear power plant
Chooz (France)



➤ Accelerator neutrino experiment T2K
Tokai to Kamioka
long baseline neutrino
experiment



ELECTRON-NEUTRINO ν_e



The **ELECTRON-NEUTRINO** wears a bandit's mask because he likes to steal away energy and is notoriously difficult to detect. Traveling close to the speed of light, he is the most pervasive form of matter in the universe. Trillions of neutrinos are passing through everything around us, including us, at every moment. The result of radioactive neutron decay: most neutrinos originate from the sun. Their mass is next to nothing.

Acrylic felt with poly fill for minimum mass.

\$9.75 PLUS SHIPPING

LIGHT HEAVY

THE PARTICLE ZOO

MUON-NEUTRINO ν_μ



Like its first-generation sibling lepton the electron-neutrino, the **MUON-NEUTRINO** is extremely difficult to detect (hence the bandit's mask). Discovered in 1962, it is emitted in the decay of a muon. Its mass is about one-third of an electron.

Acrylic felt with poly fill for minimum mass.

\$9.75 PLUS SHIPPING

LIGHT HEAVY

THE PARTICLE ZOO

TAU-NEUTRINO ν_τ



Like its sibling leptons the electron-neutrino and muon-neutrino, this cheeky little devil, the **TAU-NEUTRINO**, is extremely difficult to detect (hence the bandit's mask).

Discovered in 2000, it is about 100 times heavier than a muon-neutrino.

Wool felt with poly fill for minimum mass.

\$9.75 PLUS SHIPPING

LIGHT HEAVY

THE PARTICLE ZOO

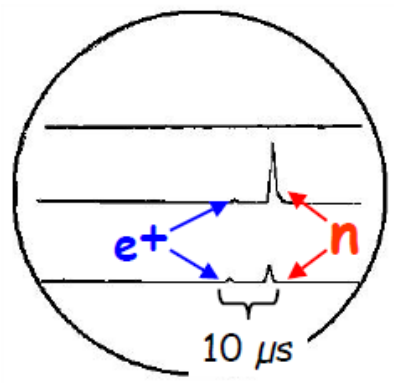
Discovery of the Neutrino: Project „Poltergeist“ (1956)

Nuclear reactors produce a large flux of anti-neutrinos $\bar{\nu}_e$
 β -decays of the fission products of the isotopes ^{235}U , ^{238}U , ^{239}Pu , ^{241}Pu

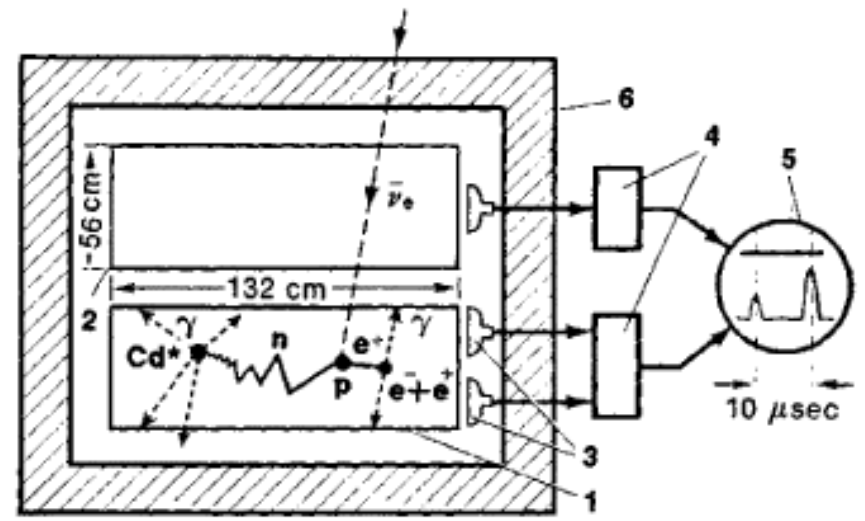
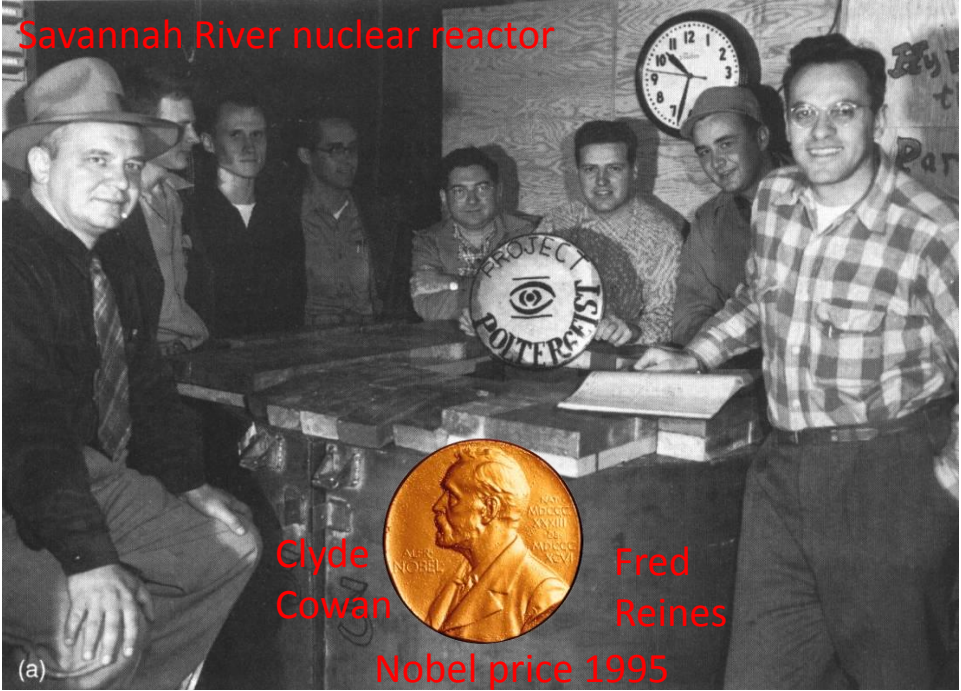


Inverse β -decay using delayed coincidences:

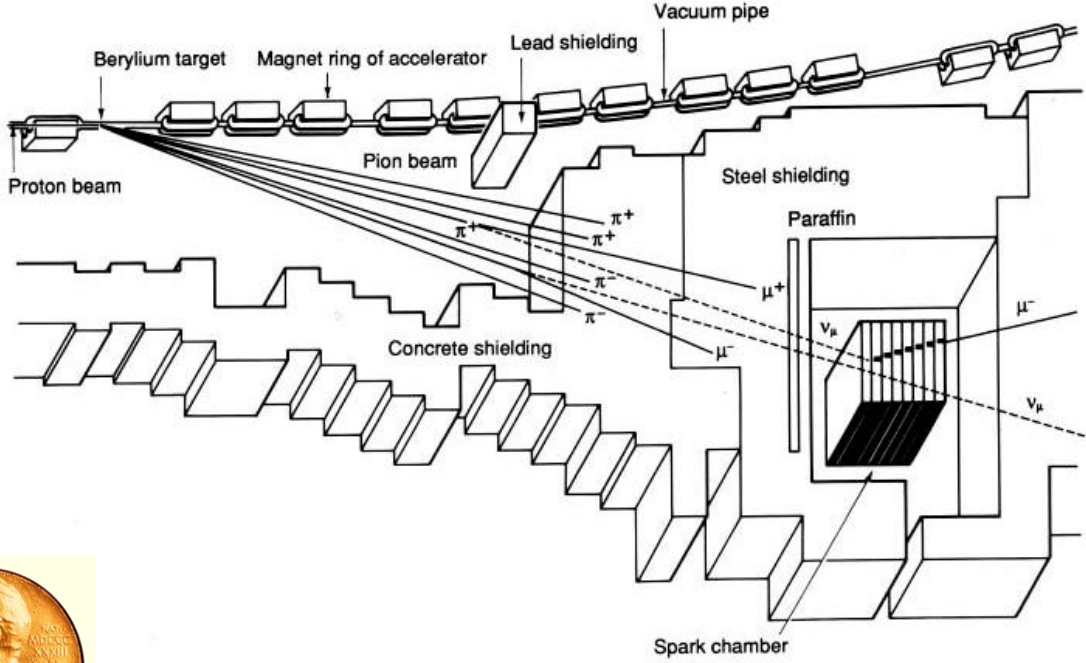
Prompt:
positron
annihilation



Delayed:
neutron
capture



Discovery of the Myon-Neutrino: Brookhaven (1960)



Pion beam produces ν_{μ} beam



Myon track starts within spark chamber



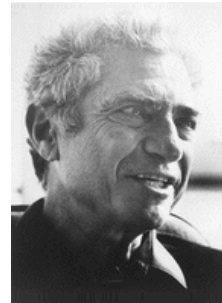
Nobel price 1988



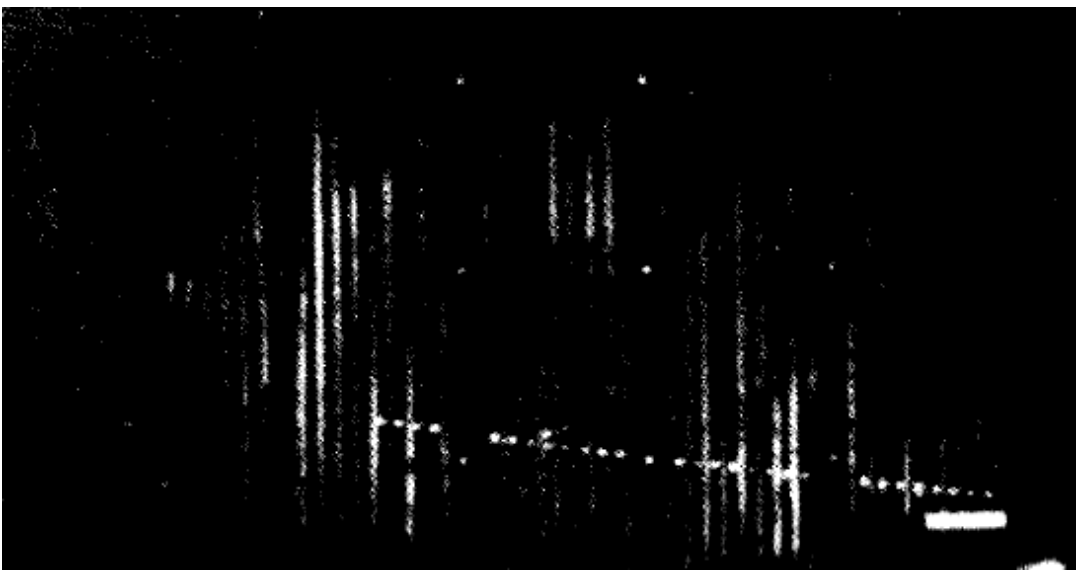
Leon Ledermann



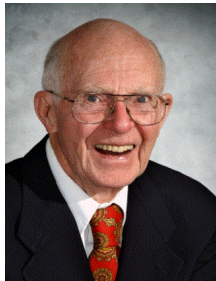
Melvin Schwartz



Jack Steinberger



Discovery of Neutrino Oscillations

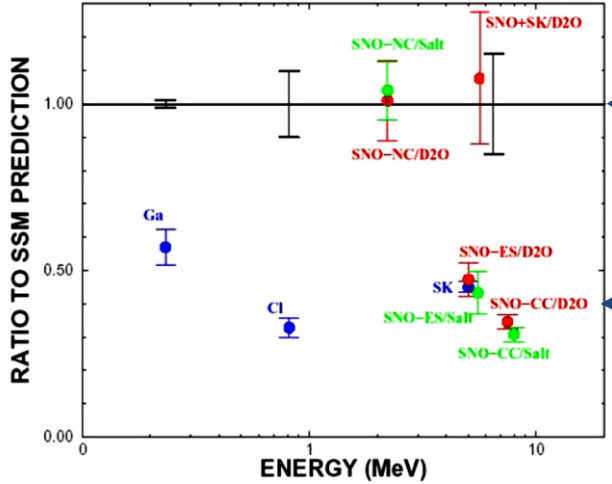
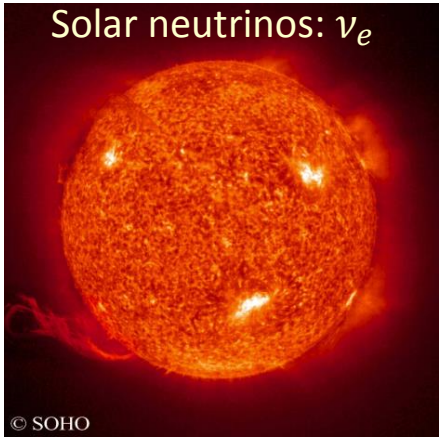


R. Davis



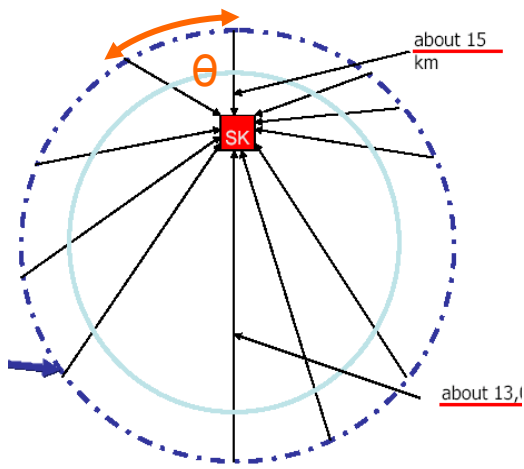
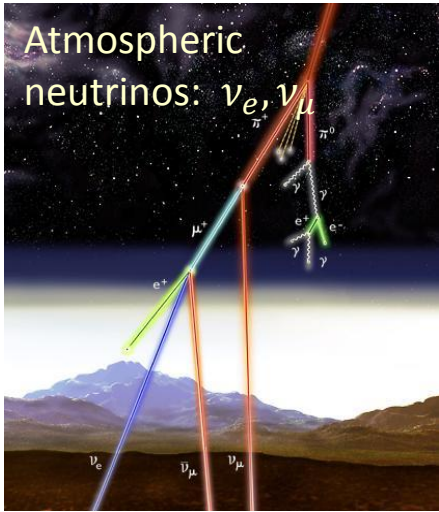
M. Koshiba

Nobel price 2002

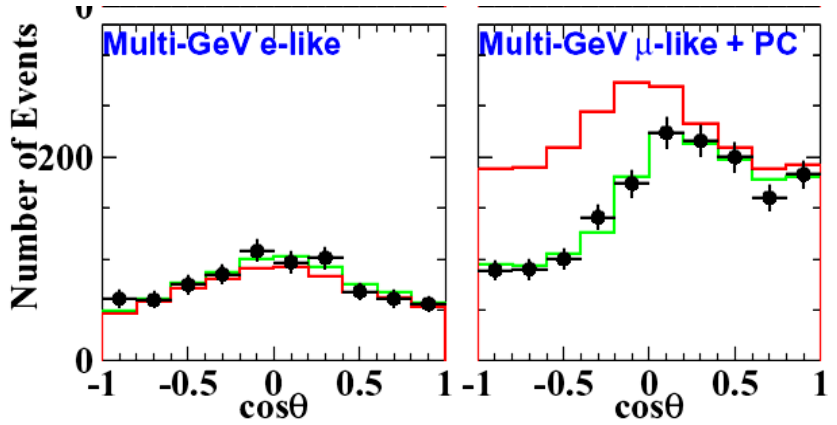


$\nu_e + \nu_\mu + \nu_\tau$

ν_e



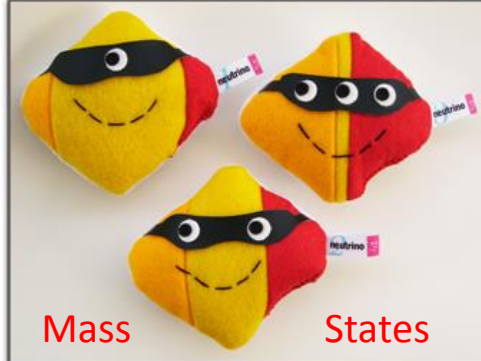
- + Measured
- expected no oscillations
- expected with oscillations





Flavor States

Mixing of mass and flavor states



Mass States

Production/detection:

$$\nu_e, \nu_\mu, \nu_\tau$$

Propagation:

$$\nu_1, \nu_2, \nu_3$$

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle$$

$\alpha = e, \mu, \tau$
 $i = 1, 2, 3$

Unitary rotation of states with
 3 mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}$
 1 CP violating phase: δ_{CP}

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix: $c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} M$$

„Atmospheric“
 $\theta_{23} \approx 45^\circ$

„Reactor“
 $\theta_{13} \approx 10^\circ$

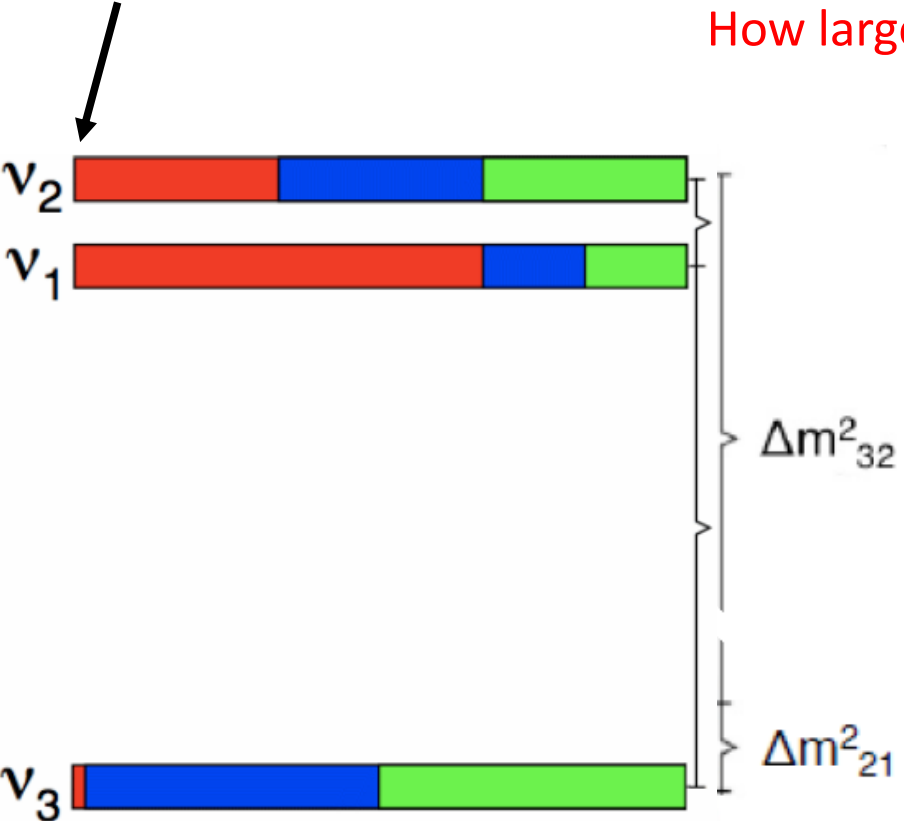
„Solar“
 $\theta_{12} \approx 32^\circ$

Majorana phases

Oscillation parameters

ν_e contribution to ν_3 is small!

How large are U_{e3} and θ_{13} ?



Atmospheric Neutrinos and K2K :

$\Delta m_{23} \approx 0.05 \text{ eV}$
from $P(\nu_\mu \rightarrow \nu_\mu)$

Solar Neutrinos and KamLAND :

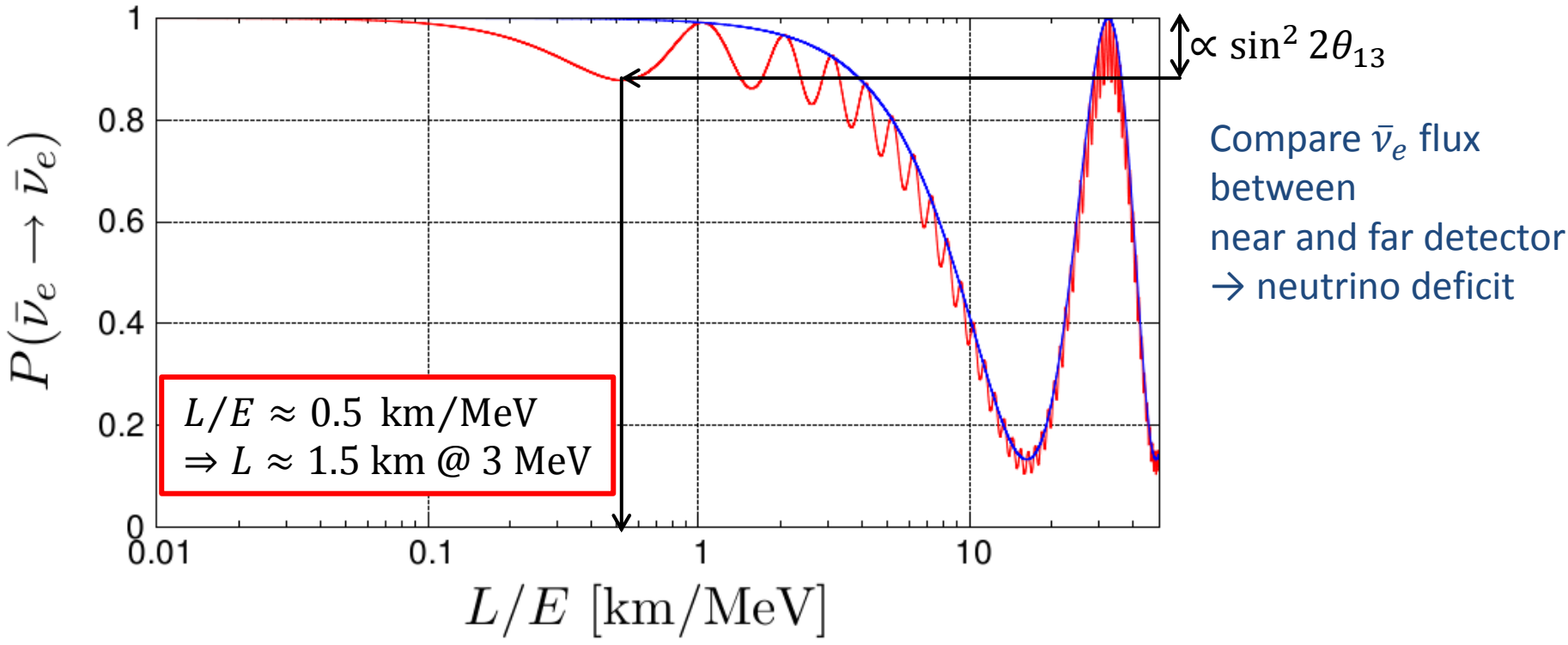
$\Delta m_{12} \approx 0.009 \text{ eV}$
from $P(\nu_e \rightarrow \nu_e)$



(other possibility: inverted mass hierarchy)

Neutrino Oscillations (3 Masses)

Survival probability $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$:

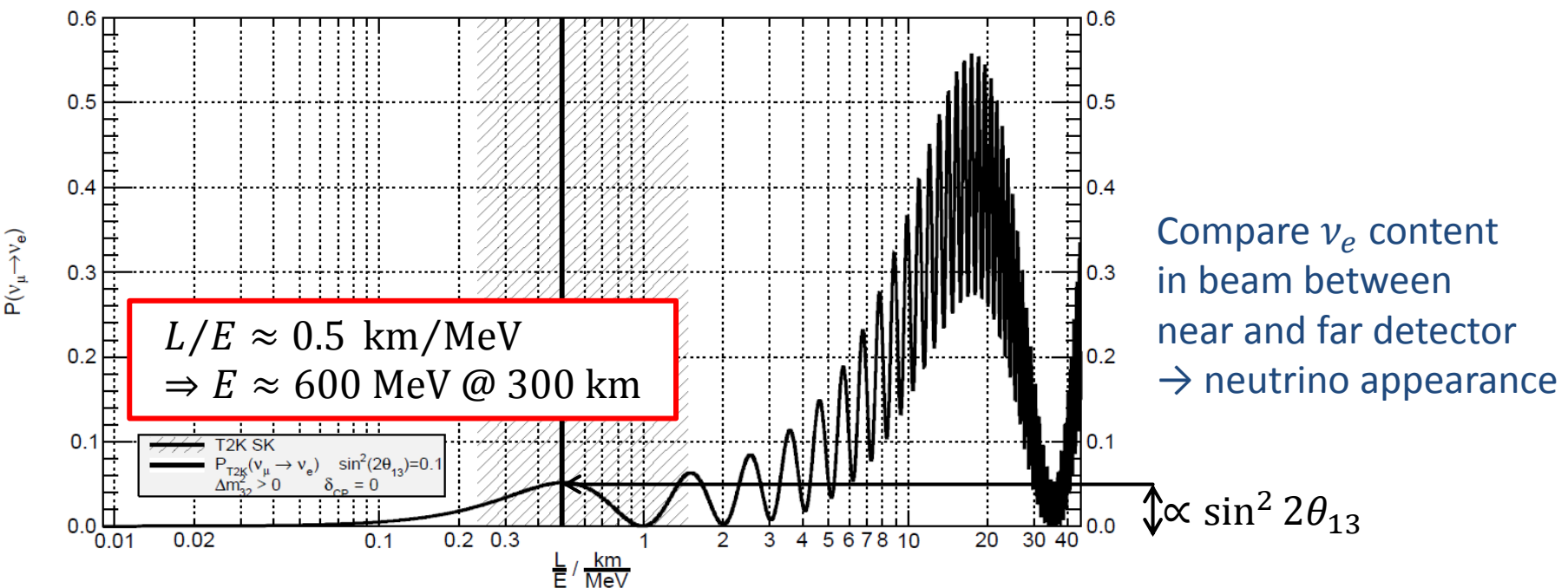


$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + f \left(\sin^2 \frac{\Delta m_{21}^2 L}{4E} \right)$$

$$\Delta m_{31}^2 \approx \Delta m_{32}^2 = 2.3 \cdot 10^{-3} \text{ eV}^2 \gg \Delta m_{21}^2 = 7.5 \cdot 10^{-5} \text{ eV}^2$$

Neutrino Oscillations (3 Masses)

Appearance probability $P(\nu_\mu \rightarrow \nu_e)$:



Compare ν_e content in beam between near and far detector → neutrino appearance

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + f \left(\sin^2 \frac{\Delta m_{21}^2 L}{4E} \right)$$

Here also neglected: Terms with δ_{CP} , Terms due to matter effects

New Reactor Neutrino Experiments

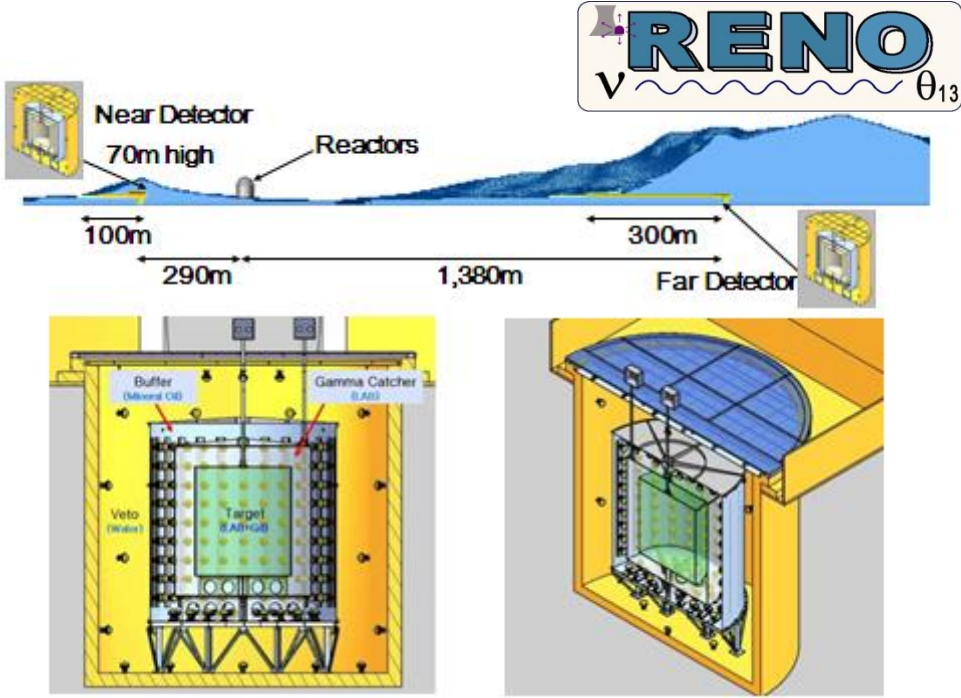
DayaBay:

- Located at Daya Bay Nuclear Power Plant in China
- 6 x 2.9 GW_{th} nuclear reactors
- 6 neutrino detectors
- 3 near (520 m from reactors)
- 3 far (1650 m from reactors)

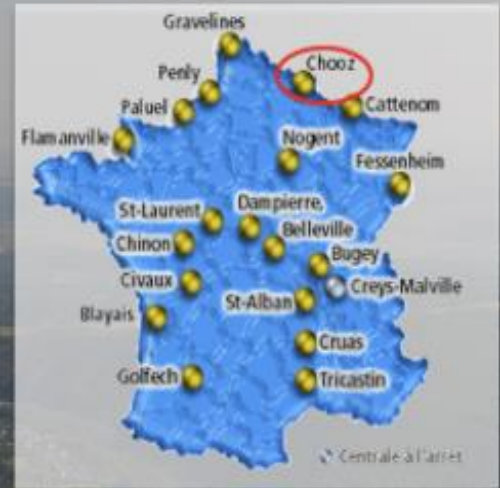
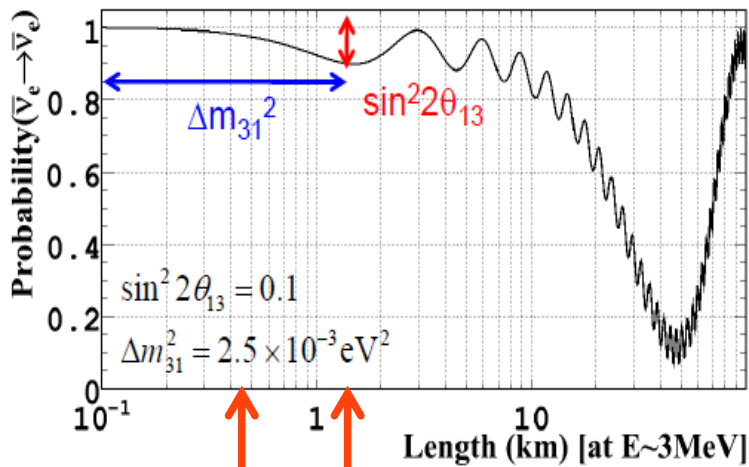


RENO:

- Located at Yonggwang Nuclear Power Plant in Korea
- 6 x 2.8 GW_{th} nuclear reactors
- 2 neutrino detectors
- 1 near (294 m from reactor)
- 1 far (1383 m from reactor)



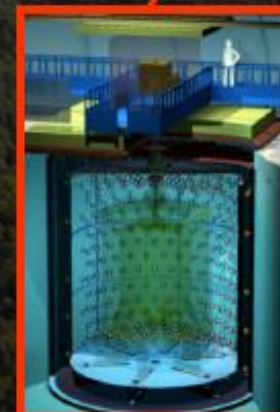
The Double Chooz Experiment



Chooz Reactors
4.27GW_{th} x 2 cores

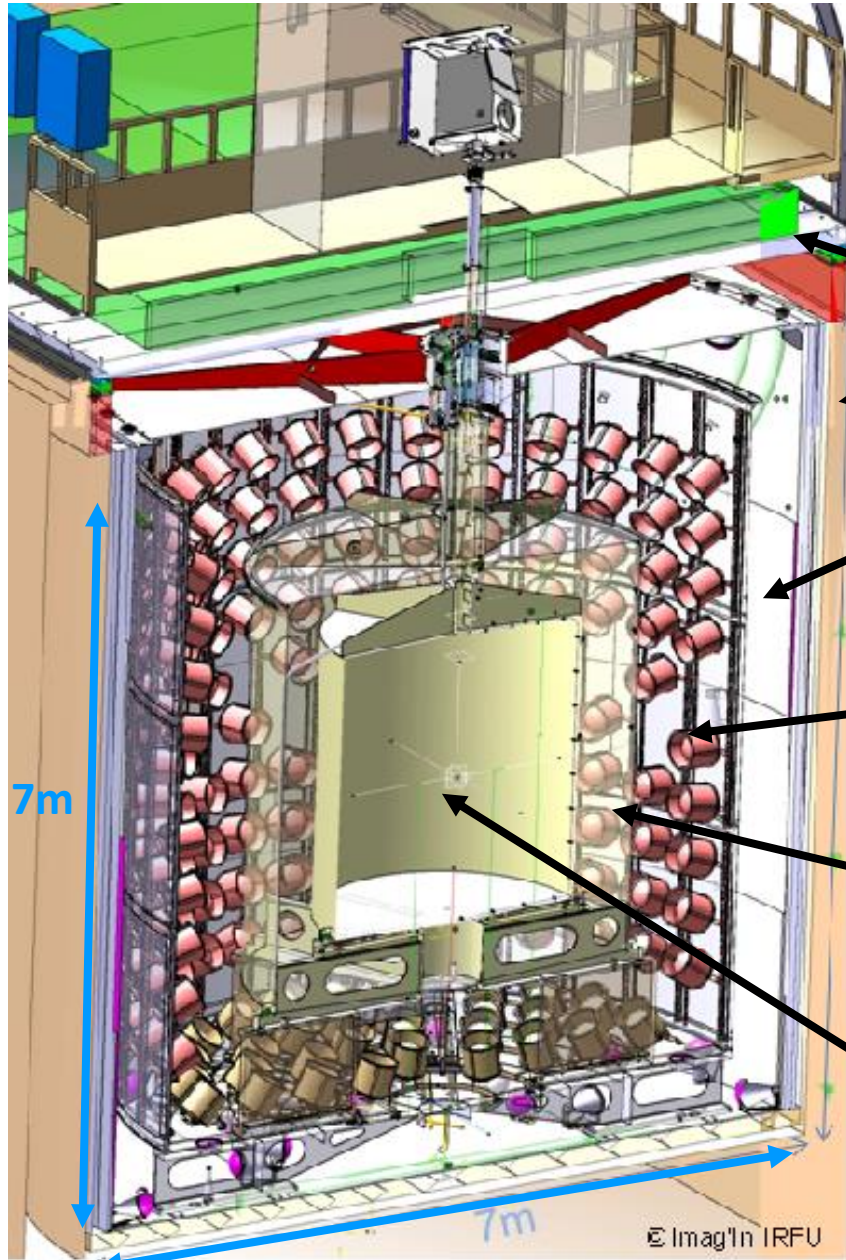


Near Detector
<L> 400m
120m.w.e.
Early 2013



Far Detector
<L> 1050m
300m.w.e.
April 2011

Design of the DoubleChooz Detectors



Onion like structure to shield against backgrounds

Outer Veto:
Plastic scintillator

Steel Shielding (17 cm)

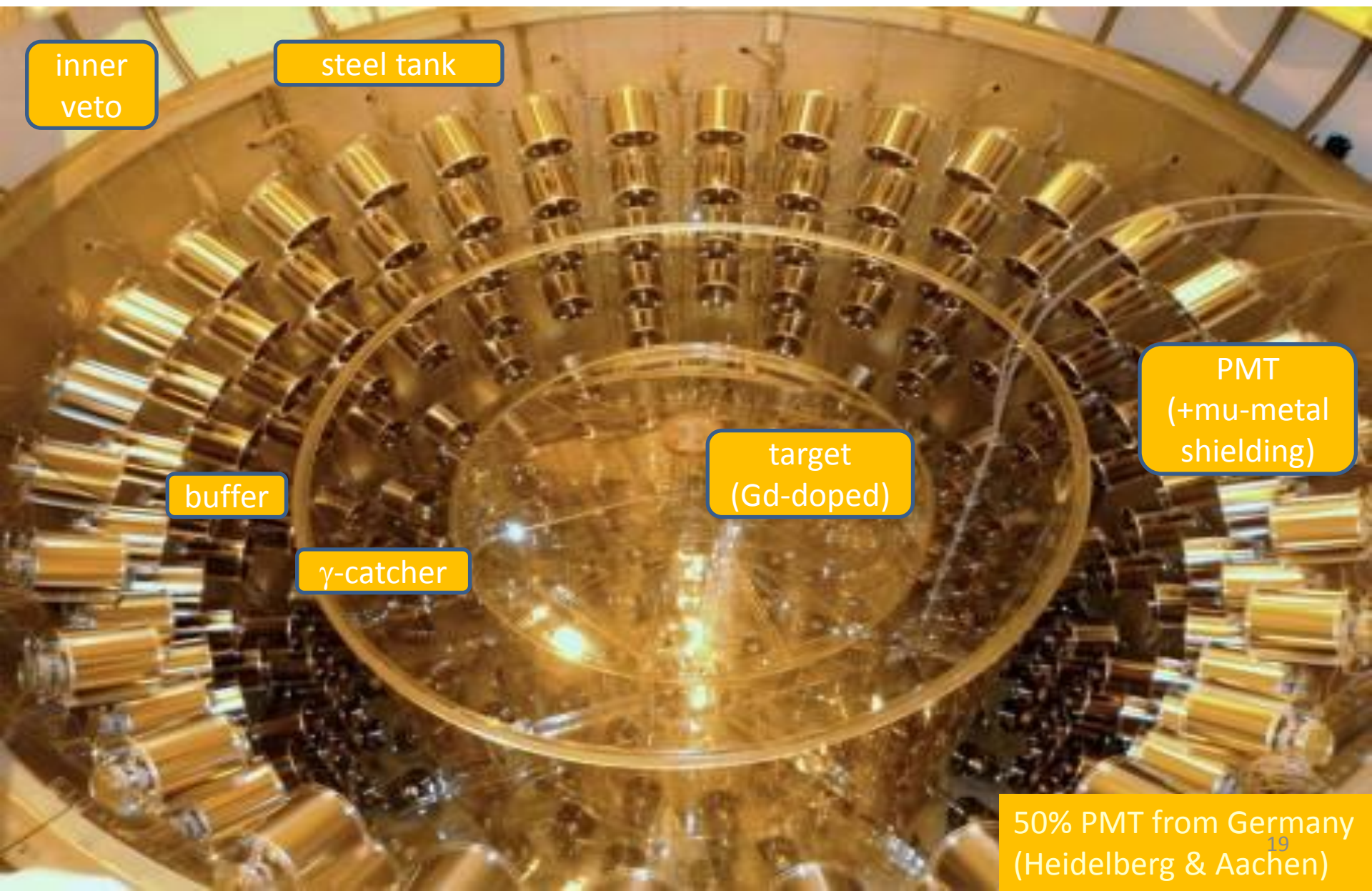
Inner Veto (steel vessel):
80 m³ liquid scintillator, 80 PMT

Buffer (steel vessel): 100 m³ oil
390 PMT (10 inch) observing the target

Gamma Catcher (acrylic vessel):
22.6 m³ liquid scintillator no Gd

Target (acrylic vessel) :
10.3 m³ liquid scintillator + 0.1% Gd

Detector Vessels before Closing



inner veto

steel tank

buffer

γ -catcher

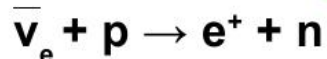
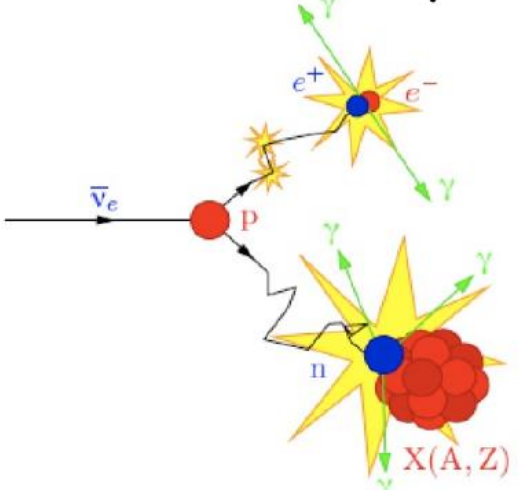
target (Gd-doped)

PMT (+mu-metal shielding)

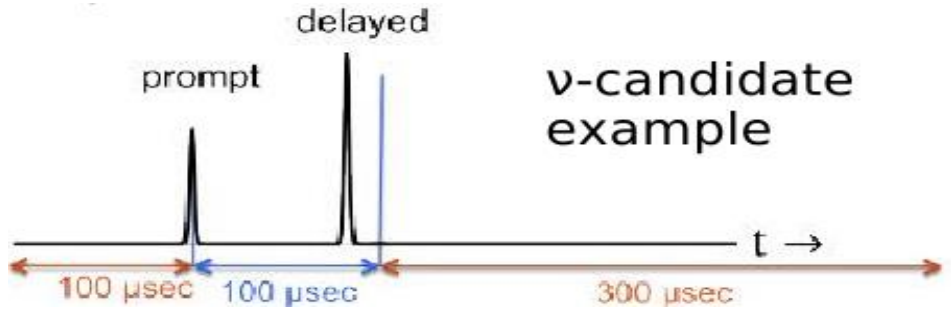
50% PMT from Germany (Heidelberg & Aachen)

Selection of Neutrino Candidates

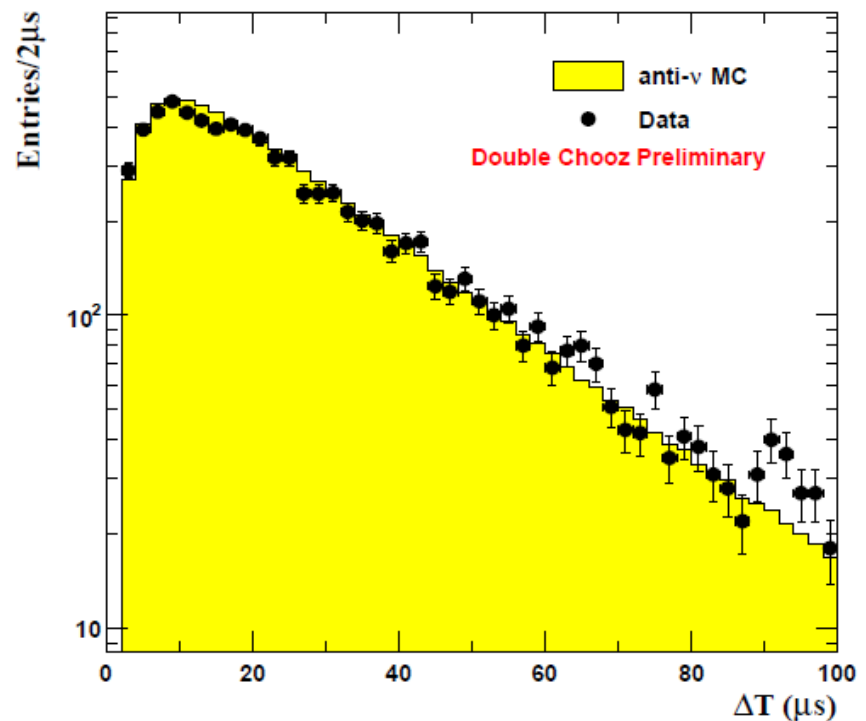
Inverse Beta Decay



Coincidence Cut:
 $2 \mu s < \Delta T < 100 \mu s$

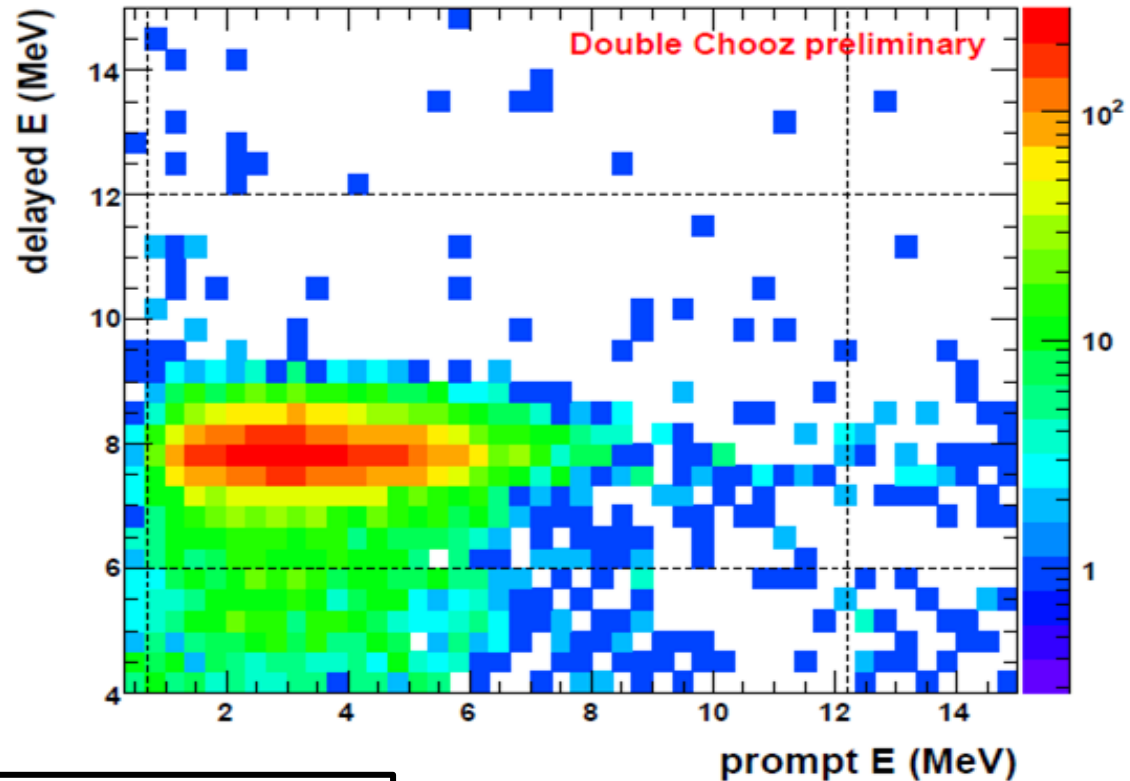
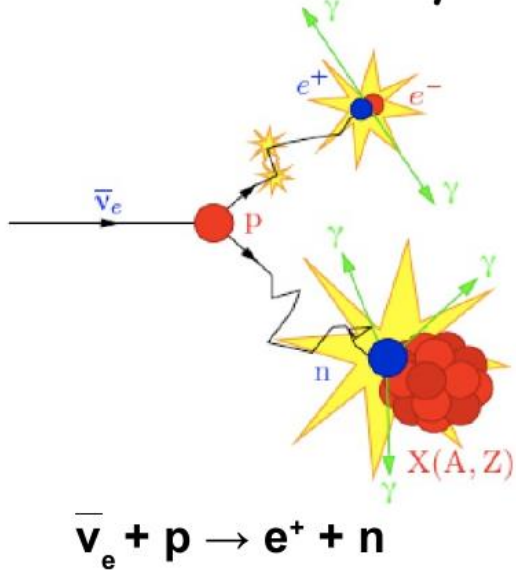


Prompt-delay time difference



Selection of Neutrino Candidates

Inverse Beta Decay



Energy Cut:

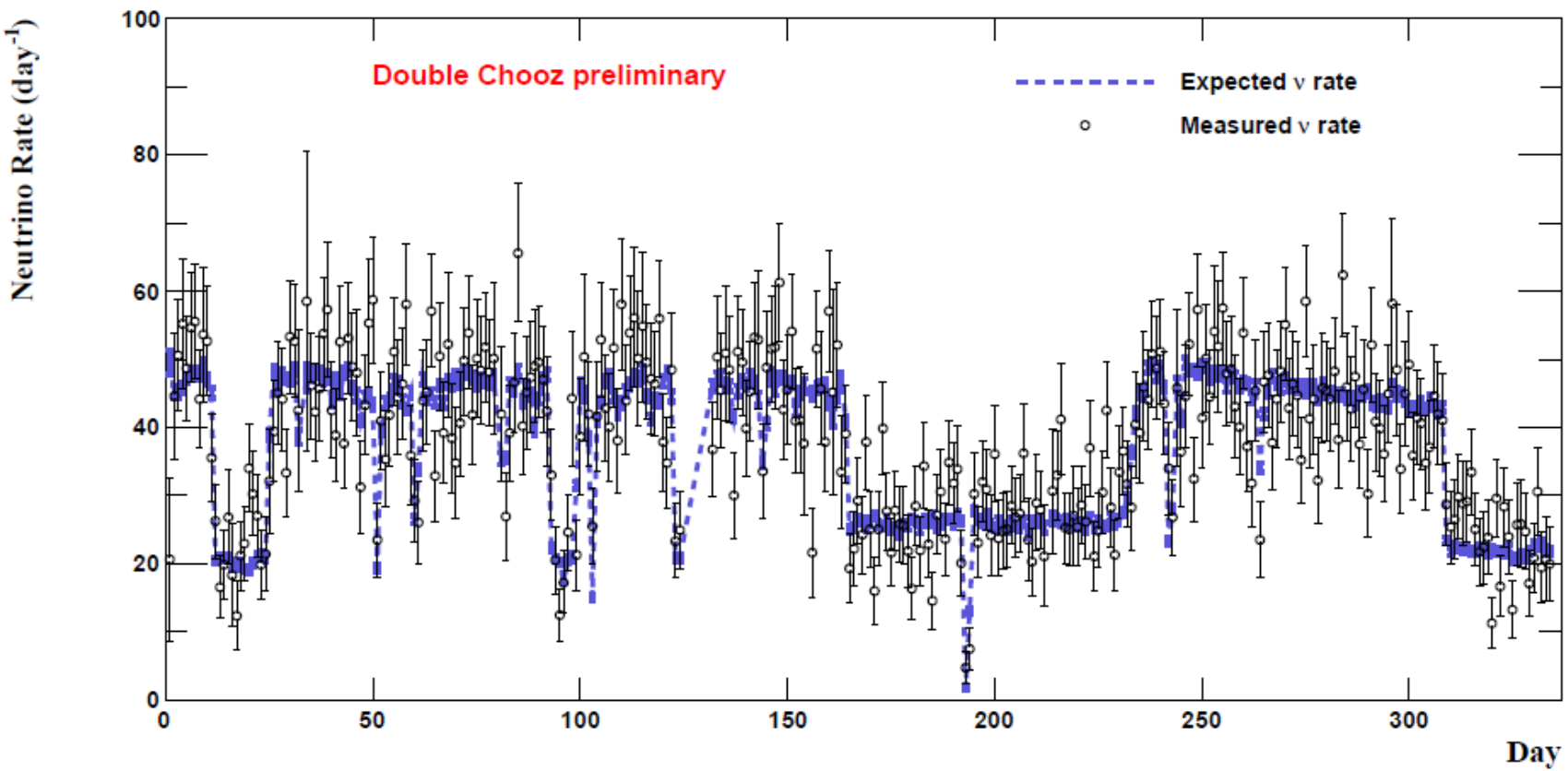
$$0.7 \text{ MeV} < E_{\text{prompt}} < 12.2 \text{ MeV}$$

$$6.0 \text{ MeV} < E_{\text{delayed}} < 12.0 \text{ MeV}$$

Rate of Neutrino Candidates

~36 neutrino candidates per day
~1 background event per day

Neutrino rate



- In total 8249 candidates survive the cuts (no background subtraction)
- Good correspondence to reactor power history
- Indicates low background level in detector

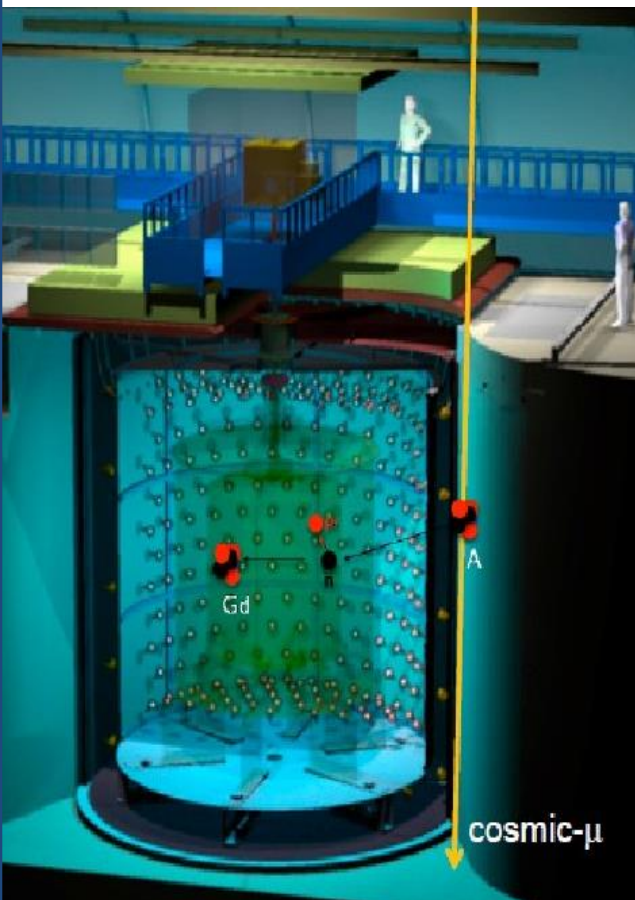
Backgrounds

Accidental background

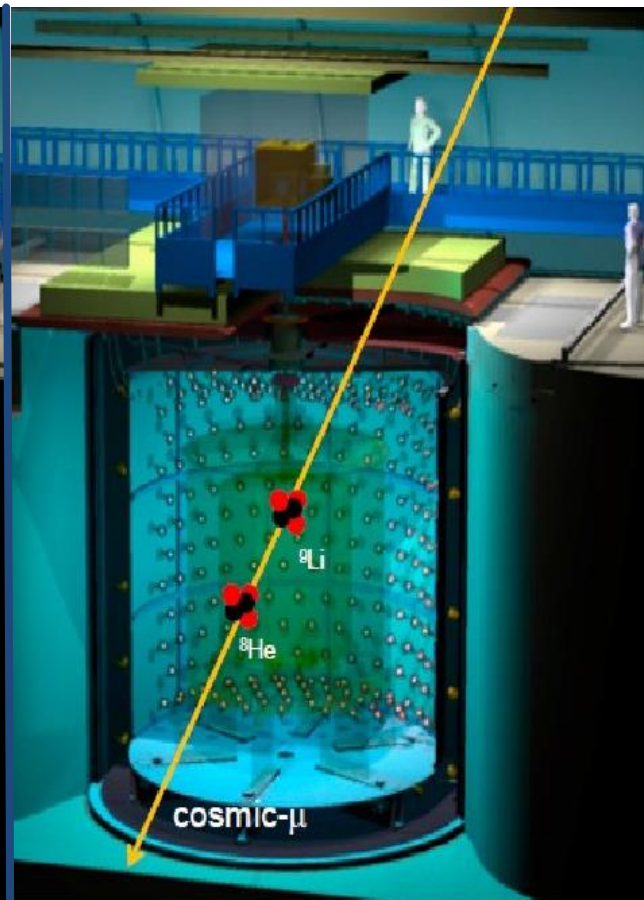


Prompt:
environmental gamma-ray
Delayed:
neutron induced by muon

Correlated background

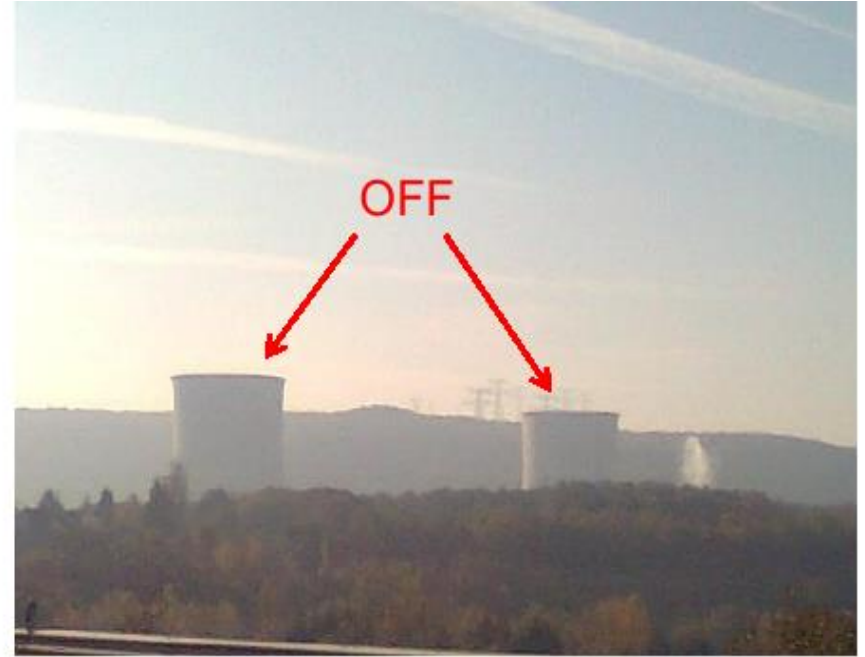


Prompt:
proton recoils from neutron
Delayed:
neutron capture on Gd



Cosmogenics: ^9Li / ^8He
from μ -induced spallation
 $\beta - n$ emitters,
mimic the ν -signal

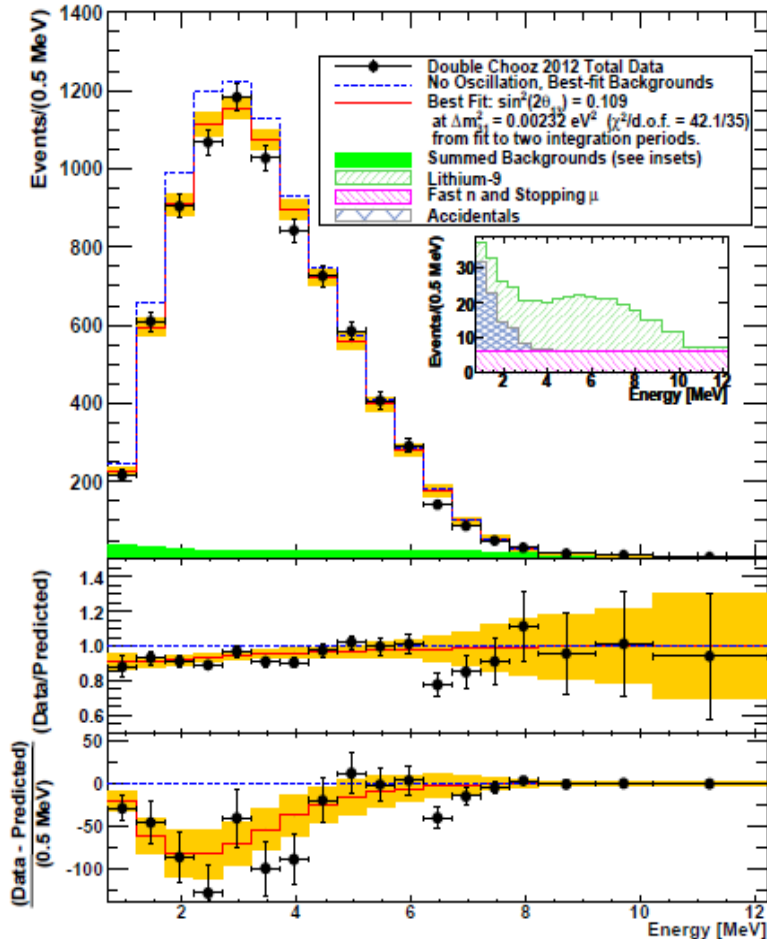
Background Estimation



- Unique opportunity to measure backgrounds in-situ with both reactors off
~7,5 days of reactor OFF-OFF data
- background event rate are consistent with background calculation
→ waiting for more reactor OFF-OFF periods ...

Oscillation Analysis

Y. Abe et al. arXiv:1207.6632 (2012)



- Oscillation depends on neutrino energy:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$

- Rate & shape analysis yields

$$\sin^2 2\theta_{13} = 0.109 \pm 0.030 \text{ (stat)} \pm 0.025 \text{ (syst)}$$

$$\text{using } \Delta m_{31}^2 \approx \Delta m_{32}^2 = 2.32 \cdot 10^{-3} \text{ eV}^2 \text{ (MINOS)}$$

- Together with results from DayaBay and RENO:

$$\sin^2 2\theta_{13} = 0.095 \pm 0.010 \text{ (PDG 2014)}$$

New Accelerator Neutrino Experiments

NOvA: Numi Off-Axis v_e Apppearance Experiment

Start planned for 2014

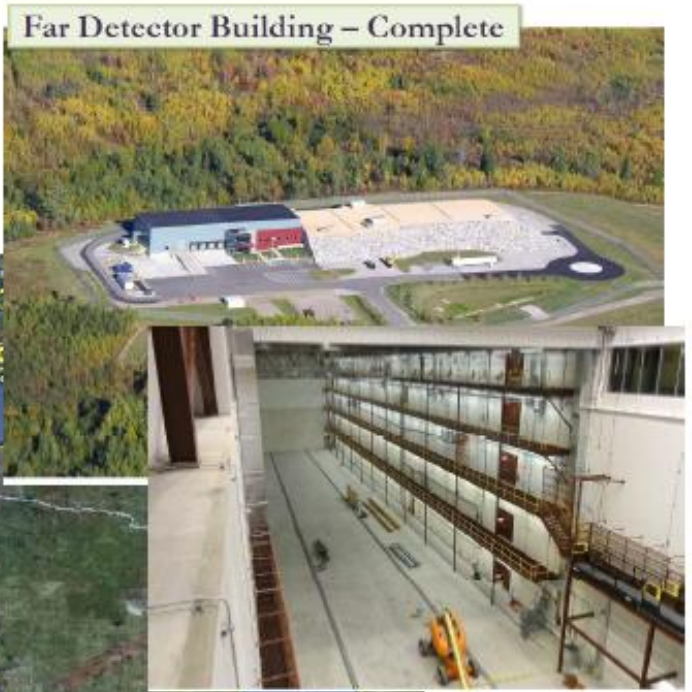
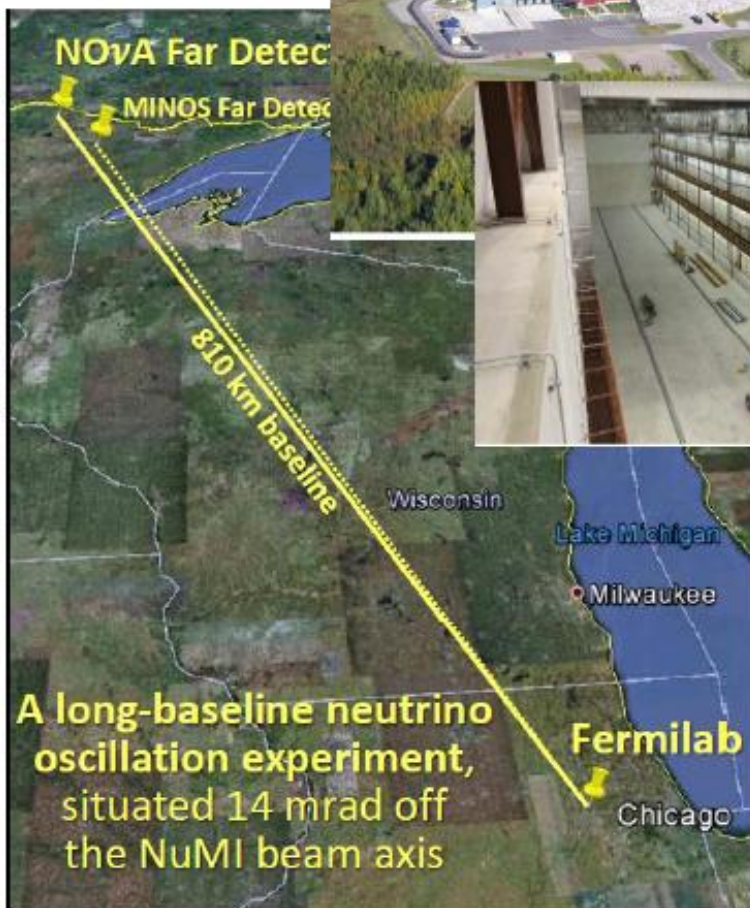
NOvA

A broad physics scope

- Using $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e \dots$
- Measure θ_{13} via ν_e appearance
 - Determine the ν mass hierarchy
 - Search for ν CP violation
 - Determine the θ_{23} octant
- Using $\nu_\mu \rightarrow \nu_\mu, \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \dots$
- **Atmospheric parameters:** precision measurements of $\theta_{23}, |\Delta m_{atm}^2|$. (Exclude $\theta_{23} = \pi/4$?)
 - **Over-constrain** the atmos. sector (four oscillation channels!)

- Also ...
- Neutrino cross sections at the NOvA Near Detector
 - Sterile neutrinos
 - Supernova neutrinos
 - Other exotica

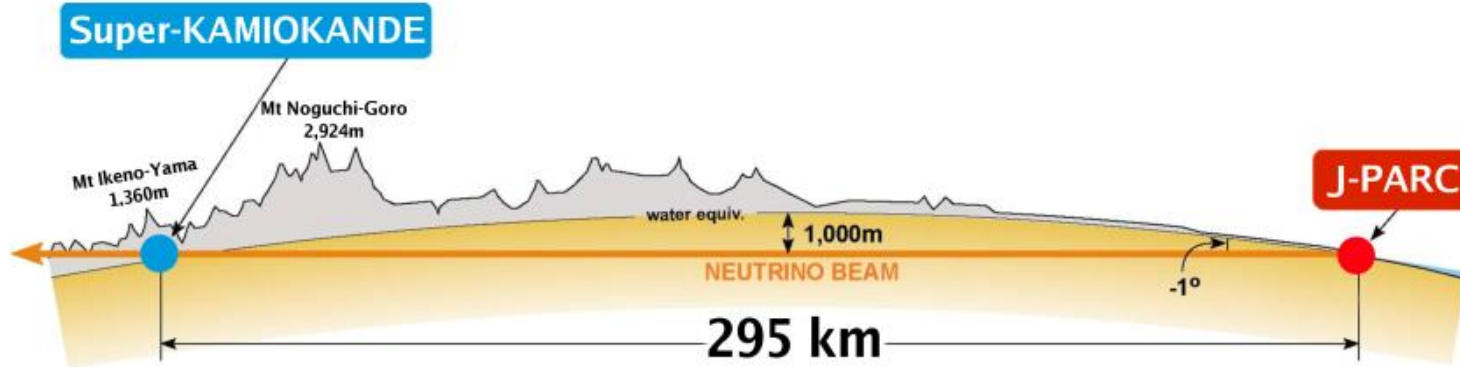
Ryan Patterson, Caltech



The T2K Experiment (Tokai To Kamioka)



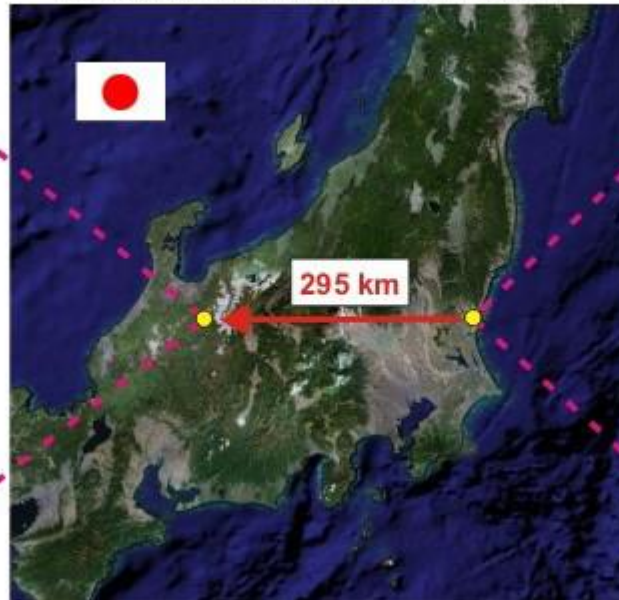
Data taking since 2010



Super Kamiokande
50,000 tons of water
10,000 phototubes



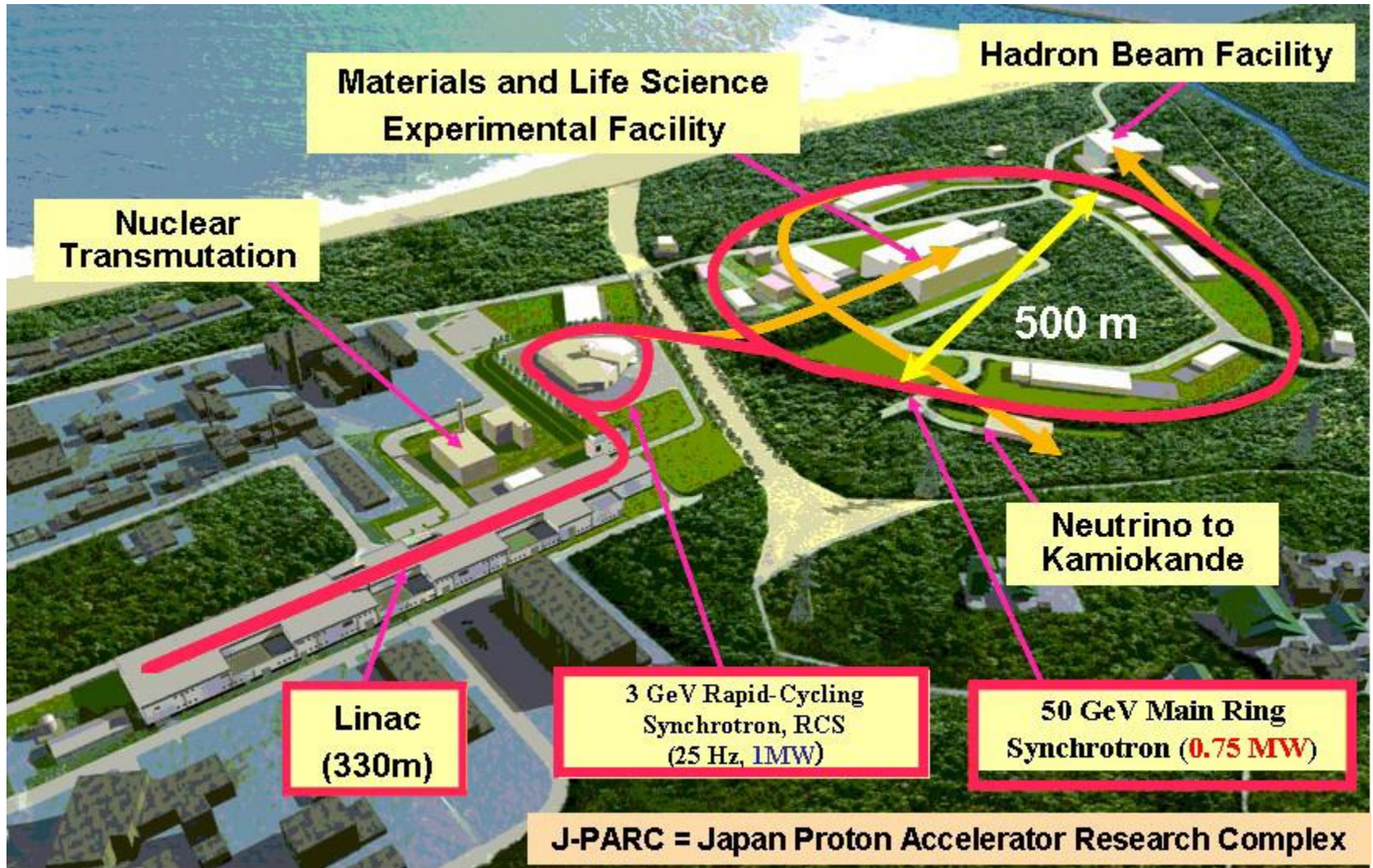
Neutrino beam directed across Japan



Tokai accelerator complex and location of near detector (ND280)



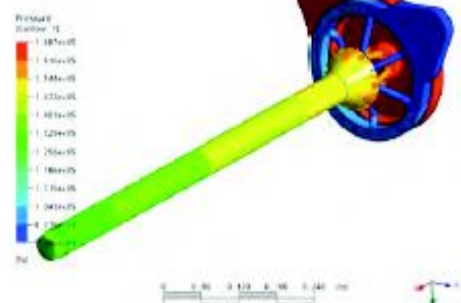
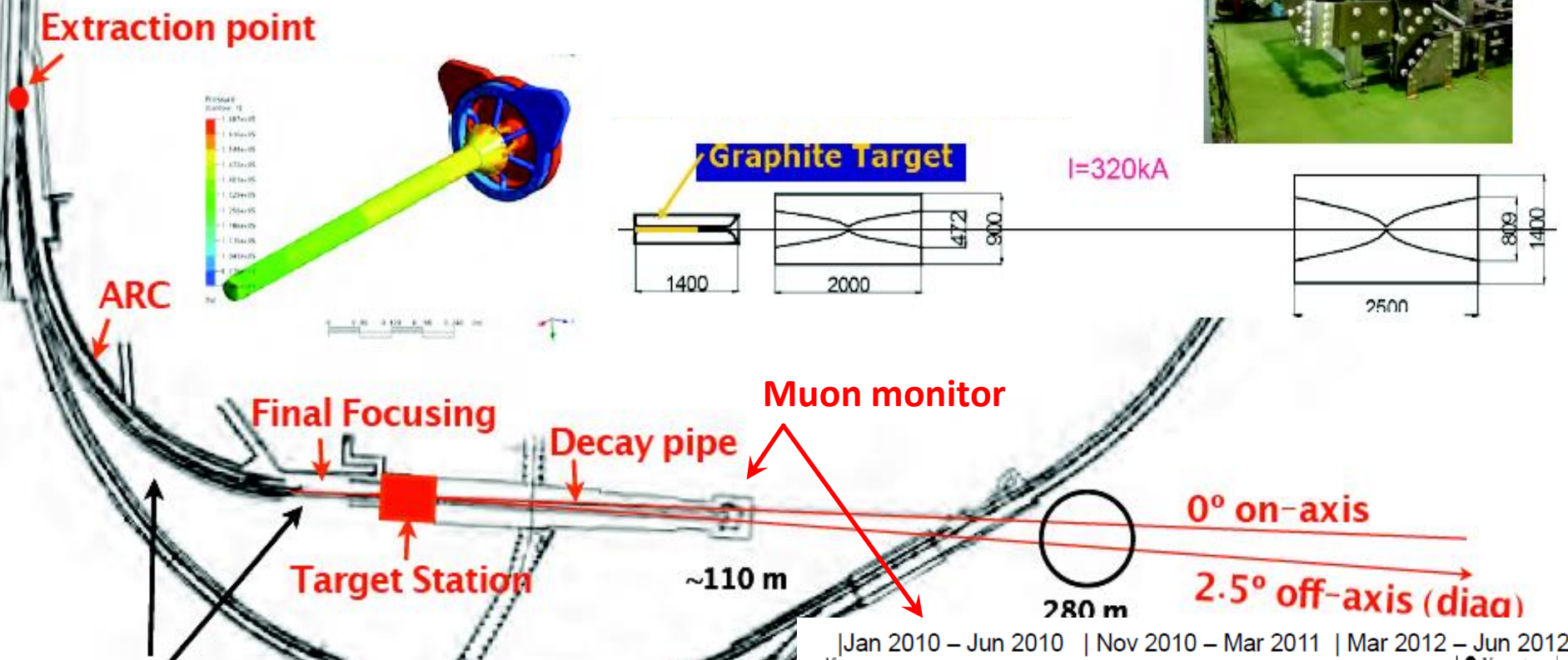
Japan Proton Accelerator Research Center J-PARC



J-PARC: Joint project between KEK and JAEA

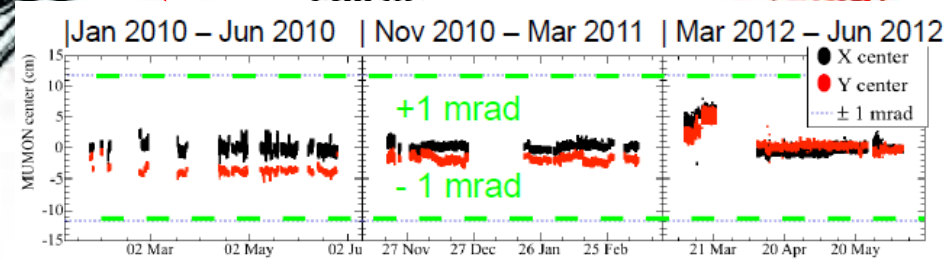
The Neutrino Beam

- 30 GeV proton beam on carbon target
- Beam intensity currently 220 kW, design value 700 kW
- Final goal is $8 \cdot 10^{21}$ protons on target (POT)
- Muon beam direction stable within 1 mrad

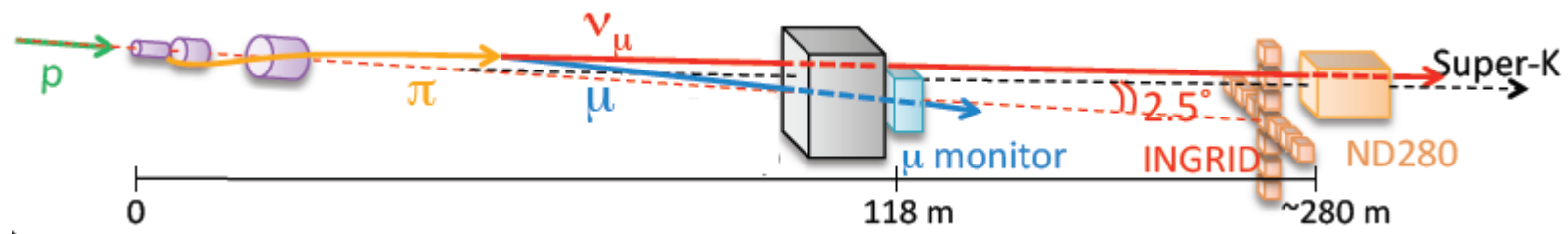


Beam diagnostics :

- Position 20
- Profile 19
- Intensity 4

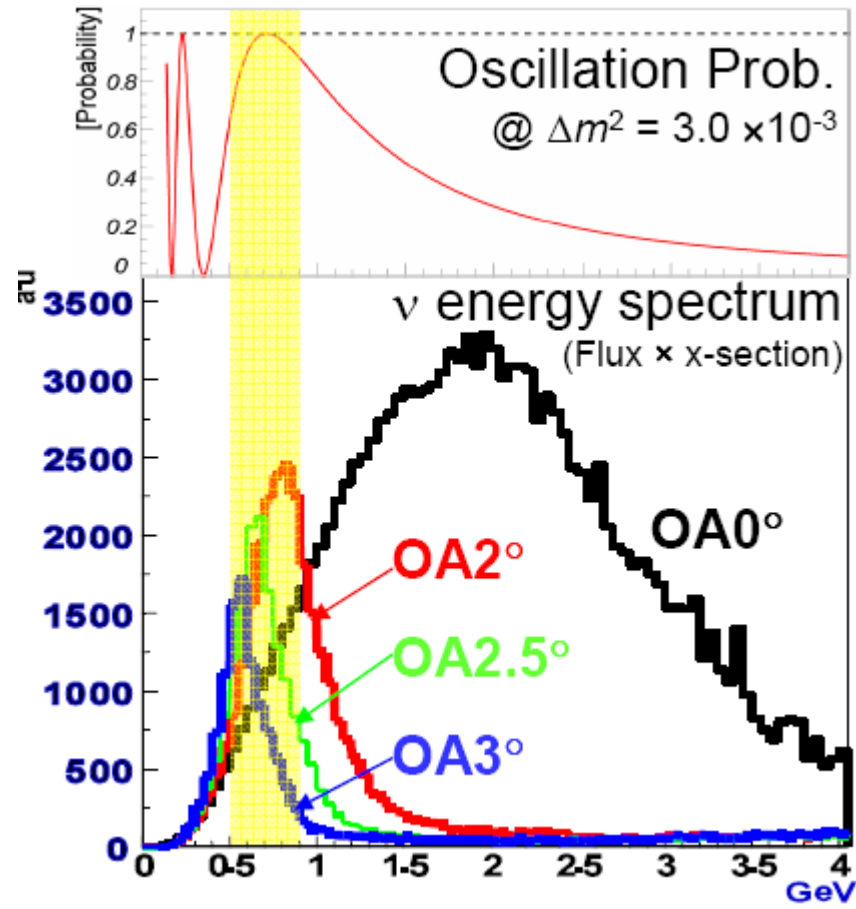
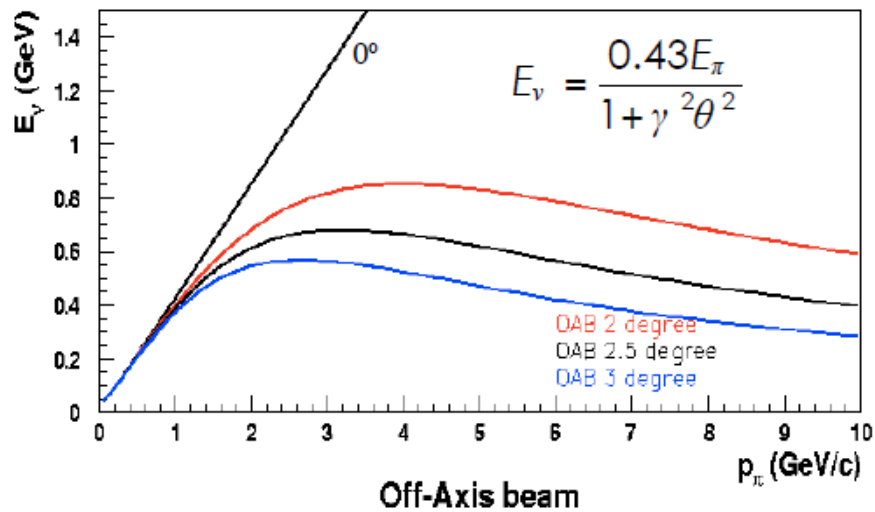


Off Axis Neutrino Beam

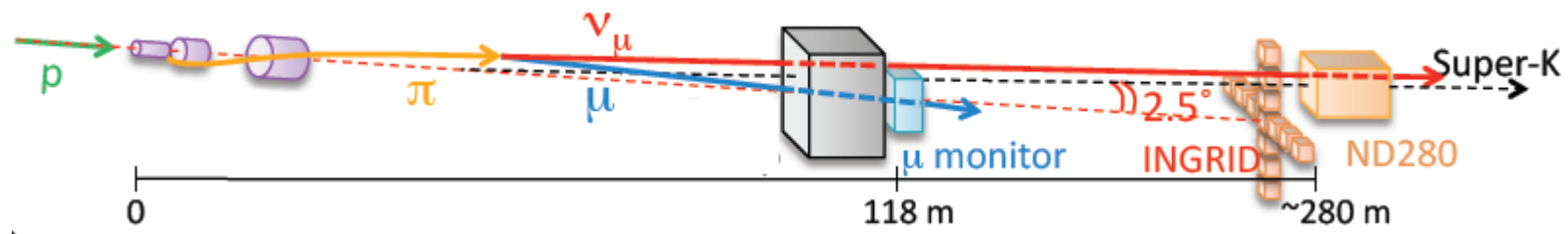


Neutrinos at 2.5° off-axis:

- Intense narrow energy band
- Energy maximum tuned to oscillation maximum at ~0.6 GeV



Off Axis Neutrino Beam

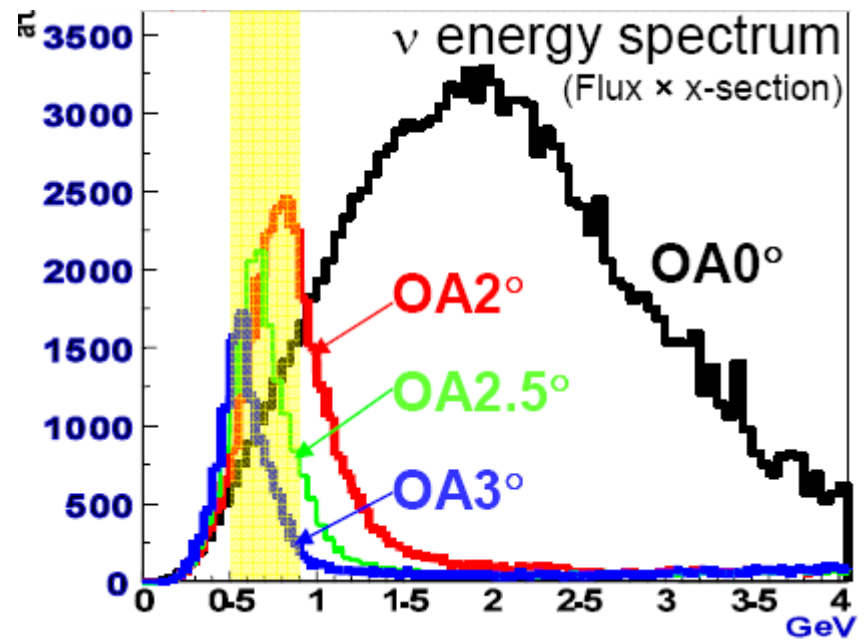
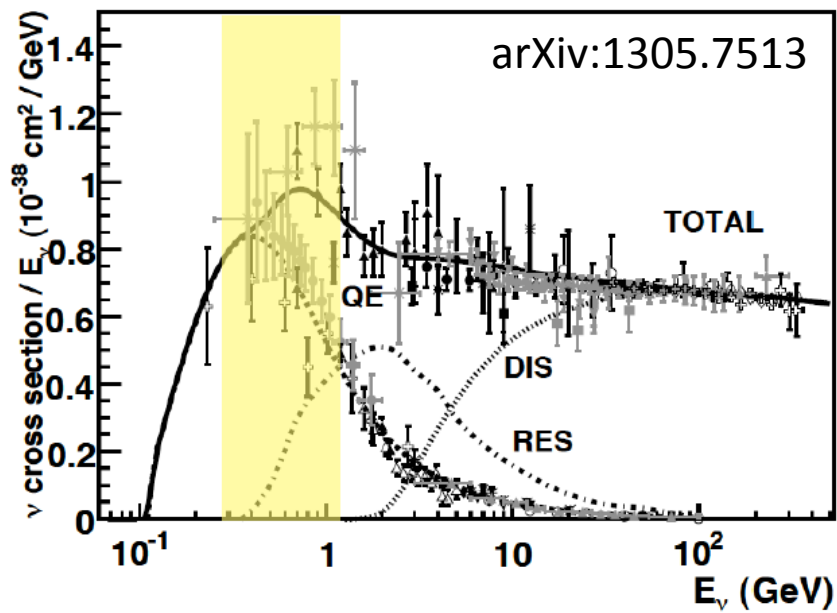


Charge Current (CC) processes:

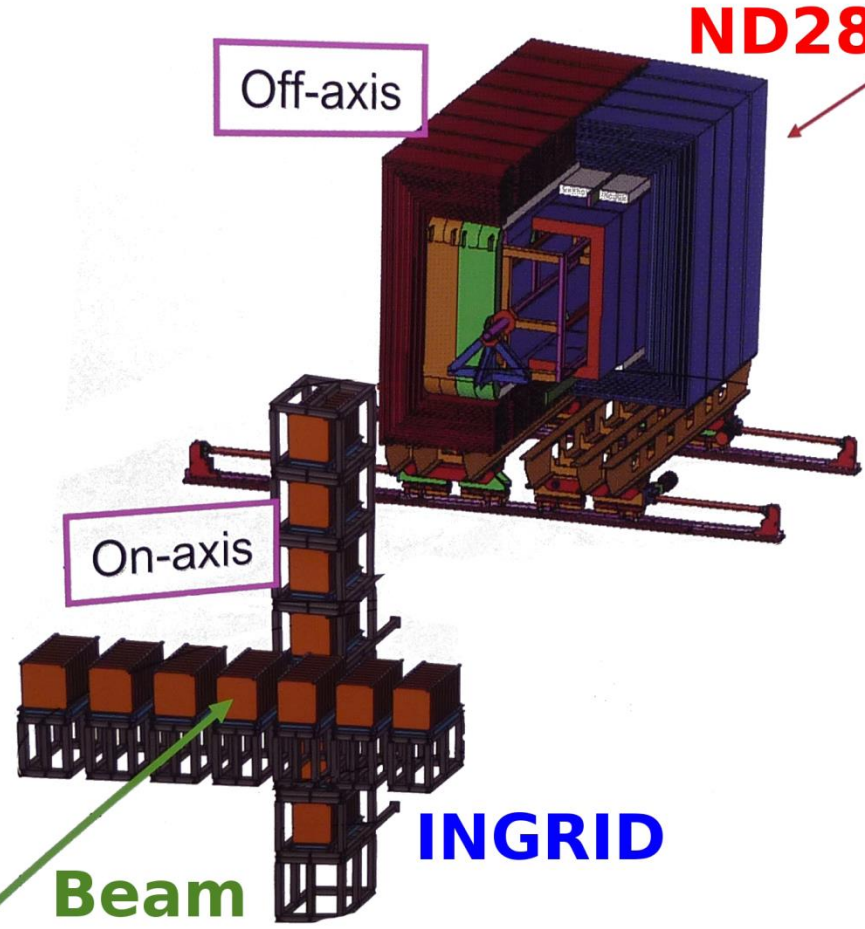
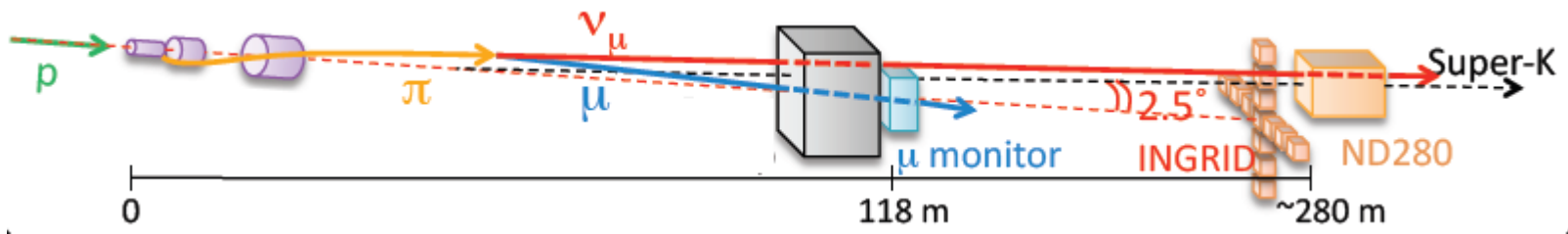
- Quasi Elastic (QE): $\nu_{\mu} n \rightarrow \mu^{-} p$
- Resonant (RES): $\nu_{\mu} n \rightarrow \mu^{-} \pi^{+,0} N$
- Deep Inelastic (DIS): $\nu_{\mu} N \rightarrow \mu^{-} X$

Neutrinos at 2.5° off-axis:

- Enhances CCQE fraction
- Reduces associated pion production



Neutrino Monitor



ND280

ND280:

- Tracker/Calorimeter in 0.2 T field
- Beam composition (ν_e background)
- neutrino flux and cross sections

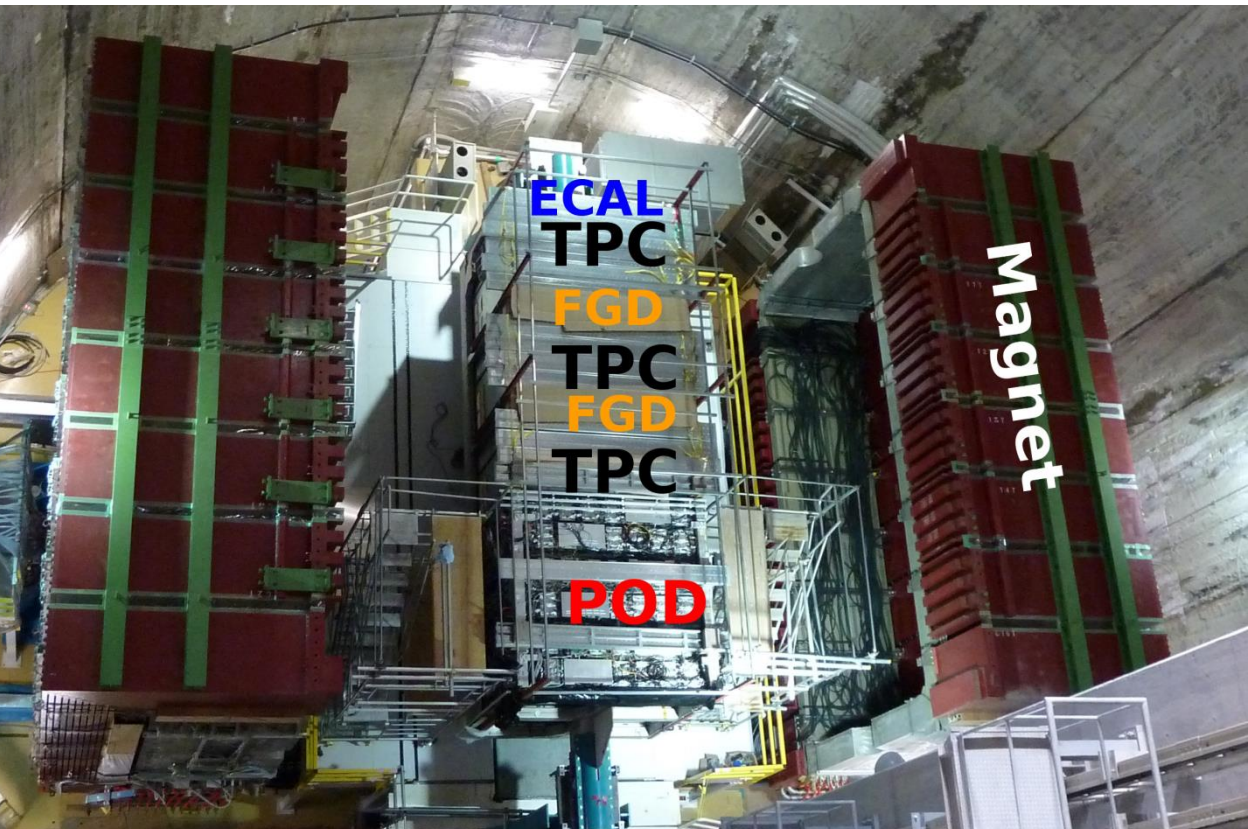
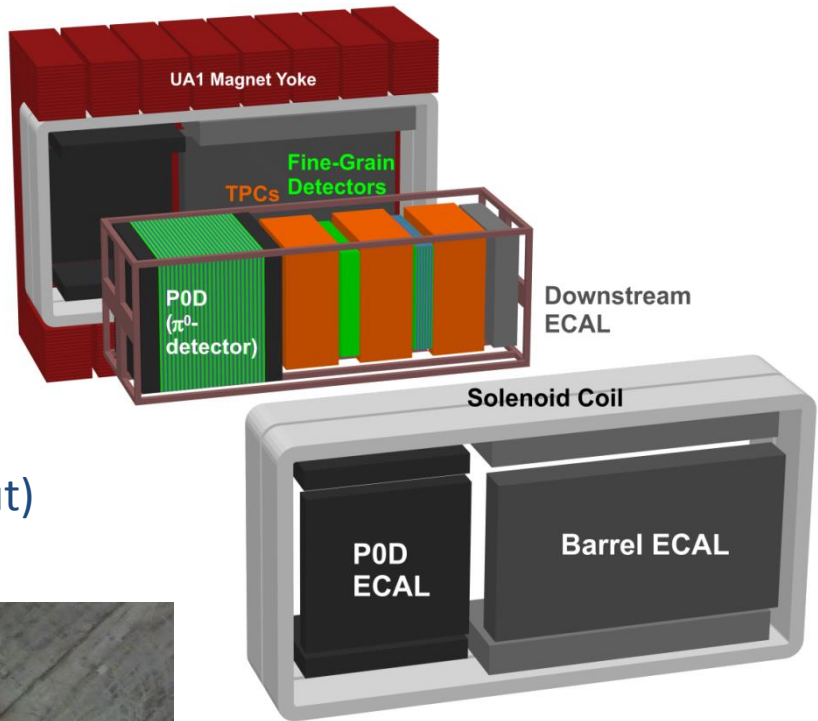
INGRID:

- Iron/Scintillator detector
- Beam profile
- Bunch timing

Near Detector 280m (ND280)

Inside 0.2 T UA1/NOMAD magnet:

- The π^0 detector POD (lead/water/scintillators)
- Barrel and downstream ECAL
- Fine Grain Detectors FGD (water/scintillators)
- Time Projection Chambers TPC
(large gas volume with micromegas readout)



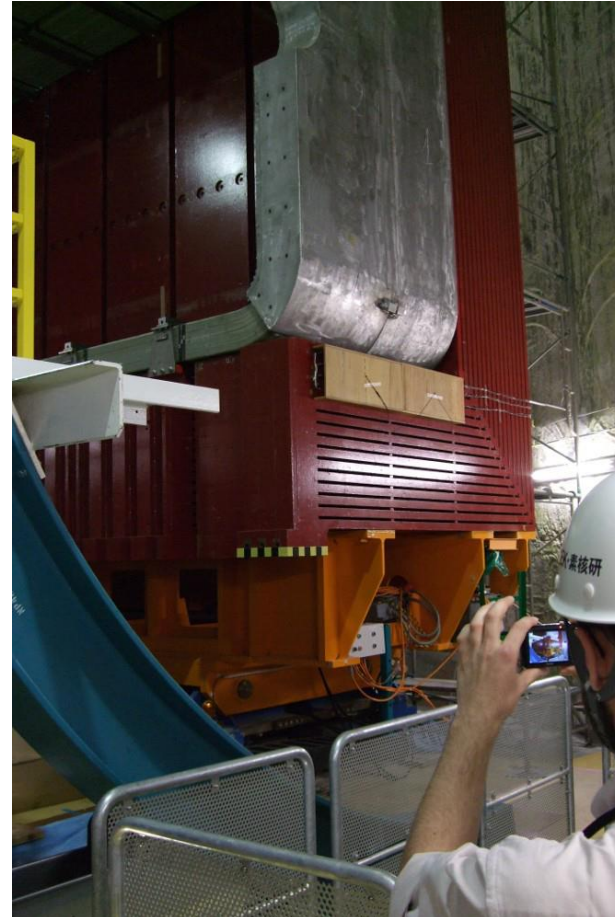
Magnet Moving System

Opening and closing of 900 t UA1 magnet yokes

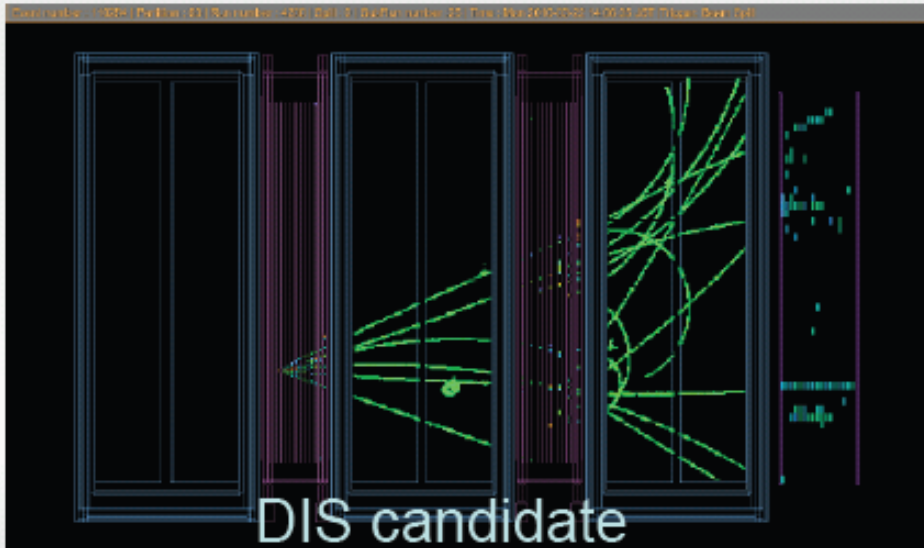
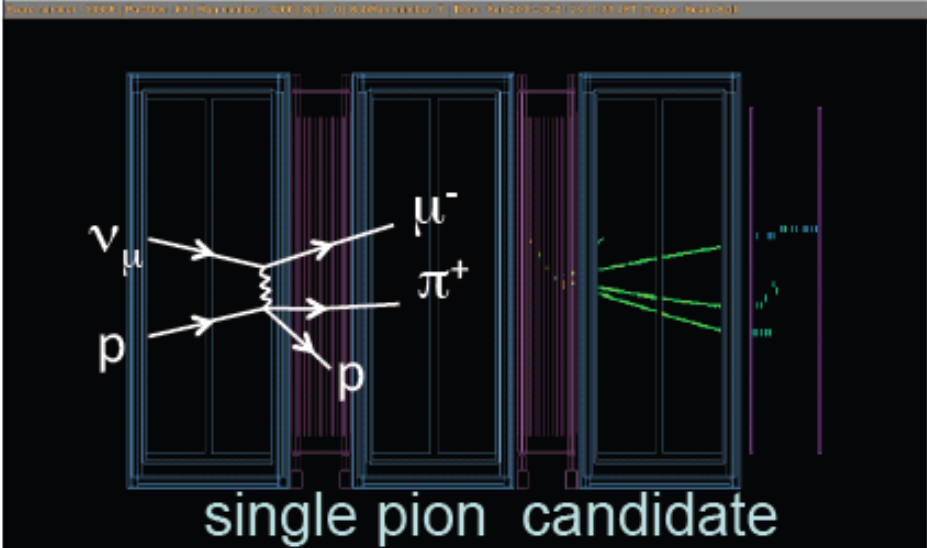
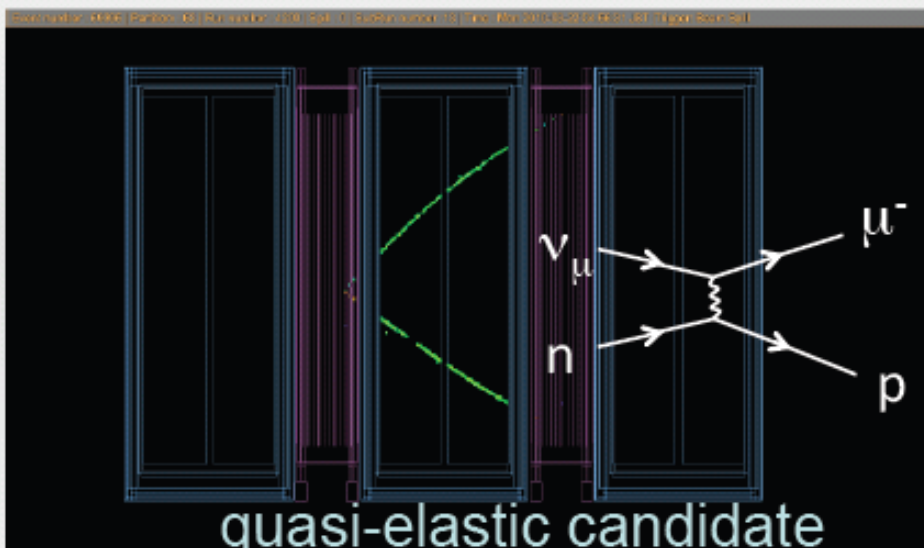
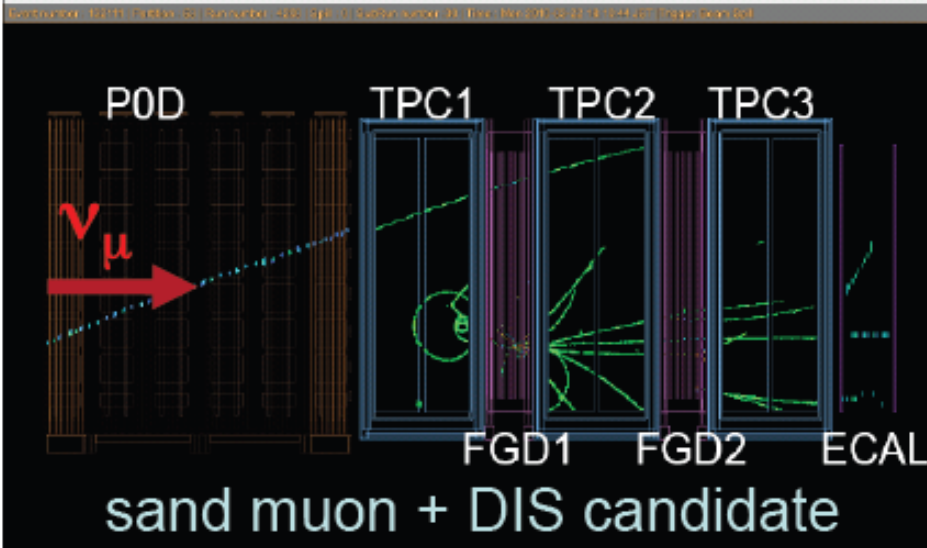
Adaption of HERA-B guide rollers to the UA1 magnet carriage

Re-use of ZEUS hydraulic movers

} Many thanks to DESY!

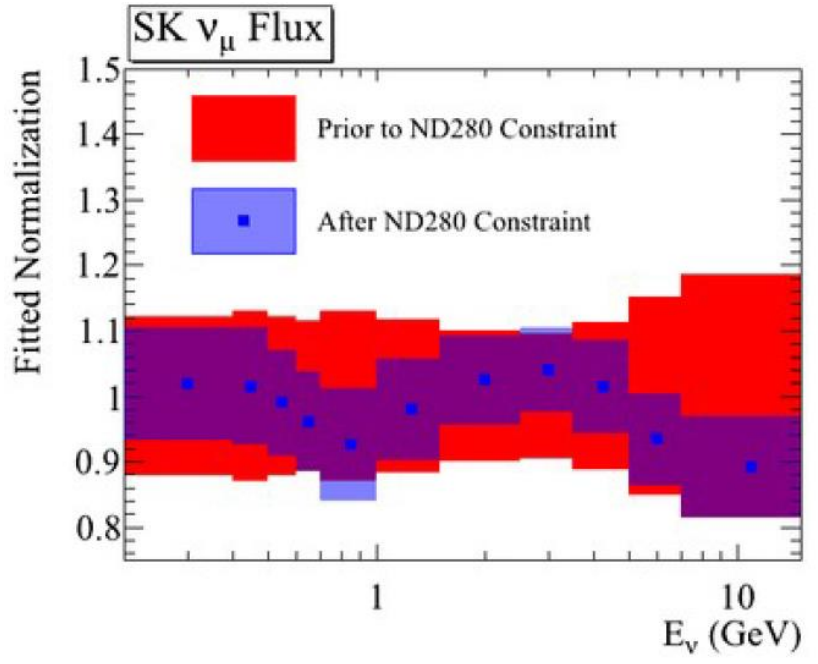
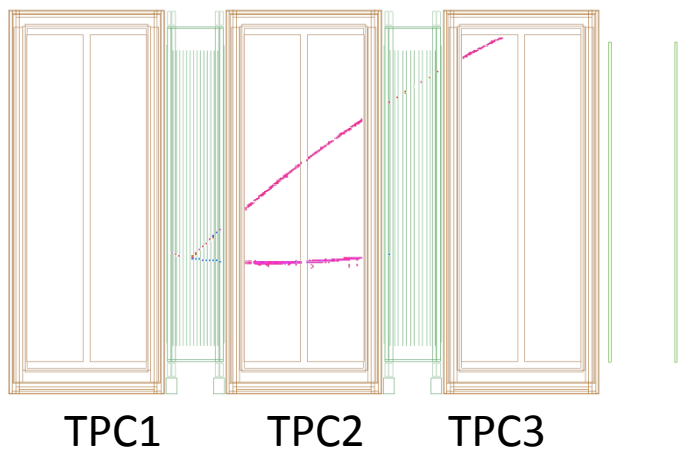


ND280 Event Gallery



Measurement of ν_μ flux at ND280

Event number : 24083 | Partition : 63 | Run number : 4200 | Spill : 0 | SubRun number : 6 | Time : Sun 2010-03-21 22:33:25 JST | Trigger: Beam Spill



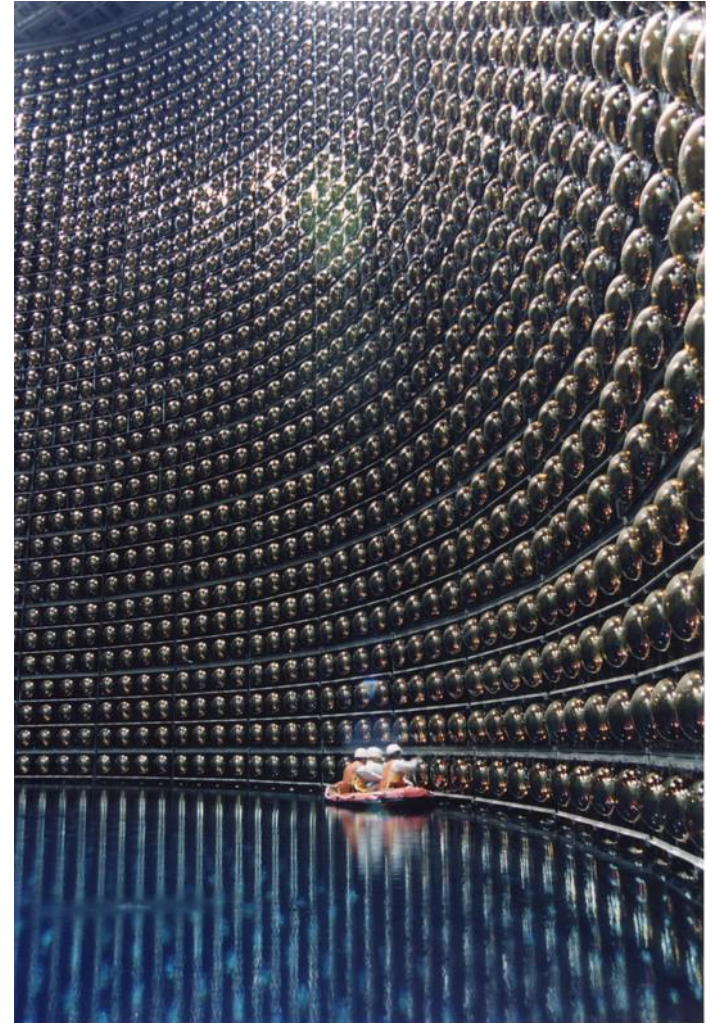
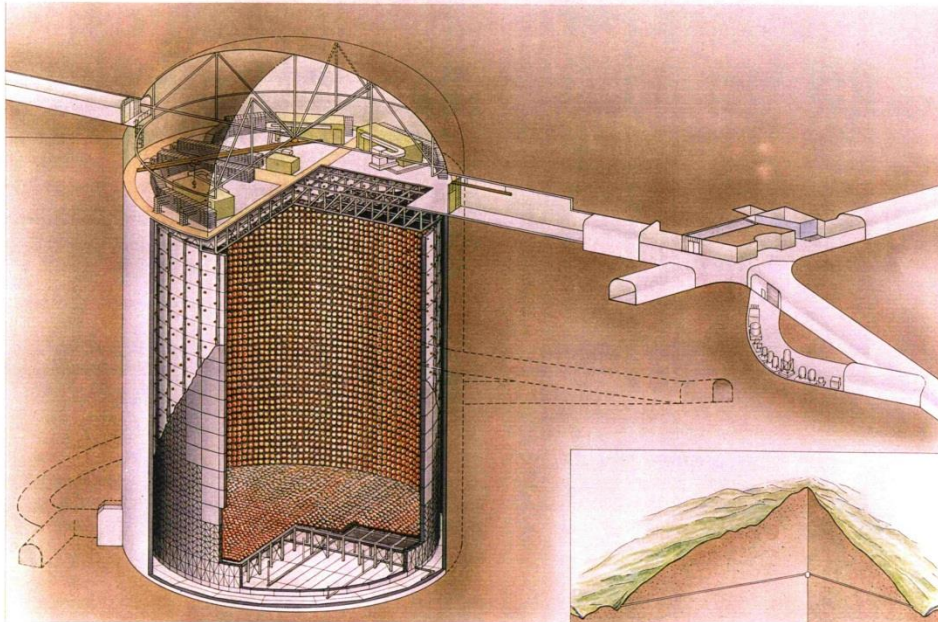
Basic CC event selection at ND280 for ν_μ :

- Use the highest momentum, negative charged TPC track
- Select muon from TPC particle ID

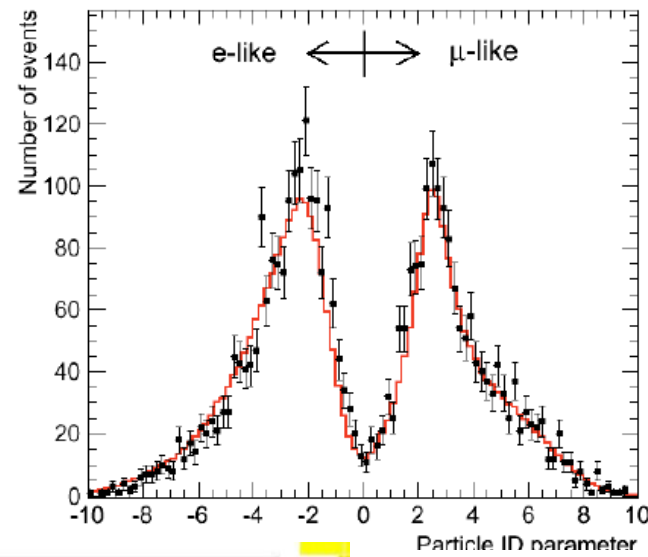
Measurement of spectrum and flux of ν_μ neutrinos at ND280 yields prediction for ν_μ flux at SK

Super Kamiokande

Super-Kamiokande is a 50,000 ton water Cherenkov detector, with 11,000 photomultiplier tubes, which started observation in 1996 after 5 years of construction

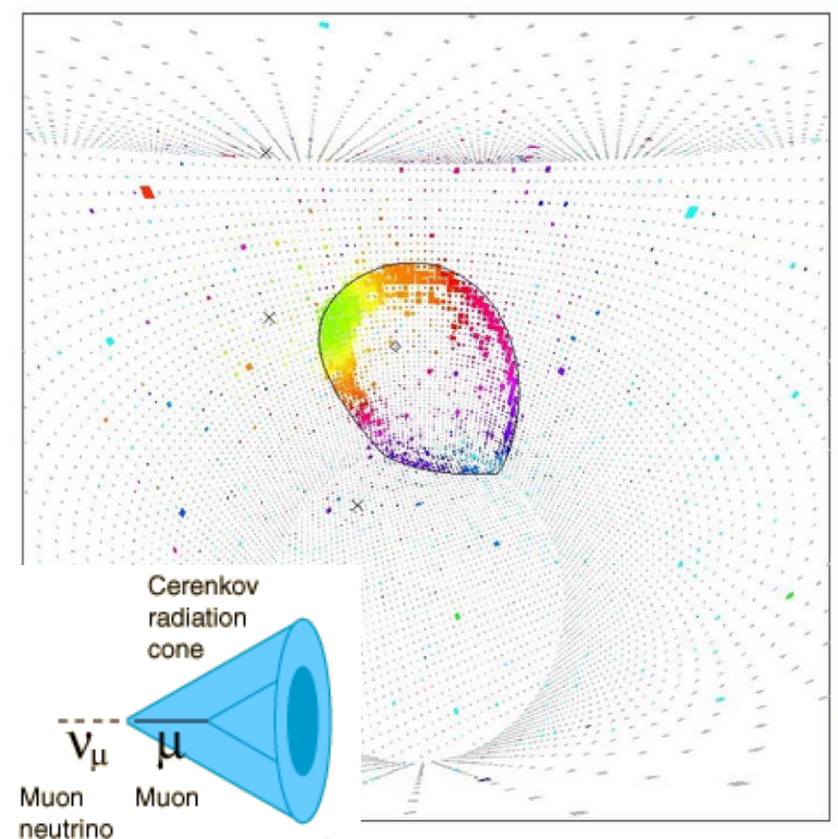
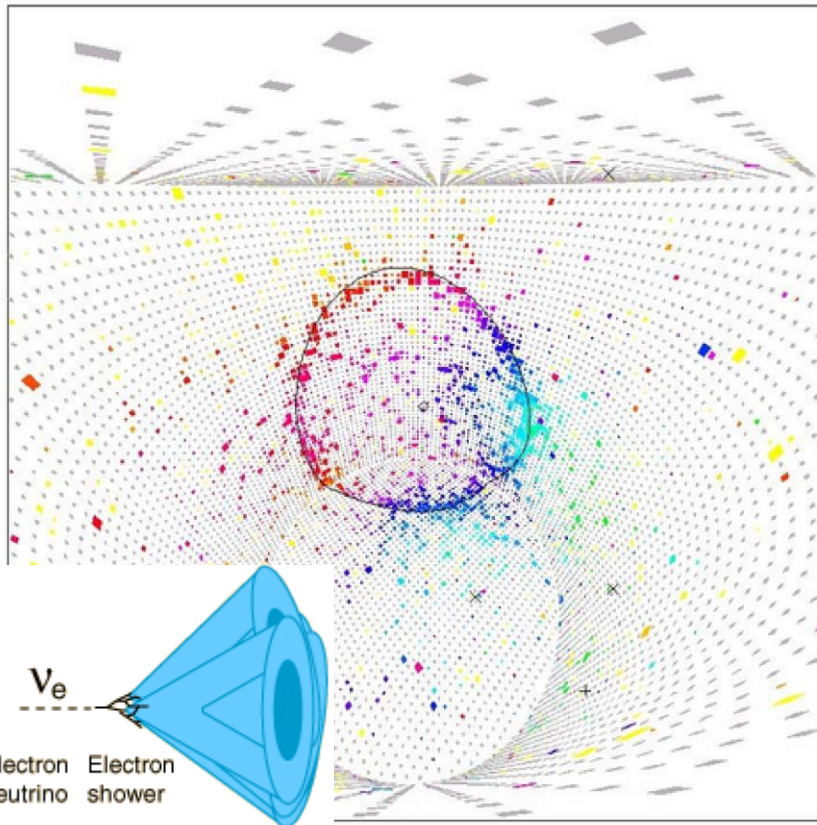


Events at Super K



Electron-like event

Muon-like event

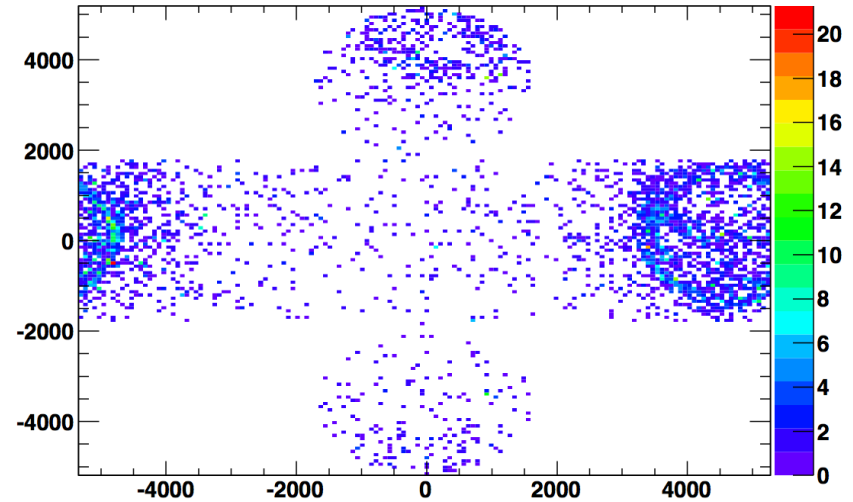


π^0 Background at Super-K

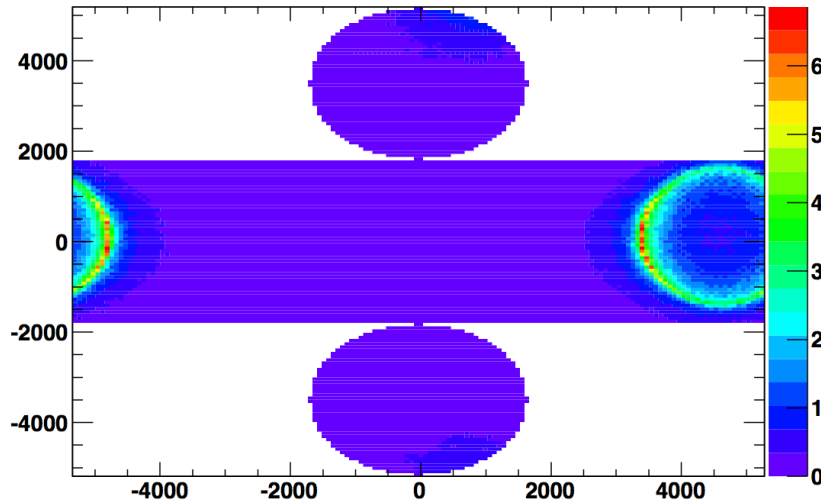
Important Background:

- Neutral Current process $\nu_\mu p \rightarrow \nu_\mu p \pi^0$
- Pion decay $\pi^0 \rightarrow \gamma\gamma$
- Photon conversion $\gamma \rightarrow e^+e^-$ with two overlapping electron-like rings
- Build likelihood ratio from two fits

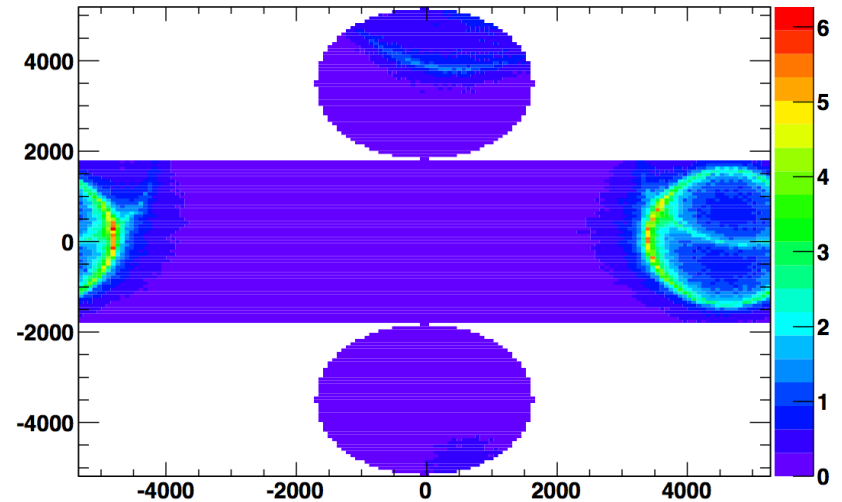
Measured charge



1-ring electron-like fit

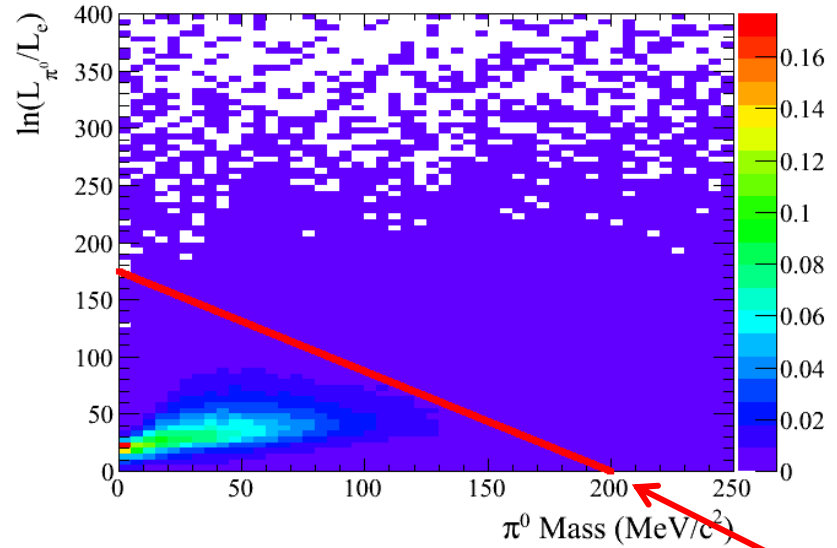


2-ring π^0 -like fit

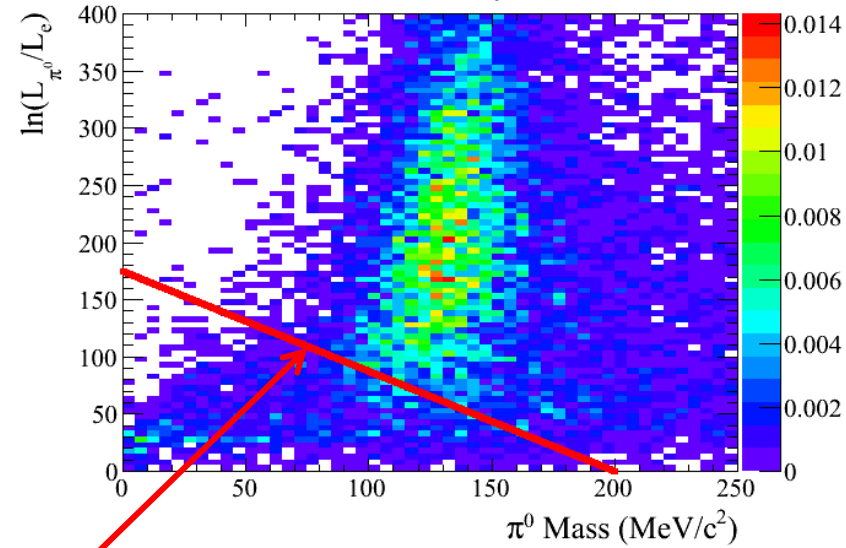


Likelihood ratio vs. π^0 mass

Signal: ν_e CCQE

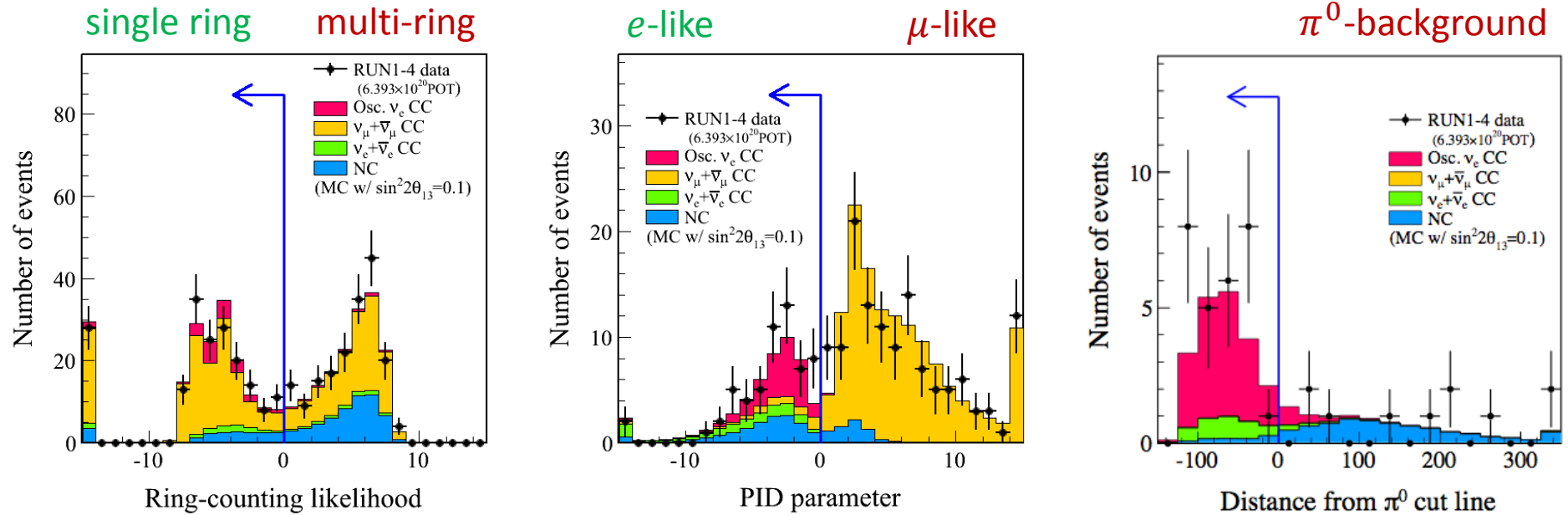


Background: $\nu_\mu (\pi^0 X)$



Cut line to separate π^0 background

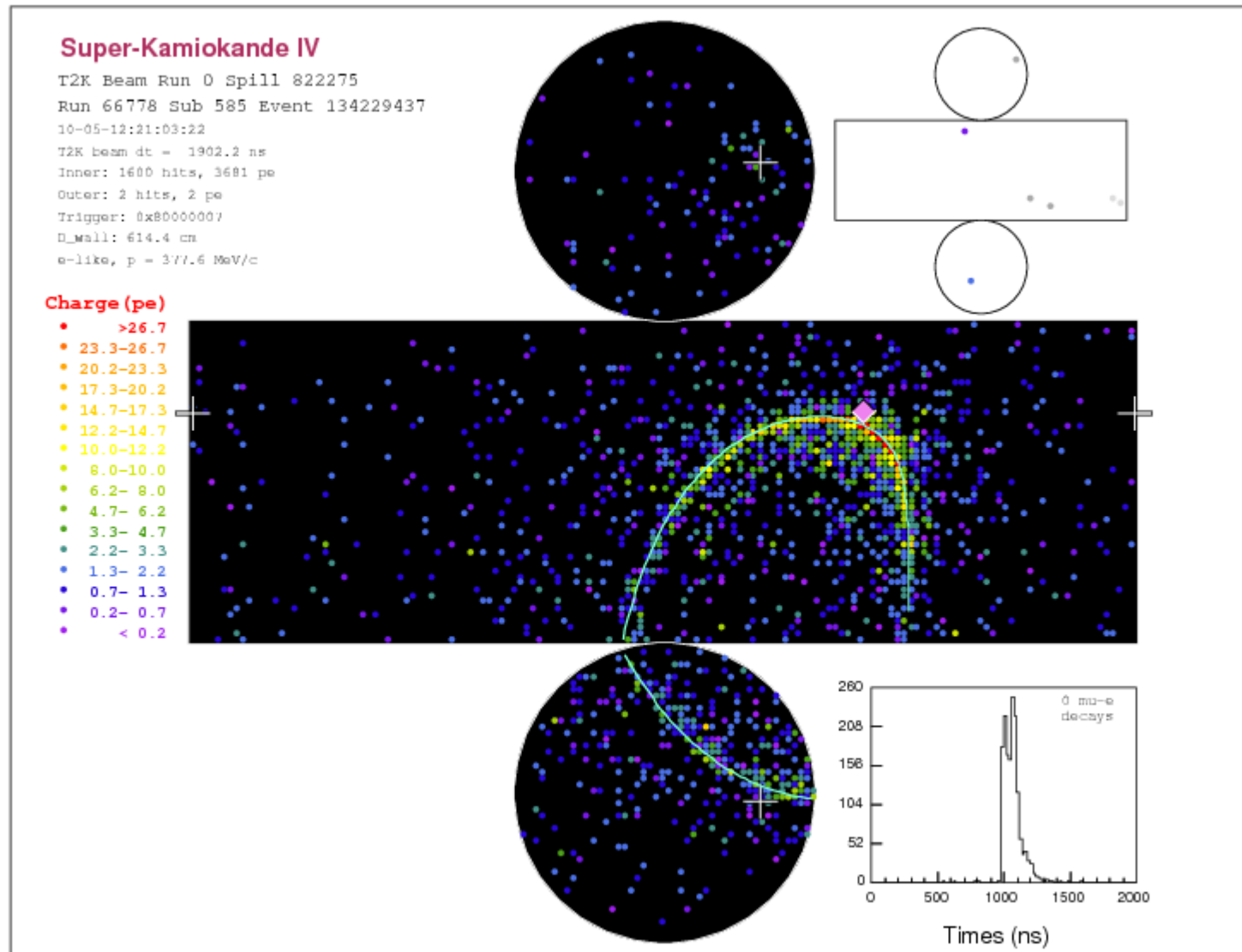
Selection of ν_e Appearance Candidates



→ Observation of 28 ν_e candidates in $6.4 \cdot 10^{20}$ pot

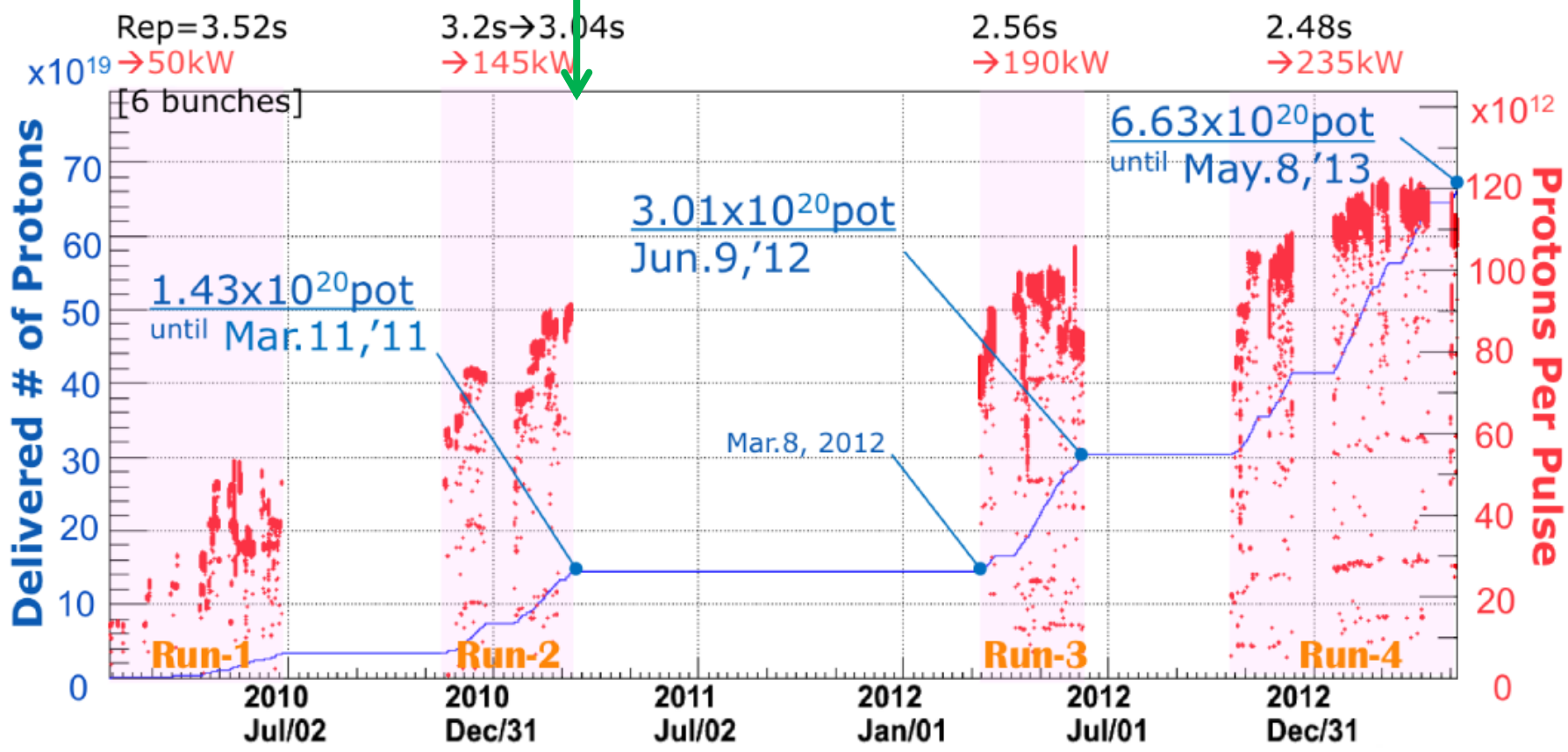
Appearance of ν_e

First ν_e candidate observed (May 2010)



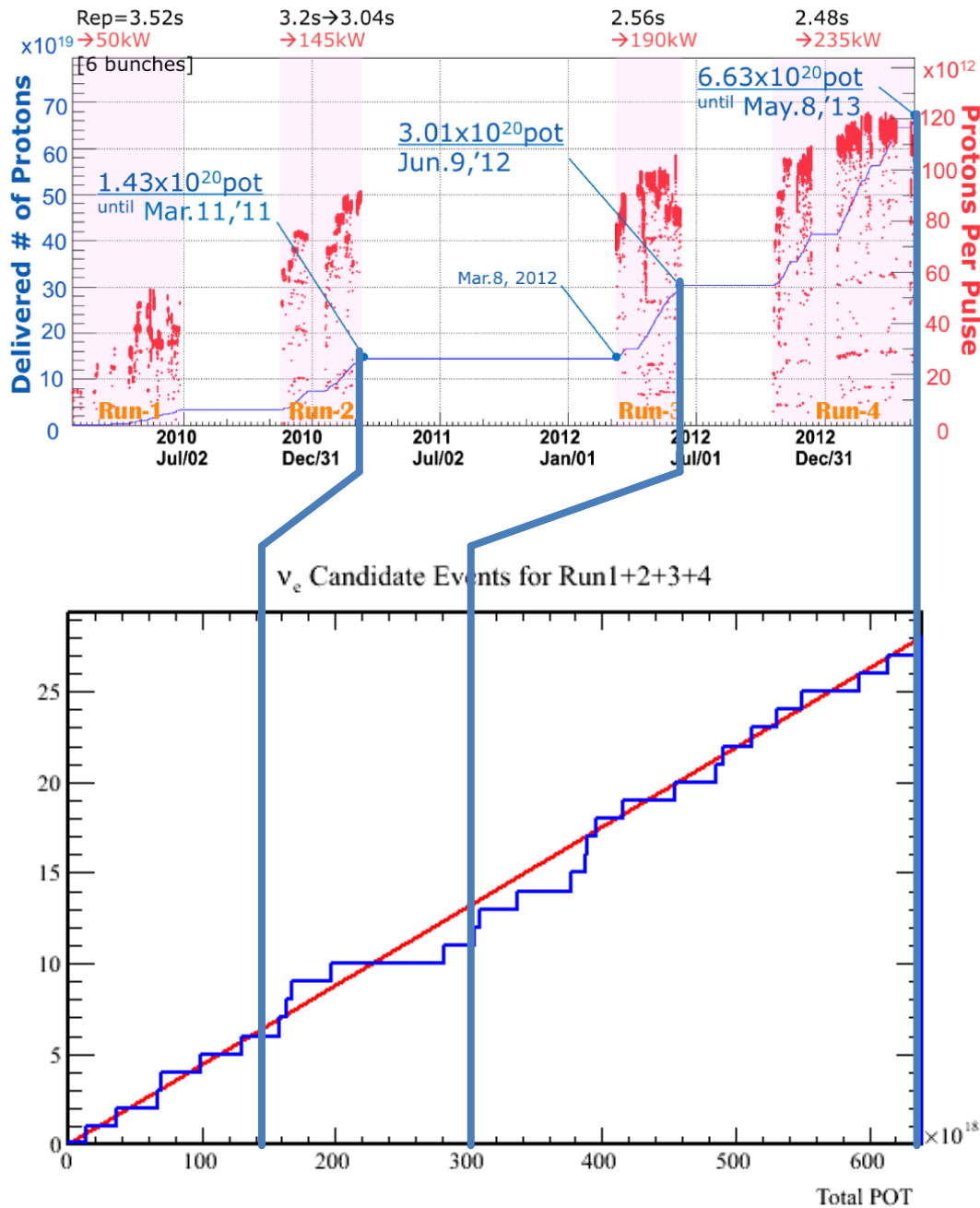
Protons delivered

March 11, 2011
Great Eastern Japan Earth quake



Successful startup and running → Reached ~10% of the final design goal of $8 \cdot 10^{21}$ pot

Development of Data

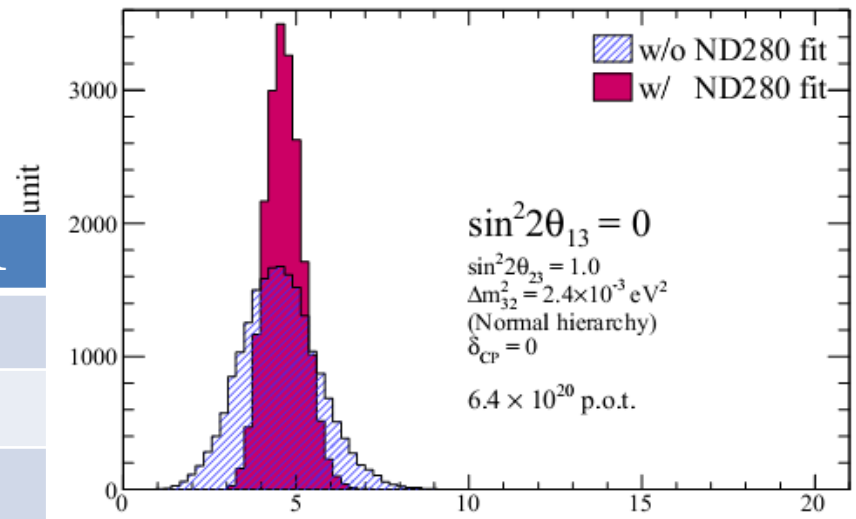


- Runs 1-2: $1.4 \cdot 10^{20}$ pot
→ Indication of ν_e appearance with 2.5σ (6 candidates)
- Runs 1-3: $3.0 \cdot 10^{20}$ pot
→ Evidence of ν_e appearance with 3.1σ (11 candidates)
- Runs 1-4: $6.4 \cdot 10^{20}$ pot
→ Observation of ν_e appearance with 7.3σ (28 candidates)

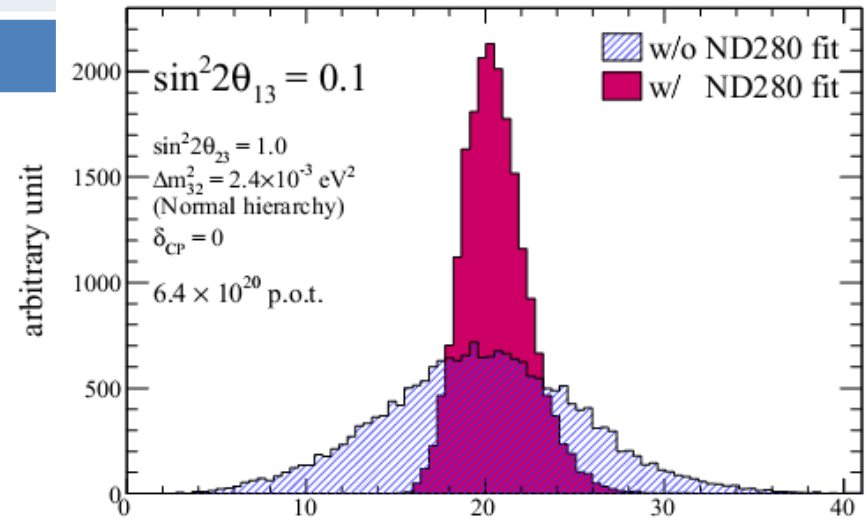
Predicted Number of Events

$6.4 \cdot 10^{20}$ pot

Event type	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$
ν_e signal	0.4	16.4
ν_e backg.	3.2	2.9
ν_μ backg.	0.9	0.9
Other backg.	0.2	0.2
Total	4.6	20.4



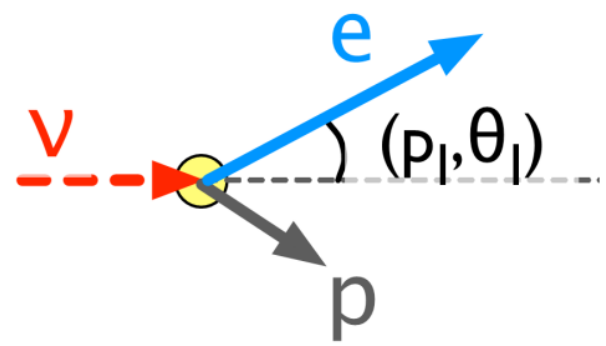
Expected number of signal+background events



Expected number of signal+background events

Constraint from near detector very important!

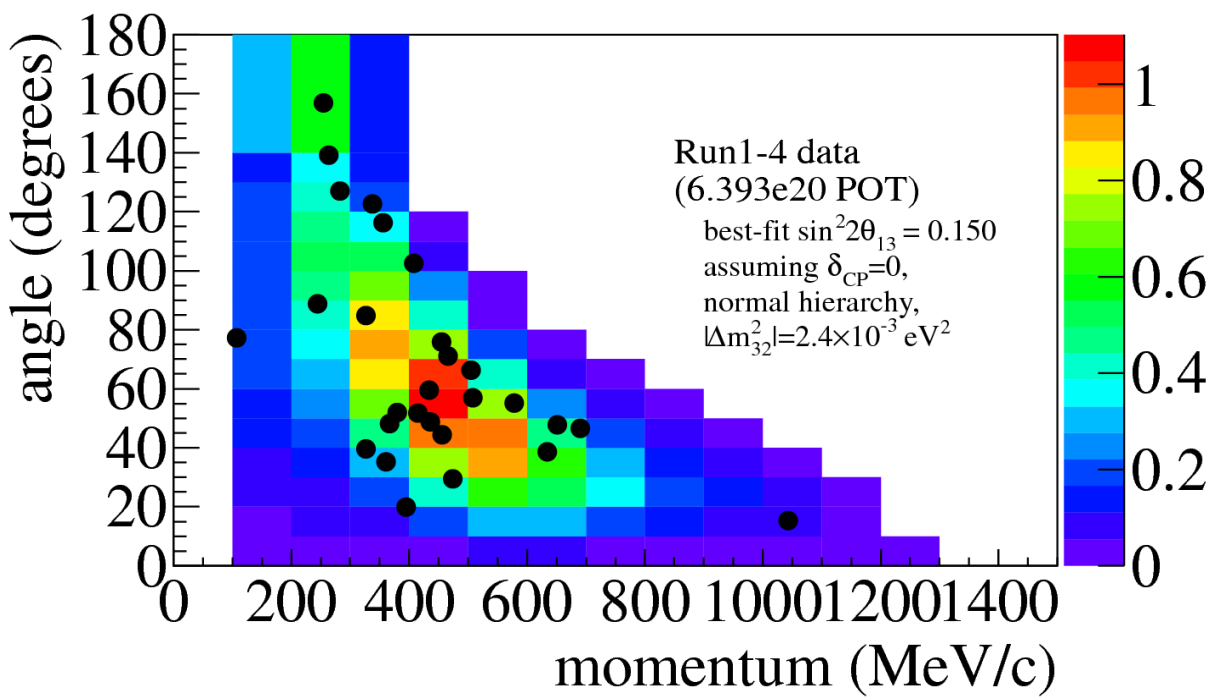
Fit to the Data



Likelihood is calculated by comparing the number of observed events (N_{obs}) and the electron momentum & angle (p - θ) distribution with MC.

Assuming $\delta_{CP} = 0$ and normal hierarchy

$$\Rightarrow \sin^2 2\theta_{13} = 0.140^{+0.038}_{-0.032}$$



No oscillation hypothesis is excluded at 7.3σ

Interpretation of ν_e data

With current $\sin^2 2\theta_{13}$ value:

$$P(\nu_\mu \rightarrow \nu_e) \approx 0.051 - 0.014 \sin \delta_{CP}$$

Allowed region of $\sin^2 2\theta_{13}$

for each value of δ_{CP}

→ Sensitivity to CP violating phase δ_{CP} :

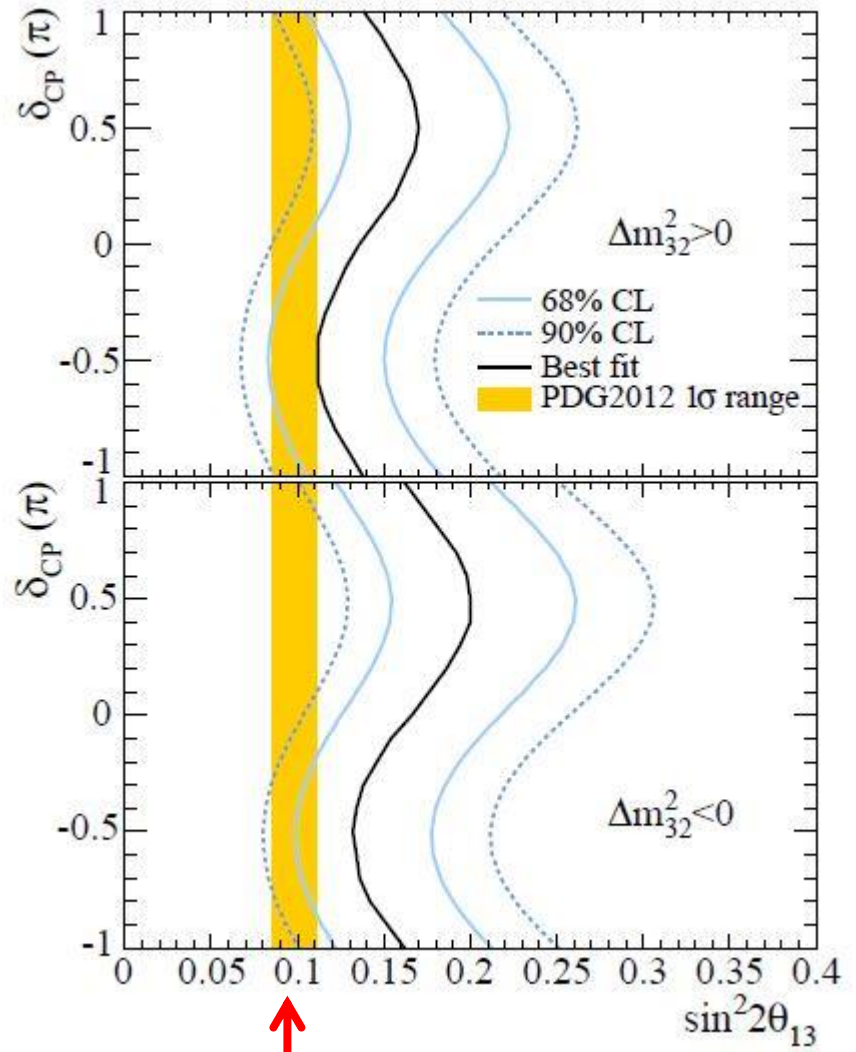
For normal mass hierarchy ($\Delta m_{32}^2 > 0$)

$$0.35 \pi < \delta_{CP} < 0.63 \pi$$

for inverted mass hierarchy ($\Delta m_{32}^2 < 0$)

$$0.09 \pi < \delta_{CP} < 0.90 \pi$$

are excluded at 90% *C. L.*



Constraint from reactor neutrinos:

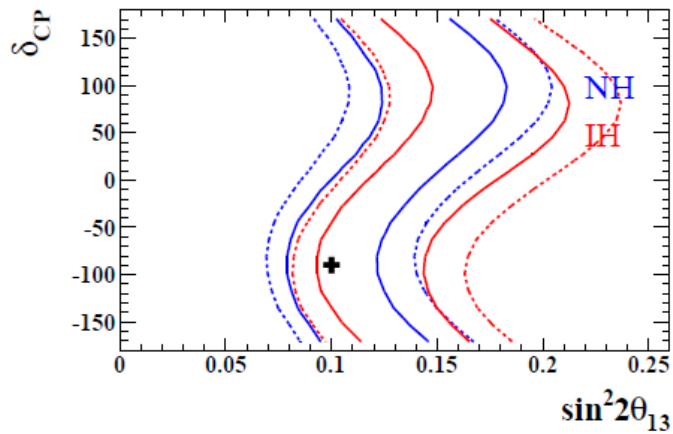
$$\sin^2 2\theta_{13} = 0.098 \pm 0.013 \quad (\text{PDG 2012})$$

They measure $\sin^2 2\theta_{13}$ independent from δ_{CP} and hierarchy

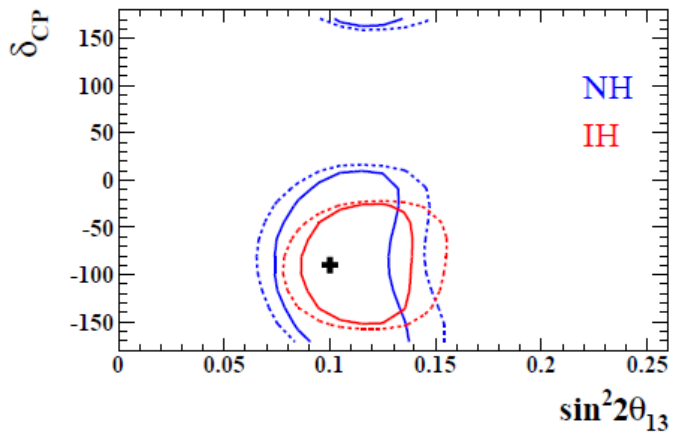
Future Prospects

T2K 90% C.L. regions for true $\delta_{CP} = -90^\circ$, $\sin^2 2\theta_{13} = 0.1$, normal hierarchy

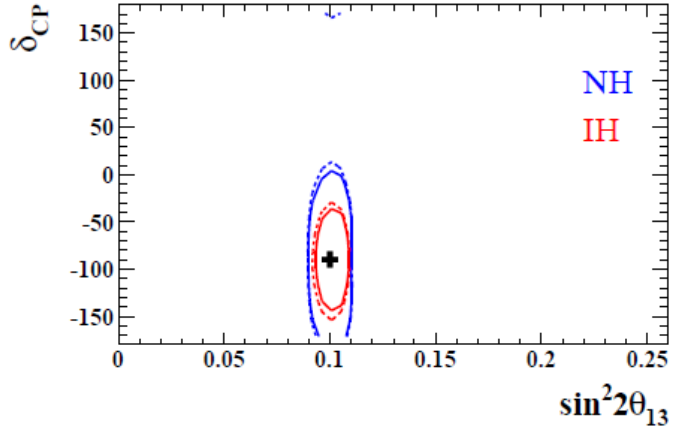
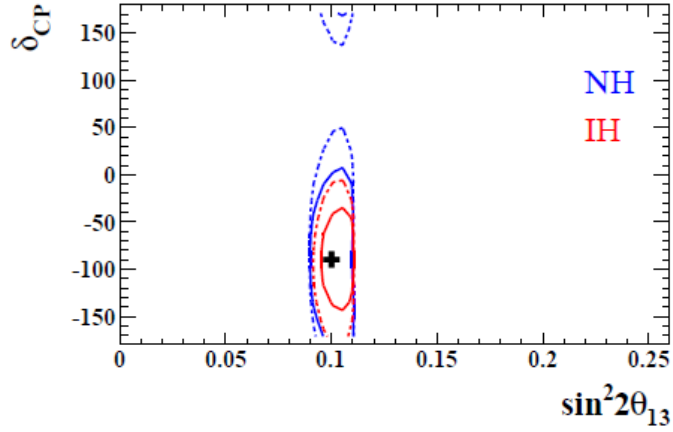
100% ν -running



50% ν -, 50% $\bar{\nu}$ -running



Without reactor constraint

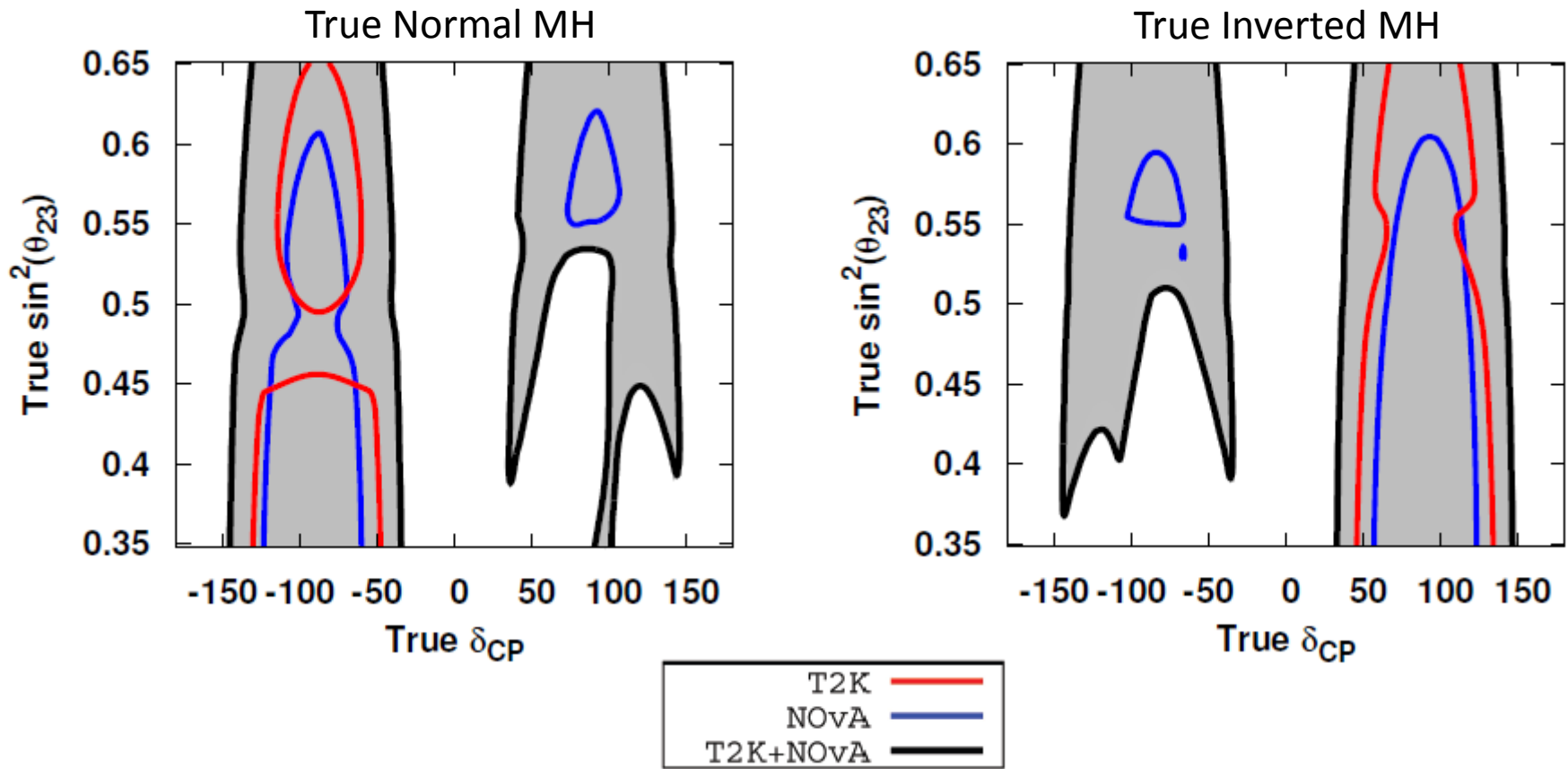


With reactor constraint

→ Scheduled a pilot run with anti-neutrinos in 2014

Combination with NOvA

Region where evidence for CP violation can be found at the 90% C.L.



Conclusions

- **Physics of neutrino oscillations is a very active field**
- **Several new experiments have started (will start soon):**
 - **Reactor neutrino experiments DoubleChooz, Reno, DayaBay**
 - **Neutrino beam experiments T2K, Nova (2014)**
- **Measurement of θ_{13} has been established**
- **T2K has observed ν_e appearance, hence shows for the first time neutrino flavour transition directly**
- **Combination of all neutrino experiments could resolve: CP-violation in leptonic sector (maybe mass hierarchy)**