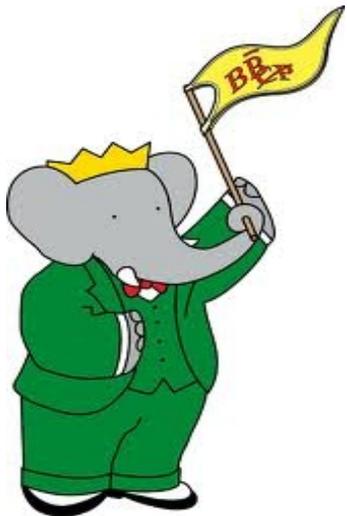


# DESY Seminar

Hamburg & Zeuthen · 13 & 14 November 2012

## Recent **BABAR** Results Semileptonic/Leptonic B Decays: Impact on New Physics



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**Marcello Rotondo**

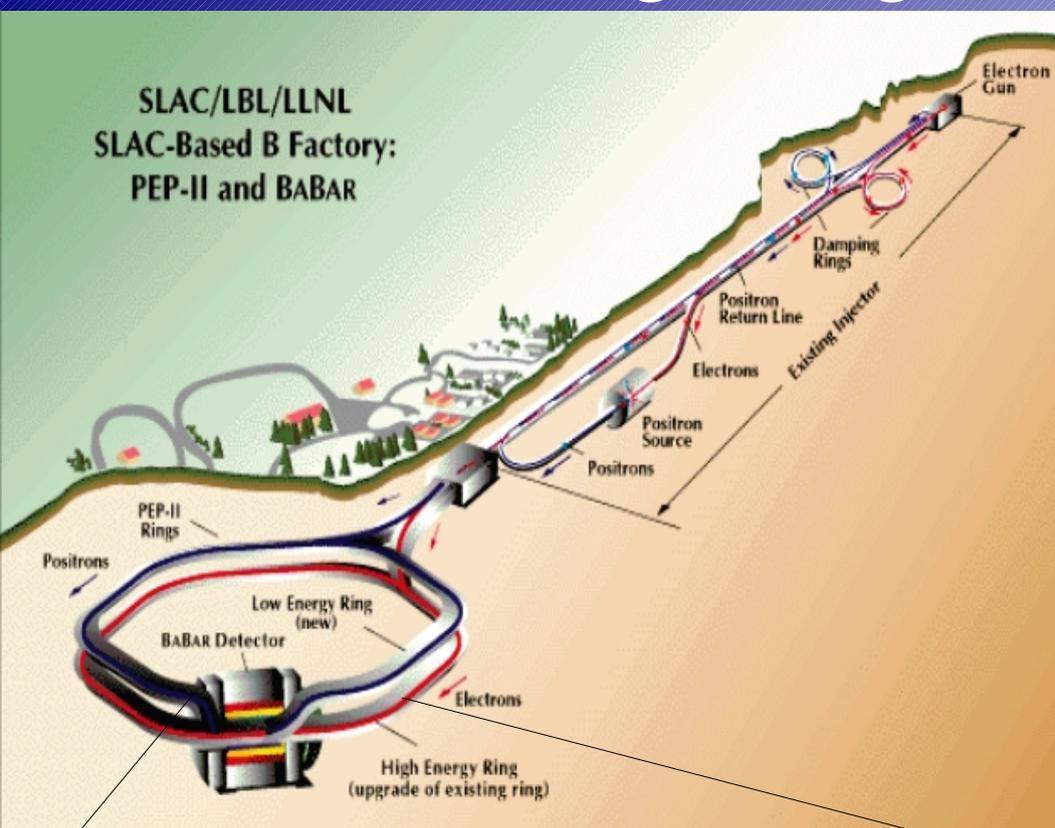
**I.N.F.N. Padova**



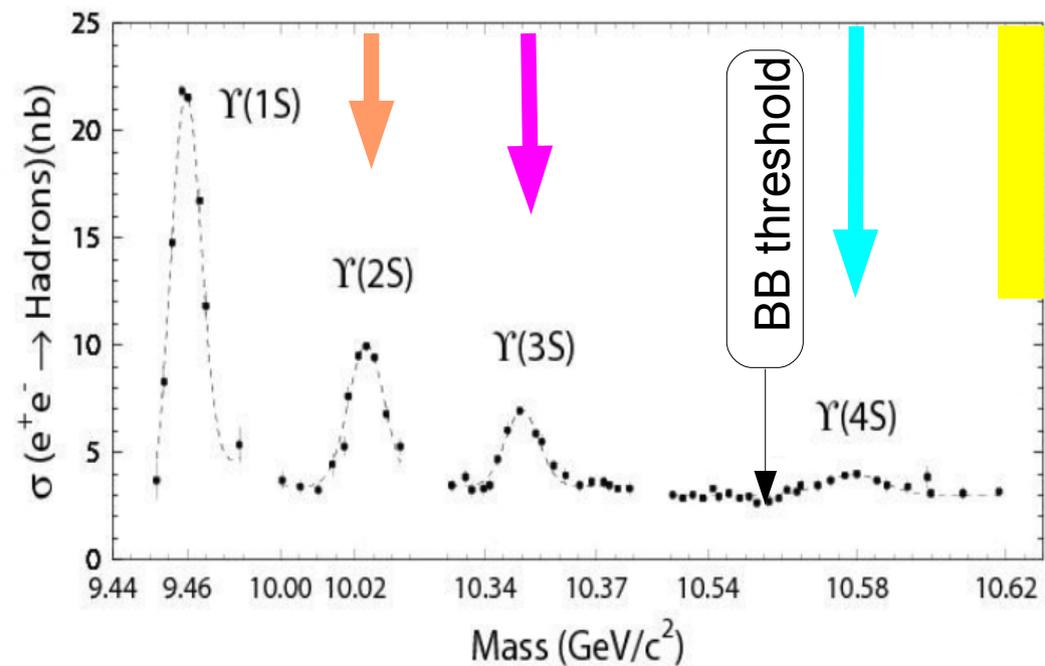
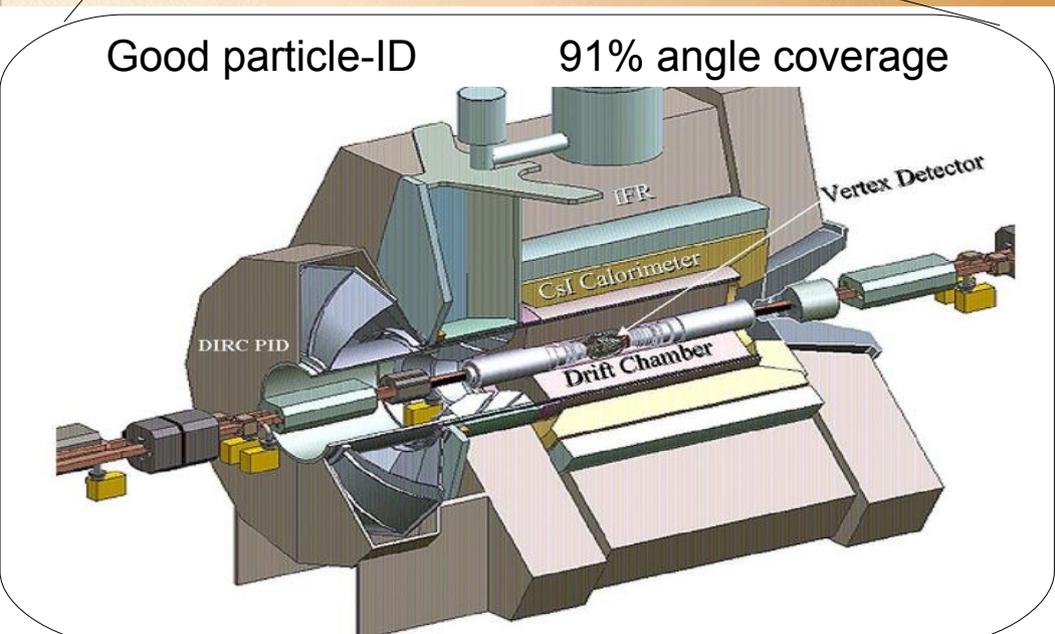
# Outline

- BaBar dataset
- Quark Mixing Matrix and Unitarity Triangle
- Semileptonic Decays
  - Recent  $|V_{ub}|$  measurement
  - Results on  $B \rightarrow D^{(*)} \tau \nu_{\tau}$
- Results on leptonic  $B \rightarrow \tau \nu_{\tau}$  decay
- Implications for the widely discussed 2HDM
- Summary

# PEP-II storage rings and BaBar experiment



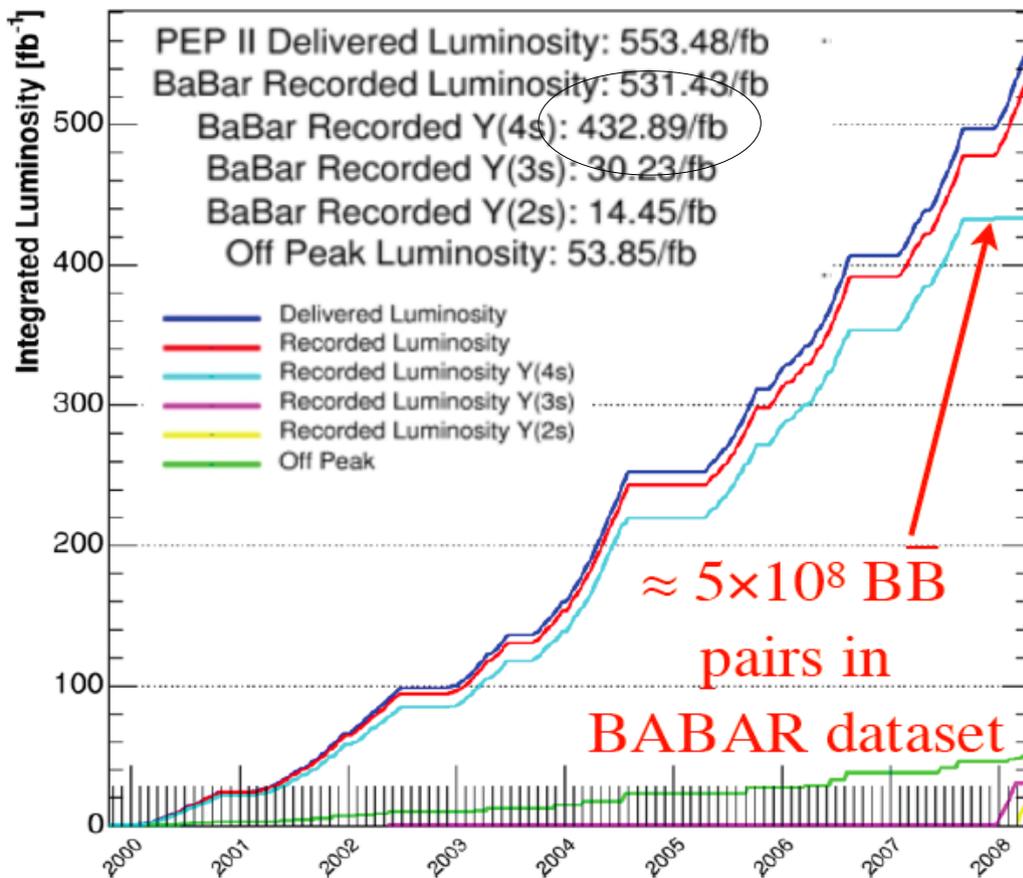
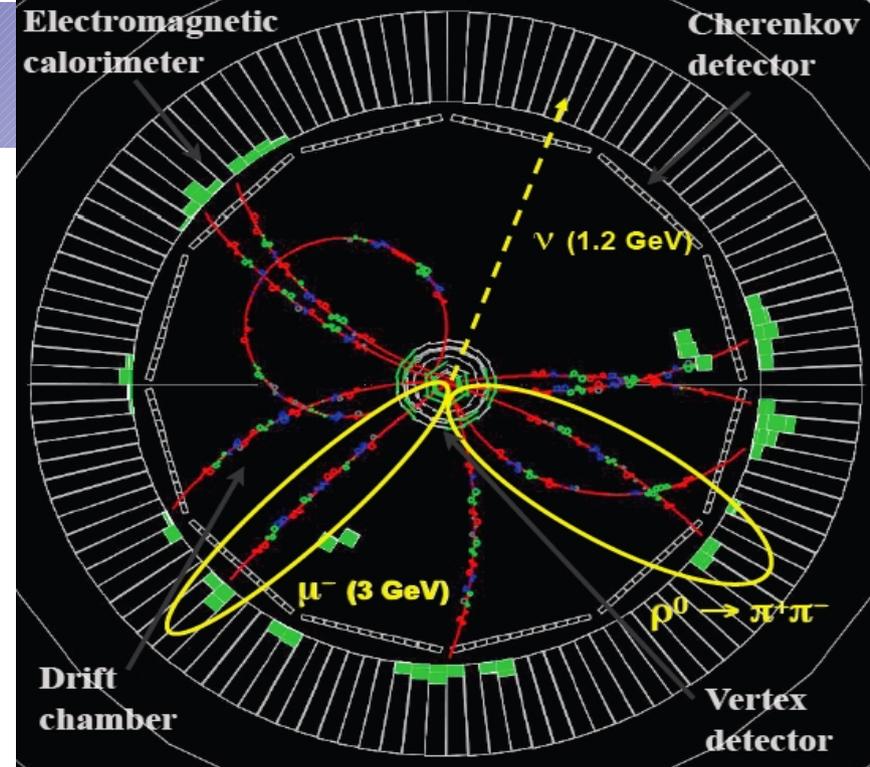
- Operation 1999-2008
- Linear accelerator injects in PEP-II
  - Asymmetric beams
    - 9.0 GeV electrons
    - 3.1 GeV positrons
  - CM energy of the  $\Upsilon(4S)$  = 10.58 GeV most of the time
    - Collected data at the  $\Upsilon(2S-3S)$  and above the  $\Upsilon(5S)$



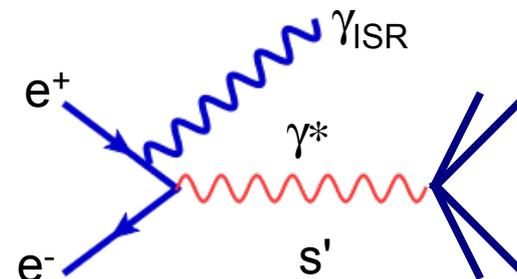
# May 1999 · Apr 2008

- At  $\Upsilon(4S)$  center of mass energy, large production of B meson from  $\Upsilon$  decays

- $\sigma_{10.58\text{GeV}}(e^+e^- \rightarrow bb) = 1.06\text{nb}$  
- LHC:  $\sigma_{7\text{TeV}}(pp \rightarrow bb) \sim 200 \cdot 10^3\text{nb}$

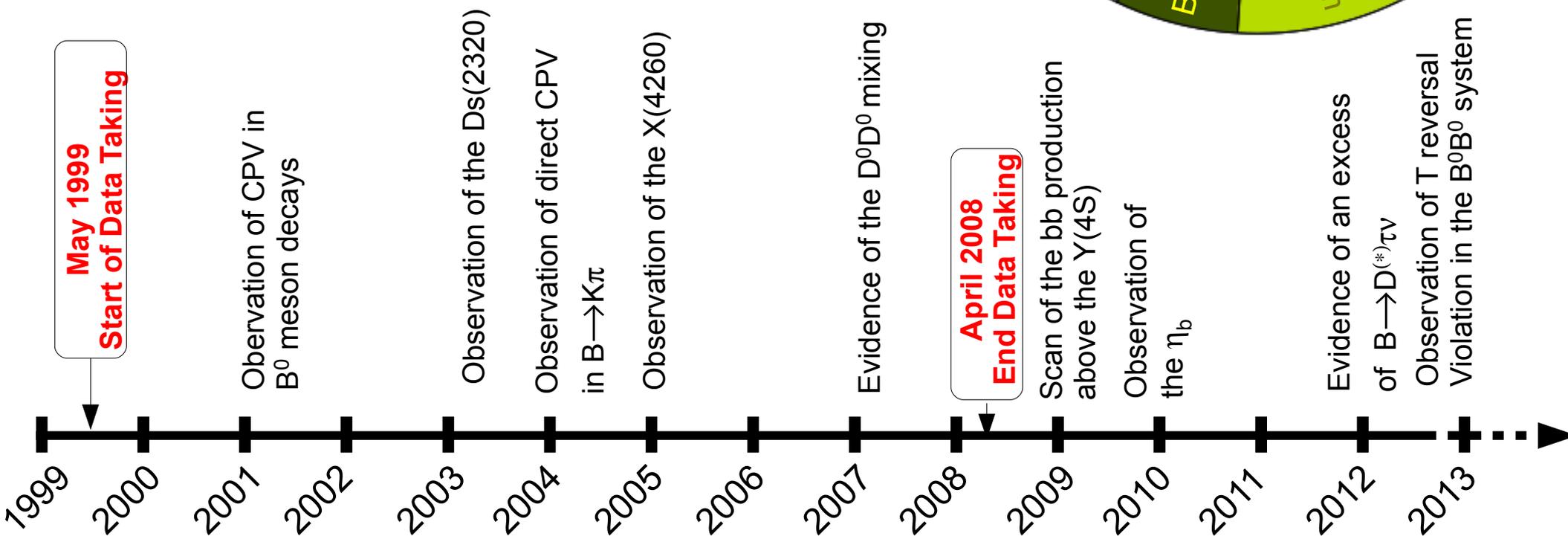
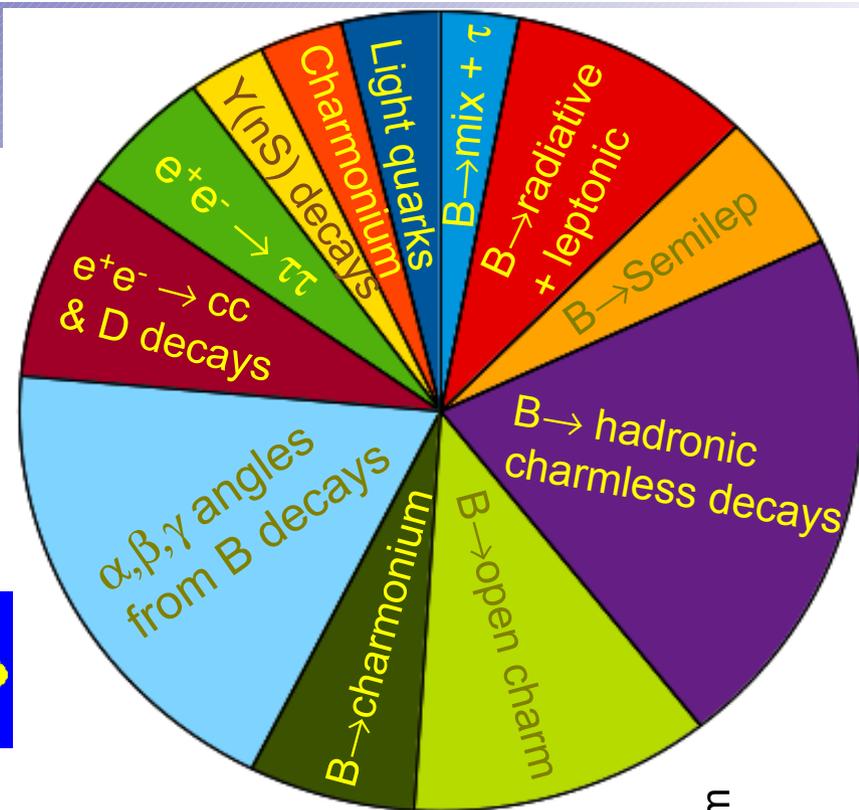


- PEPII/BaBar: Charm / Tau factory!
  - $\sigma(cc) = 1.30\text{nb}$
  - $\sigma(\tau\tau) = 0.91\text{nb}$
- Study light quark and cc production using ISR and  $\gamma\gamma$  events



# Broad physics program

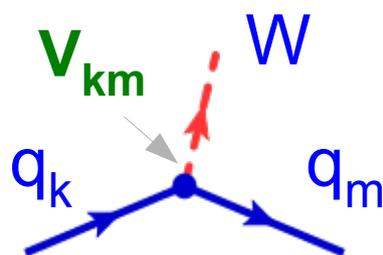
- BaBar still producing a lot of results
  - 500 published papers in total
  - 13 published + 14 submitted since Jan/2012
  - Diverse Physics
  - Strong competition with Belle



# CKM Matrix Introduction

- The Standard Model quark flavor sector requires the knowledge of the quark masses and of the strength of the charge-current gauge interactions (**C**abibbo **K**obayashi **M**askawa matrix)

Weak eigenstates  $V_{CKM}$  Mass eigenstates

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix} = \begin{pmatrix} \text{[blue box]} & \text{[blue box]} & \text{[blue diamond]} \\ \text{[blue box]} & \text{[blue box]} & \text{[blue box]} \\ \text{[blue diamond]} & \text{[blue box]} & \text{[blue box]} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$


- Experimental evidence of a strongly hierarchical structure
- $V_{CKM}^\dagger \cdot V_{CKM} = \mathbf{1} \Rightarrow$  4 independent parameters
  - 3 real + 1 complex phase (CPV)
  - $A, \lambda \sim 0.22, |\rho + i\eta| = O(1)$

The Wolfenstein parameterization

$$V_{CKM} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

# CKM Matrix

- CKM cannot be predicted in the SM
- Most SM extensions contain new CP-violating phases and new quark-flavor changing interactions

$V_{ud}$ $\pi \rightarrow \ell \nu$ $\beta$ -decay	$V_{us}$ $K \rightarrow \pi \ell \nu$ $K \rightarrow \ell \nu$	$V_{ub}$ $b \rightarrow u \ell \nu$ $B \rightarrow \pi \ell \nu$
$V_{cd}$ $D \rightarrow \pi \ell \nu$ $\nu + d \rightarrow c + \ell$	$V_{cs}$ $D \rightarrow K \ell \nu$ $D_s \rightarrow \ell \nu$	$V_{cb}$ $b \rightarrow c \ell \nu$ $B \rightarrow D \ell \nu$
$V_{td}$ $\langle B_d   \bar{B}_d \rangle$ $b \rightarrow d \gamma$	$V_{ts}$ $\langle B_s   \bar{B}_s \rangle$ $b \rightarrow s \gamma$	$V_{tb}$ $t \rightarrow b \ell \nu$

$$\left| \begin{array}{c} \text{Diagram} \end{array} \right|^2 \sim |V_{km}|^2$$

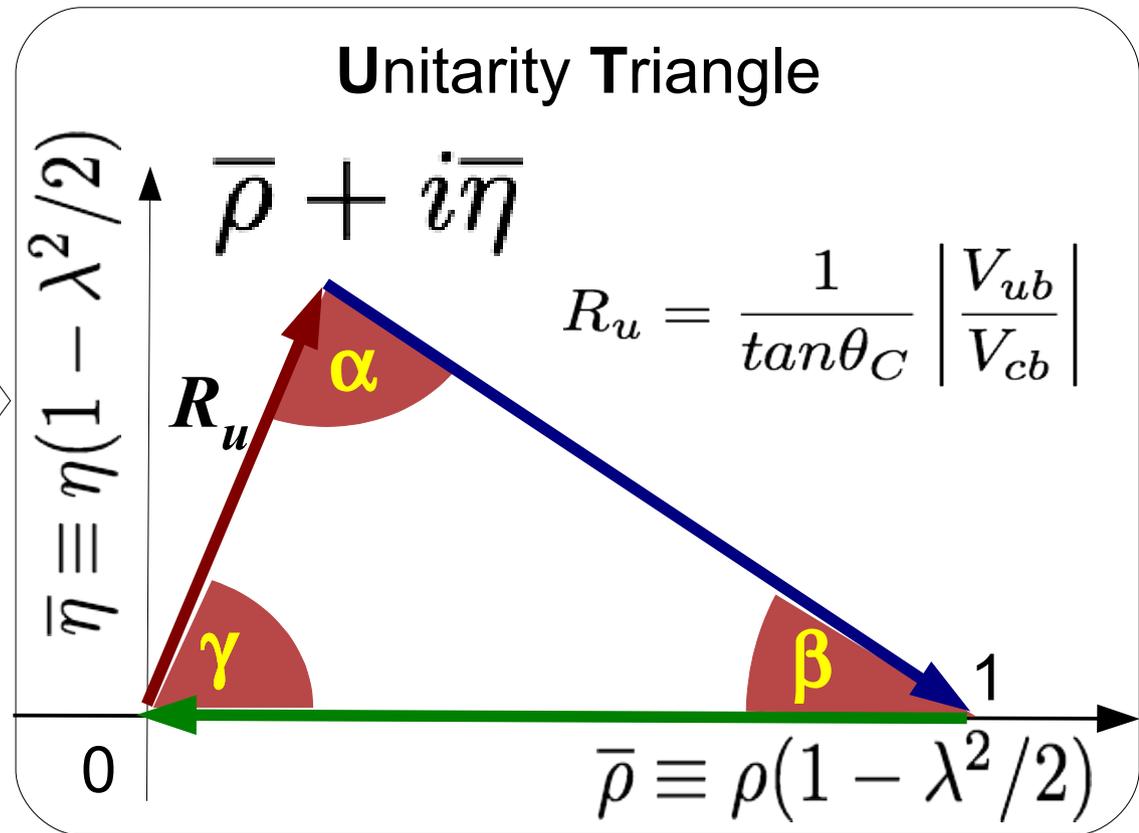
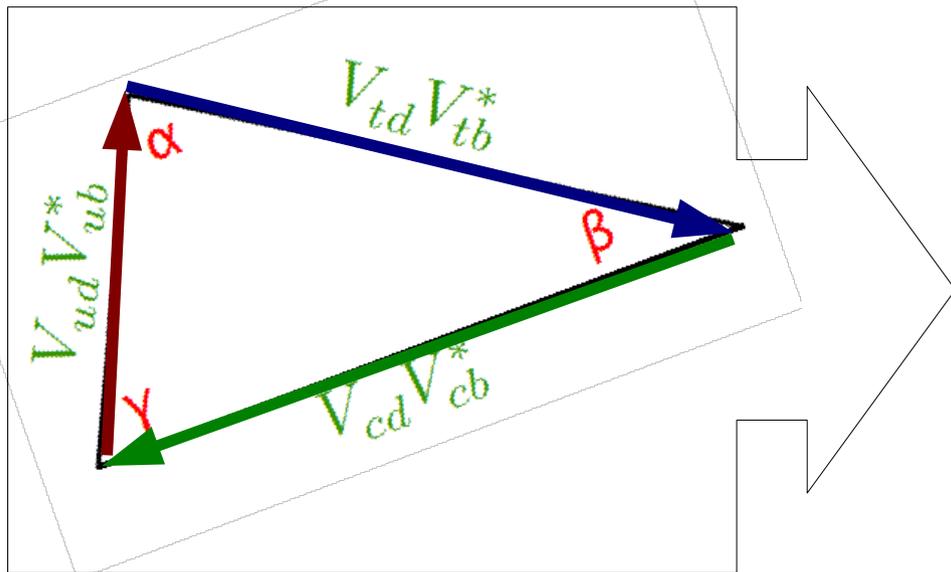
The CKM matrix extracted from tree-level processes

# Unitarity Triangle

$1-\lambda^2/2$	$\lambda$	$A\lambda^3(\rho-i\eta)$
$-\lambda$	$1-\lambda^2/2$	$A\lambda^2$
$A\lambda^3(1-\rho-i\eta)$	$-A\lambda^2$	1

- $V_{CKM}^\dagger \cdot V_{CKM} = \mathbf{1} \Rightarrow 9$  conditions on the CKM parameters (6 triangular relations):
  - 3<sup>rd</sup> x 1<sup>st</sup> is of great phenomenological interest
  - all sizes of the same order in  $\lambda$ : CP violation is "visible"

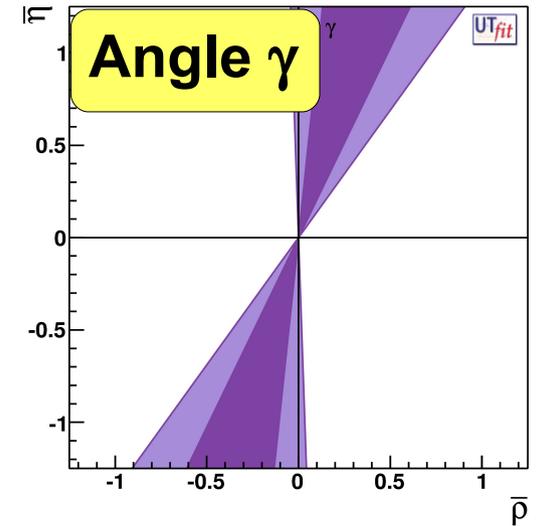
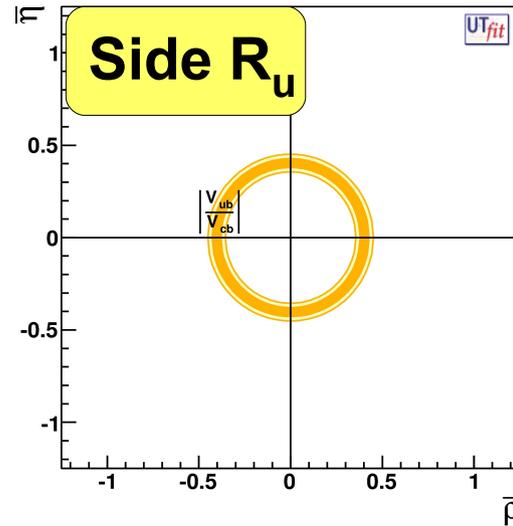
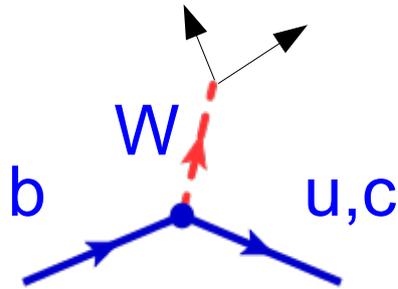
$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$



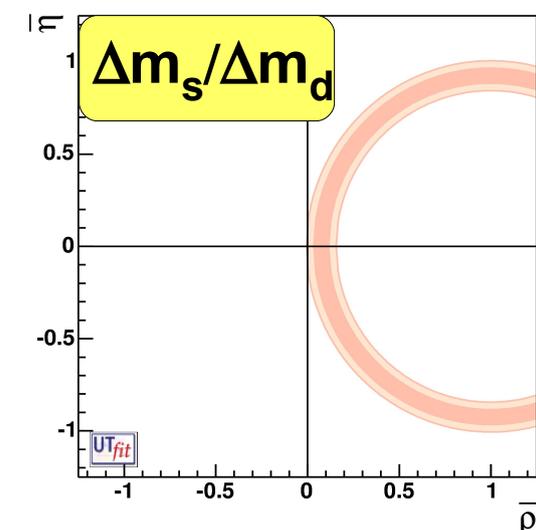
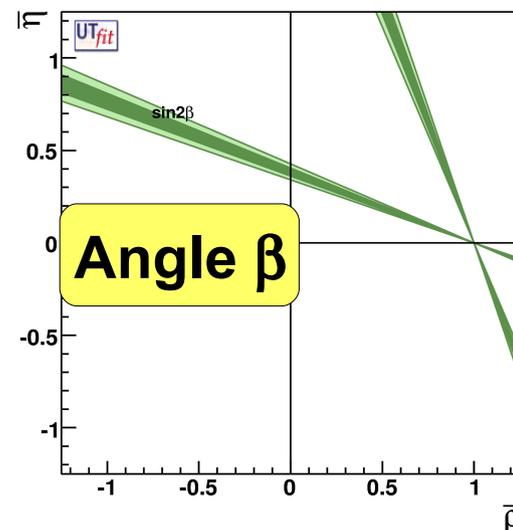
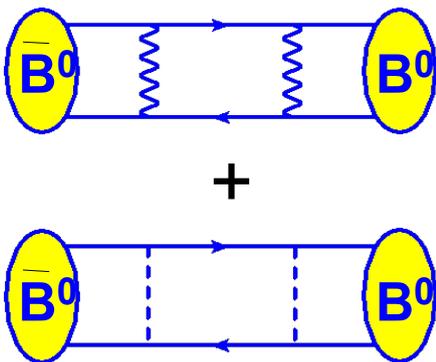
# UT Constraints

From tree-level processes  
("free" of New Physics)

*more will come later*



From loop mediated processes  
particularly sensitive to New Physics



- Redundant constraints on UT and test of CKM unitarity  $\rightarrow$  powerful test of the SM

# UT Status (Summer 2012)

- Redundant and consistent determinations of various CKM elements

- Remarkable success of the CKM picture
- Other observables (dominated by loops) in good agreement with expectation:

- $B \rightarrow X_s \gamma$



- $B \rightarrow K^* \mu \mu$



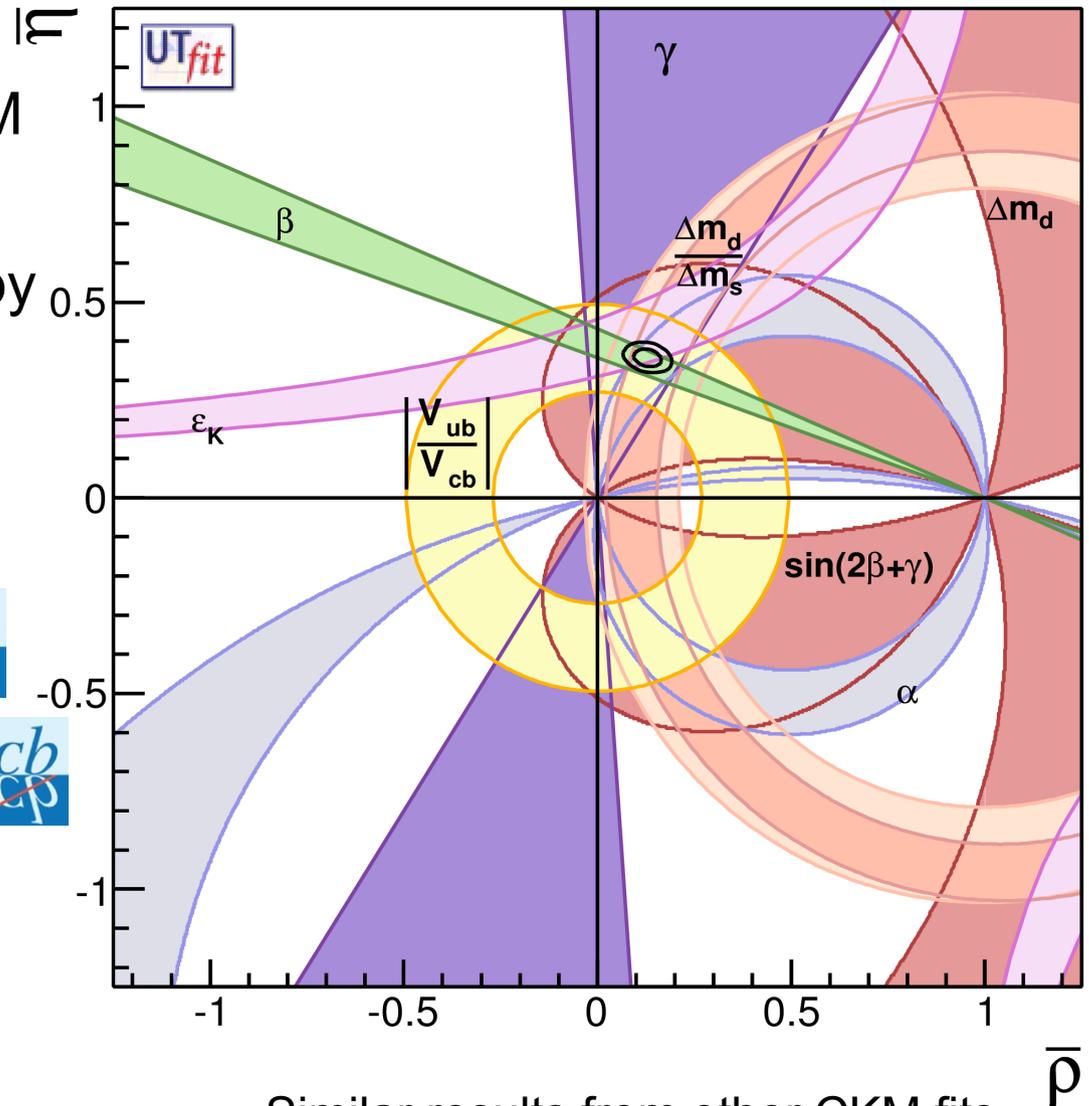
- $B_s$  mixing phases



- $B_s \rightarrow \mu \mu$



- Many others...



Similar results from other CKM fits

# UT Status (Summer 2012)

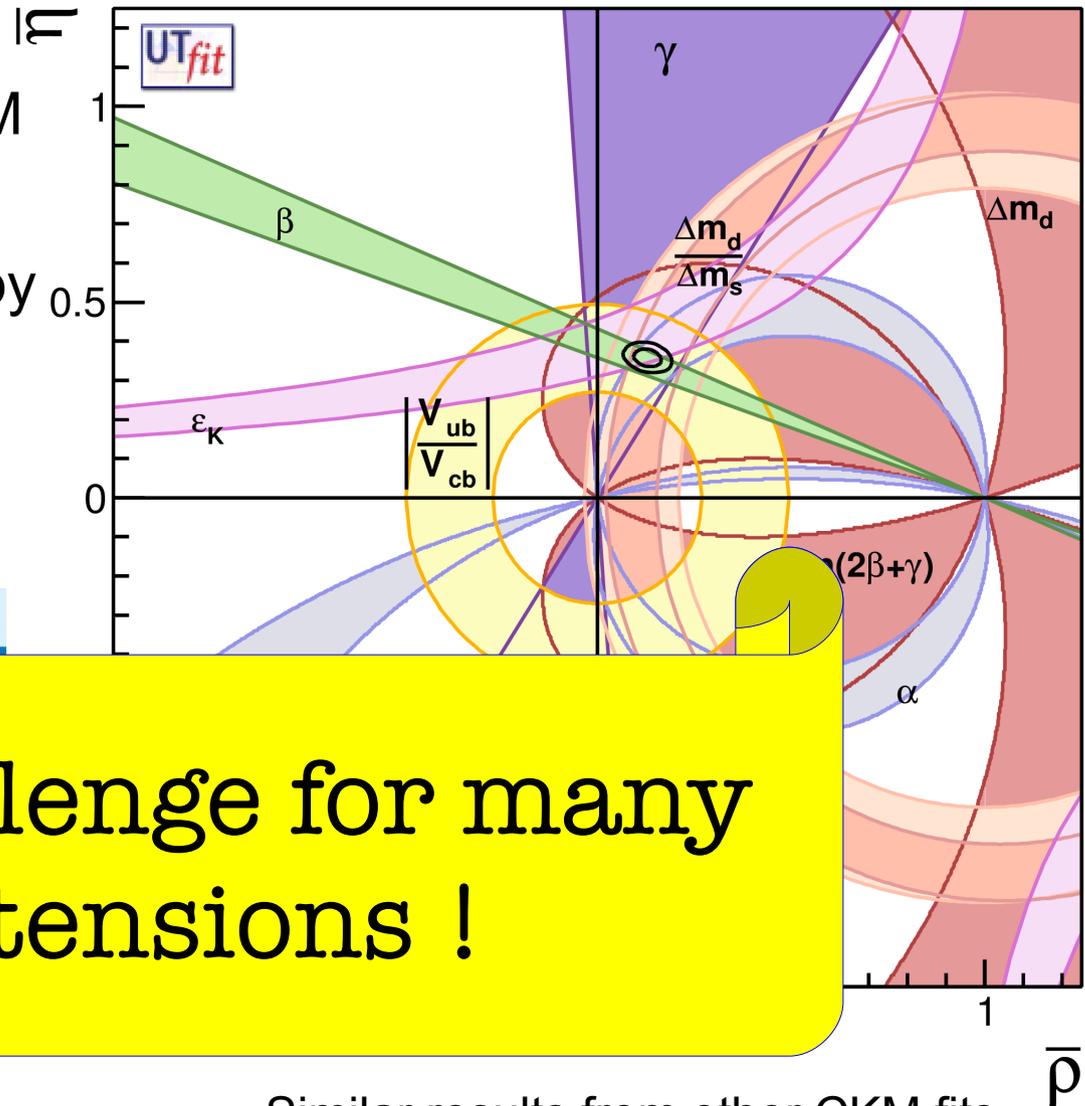
- Redundant and consistent determinations of various CKM elements

- Remarkable success of the CKM picture
- Other observables (dominated by loops) in good agreement with expectation:

- $B \rightarrow X_s \gamma$



- $B \rightarrow K^* \mu \mu$



A great challenge for many SM extensions !

Similar results from other CKM fits

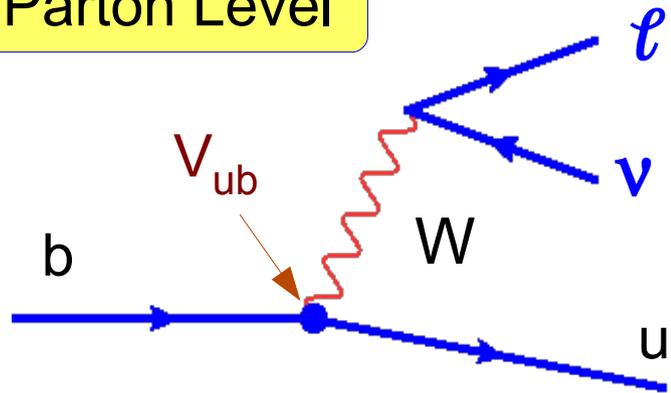
# $IV_{ubl}$

**Phys. Rev. D 86, 092004 (2012)**

**Phys.Rev.Lett. 109 101802 (2012)**

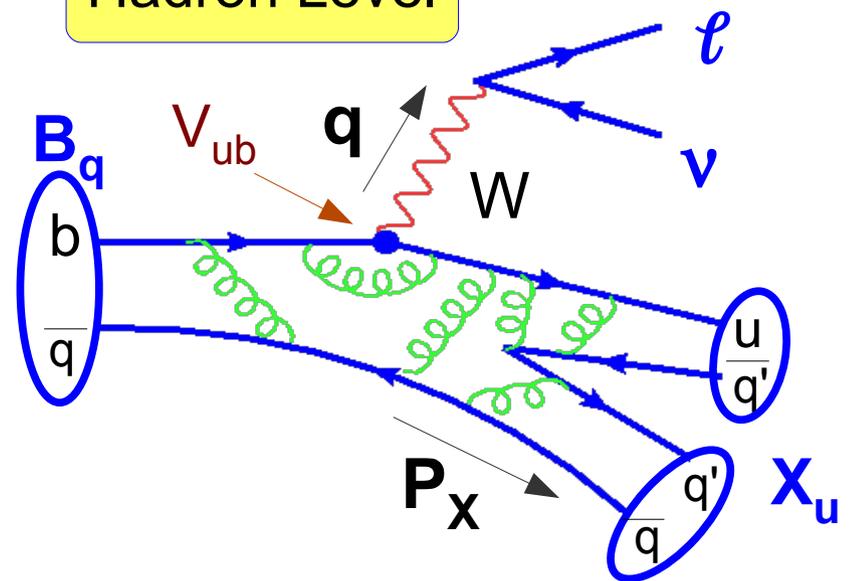
# Semileptonic Decays

Parton Level



Understand The QCD

Hadron Level



• Inclusive decays  $B \rightarrow X_u \ell \nu$ :

- QCD corrections to parton level decay rate
- Operator Product Expansion predicts the total rate  $\Gamma_u$

$$\Gamma_u = \frac{G_F^2 |V_{ub}|^2 m_b^5}{192\pi^3} \left[ 1 - \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) - \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{m_b^2}\right) + \dots \right]$$

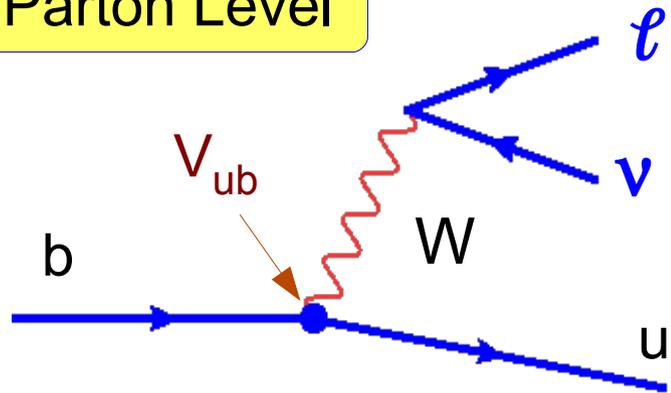
Perturbative terms known to  $\mathcal{O}(\alpha_s^2)$

Non-perturb. terms suppressed by  $1/m_b^2$

Dominant uncertainty from  $m_b^5$

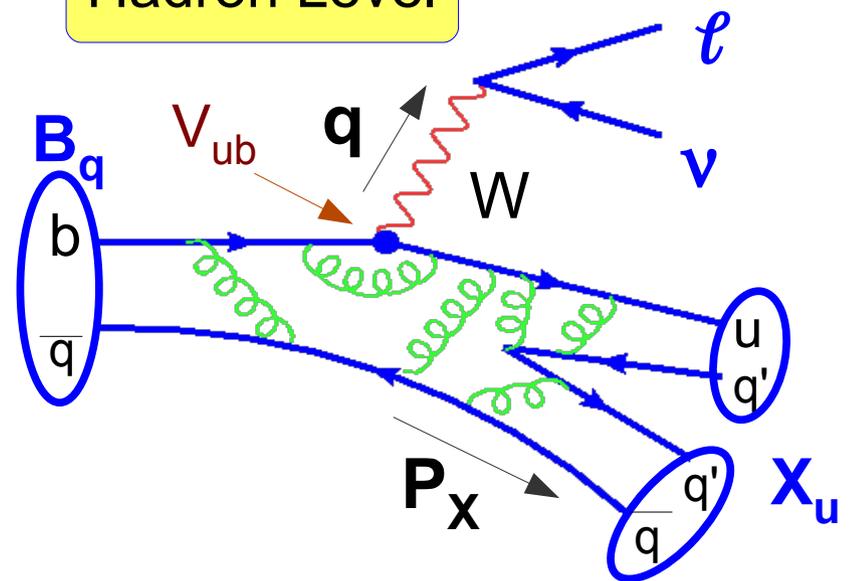
# Semileptonic Decays

Parton Level



Understand The QCD

Hadron Level



• Exclusive decays  $B \rightarrow \pi/\rho \ell \nu$ :

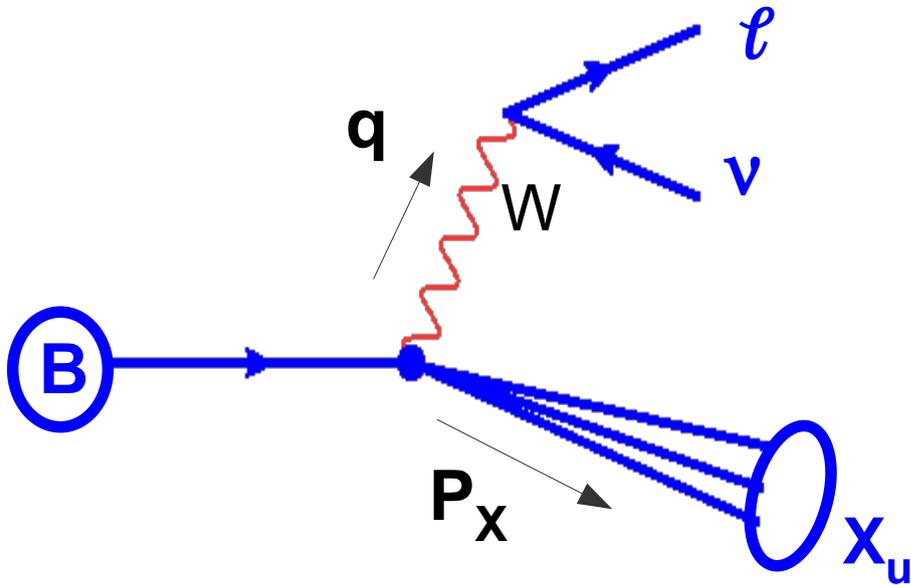
- QCD correction parameterized in the Form Factors
- Lattice-QCD, LCSR

$$\frac{d\Gamma(B^0 \rightarrow \pi^- \ell^+ \nu)}{dq^2} = \frac{G_F^2}{192\pi^3 m_B^3} \left[ (m_B^2 + m_\pi^2 - q^2)^2 - 4m_B^2 m_\pi^2 \right]^{3/2} |V_{ub}|^2 |f_+(q^2)|^2$$

In the  $m_\ell \sim 0$  only  $f_+$  contributes

# $|V_{ub}|$ from inclusive decays

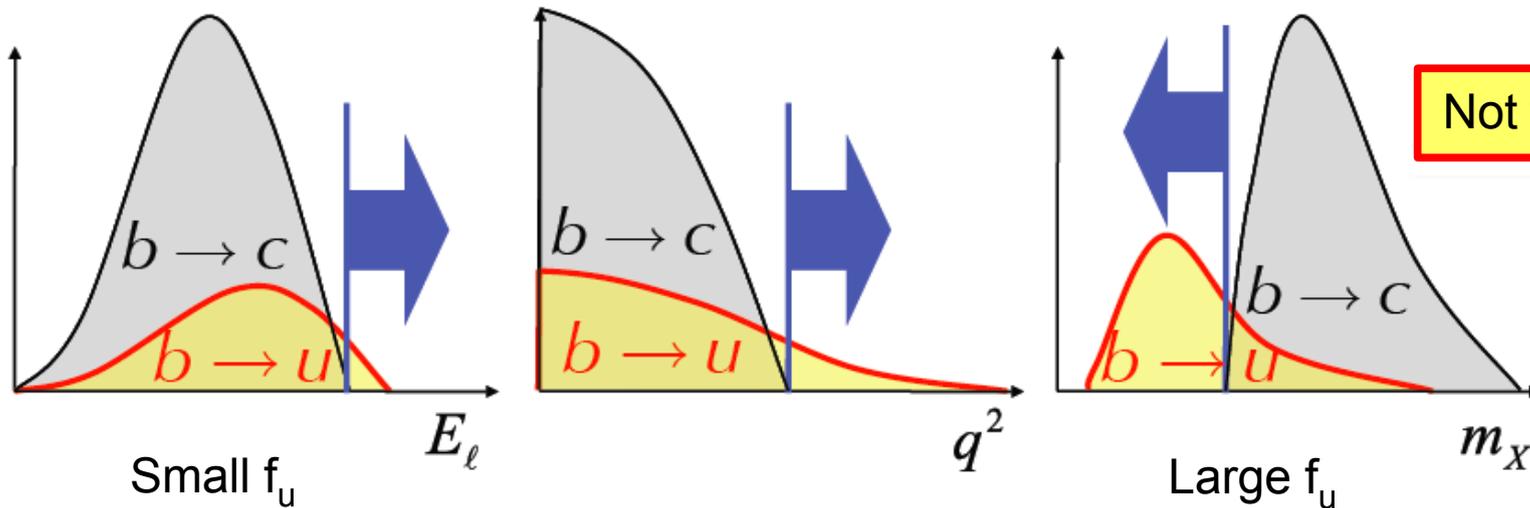
$$\frac{\Gamma(b \rightarrow c\ell\nu)}{\Gamma(b \rightarrow u\ell\nu)} \approx 50$$



- Large background from  $B \rightarrow X_c \ell \nu$
- Kinematics to extract the signal:  $m_u \ll m_c$ 
  - Cut limited region of phase space ( $f_u$ )
  - From partial BF  $\rightarrow |V_{ub}|$

$E_\ell$  = lepton energy  
 $Q^2 = (P_B - P_X)^2 = (P_\ell - P_\nu)^2$   
 $M_X$  =  $X_u$  hadronic mass

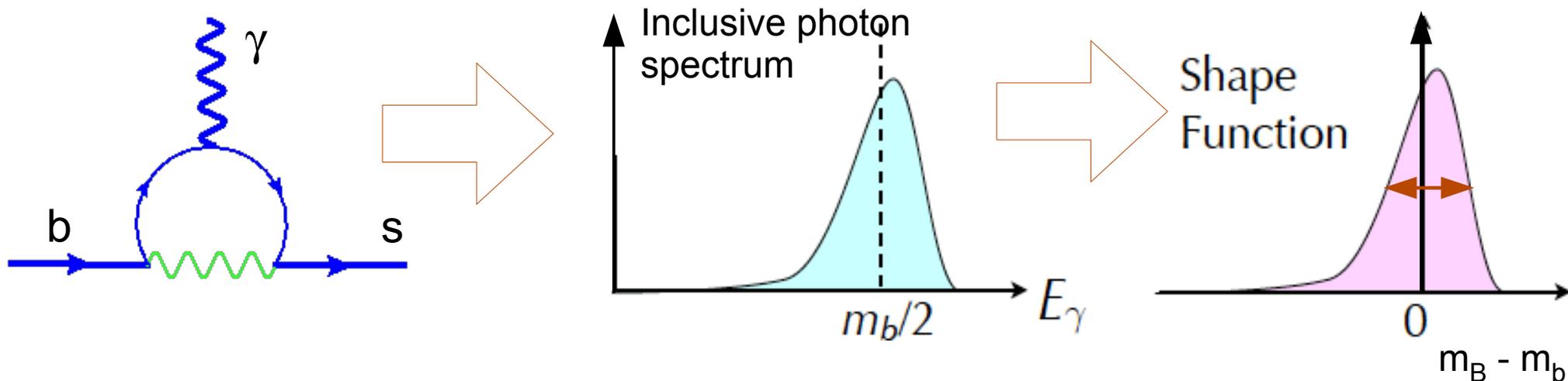
$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(\bar{B} \rightarrow X_u \ell \bar{\nu})}{\tau_B \Delta\Gamma_{\text{theory}}}}$$



Experimental resolution leads to irreducible  $b \rightarrow c \ell \nu$  contamination

# Inclusive decays: Shape Function

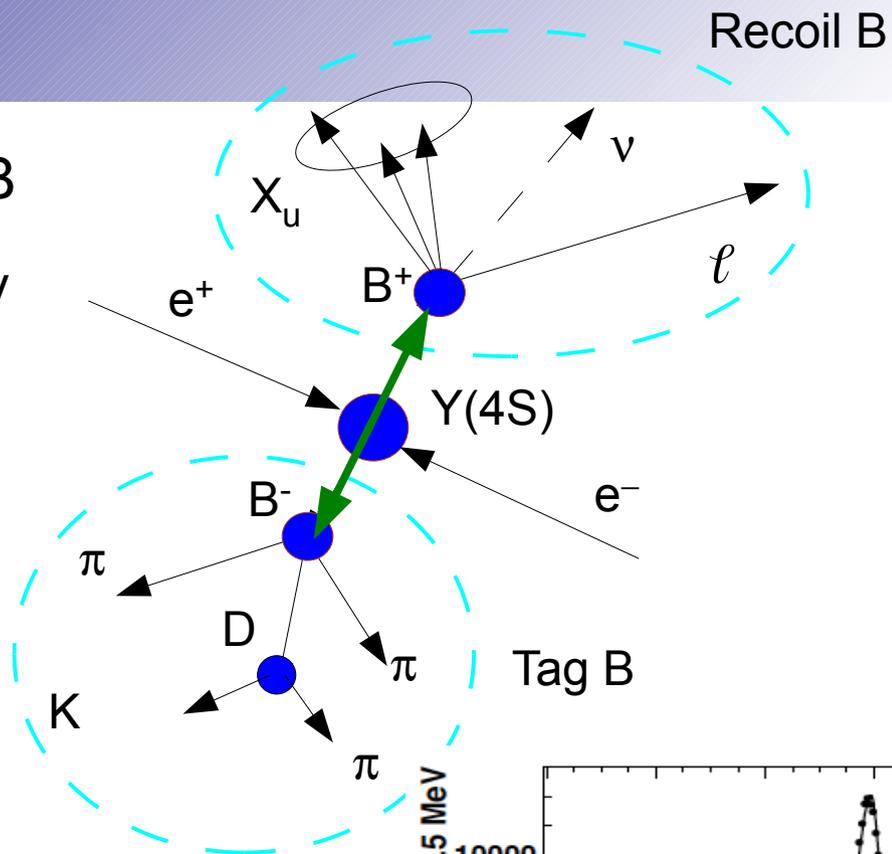
- Cut in limited region of phase space is theoretically challenge:
  - OPE breaks down:  $\Delta\Gamma_u$  depends on  $O(1/m_b)$  non-perturbative effects!
  - Increase dependence on b-quark mass
  - Need the **shape function**:
    - In principle directly from experimental data with  $B \rightarrow X_s \gamma$



In practice: the SF is determined indirectly from fitting many kinematical variables related to  $B \rightarrow X_s \gamma$  and  $B \rightarrow X_c \ell \nu$  or only to  $B \rightarrow X_c \ell \nu$  with  $m_c$  constrained

# Events Reconstruction

- Two B in the Y decay:  $e^+e^- \rightarrow Y(4S) \rightarrow BB$ 
  - Fully reconstruct one B in hadronic decay modes (with a D or a  $D^*$ )
  - The rest comes from the other B ( $B^{\text{recoil}}$ )
- Tag efficiency  $\sim 0.2\text{-}0.4\%$
- Recoil momentum is known from  $P_{\text{tag}}$

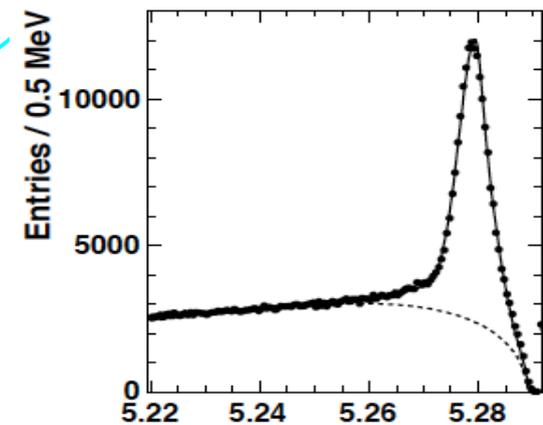


$$\vec{p}_{B^{\text{recoil}}} = -\vec{p}_{B^{\text{tag}}}$$

$$p_\nu = p_{B^{\text{recoil}}} - p_X - p_\ell \quad (p_\nu^2 = m_{\text{miss}}^2)$$

$$p_X = \sum_i p_i^{\text{track}} + \sum_i p_i^{\text{clust.}}$$

$$q^2 = (p_{B^{\text{recoil}}} - p_X)^2$$



Recoil kinematics boosted  
in the  $B^{\text{recoil}}$

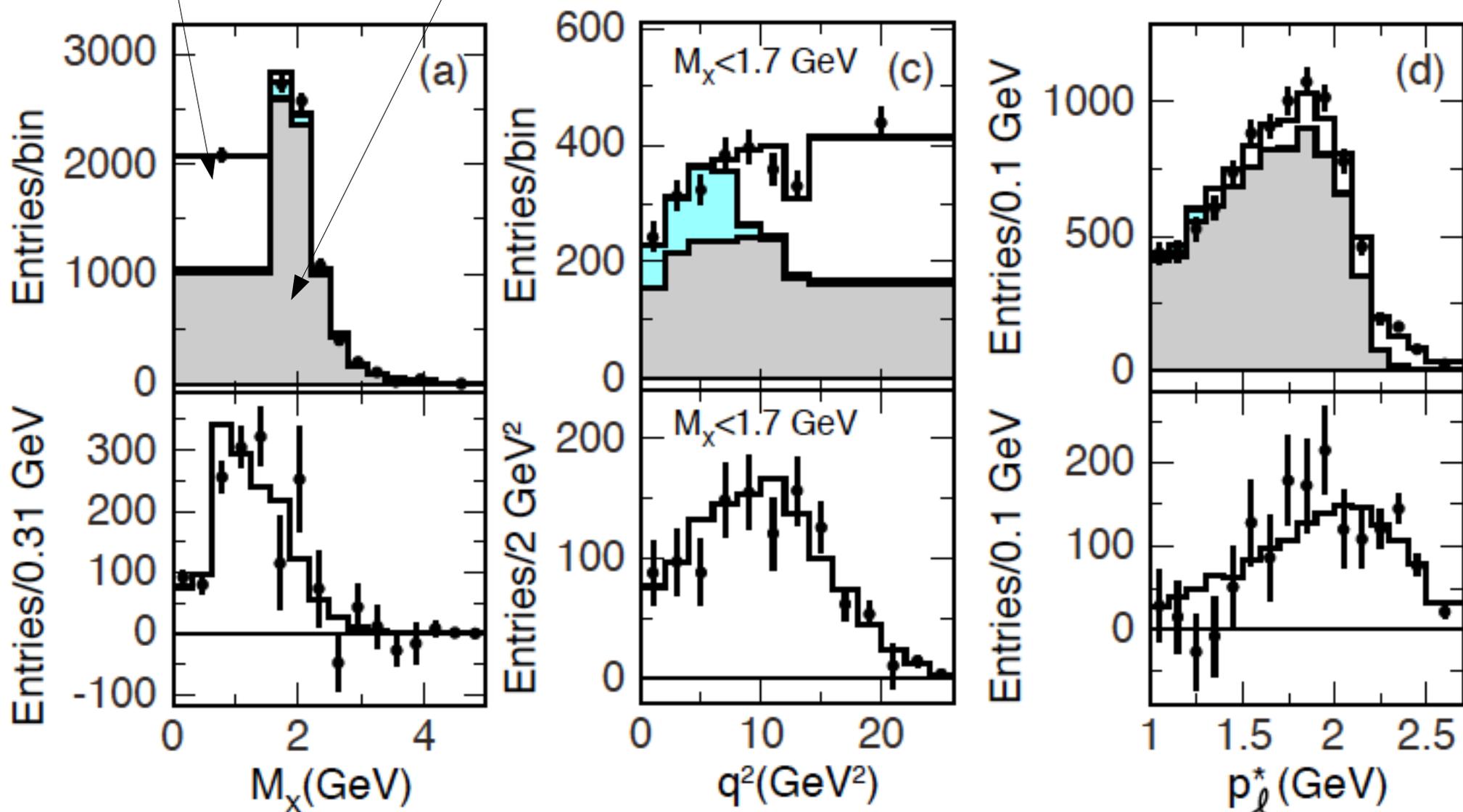
$\Delta B$  in the B rest frame

# Fit results in limited regions of phase space

$B \rightarrow X_u \ell \nu$

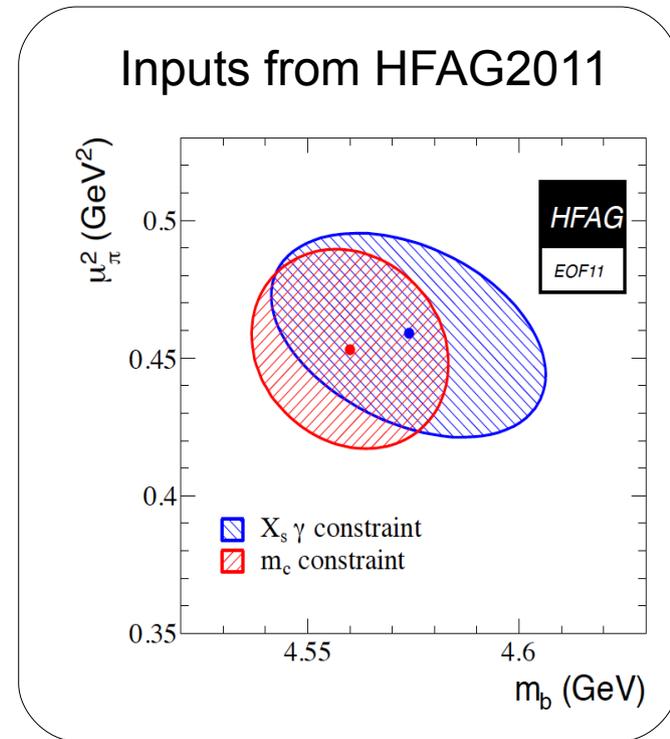
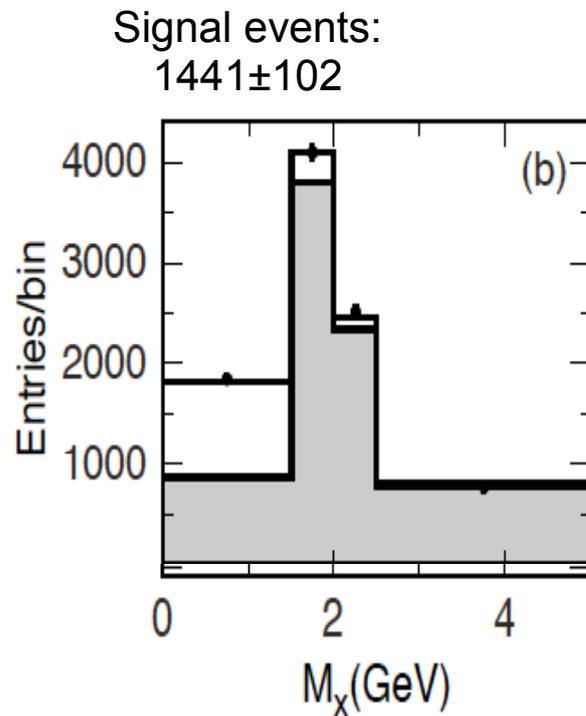
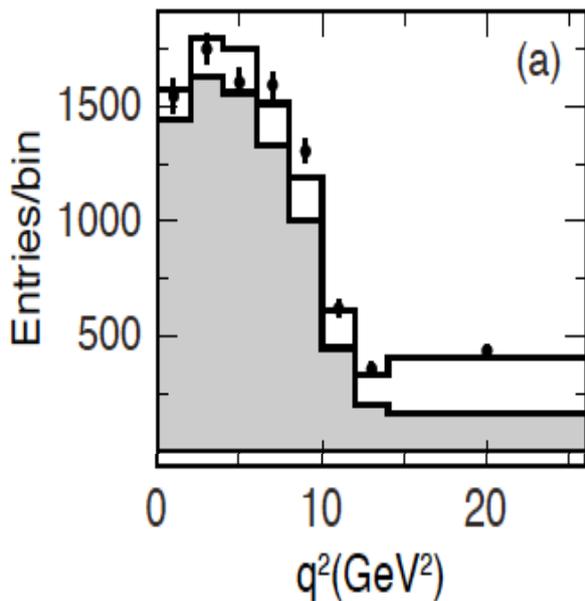
$B \rightarrow X_c \ell \nu +$   
cascades + fake  $\ell$

$$\frac{\Delta B(X_u \ell \nu)}{B(X \ell \nu)} = \frac{N_{b \rightarrow u}}{N_{X \ell \nu}} \cdot \frac{F}{\epsilon_{sel}}$$



# Best Measurements ( $\sigma_{\text{exp}} \oplus \sigma_{\text{theory}}$ )

- Fit the  $(M_X, q^2)$  distribution in the region defined by  $p_{\text{lepton}} > 1 \text{ GeV}$ :  
89% of Phase Space



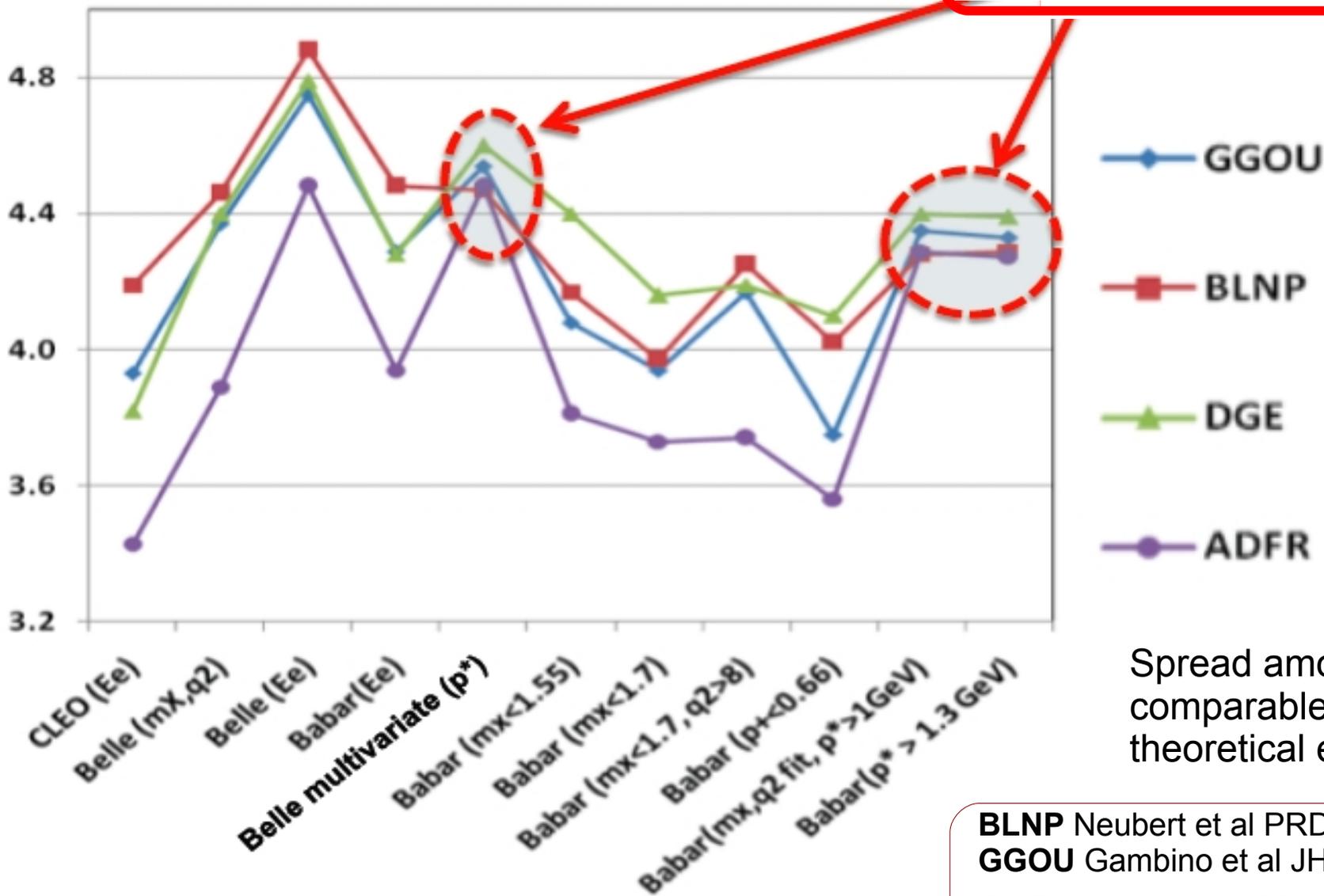
Measurement	BLNP $ V_{ub} $ [ $10^{-3}$ ]	GGOU $ V_{ub} $ [ $10^{-3}$ ]	DGE $ V_{ub} $ [ $10^{-3}$ ]
$(m_X, q^2); p_\ell^{B^*} > 1.0 \text{ GeV}$	$4.28 \pm 0.23^{+0.18}_{-0.20}$	$4.35 \pm 0.24^{+0.09}_{-0.10}$	$4.40 \pm 0.24^{+0.12}_{-0.13}$
$p_\ell^{B^*} > 1.0 \text{ GeV}$	$4.30 \pm 0.28^{+0.18}_{-0.20}$	$4.36 \pm 0.30^{+0.09}_{-0.10}$	$4.42 \pm 0.30^{+0.13}_{-0.13}$
<i>Belle</i> [ <i>Phys.Rev.Lett.</i> 104:021801]	$4.47 \pm 0.27^{+0.19}_{-0.21}$	$4.54 \pm 0.27^{+0.10}_{-0.11}$	$4.60 \pm 0.27^{+0.11}_{-0.13}$

Largest systematics: signal model

# Comparison of the available calculations

C. Bozzi @ HQL12

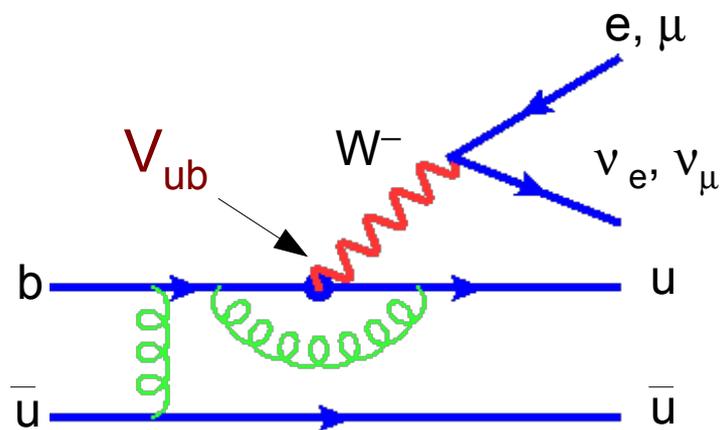
Most inclusive measurements



Spread among calculations comparable with quoted theoretical error

**BLNP** Neubert et al PRD72, 073006 (2005)  
**GGOU** Gambino et al JHEP0710, 058 (2007)  
**DGE** Gardi et al JHEP0601, 097 (2006)  
**ADFR** Aglietti et al EPJC 59, 831 (2009)

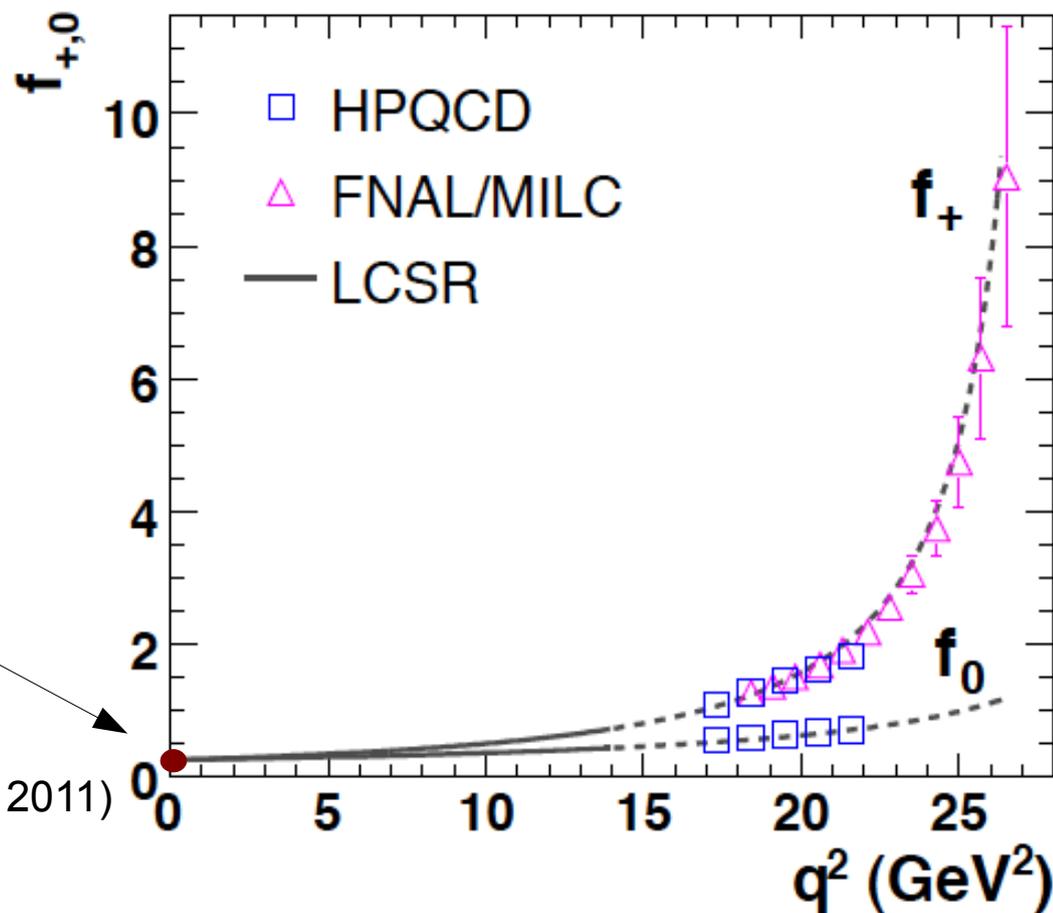
# Exclusive $B \rightarrow \pi/\rho \ell \nu$



$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} p_\pi^3 |f_+(q^2)|^2$$

(the  $\rho$  and other mesons are difficult on lattice because these are unstable and have a large  $\Gamma/m$ )

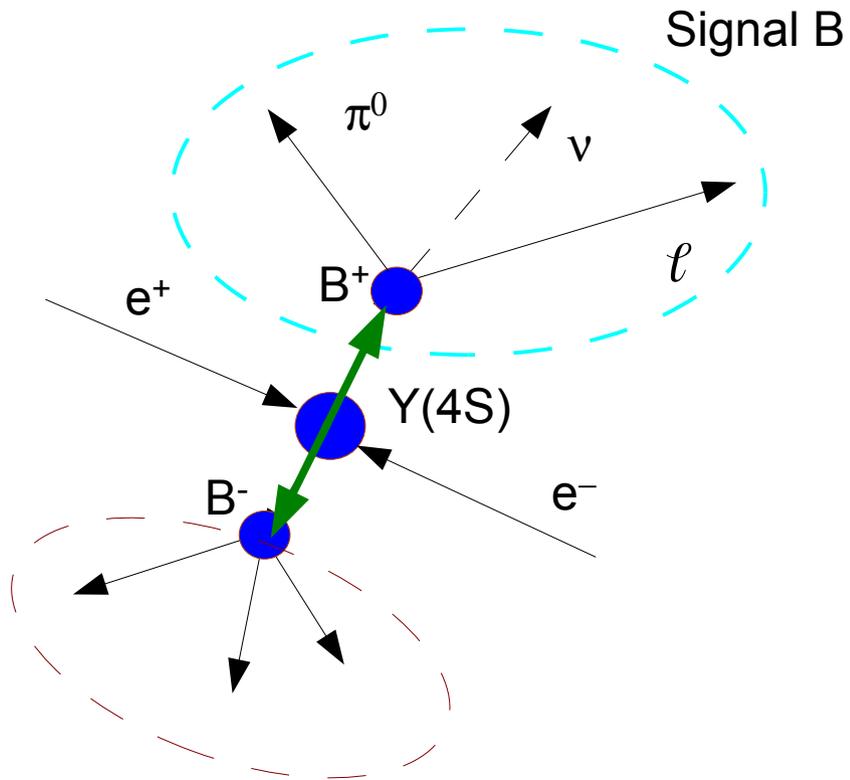
- Exclusive  $|V_{ub}|$  from  $\mathbf{B} \rightarrow \pi \ell \nu$ 
  - One FF (for massless leptons)
- L-QCD (HPQCD, FNAL)
  - Unquenched calculations
- Light Cone Sum Rules
  - Reliable at low  $q^2$



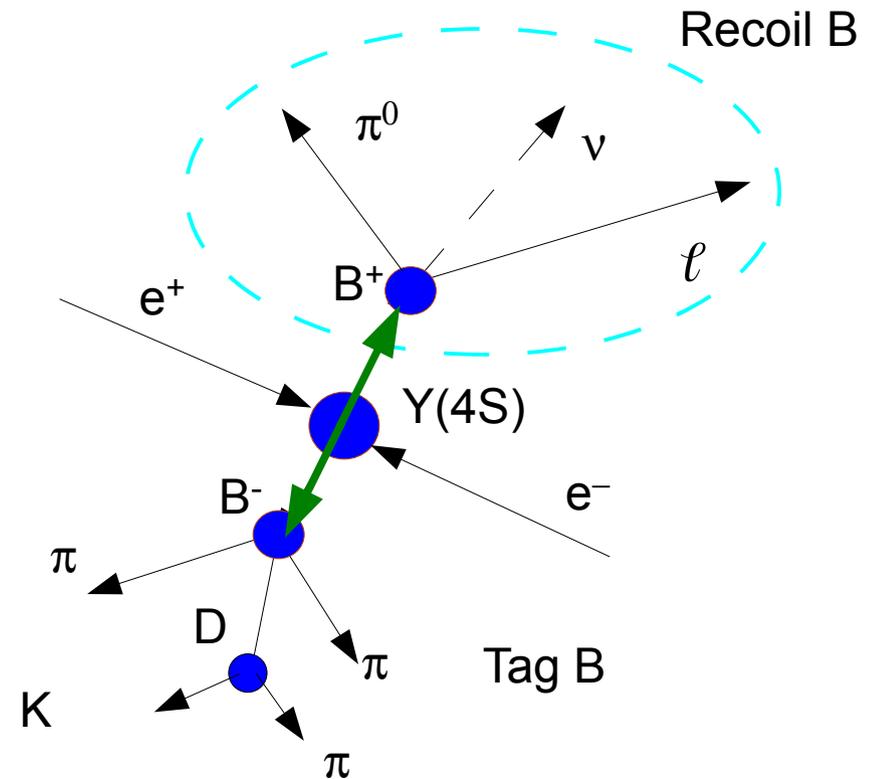
$f_{+,0}(0) = 0.28 \pm 0.03$   
(Khodjamirian et al (KMOW) 2011)

# Techniques employed at the BFactories

Untagged



Tagged



Purity

$$\int \mathcal{L} dt \approx 100 fb^{-1}$$

$$\int \mathcal{L} dt \approx 1 ab^{-1}$$

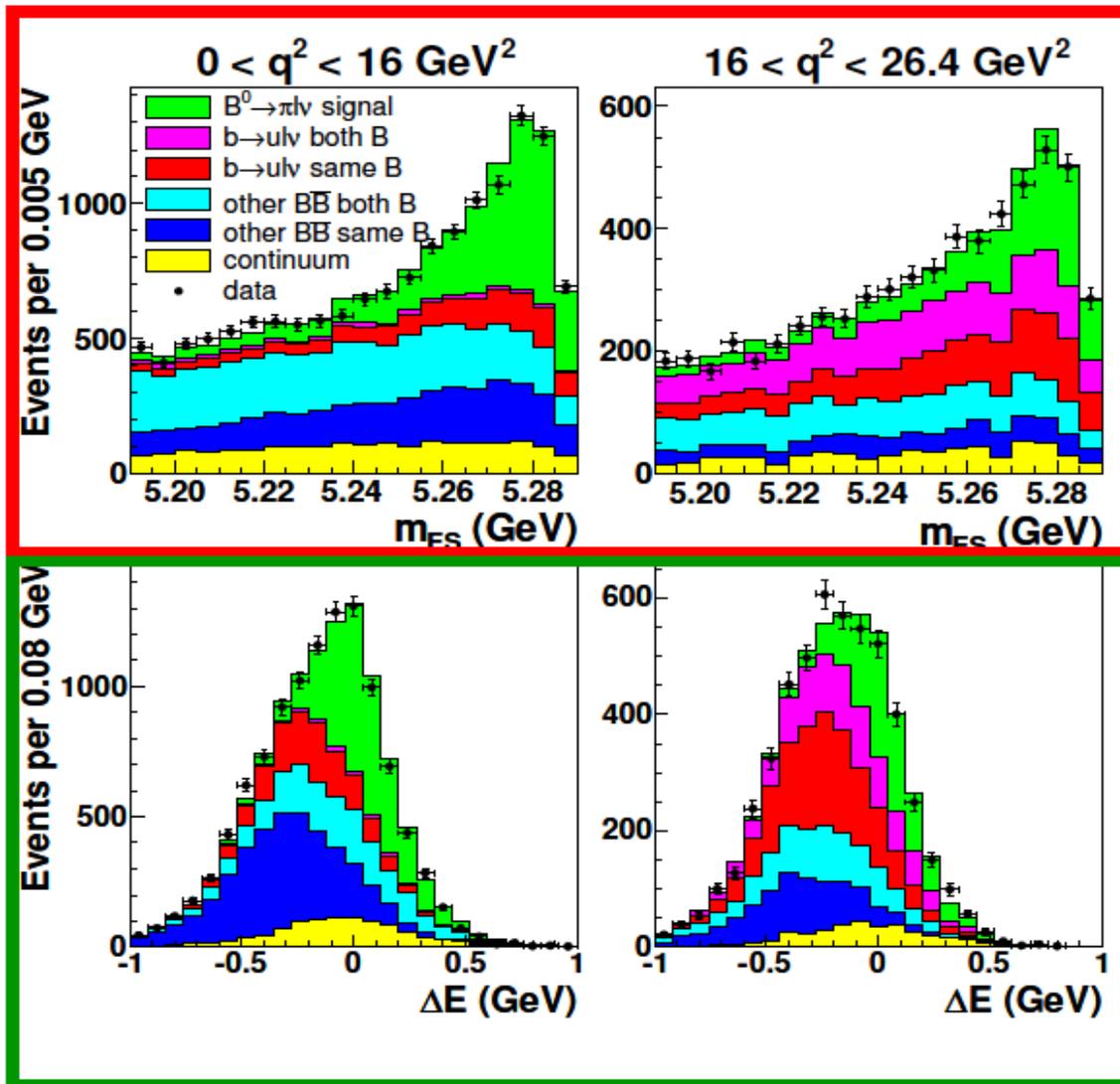
Efficiency

# Exclusive $B \rightarrow \pi \ell \nu$

- Identity only  $\pi^+ e/\mu$
- Neutrino from the rest of the event
  - (B momentum magnitude is known)

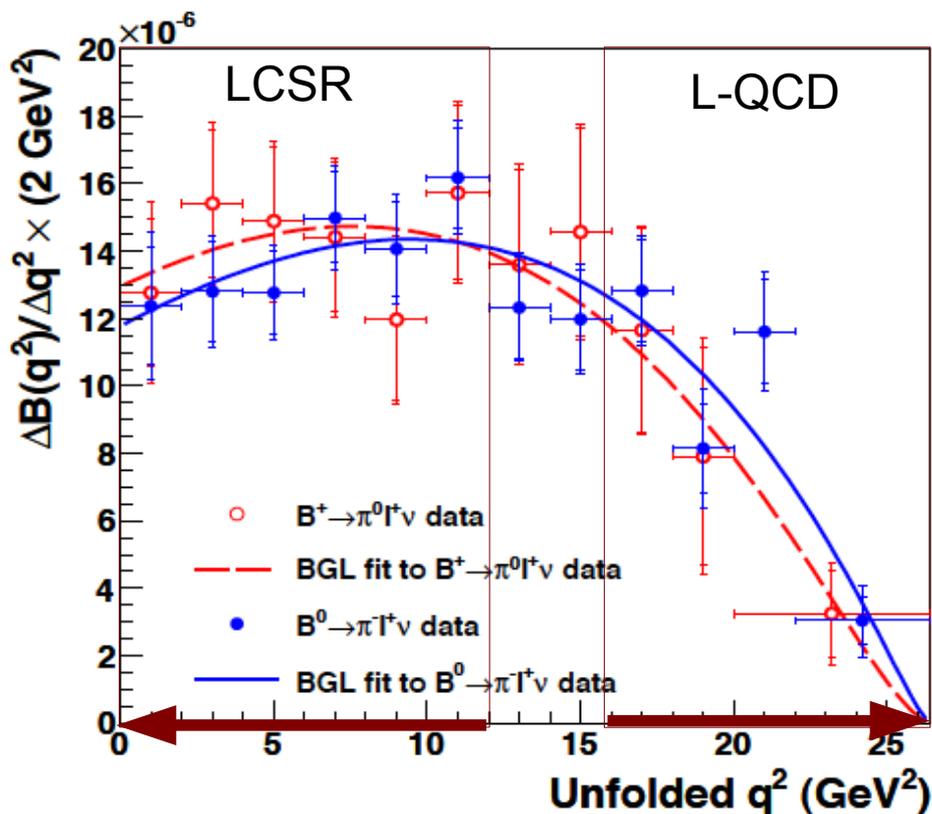
$$m_{ES} = \sqrt{E_{beam}^{*2} - \mathbf{p}_{\pi\ell\nu}^{*2}}$$

$$\Delta E = E_{\pi\ell\nu}^* - E_{beam}^*$$

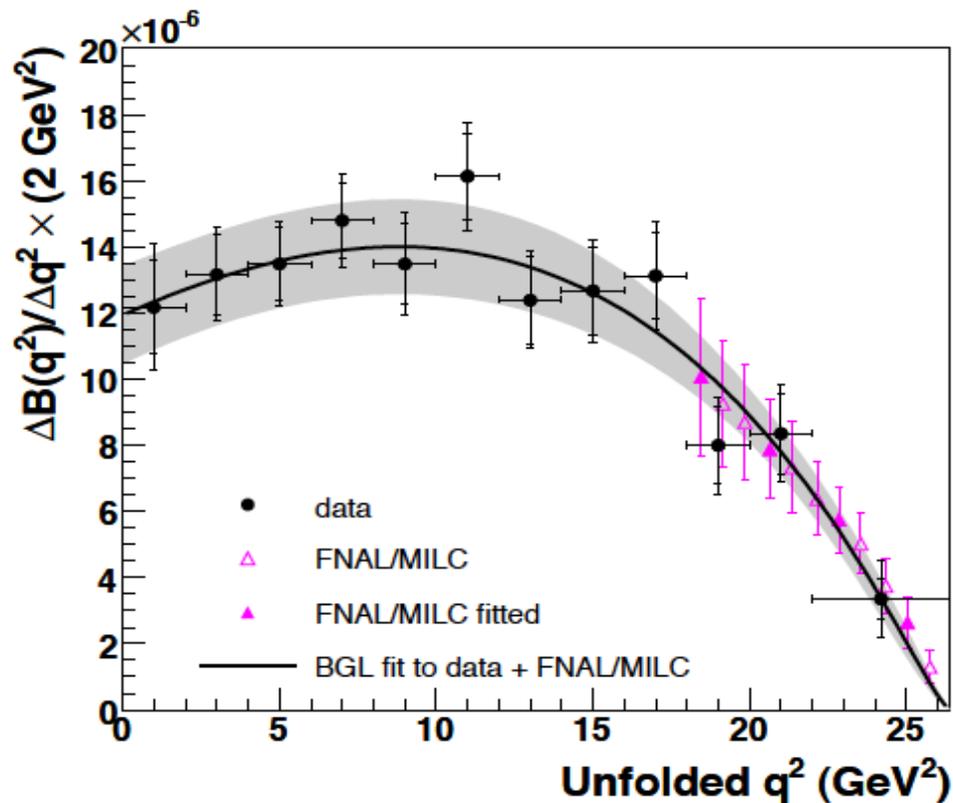


- 12K signal  $B \rightarrow (\pi^+ + \pi^0) \ell \nu$ 
  - S/N ~ 0.1
- Same technique used also to extract the BF and the FF shape of other resonances
  - $B \rightarrow \eta \ell \nu$
  - $B \rightarrow \eta' \ell \nu$
  - $B \rightarrow \omega \ell \nu$

# $|V_{ub}|$ from exclusive decays



Simultaneous fit to data and L-QCD calculations



FF Parameterization: Boyd-Grinstein-Lebed

$$f_+(q^2) = \frac{1}{\mathcal{P}(q^2)\phi(q^2, q_0^2)} \sum_{k=0}^{k_{max}} a_k(q_0^2) [z(q^2, q_0^2)]^k$$

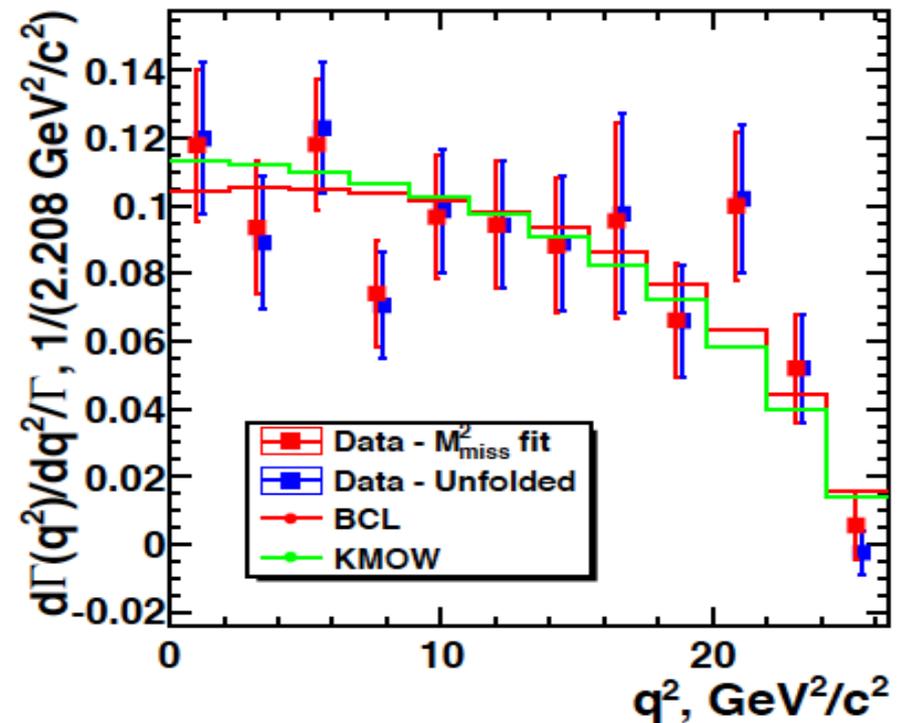
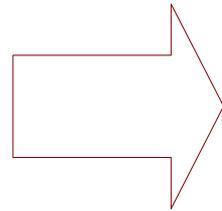
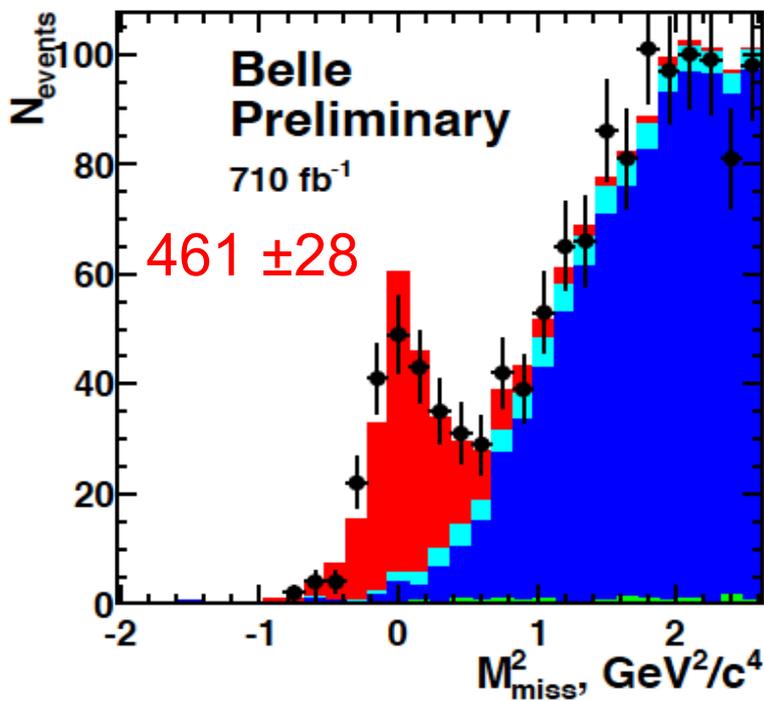
$$z(q^2, q_0^2) = \frac{\sqrt{m_+^2 - q^2} - \sqrt{m_+^2 - q_0^2}}{\sqrt{m_+^2 - q^2} + \sqrt{m_+^2 - q_0^2}}$$

$q^2 / \text{GeV}^2$	$ V_{ub}  \times 10^3$
FNAL > 16	$3.47 \pm 0.13^{+0.60}_{-0.39}$
KMOW < 12	$3.46 \pm 0.10^{+0.37}_{-0.32}$
0	$3.34 \pm 0.11^{+0.29}_{-0.26}$

# New analysis: hadronic tag

- Use the hadronic B tag with  $710 \text{ fb}^{-1}$ 
  - New algorithm: tag selection based on NeuroBayes NN (NIM A654 (2011))

$$B^+ \rightarrow \pi^0 \ell \nu$$

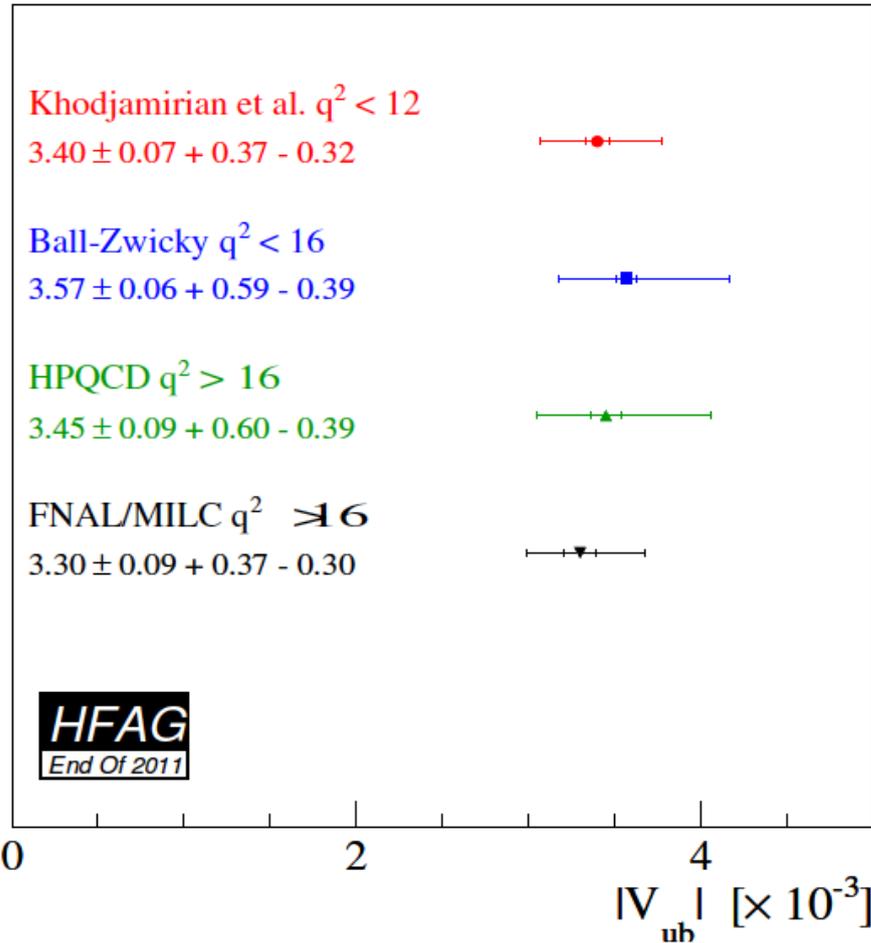


KMOW[1]	<12	$3.38 \pm 0.14 \pm 0.09$	$^{+0.37}_{-0.31}$
Ball/Zwicky[2]	<16	$3.57 \pm 0.13 \pm 0.09$	$^{+0.47}_{-0.47}$
FNAL[3]	>16	$3.69 \pm 0.22 \pm 0.09$	$^{+0.39}_{-0.35}$
HPQCD[4]	>16	$3.86 \pm 0.23 \pm 0.10$	$^{+0.53}_{-0.53}$

@SuperBFactories will become the ultimate technique for  $\text{excl } |V_{ub}|$

# World average

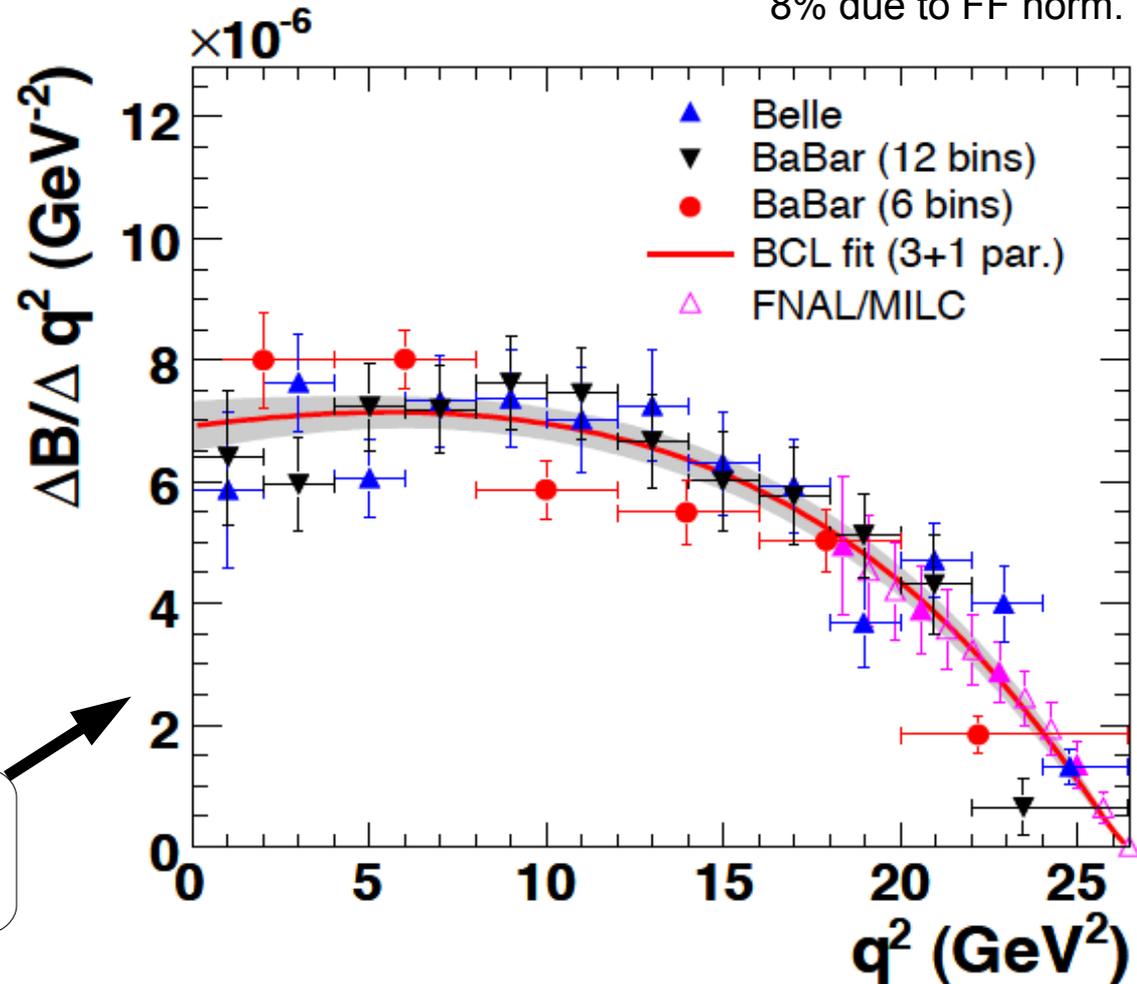
World average



$$|V_{ub}| = (3.23 \pm 0.30) \times 10^{-3}$$

$$\chi^2 / DOF = 58.9 / 31$$

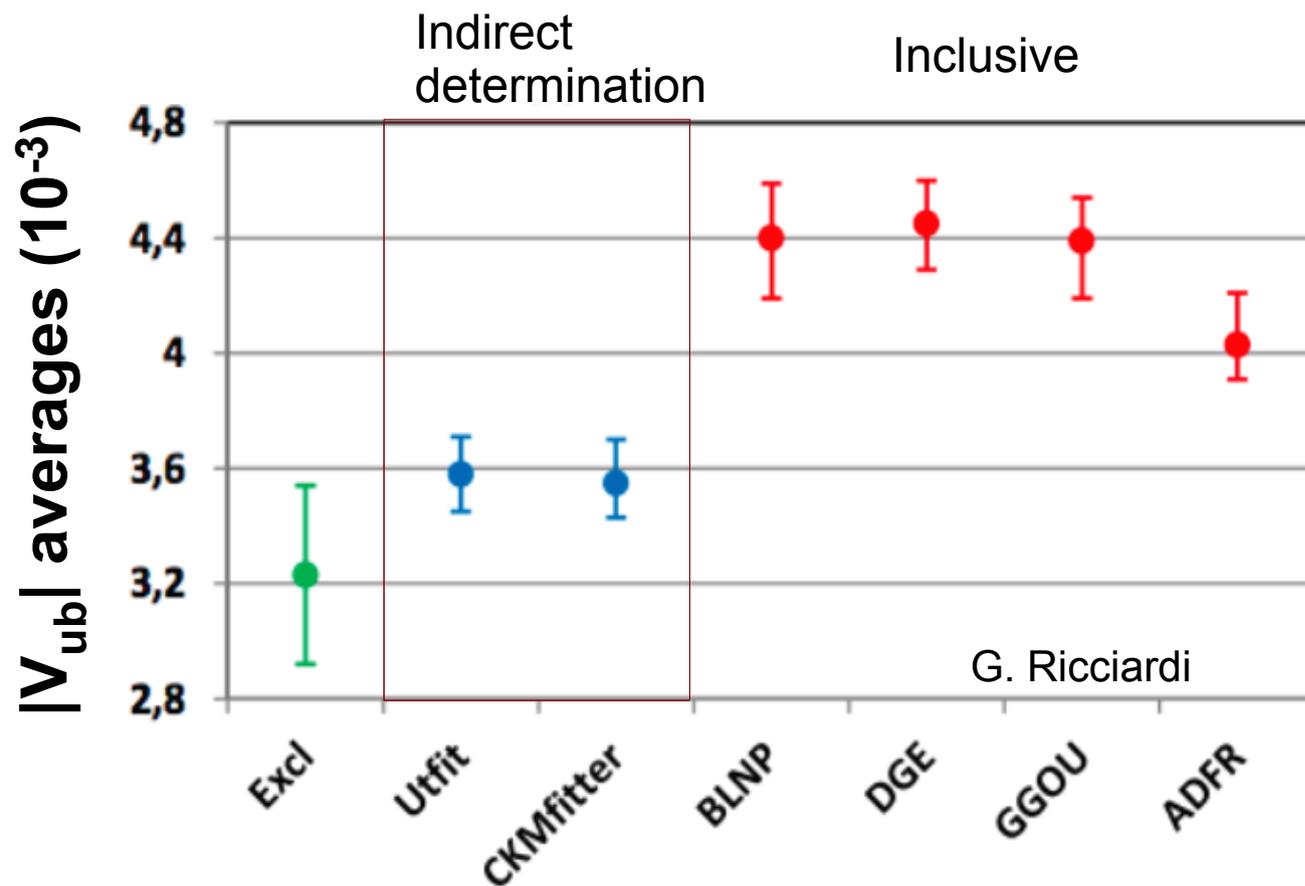
8% due to FF norm.



Does not include the new BaBar untagged and Belle tagged results

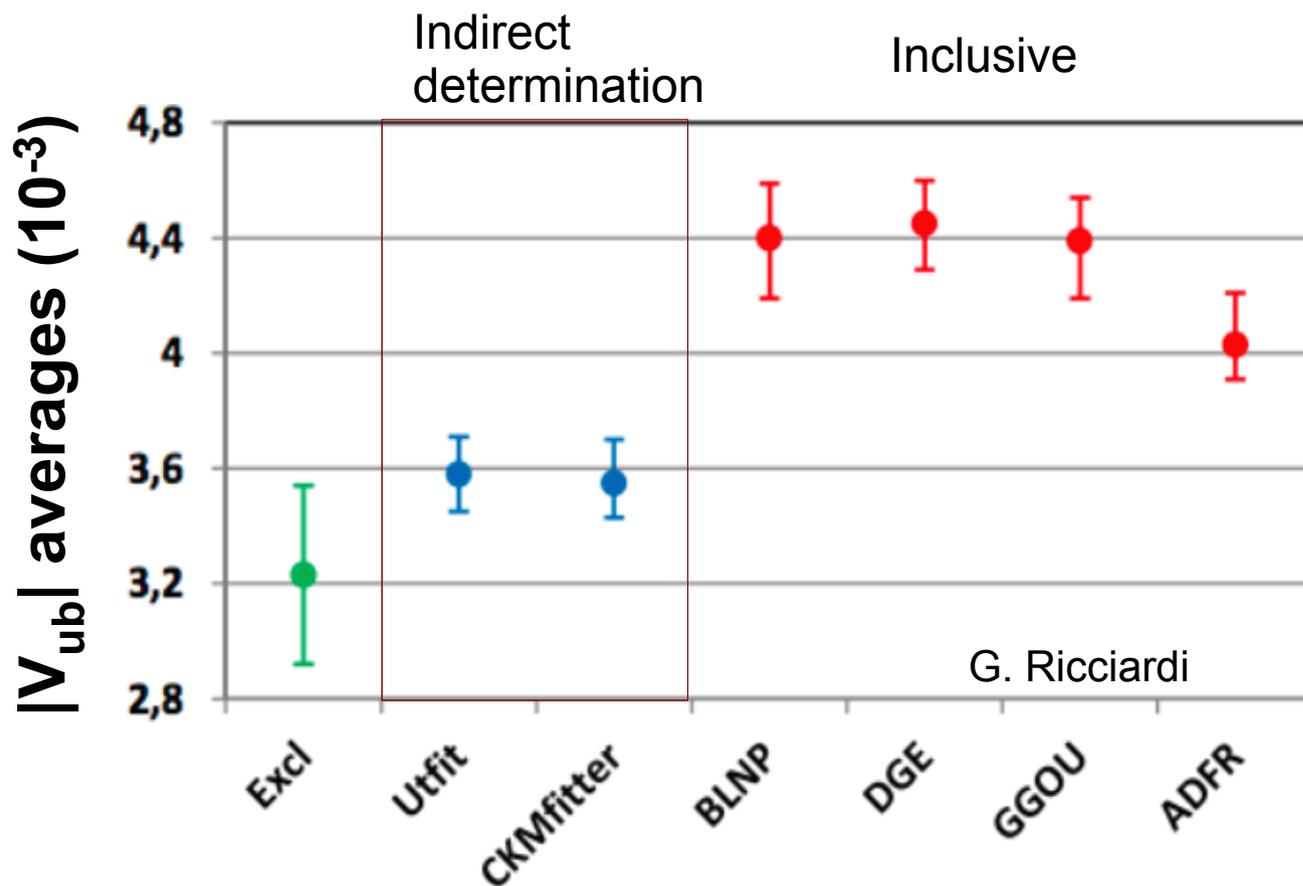
# Inclusive · Exclusive difference

- Long - standing puzzle
  - Despite progresses from B-factories+Theory, the inclusive-exclusive discrepancy still present:  $\Delta @ 2.5-3.0\sigma$

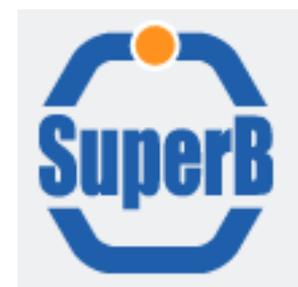


# Inclusive · Exclusive difference

- Long - standing puzzle
  - Despite progresses from B-factories+Theory, the inclusive-exclusive discrepancy still present:  $\Delta @ 2.5-3.0\sigma$



Are we underestimating our uncertainties?  
 Experiment ???  
 Theory ???



With  $B_s \rightarrow K\ell\nu$  ?

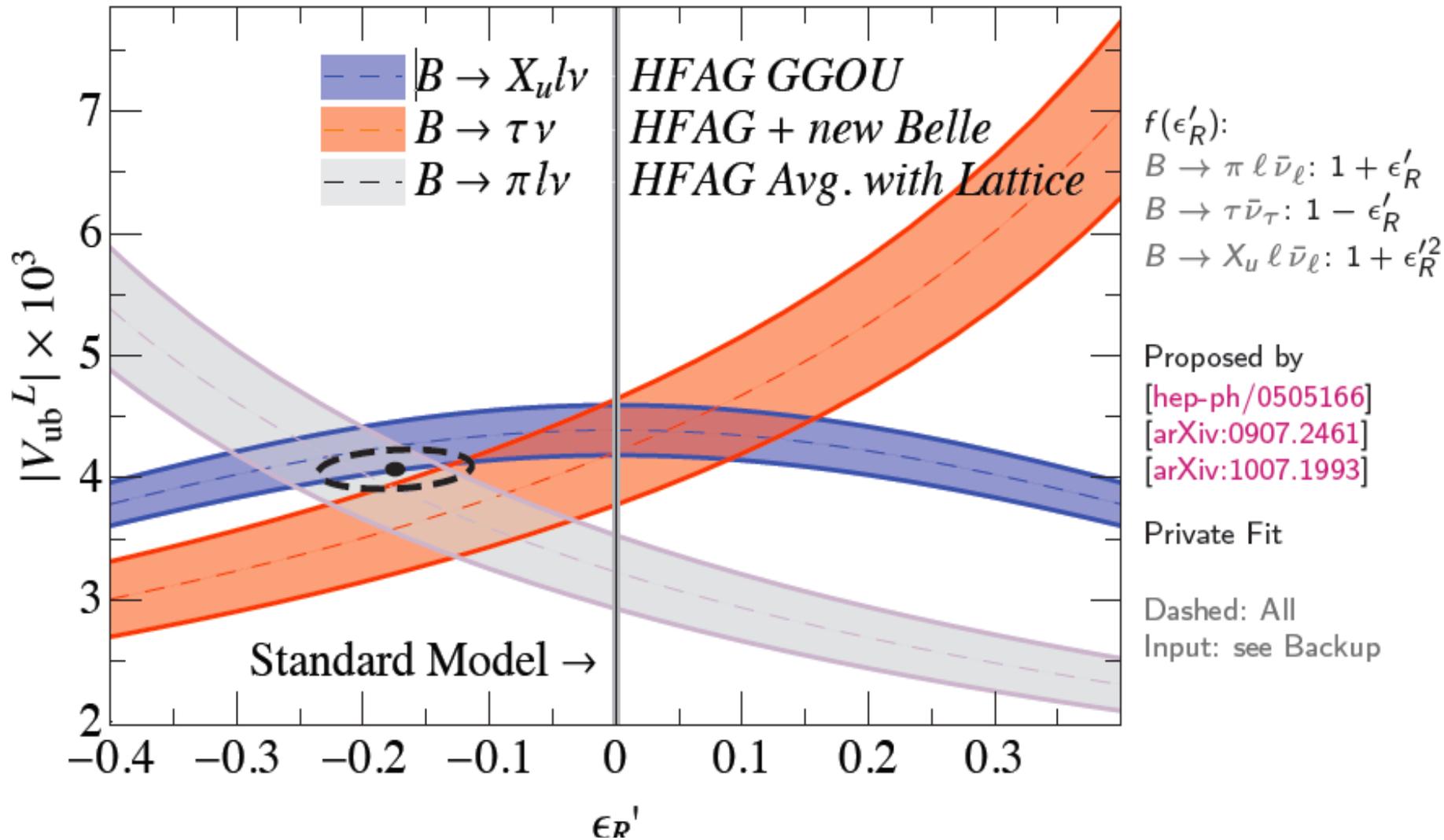
... if New Physics, what kind ?



# Left Right model

F. Bernlochner @ ICHEP12

New physics observable via right-handed currents?  $|V_{ub}| = |V_{ub}^L| f(\epsilon'_R = \epsilon_R \Re \frac{V_{ub}^R}{V_{ub}^L})$



$ V_{ub}^L $	$\epsilon'_R$	Tension wrt SM	$\chi^2/\text{ndf}$
$4.07 \pm 0.16$	$-0.17 \pm 0.06$	$2.8\sigma$	2.8/1

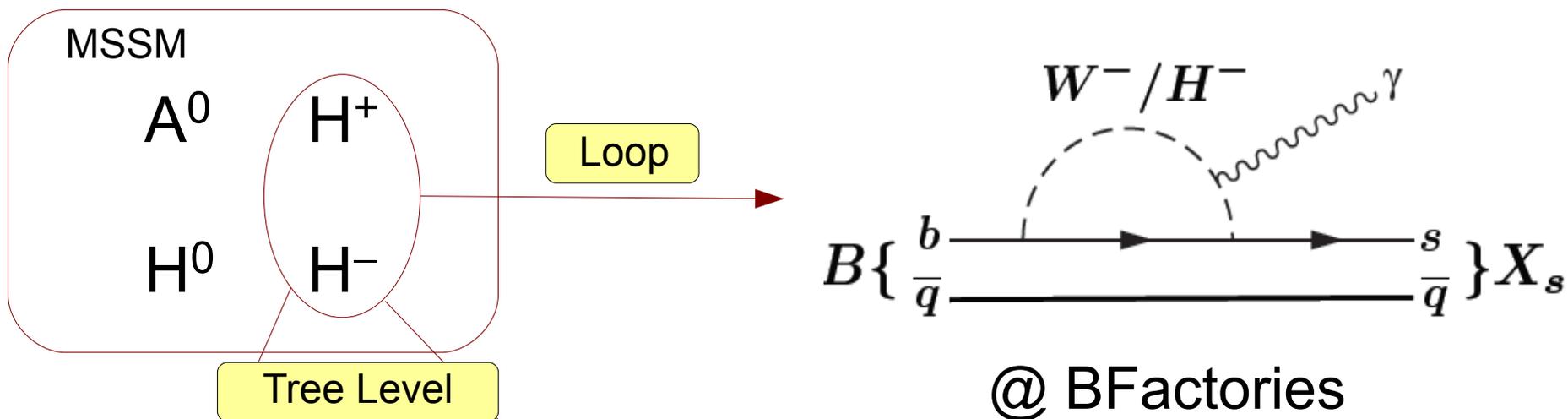
$$B \rightarrow D^{(*)} \tau \nu_{\tau}$$

Evidence for an excess over the SM prediction

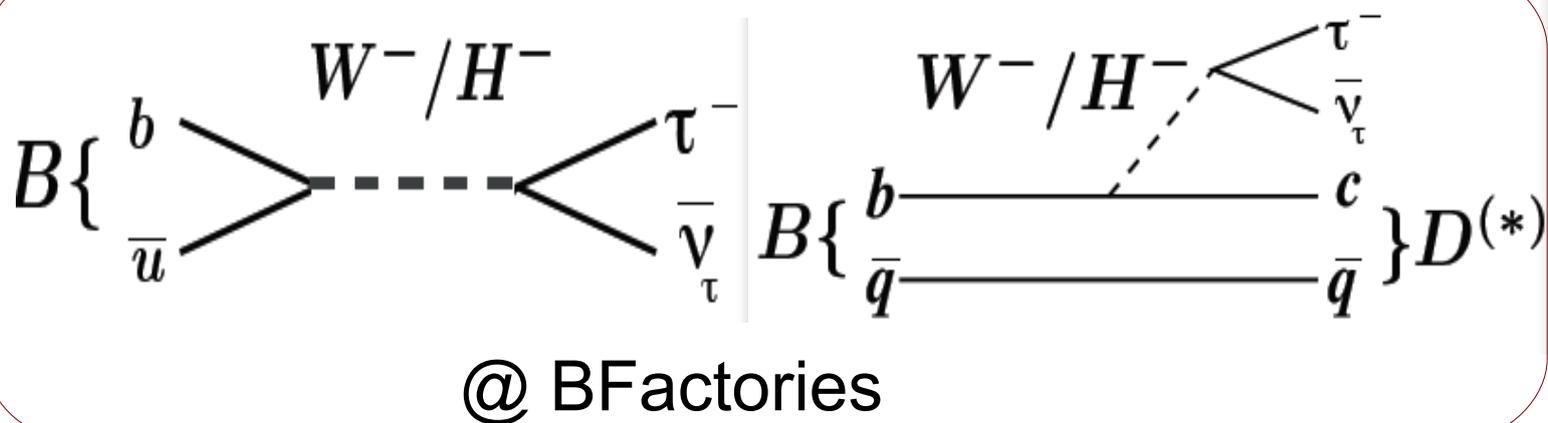
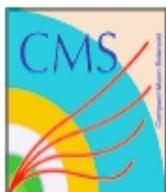
**Phys.Rev.Lett. 109 101802 (2012)**

# Heavy leptons: introduction

- Charged Higgs required in multiple New Physics scenarios
  - Coupling is proportional to the fermion mass:  $H^- - \ell$  coupling  $\propto m_\ell$



$t \rightarrow bH^+$   
 $gb \rightarrow tH^-$





# $B \rightarrow D^{(*)} \tau \nu_\tau$ : measurement

- We measure directly the  $R(D)$  and  $R(D^*)$  ratios

$$\mathcal{R}(D) = \frac{\Gamma(B \rightarrow D \tau \nu_\tau)}{\Gamma(B \rightarrow D \ell \nu_\ell)_{\ell = e, \mu}}$$

$$\mathcal{R}(D^*) = \frac{\Gamma(B \rightarrow D^* \tau \nu_\tau)}{\Gamma(B \rightarrow D^* \ell \nu_\ell)_{\ell = e, \mu}}$$

Signal

Normalization

$$\mathcal{R}(D^{(*)}) = \frac{N_{sig}}{N_{norm}} \times \frac{\epsilon_{norm}}{\epsilon_{sig}}$$

Several experimental and theoretical uncertainties cancel in ratio

- D reconstruction / Particle ID /tracking eff.
- $|V_{cb}|$  & FFs (partially)

Very precise  
SM prediction

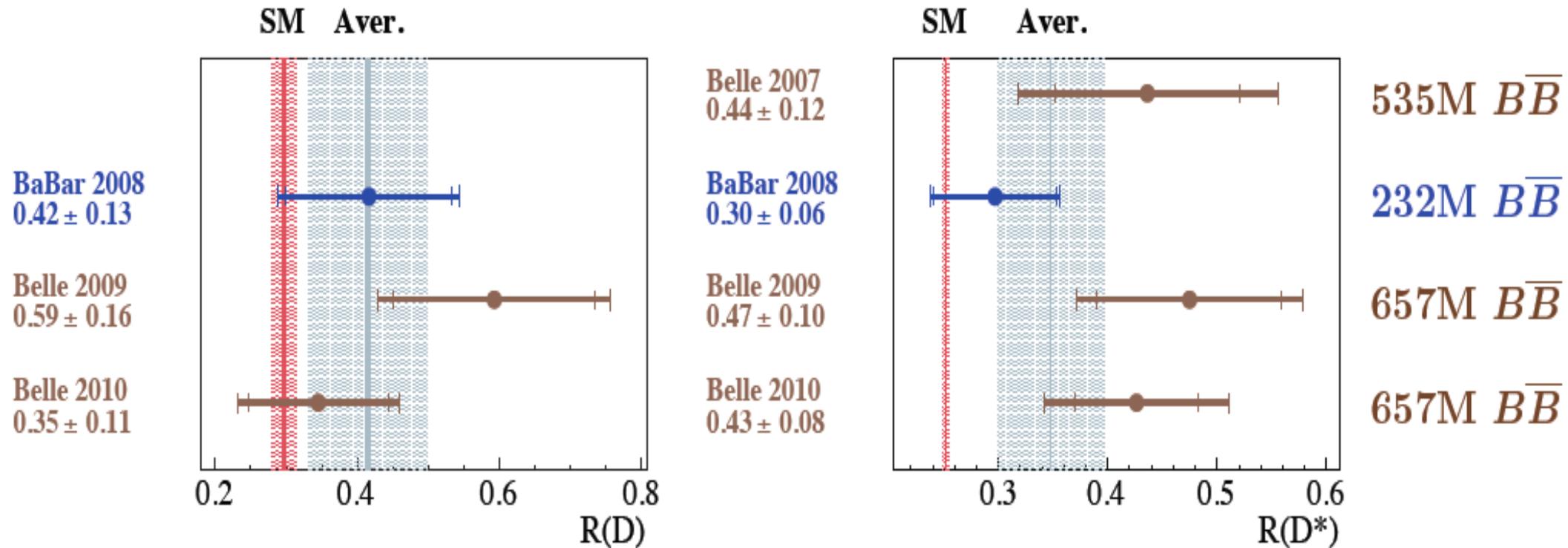
$$R(D) = 0.297 \pm 0.017 \text{ and } R(D^*) = 0.252 \pm 0.003$$

$$\sigma = 5.7\%$$

$$\sigma = 1.2\%$$

# Existing measurements

Previous measurements exceed SM by 1-2  $\sigma$



Update BaBar 2008 with 2x data and 2x efficiency  
- improved tag B and better muon ID

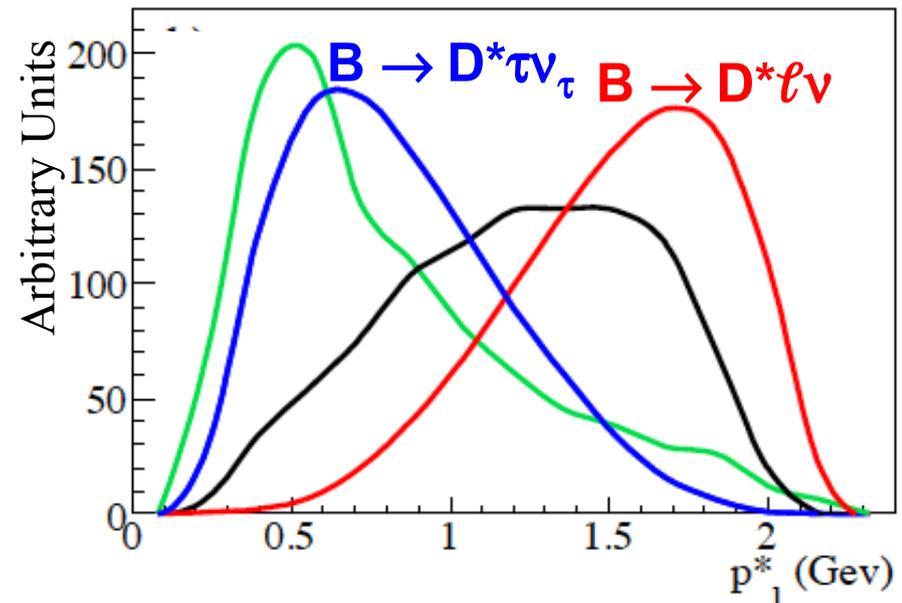
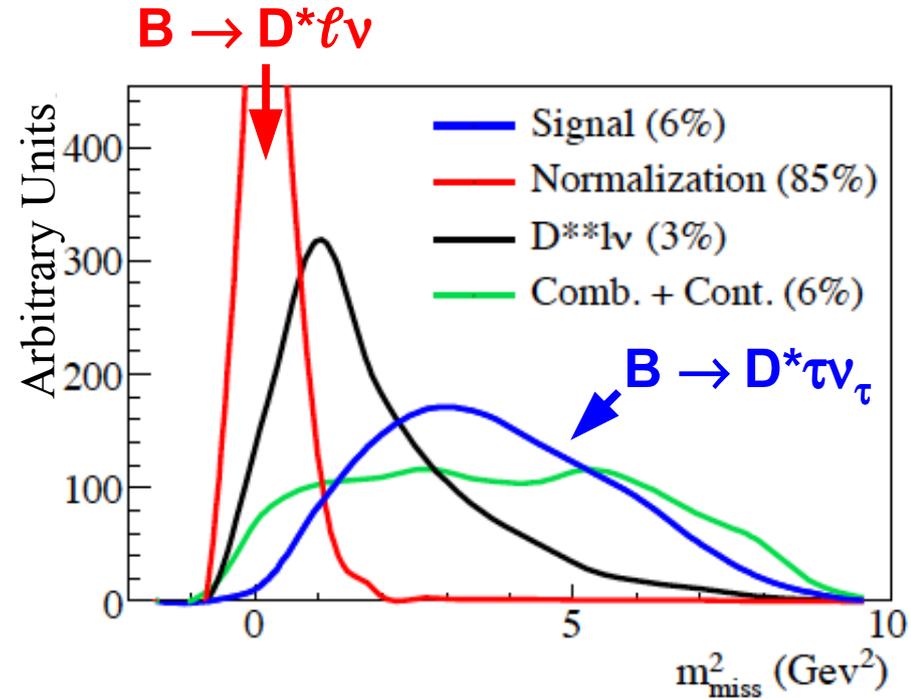
# $B \rightarrow D^{(*)} \tau \nu_\tau$ : Yields Extraction

- Simultaneous un-binned M.L. Fit
  - 4 signal samples  $D^0 \ell$ ,  $D^{*0} \ell$ ,  $D^+ \ell$ ,  $D^{*+} \ell$
  - 4  $D^{(*)} \pi^0 \ell \nu$  Control samples
  - 2 dimensional distributions:

$$m_{\text{miss}}^2 = (p_{e^+e^-} - p_{\text{tag}} - p_{D^{(*)}} - p_\ell)^2$$

$p_\ell^*$  in the  $B_{\text{sig}}$  rest-frame

- PDFs from MC: approximated using KEYS function
- Fitted Yields
  - $4 D^{(*)} \tau \nu + 4 D^{(*)} \ell \nu + 4 D^{**} \ell \nu$

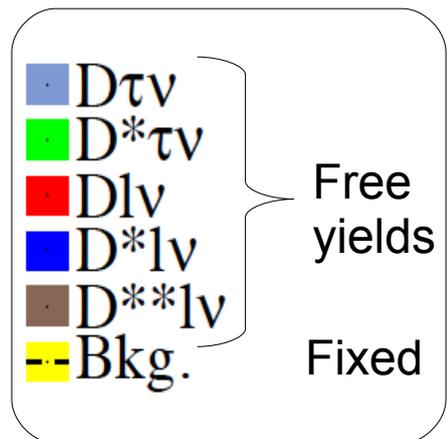
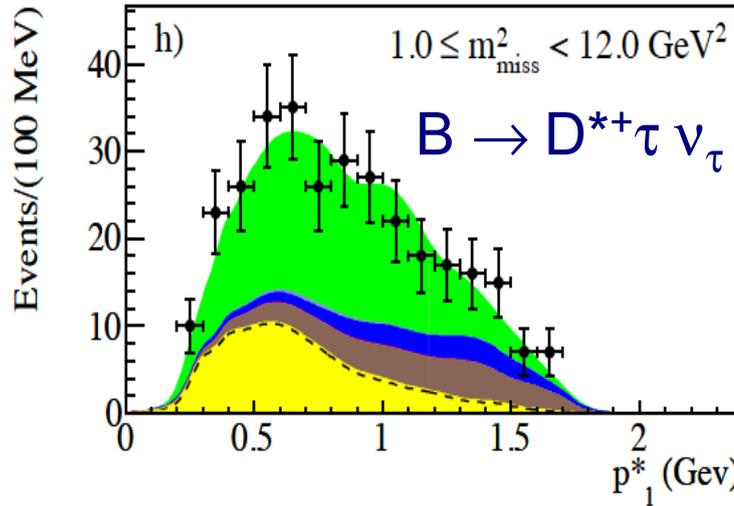
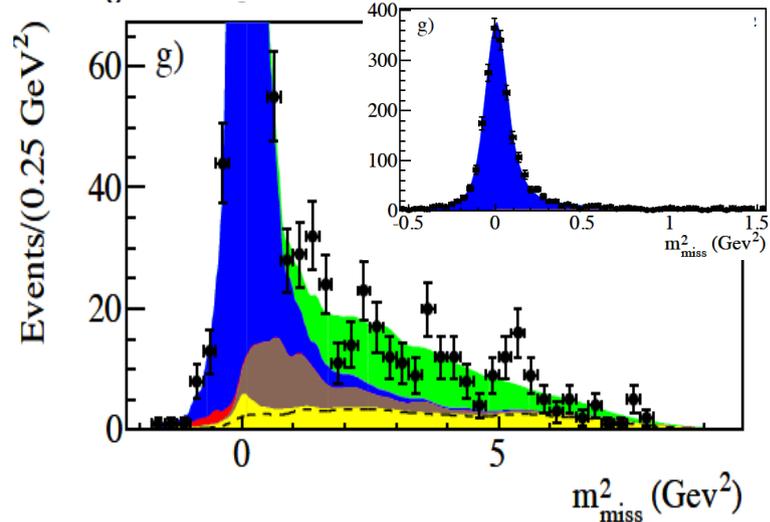
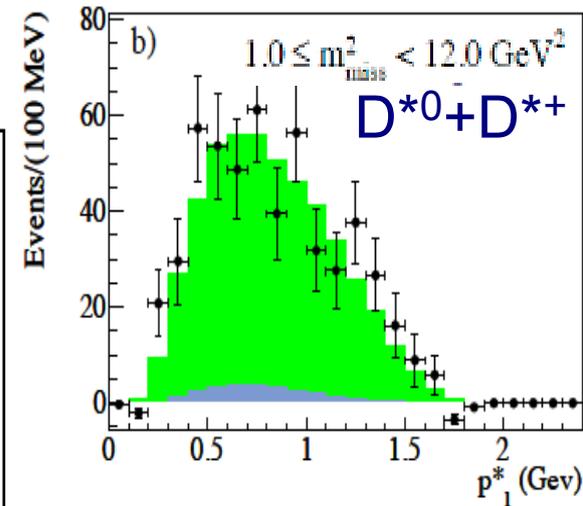
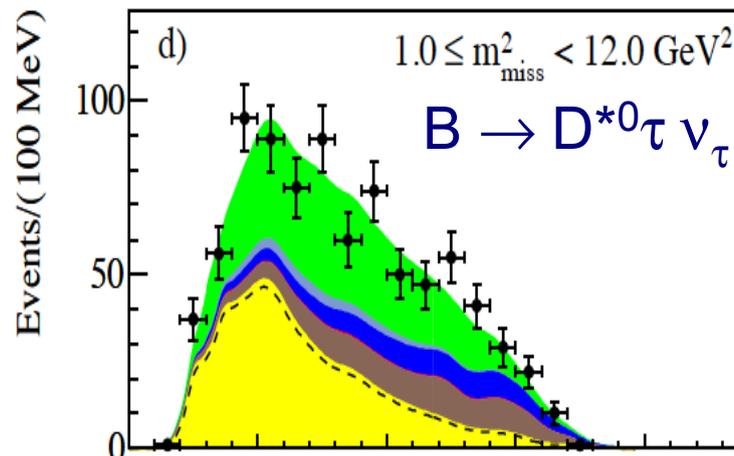
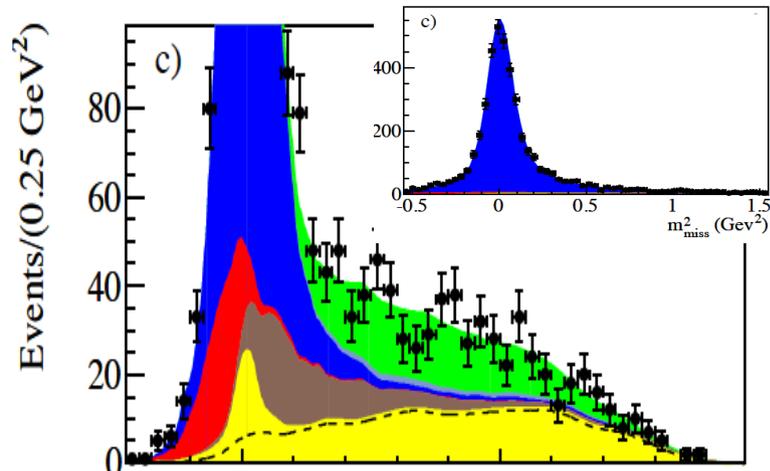


# Results of Fit $B \rightarrow D^* \tau \nu_\tau$

Isospin Constrained

Statistical errors only

	$D^{*0}\tau\nu$	$D^{*+}\tau\nu$	$D^*\tau\nu$
$N_{\text{sig}}$	$639 \pm 62$	$245 \pm 27$	$888 \pm 63$
Significance ( $\sigma$ )	11.3	11.6	16.4
$R(D^*)$	$0.322 \pm 0.032$	$0.355 \pm 0.039$	$0.332 \pm 0.024$

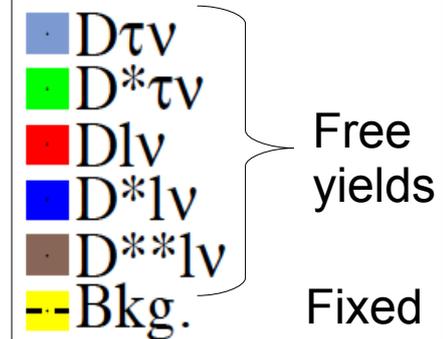
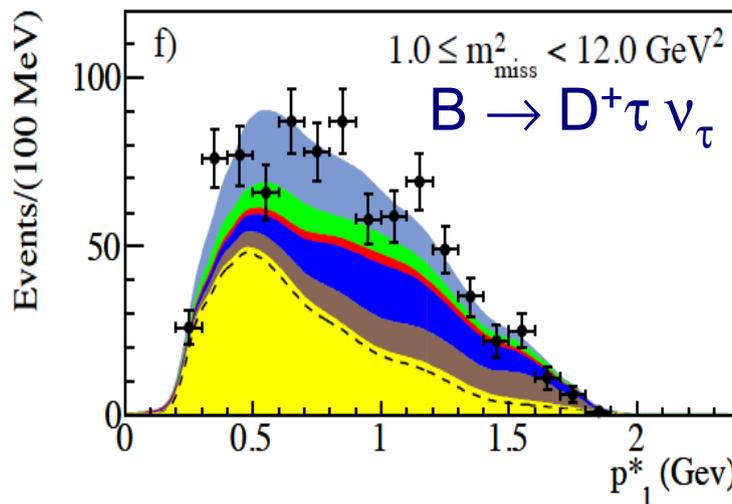
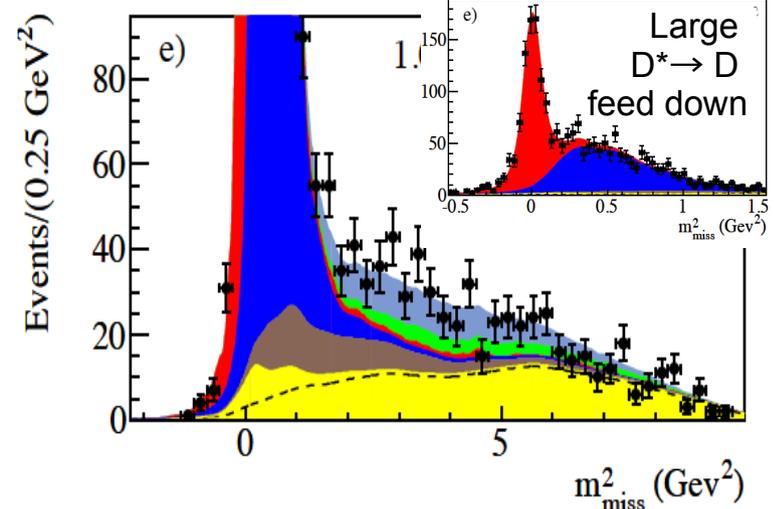
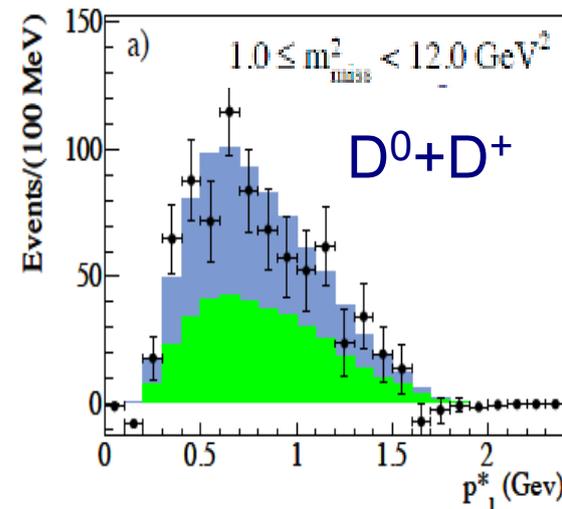
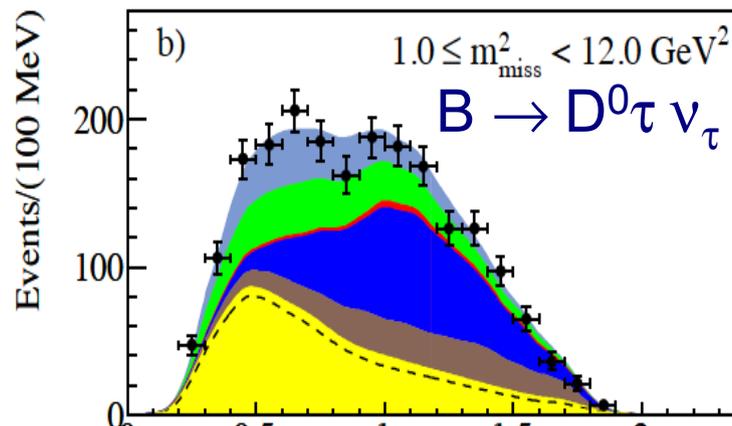
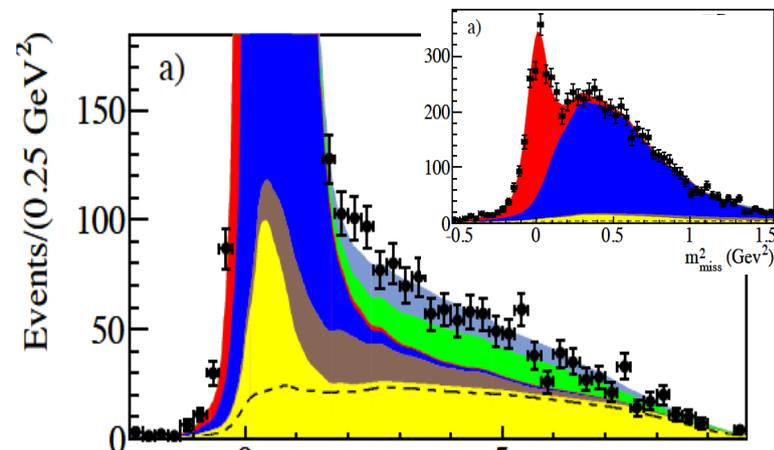


# Results of Fit $B \rightarrow D \tau \nu_\tau$

Isospin Constrained

	$D^0 \tau \nu$	$D^+ \tau \nu$	$D \tau \nu$
$N_{\text{sig}}$	$314 \pm 60$	$177 \pm 31$	$489 \pm 63$
Significance ( $\sigma$ )	5.5	6.1	8.4
$R(D)$	$0.429 \pm 0.082$	$0.469 \pm 0.084$	$0.440 \pm 0.058$

Statistical errors only



# Results and Systematics Uncertainties

Decay	$N_{\text{sig}}$	$N_{\text{norm}}$	$R(D^{(*)})$	$\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ (%)	$\Sigma_{\text{tot}}(\sigma)$
$D\tau^-\bar{\nu}_\tau$	$489 \pm 63$	$2981 \pm 65$	$0.440 \pm 0.058 \pm 0.042$	$1.02 \pm 0.13 \pm 0.11$	6.8
$D^*\tau^-\bar{\nu}_\tau$	$888 \pm 63$	$11953 \pm 122$	$0.332 \pm 0.024 \pm 0.018$	$1.76 \pm 0.13 \pm 0.12$	13.2

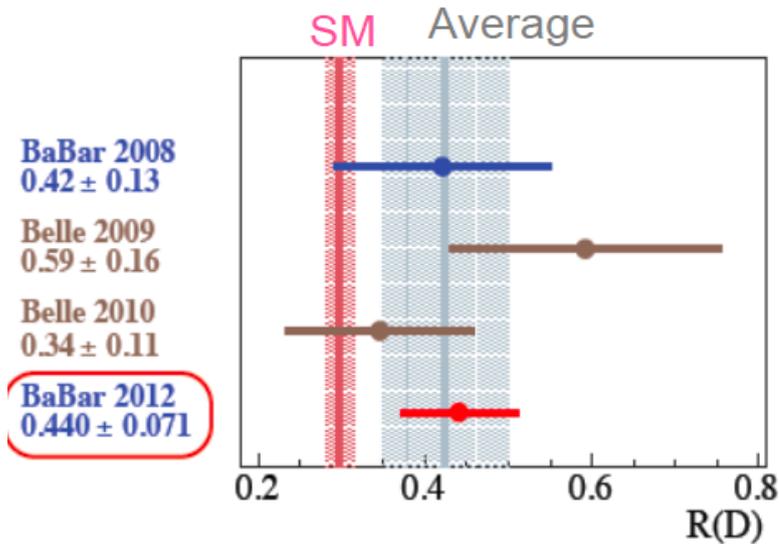
	$R(D)$	$R(D^*)$	$\rho_{\text{corr}}$
D** $\tau/l \nu$	5.8	3.7	0.62
MC statistics	5.0	2.5	-0.48
Continuum and BB bkg	4.9	2.7	-0.30
$\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$	2.6	1.6	0.22
Syst. Uncertainty	9.5	5.3	0.05
Stat. Uncertainty	13.1	7.1	-0.45
Total Uncertainty	16.2	9.0	-0.27

Uncertainties due to FFs, PID, tracks, photons and soft pion reconstruction cancel in the ratio: contribution  $\sim 1\%$

# SM Predictions of $R(D)$ and $R(D^*)$

- The new measurements are fully compatible with earlier results

Average does not include this measurement



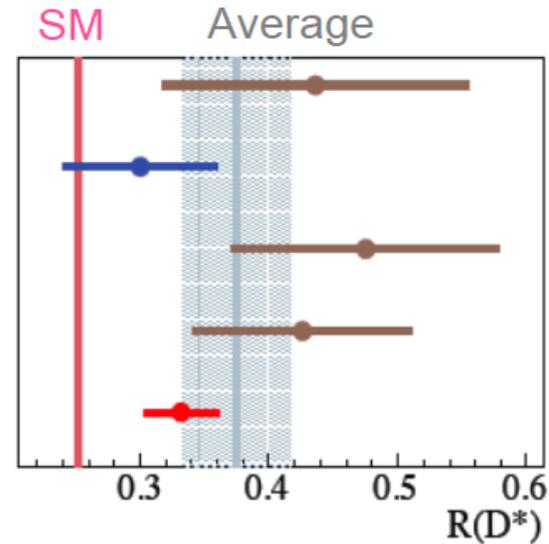
Belle 2007  
 $0.44 \pm 0.12$

BaBar 2008  
 $0.30 \pm 0.06$

Belle 2009  
 $0.47 \pm 0.10$

Belle 2010  
 $0.43 \pm 0.09$

**BaBar 2012  
 $0.332 \pm 0.029$**



535M  $B\bar{B}$

232M  $B\bar{B}$

657M  $B\bar{B}$

657M  $B\bar{B}$

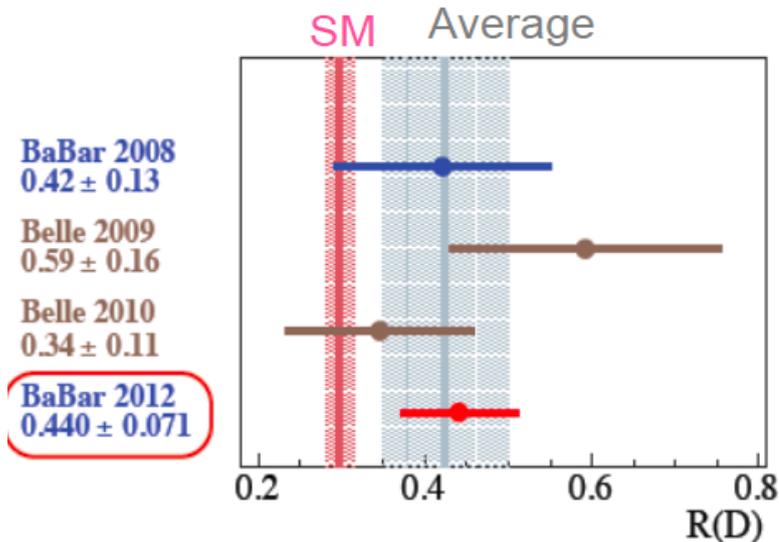
**471M  $B\bar{B}$**

# SM Predictions of $R(D)$ and $R(D^*)$

[\*] Kaminik Mescia 2008  
Fajfer et al 2012

- The new measurements are fully compatible with earlier results

Average does not include this measurement



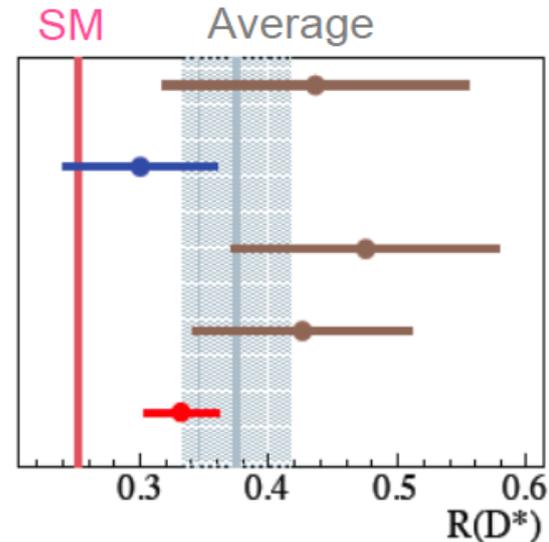
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535M  $B\bar{B}$

232M  $B\bar{B}$

657M  $B\bar{B}$

657M  $B\bar{B}$

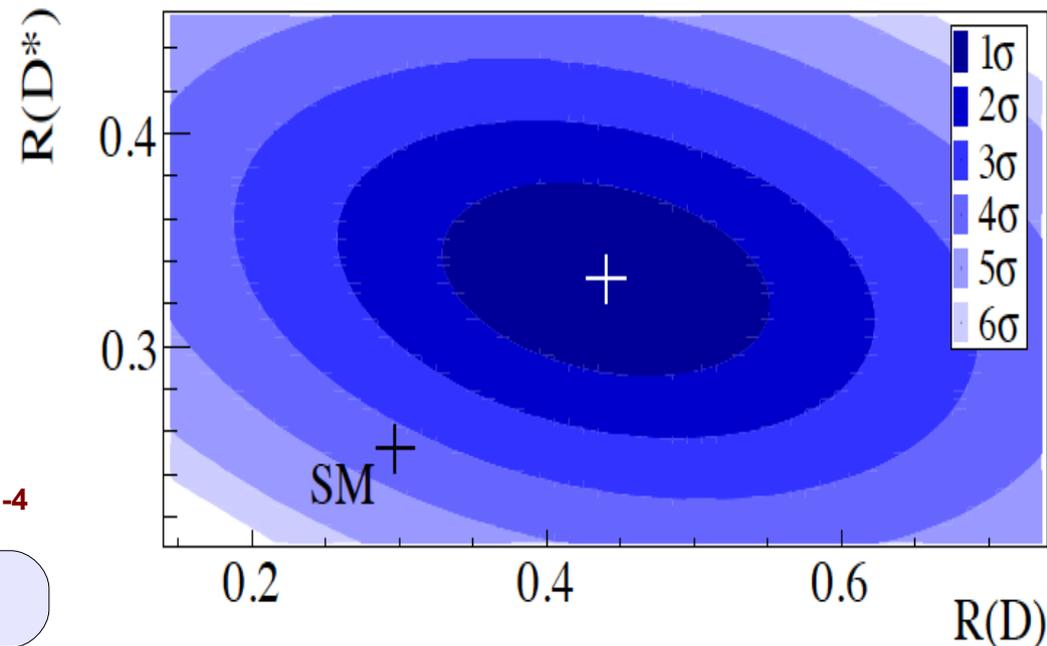
471M  $B\bar{B}$

- And above the SM prediction!

	R(D)	R(D*)
BaBar	$0.440 \pm 0.071$	$0.332 \pm 0.029$
SM [*]	$0.293 \pm 0.017$	$0.252 \pm 0.003$
$\Delta$	$2.0\sigma$	$2.7\sigma$

The combination of the two measurements (-0.27 Correlation) yields  $\chi^2/\text{NDF}=14.6/2 \rightarrow \text{Prob}=6.9 \times 10^{-4}$

SM prediction is excluded at  $3.4\sigma$



# 2HDM calculation

Differential decay rate in the SM

$$\frac{d\Gamma(\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell)}{dq^2} = \frac{G_F^2 |V_{cb}|^2 |p| q^2}{96\pi^3 m_B^2} \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left[ \overbrace{(|H_{++}|^2 + |H_{--}|^2 + |H_{00}|^2)}^{B \rightarrow D^* \text{ FFs}} \left(1 + \frac{m_\ell^2}{2q^2}\right) + \frac{3m_\ell^2}{2q^2} |H_{0t}|^2 \right]$$

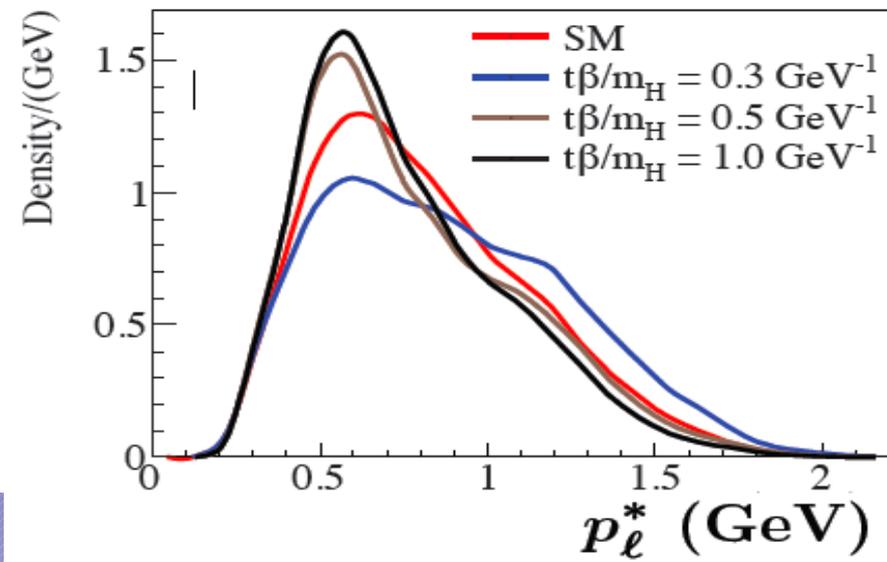
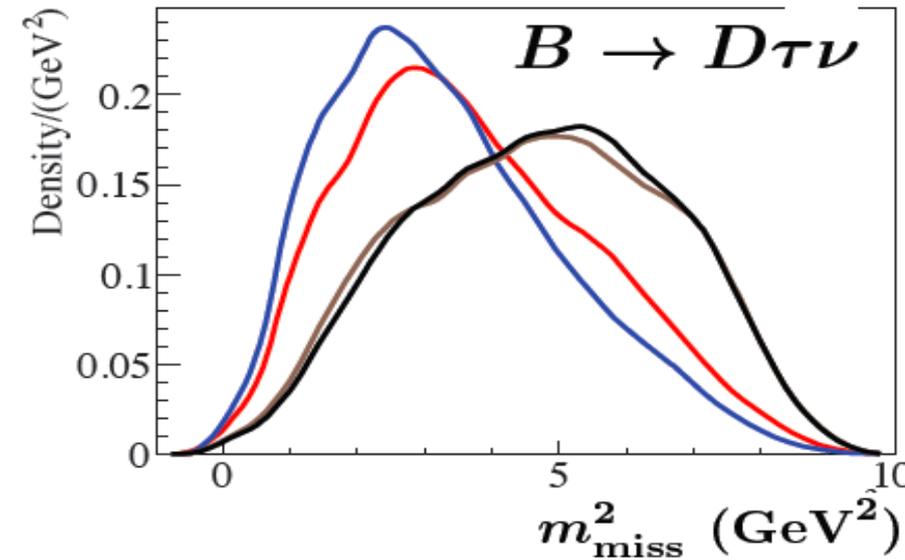
A charged Higgs (**Type II 2HDM**) of spin 0 coupling with the  $\tau$  will affect  $H_{0t}$

$$H_{0t}^{2\text{HDM}} \approx H_{0t}^{\text{SM}} \times \left(1 - \frac{\tan^2 \beta}{m_{H^+}^2} \frac{q^2}{1 \mp m_c/m_b}\right)$$

– for  $B \rightarrow D\tau\nu_\tau$

+ for  $B \rightarrow D^*\tau\nu_\tau$

Effects both the signal efficiency and the signal yields ( $m_{\text{miss}}^2$ - $p_\ell^*$  shapes): simulated reweighting the MC signal events

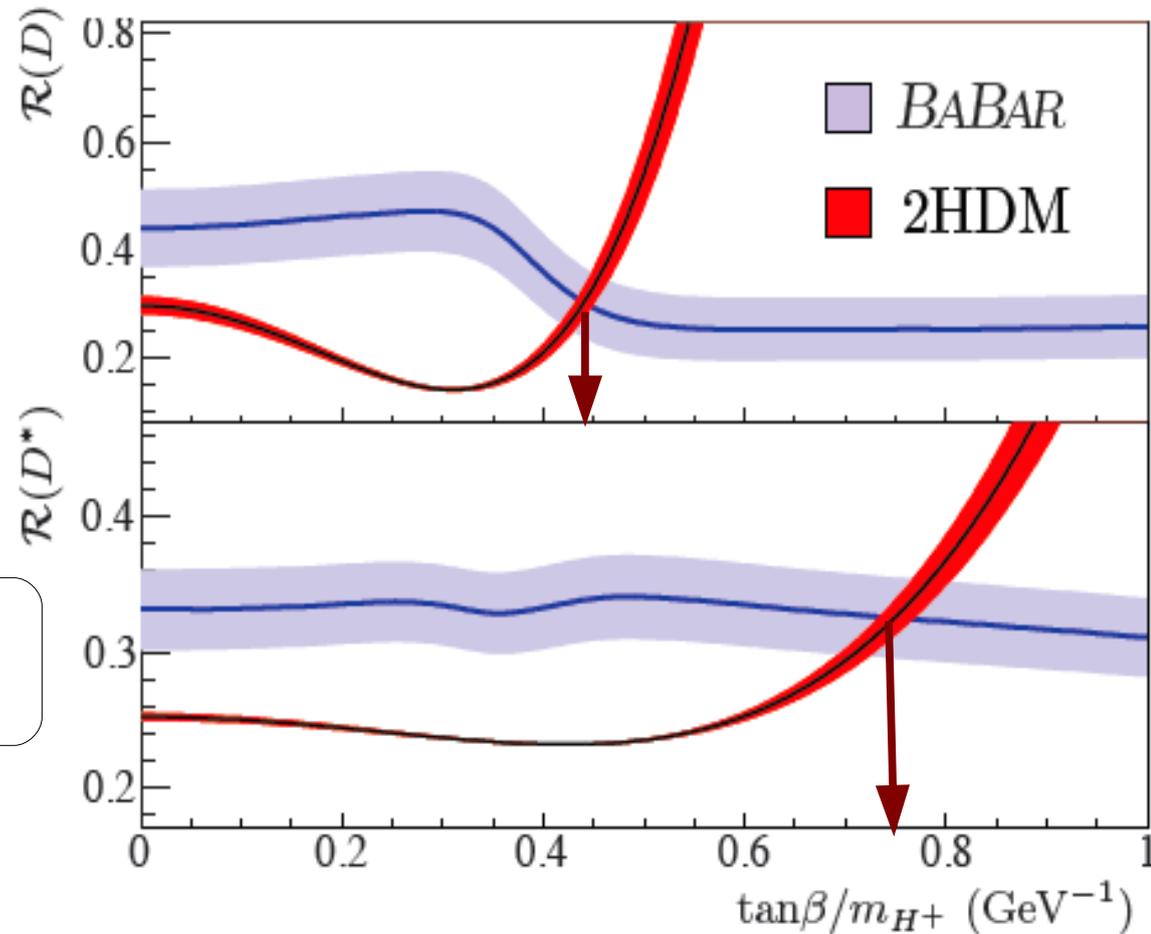


# Can we explain the excess events?

Scan the full 2HDM parameter space

$$\mathcal{R}(D) \implies \tan \beta / m_H = 0.44 \pm 0.02$$

$$\mathcal{R}(D^*) \implies \tan \beta / m_H = 0.75 \pm 0.04$$



- The combination of  $\mathcal{R}(D)$  and  $\mathcal{R}(D^*)$  excludes the Type II 2HDM in the full  $\tan\beta$ - $m_H$  parameter space (with  $m_H > 10$  GeV) with a probability  $>99.8\%$ 
  - Low  $m_H$  range ( $m_H < \sim 300$  GeV) already excluded by  $B \rightarrow X_s \gamma$  data!

# Some interesting following papers

- SM prediction is sensitive to the  $f_0$  FF
  - Becirevic, Kosnik, Tayduganov, (1206.4977) proposal, using lattice data
  - MILC collaboration: 1206.4992, first SM lattice calculation unquenched (difference with SM reduced to  $3.2 \sigma$ )
- 2HDM type II (alone) cannot accommodate the results on  $B \rightarrow \tau \nu_\tau$  and  $B \rightarrow D^{(*)} \tau \nu_\tau$
- New models have been studied so far
  - Crivellin et al 1206.2634: possible explanation with Type III 2HDM
  - Fajfer et al. 1206.1872: 2HDM with leptoquarks

# Some interesting following papers

- SM prediction is sensitive to the  $f_0$  FF
  - Becirevic, Kosnik, Tayduganov, (1206.4977) proposal, using lattice data
  - MILC collaboration: 1206.4992, first SM lattice calculation unquenched (difference with SM reduced to  $3.2 \sigma$ )
- New Belle measurements with improved  $B_{\text{tag}}$  (NeuroBayes<sup>®</sup>) welcome!
- Confirmation? If yes, look for other observables:
  - $q^2$  distribution,  $\tau$  polarization using  $\tau \rightarrow \pi \nu_\tau$ ,  $D^*$  polarization from  $D^*$  decay angular analysis => Rich physics for future SuperB factories and... perhaps also for LHCb now!!!

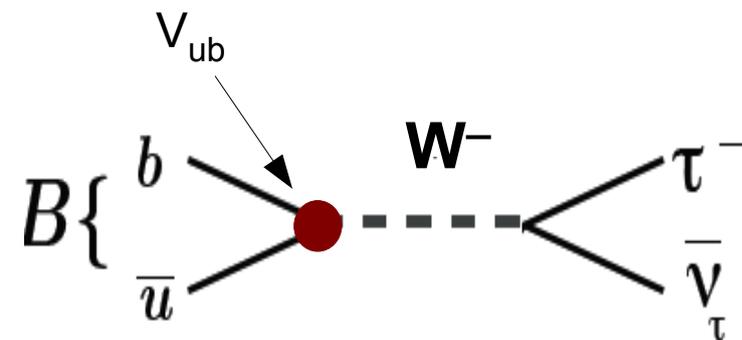
$$B \rightarrow \tau \nu_{\tau}$$

[arXiv:1207.0698](https://arxiv.org/abs/1207.0698)

# Analysis of $B \rightarrow \tau \nu_\tau$

Theoretically very clean

$$\mathcal{B}(B \rightarrow l \nu) = \frac{G_F^2 m_B}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

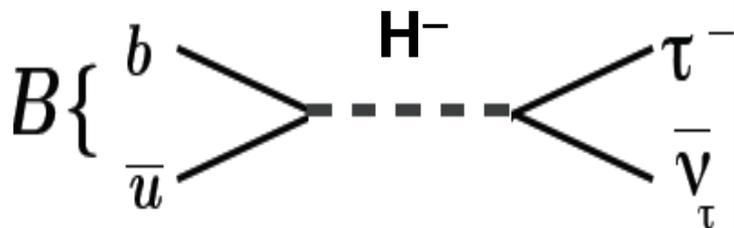


Allow  $|V_{ub}|$  extraction from  $f_B$  & BF

Experimentally difficult:

- helicity suppression
- $\text{BF}(\tau) \sim 10^{-4}$  (and  $\text{BF}(\mu) \sim 10^{-7}$  out of reach of current Bfactories)
- only the Branching Fraction is accessible)

Power probe of physics beyond the SM



$$\mathcal{B}_{2HDM}(B \rightarrow \tau \nu) =$$

$$\frac{G_F^2 m_B m_\tau^2}{8\pi} \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

$\mathcal{B}(\tau \nu)$   
In the SM

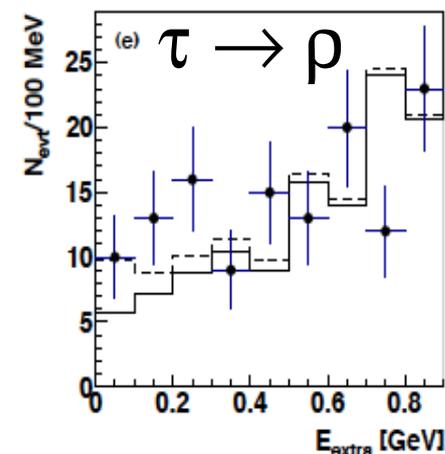
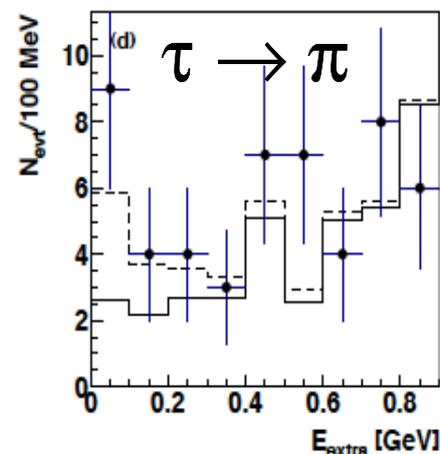
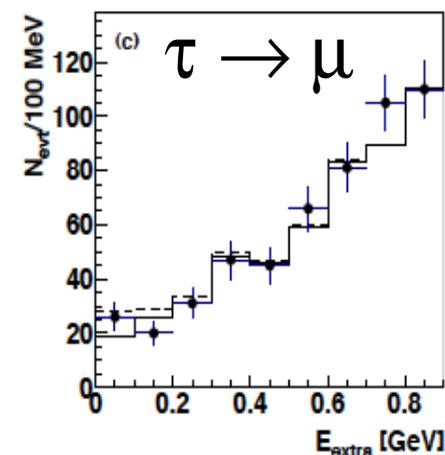
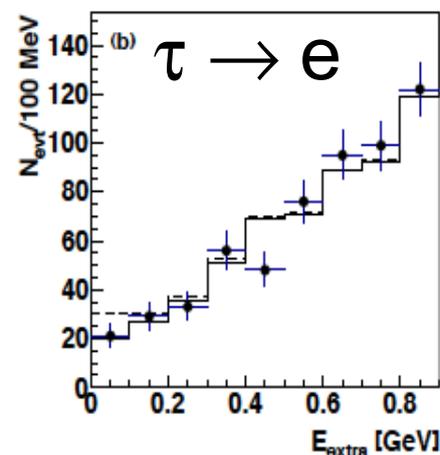
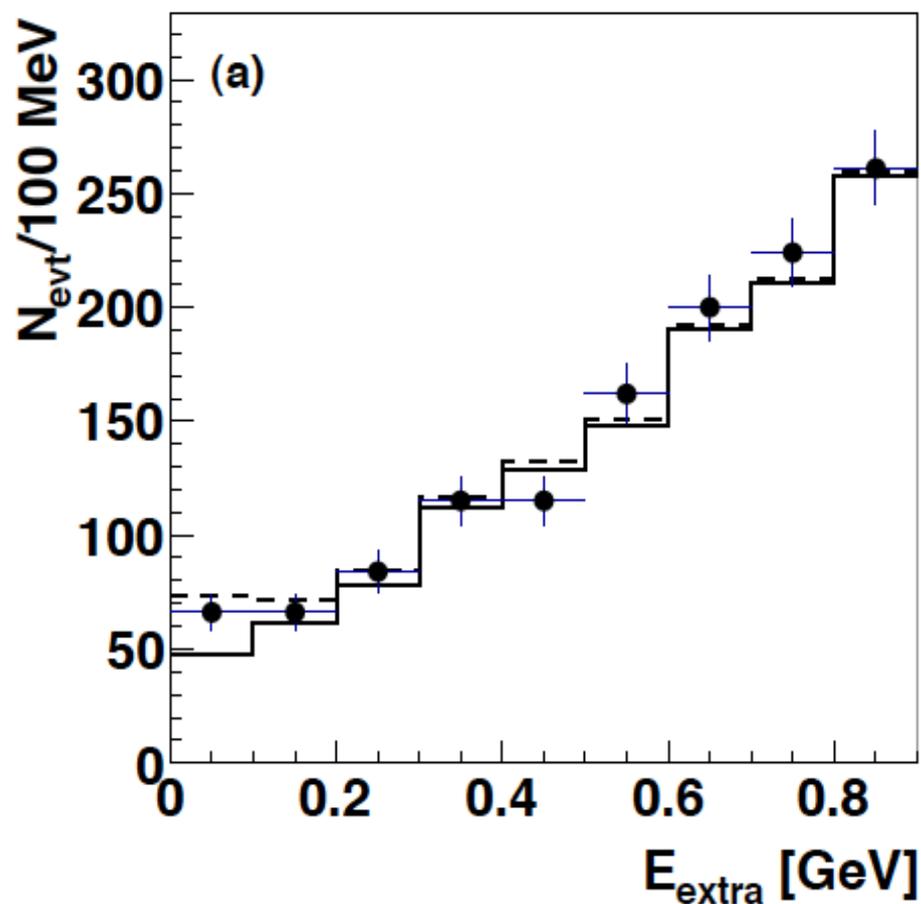
$$\times \left(1 - m_B^2 \frac{\tan^2 \beta}{m_H^2}\right)^2$$

In the  
Type-II  
2HDM

# Results: $B \rightarrow \tau \nu_\tau$

- Fit to residual energy in the EMC simultaneously in 4  $\tau$  decay modes

$$E_{\text{Extra}} = \sum_{\text{unused } \gamma} E_\gamma$$



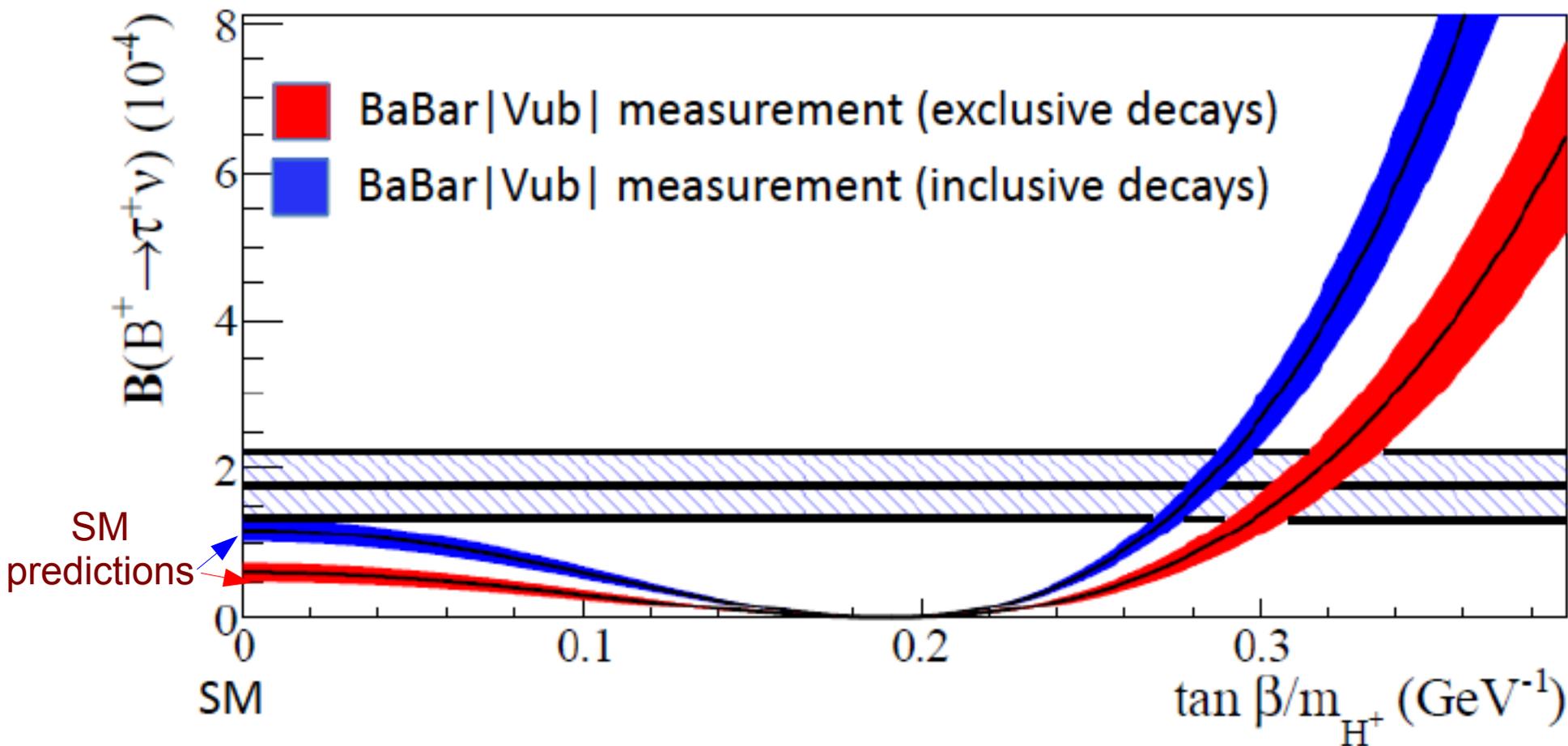
$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.83^{+0.53}_{-0.49}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$$

Significance  $3.8\sigma$

# Comparison with 2HDM-II

$$\mathcal{B}_{2HDM}(B \rightarrow \tau\nu) = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B \times \left(1 - m_B^2 \frac{\tan^2 \beta}{m_{H^\pm}^2}\right)^2$$

Uncertainty in SM prediction is dominated by  $|V_{ub}|$

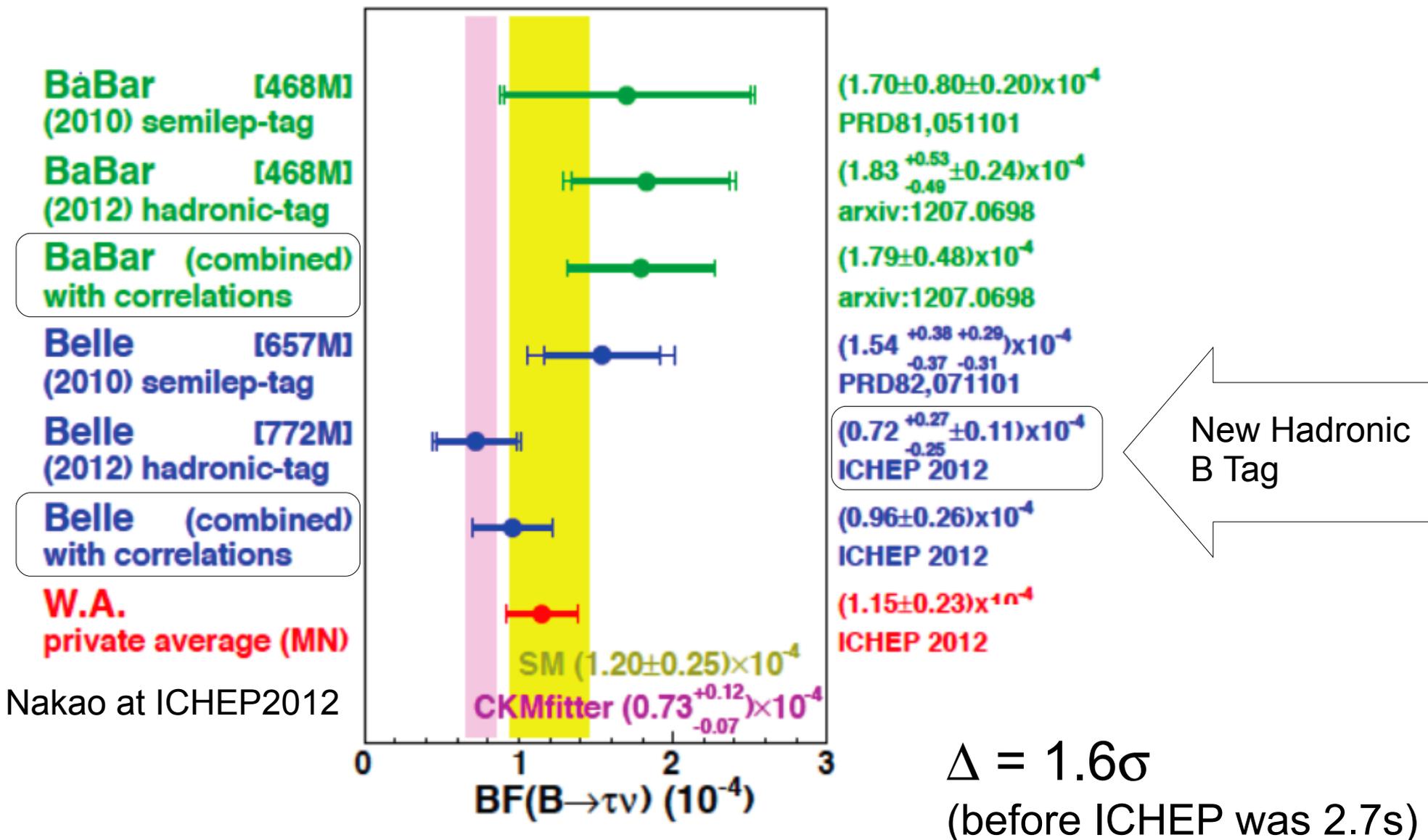


$$|V_{ub}|_{\text{incl}} = (4.33 \pm 0.28) \cdot 10^{-3}$$

$$|V_{ub}|_{\text{excl}} = (3.13 \pm 0.30) \cdot 10^{-3}$$

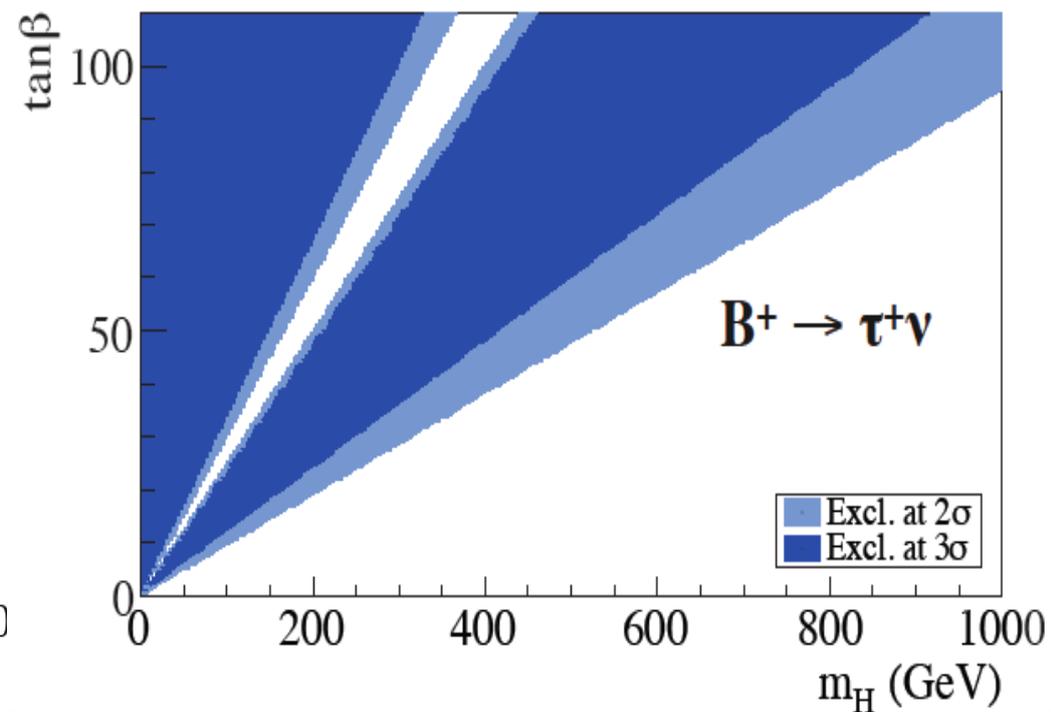
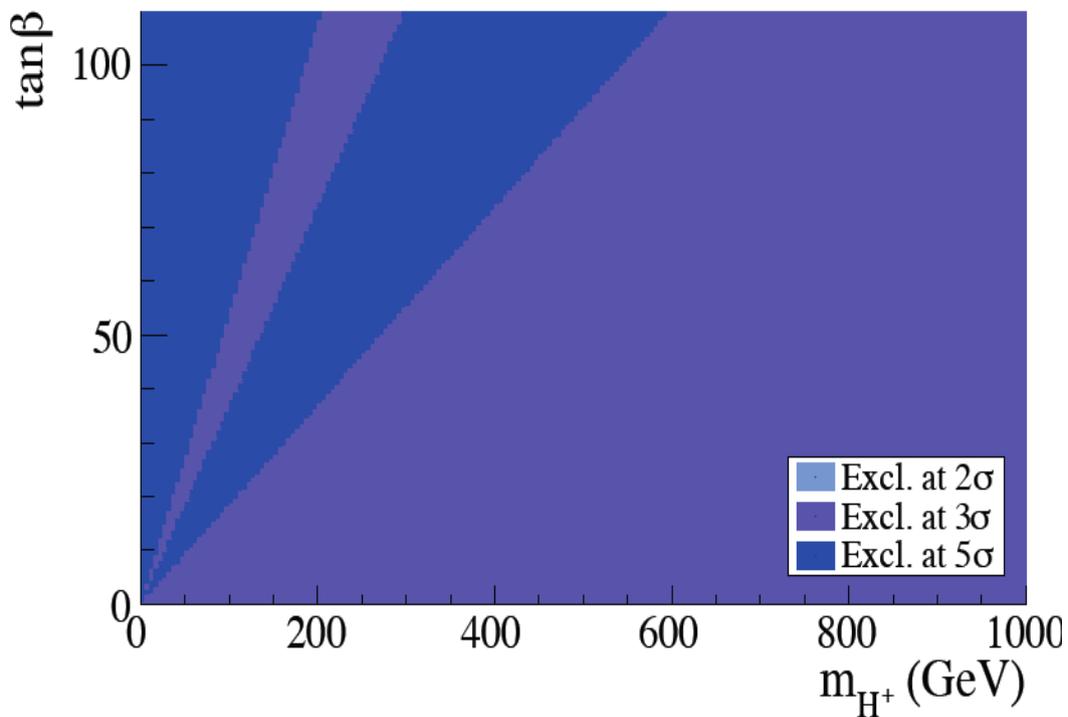
$$f_B = (189 \pm 4) \text{ MeV (HPQCD arXiv:1202.4914)}$$

# Experimental Results on $B \rightarrow \tau \nu_\tau$



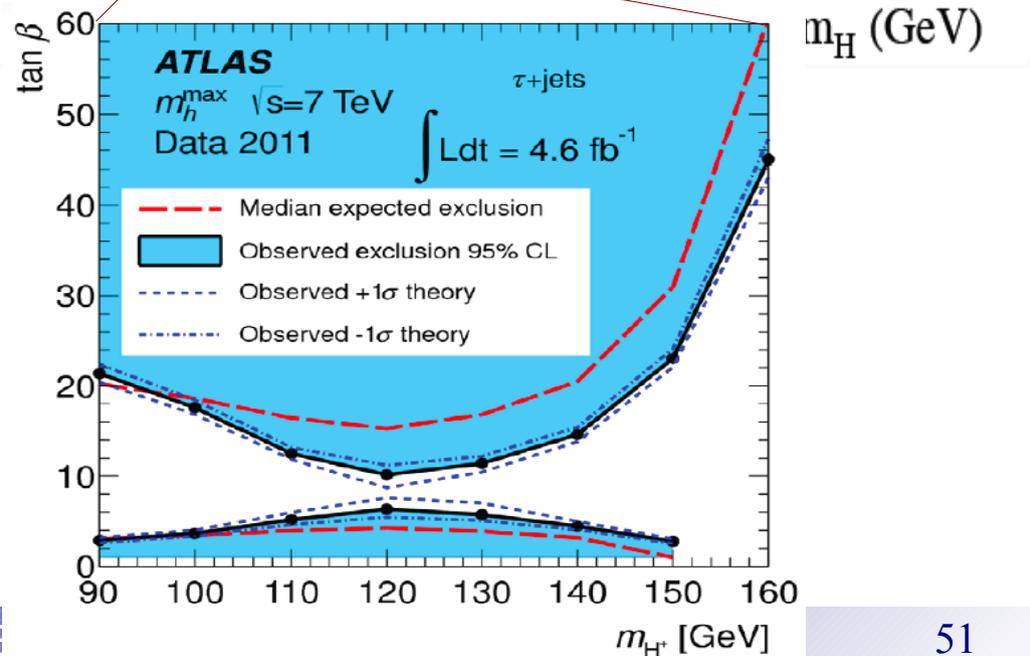
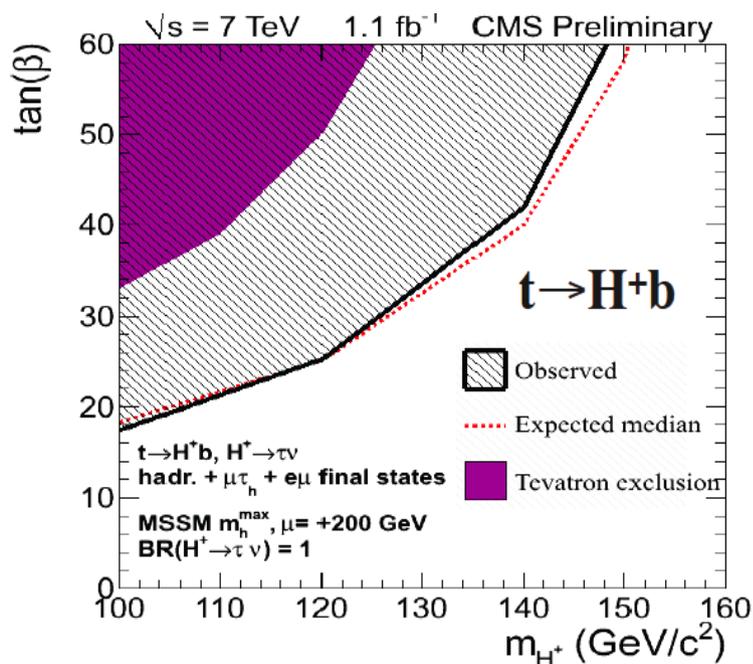
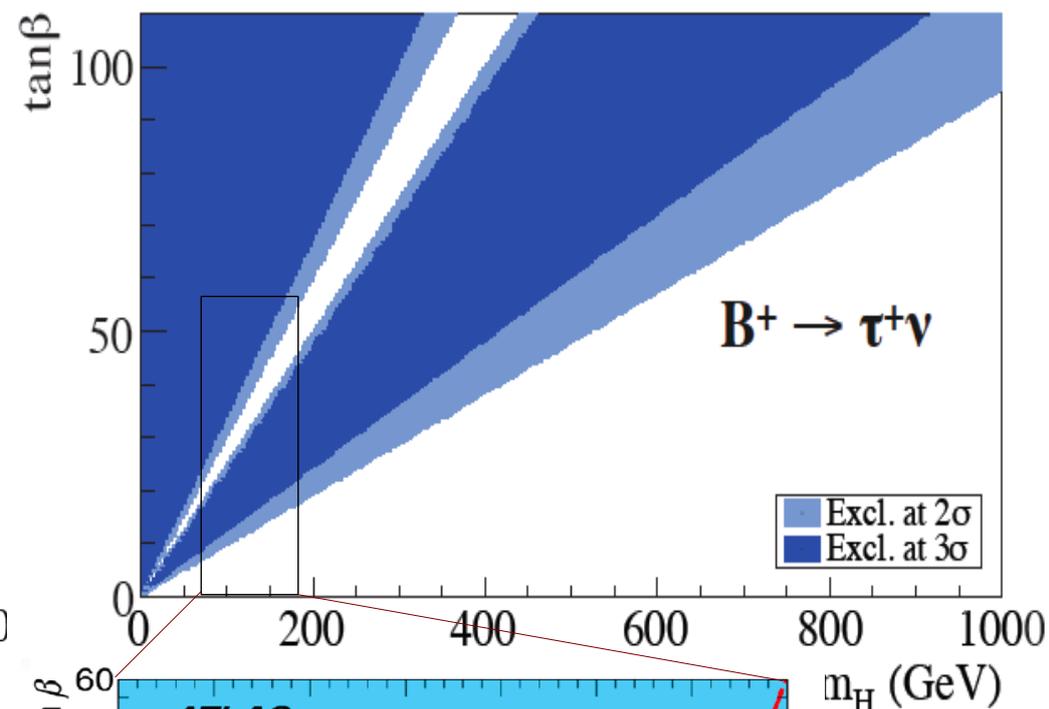
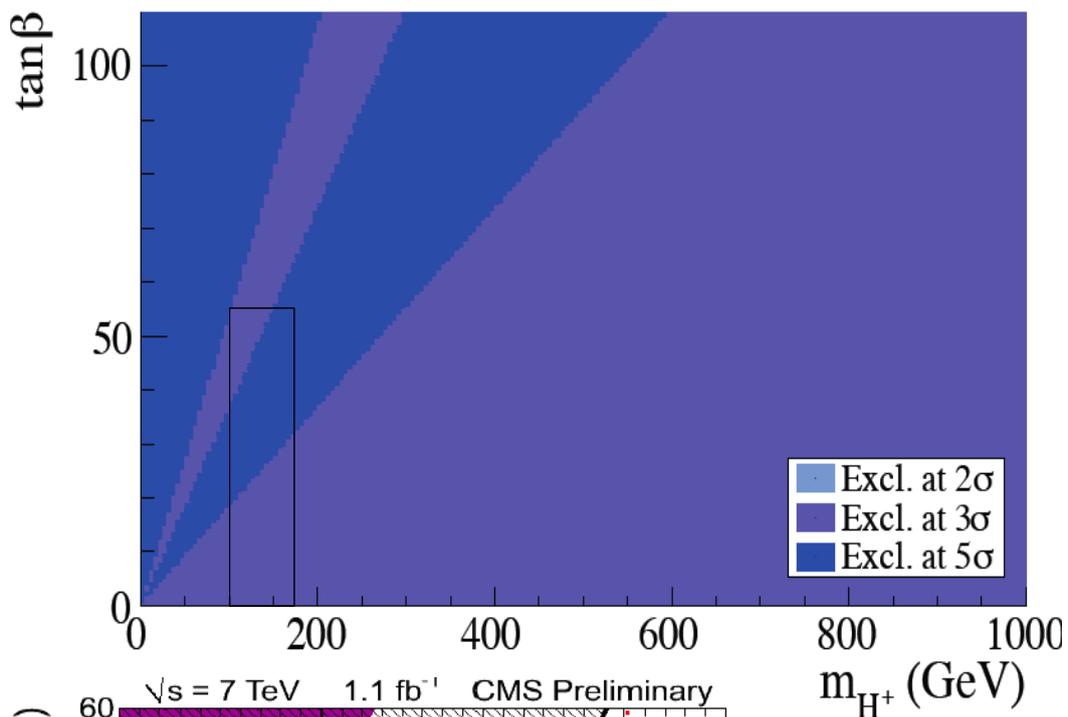
# Exclusion region for 2HDM-II

Using both  $D \cdot D^*$  results: Type II 2HDM excluded at 99.8% (3.1s)



# Exclusion region for 2HDM-II

Using both  $D \cdot D^*$  results: Type II 2HDM excluded at 99.8% (3.1s)



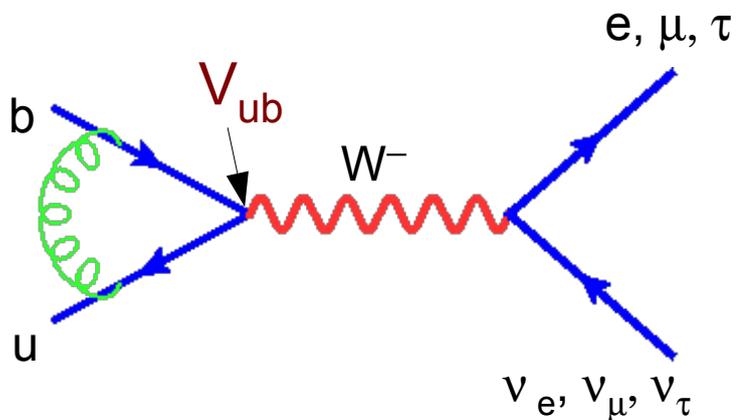
# Summary

- New (legacy) BaBar results on  $|V_{ub}|$ 
  - Puzzle about the Inclusive-Exclusive difference and bad compatibility with indirect extractions
  - Will stay with us for long
- $B \rightarrow D^{(*)} \tau \nu_{\tau}$ : not in agreement with SM prediction
  - Wait for a confirmation from Belle (LHCb ?)
- $B \rightarrow \tau \nu_{\tau}$ : reached the B-factory limits
  - Status is cloudy
  - But will be explored with high precision at SupeB-Factories.

# BACK UP

# $|V_{ub}|$ extraction at B-factories

## Leptonic Decays

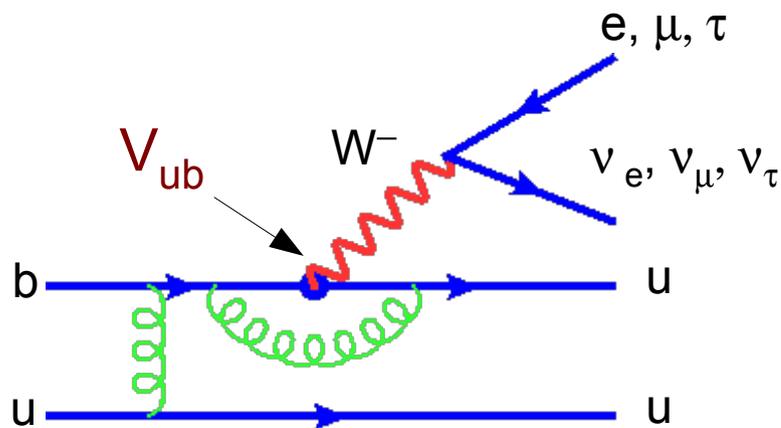


$$\mathcal{M}(B \rightarrow \ell^- \bar{\nu}) = i \frac{G_F}{\sqrt{2}} \cdot V_{ub} \cdot f_B \cdot L^\mu q_\mu$$

B meson decay constant

Clean, but helicity suppressed

## Semileptonic Decays



Leptonic and hadronic currents factorize

$$\mathcal{M}(B \rightarrow \pi \ell^- \bar{\nu}) = -i \frac{G_F}{\sqrt{2}} \cdot V_{ub} \cdot L^\mu H_\mu$$

$B \rightarrow \pi$  hadronic current

# CKM Matrix measurements

- CKM cannot be predicted in the SM
- Most SM extensions contain new CP-violating phases and new quark-flavor changing interactions

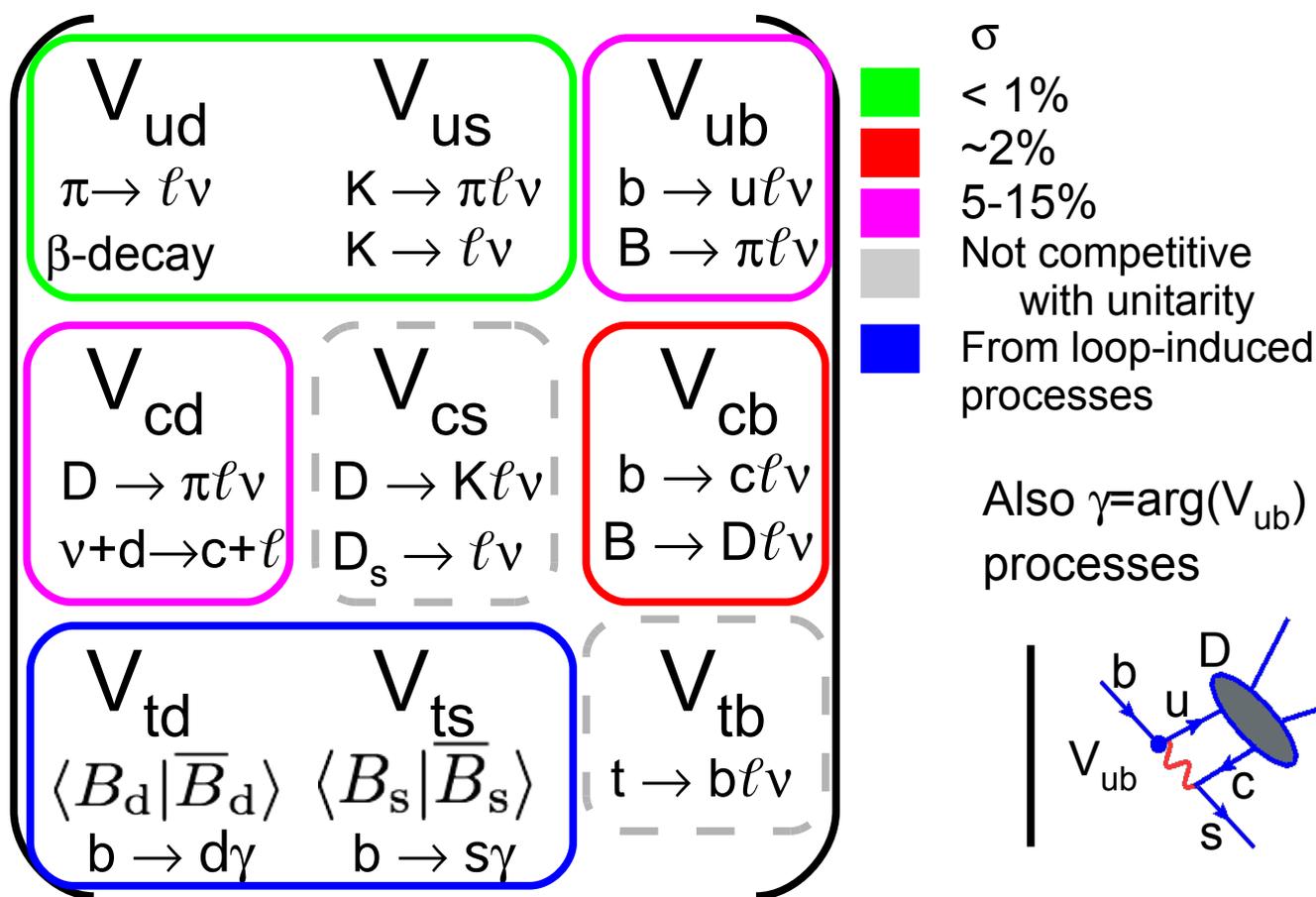
$V_{ud}$ $\pi \rightarrow \ell \nu$ $\beta$ -decay	$V_{us}$ $K \rightarrow \pi \ell \nu$ $K \rightarrow \ell \nu$	$V_{ub}$ $b \rightarrow u \ell \nu$ $B \rightarrow \pi \ell \nu$
$V_{cd}$ $D \rightarrow \pi \ell \nu$ $\nu + d \rightarrow c + \ell$	$V_{cs}$ $D \rightarrow K \ell \nu$ $D_s \rightarrow \ell \nu$	$V_{cb}$ $b \rightarrow c \ell \nu$ $B \rightarrow D \ell \nu$
$V_{td}$ $\langle B_d   \bar{B}_d \rangle$ $b \rightarrow d \gamma$	$V_{ts}$ $\langle B_s   \bar{B}_s \rangle$ $b \rightarrow s \gamma$	$V_{tb}$ $t \rightarrow b \ell \nu$

$$\left| \begin{array}{c} \text{Diagram} \end{array} \right|^2 \sim |V_{km}|^2$$

The CKM matrix extracted from tree-level processes

# CKM Matrix measurements

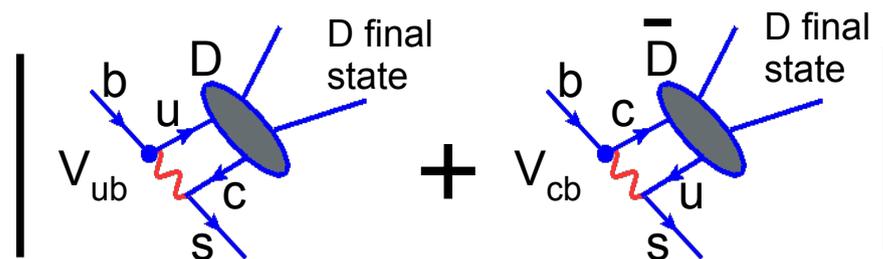
- CKM cannot be predicted in the SM
- Most SM extensions contain new CP-violating phases and new quark-flavor changing interactions



Semileptonic and leptonic decays play a crucial role

**Two matrix elements from B SL decays:** 1 side and the normalization of the UT

Also  $\gamma = \arg(V_{ub})$  can be obtained by tree-level processes



Only  $V_{td}$ ,  $V_{ts}$  cannot be accessed by tree-level processes

(From G. Isidori)

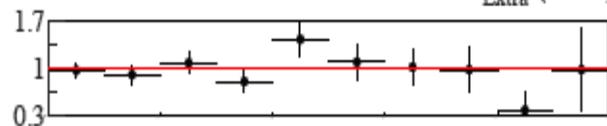
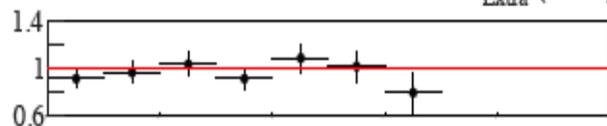
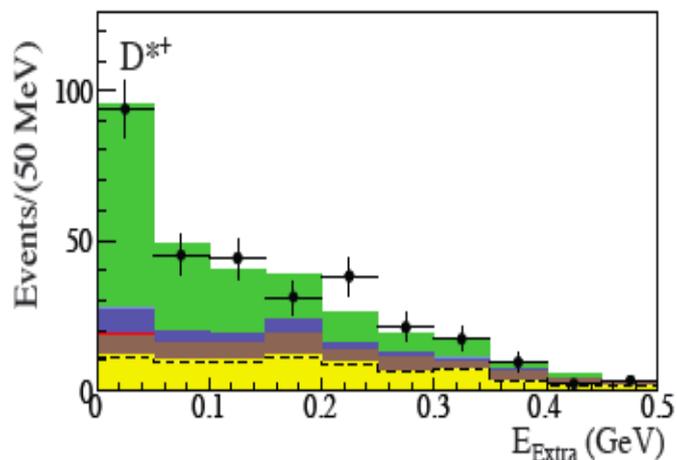
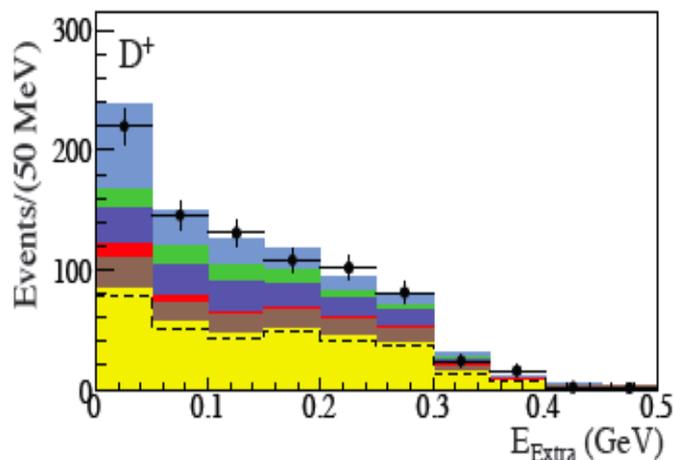
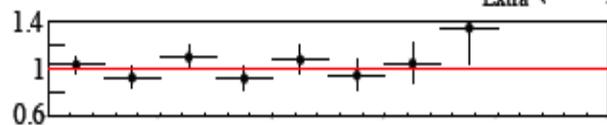
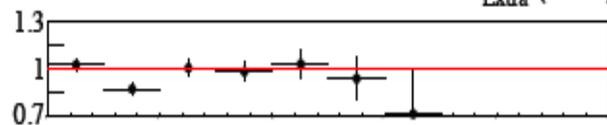
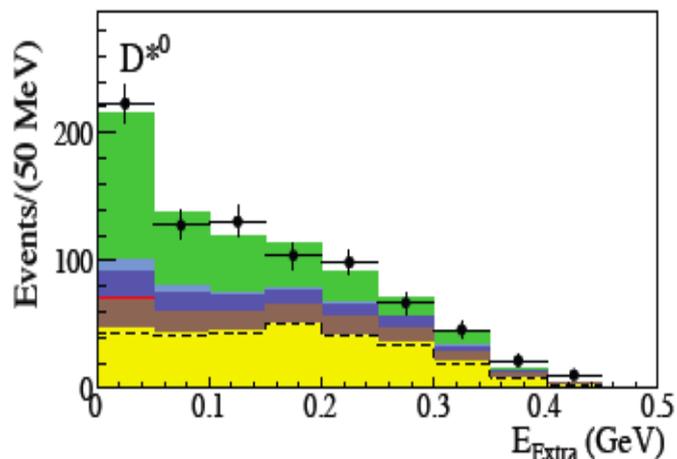
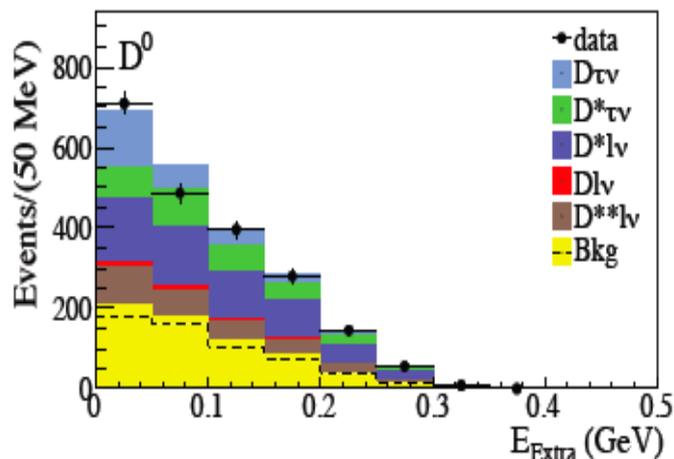
# Event Reconstruction: Details

- $B_{\text{tag}}$  reconstructed in  $B \rightarrow D^{(*)}X$ ,  $B \rightarrow D_s^{(*)}X$ ,  $B \rightarrow J/\psi X$  ( $X = \pi, K$  modes with  $n_X < 6$ ) and selected using

- beam energy substituted mass 
$$m_{ES} = \sqrt{(E_{beam}^*)^2 - (\mathbf{p}_{tag}^*)^2}$$
- the energy difference 
$$\Delta E = E_{tag}^* - E_{beam}^*$$

- Signal side  $D^{(*)}$  in  $D^0$ ,  $D^{*0}$ ,  $D^+$ ,  $D^{*+}$  and require an identified lepton
- No additional charged particles
- Kinematic selection:  $q^2 = (p_B - p_{D^{(*)}})^2 = q^2 > 4 \text{ GeV}^2$
- Boosted Decision Tree (BDT)
  - Reduce combinatorial and  $D^{**}$  backgrounds
- Because the  $B \rightarrow D^{**}(\ell, \tau)\nu$  have large uncertainties
  - We fit simultaneously also a sample of 4  $D^{(*)}\pi^0\ell\nu$ 
    - same selection as signal but added  $\pi^0$ : captures  $D^{**} \rightarrow D^{(*)}\pi^0$
- Three control samples to validate and correct the simulation:
  - $E_{\text{extra}} > 0.5 \text{ GeV}$ ,  $q^2 < 4 \text{ GeV}^2$ ,  $m_{ES} < 5.26 \text{ GeV}$
  - + off-peak data to correct lepton spectrum of simulated continuum events

# Energy extra in the EMC



$$E_{\text{Extra}} = \sum_{\text{unused } \gamma} E_{\gamma}$$

$E_{\text{Extra}}$  distribution after the Fit

$$m_{\text{miss}}^2 > 1.5 \text{ GeV}^2$$

# Background estimation

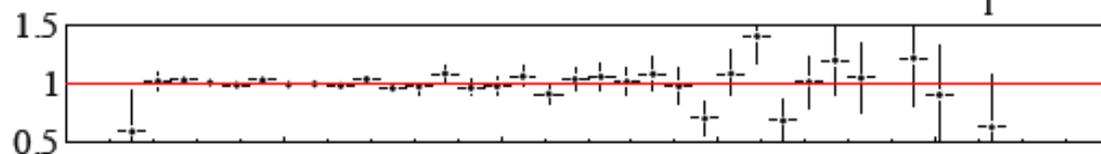
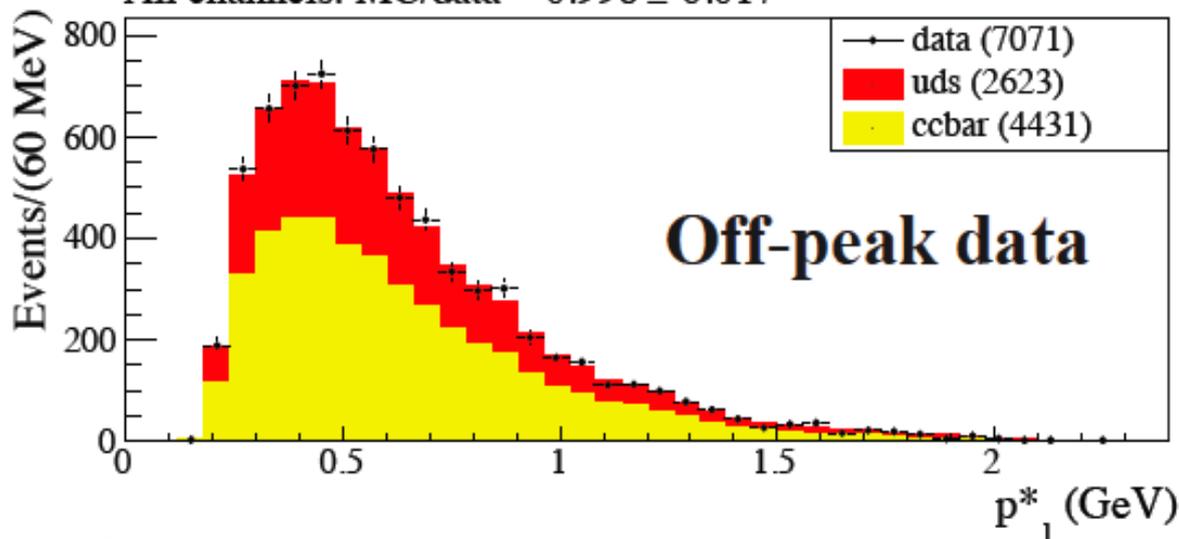
Continuum:

Using off-peak data  
Special runs taken below the  $Y(4S)$  peak

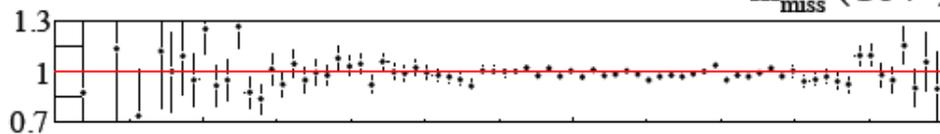
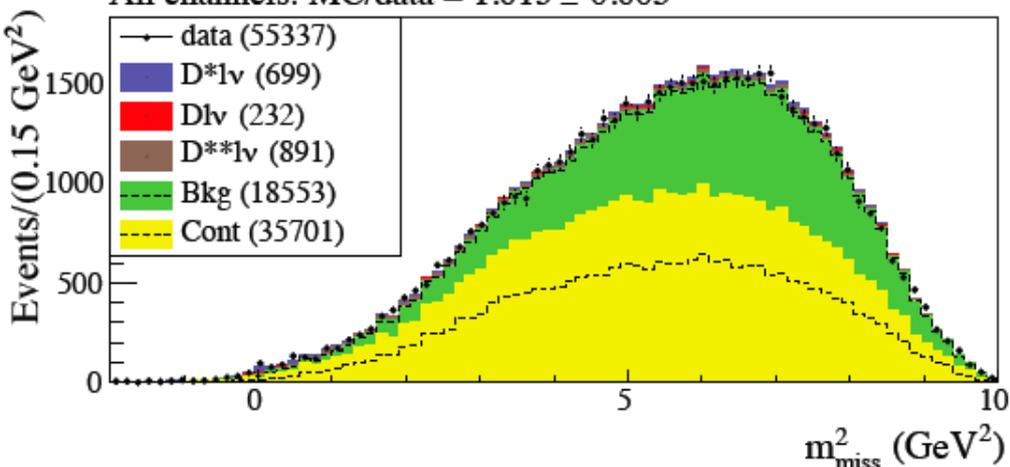
BB background:

Estimated in events with large  $E_{\text{extra}}$

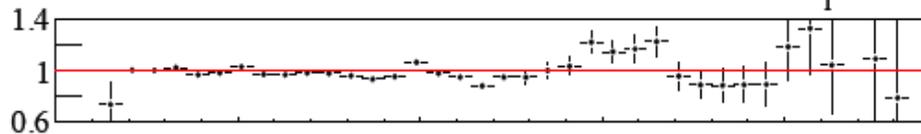
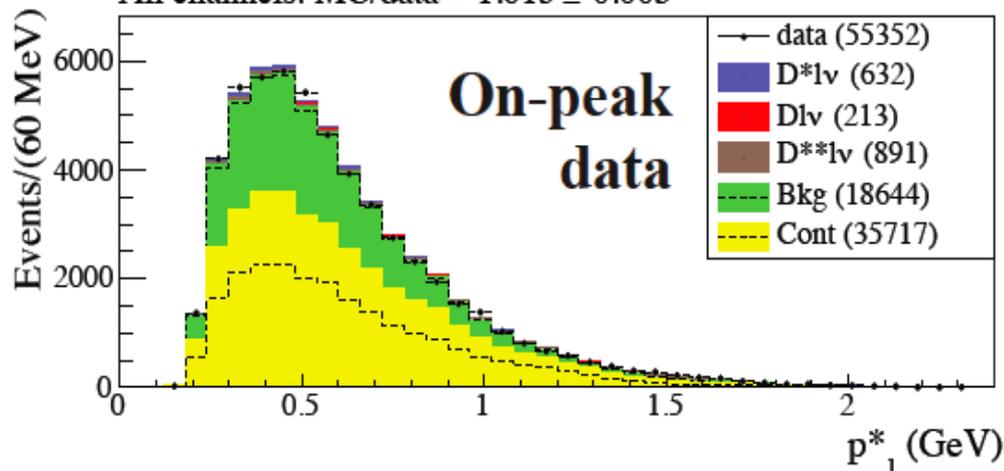
All channels: MC/data =  $0.998 \pm 0.017$



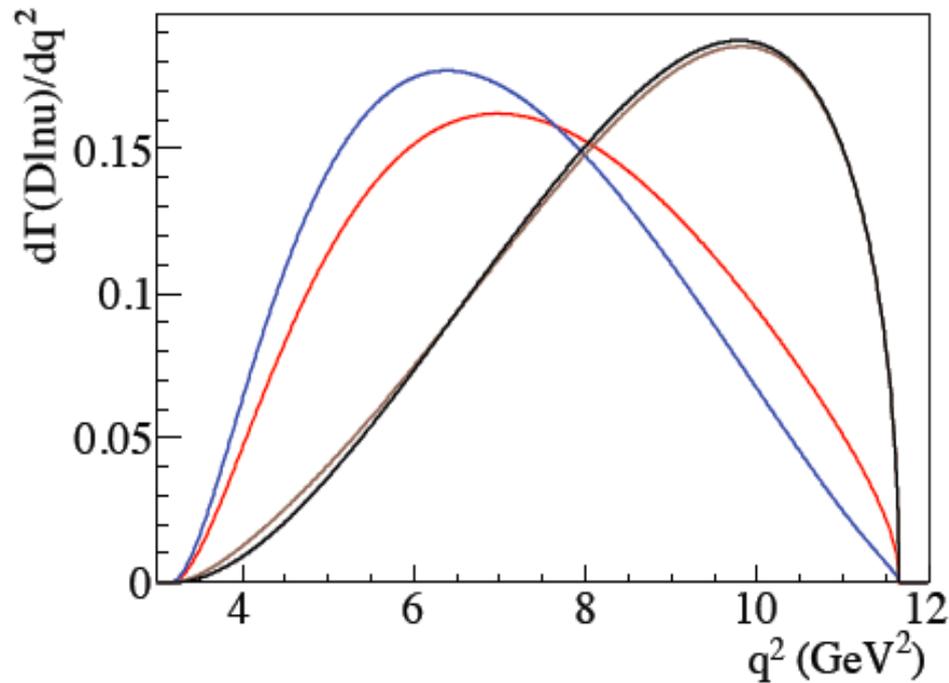
All channels: MC/data =  $1.013 \pm 0.005$



All channels: MC/data =  $1.013 \pm 0.005$



# Two Higgs Doublet Model



- SM
- $\tan\beta/m_{H^+} = 0.3 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 0.5 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 1.0 \text{ GeV}^{-1}$