

DESY, HERA Symposium, 5 July '11

# Overview of Deep Inelastic Scattering Physics

Past, Present and Future of DIS

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Universita' di Roma Tre and CERN

# DIS is >40 years old!

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## OBSERVED BEHAVIOR OF HIGHLY INELASTIC ELECTRON-PROTON SCATTERING

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Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

and

E. D. Bloom, D. H. Coward, H. DeStaebler, J. Drees, L. W. Mo, and R. E. Taylor

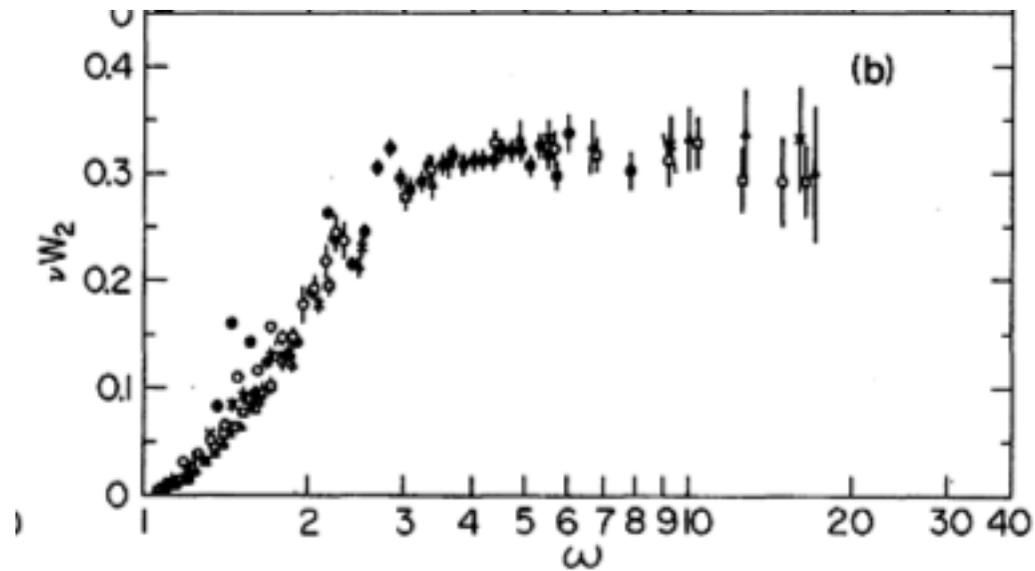
Stanford Linear Accelerator Center,† Stanford, California 94305

(Received 22 August 1969)

Results of electron-proton inelastic scattering at  $6^\circ$  and  $10^\circ$  are discussed, and values of the structure function  $W_2$  are estimated. If the interaction is dominated by transverse virtual photons,  $\nu W_2$  can be expressed as a function of  $\omega = 2M\nu/q^2$  within experimental errors for  $q^2 > 1$  (GeV/c) $^2$  and  $\omega > 4$ , where  $\nu$  is the invariant energy transfer and  $q^2$  is the invariant momentum transfer of the electron. Various theoretical models and sum rules are briefly discussed.



1969: first evidence of approximate Bjorken scaling



Ever since  
Deep Inelastic Scattering has  
played a capital role in the  
development of QCD

$$l + N \rightarrow l' + X, \quad l = e, \mu, \nu$$

- Many structure functions
- $F_i(x, Q^2)$ : two variables
- Neutral currents, charged currents
- Different beams and targets
- Different polarization

From the beginning: Establishing quarks and gluons as partons

Constructing a field theory of strong int.ns

and along the years: Quantitative testing of QCD

Totally inclusive

QCD theory of scaling violations crystal clear  
(based on ren. group and operator exp.)

$Q^2$  dependence tested at each  $x$  value)

Measuring  $q$  and  $g$  densities in the nucleon

Instrumental to compute all hard processes

Measuring  $\alpha_s$

Always presenting new challenges, e g:

Structure functions at small  $x$ ; heavy flavour structure functions;  
polarized parton densities,  $g_1, g_2, h_1, \dots$ ; non forward pdf's

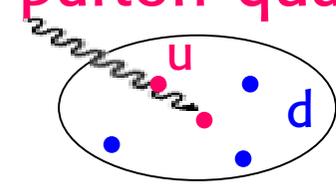
Diffraction



# In the '70's a great role in establishing QCD

- Approximate Scaling
- Success of Naive Parton Model Bjorken, Feynman

From constituent quarks (real? fictitious?) to parton quarks (real!)



- $R = \sigma_L / \sigma_T \rightarrow 0$  Spin 1/2 quarks
- ~50% of momentum carried by neutrals
- Quark charges:

Gluons

$$F = 2F_1 \sim F_2/x \quad \leftarrow \sigma_L \sim 0$$

$$F_{\gamma p} = \frac{4}{9} u(x) + \frac{1}{9} d(x) + \dots = \text{small sea}$$

$$F_{\gamma n} = \frac{4}{9} d(x) + \frac{1}{9} u(x) + \dots$$

$$F_{\nu p} \sim \bar{F}_{\nu n} = 2 d(x) + \dots$$

$$F_{\nu n} \sim \bar{F}_{\nu p} = 2 u(x) + \dots$$

$$\int (u - \bar{u}) dx = 2$$

$$\int (d - \bar{d}) dx = 1$$

$$\int (s - \bar{s}) dx = 0$$



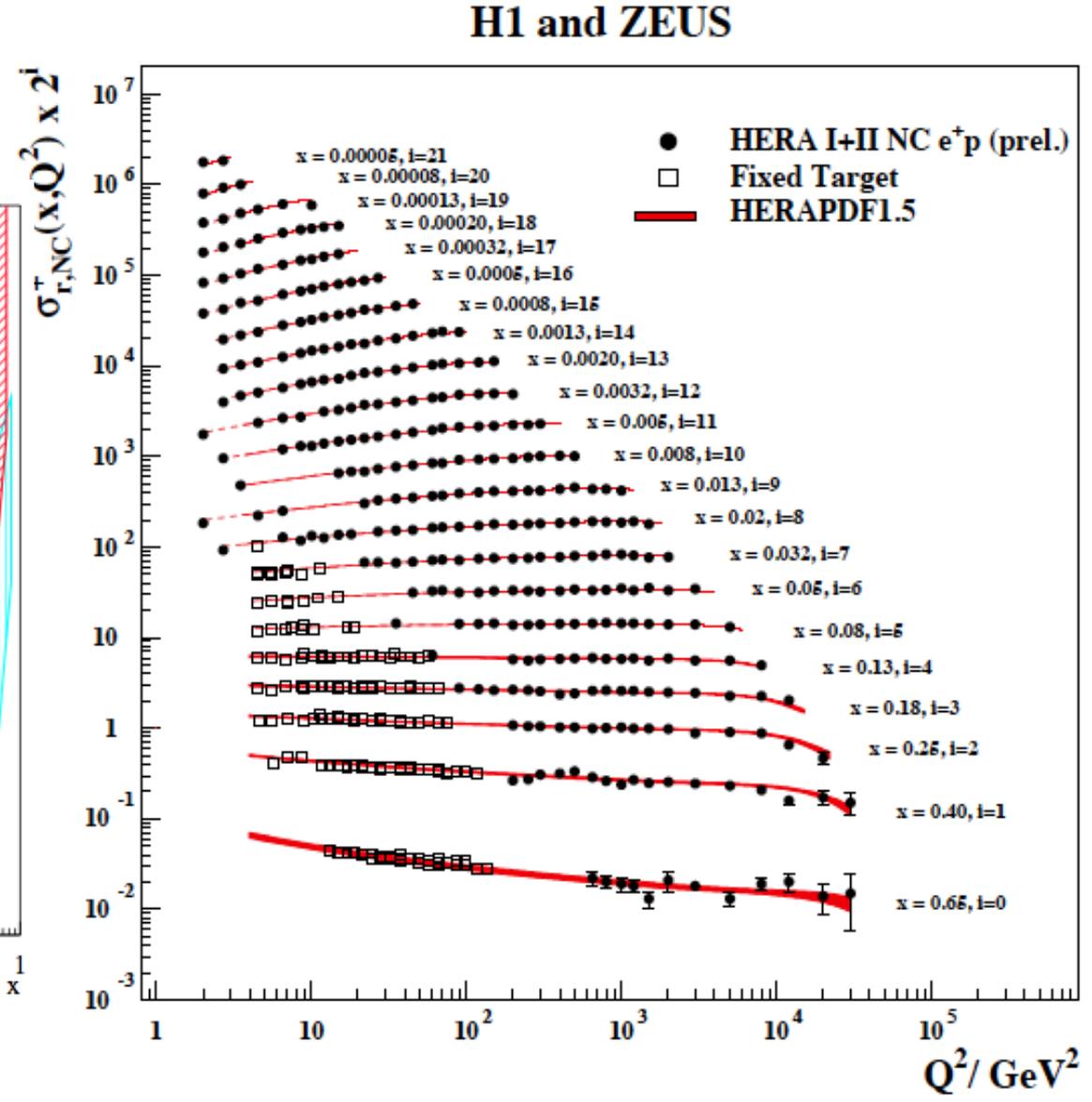
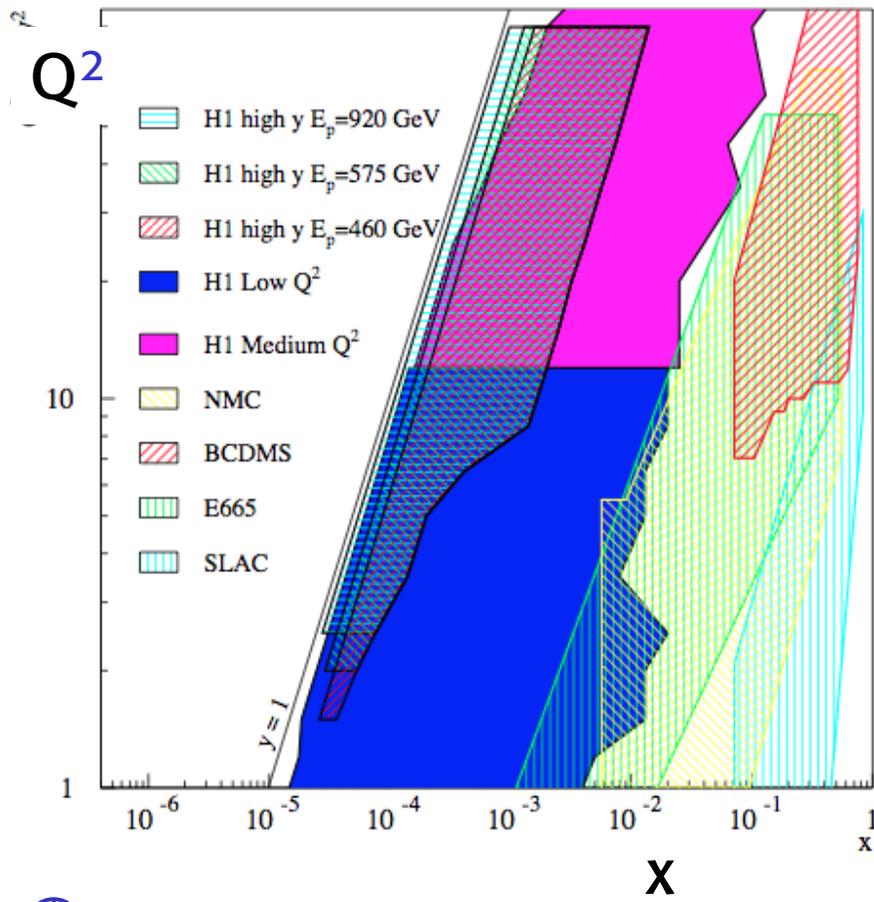
$F = F(x)$ ,  $u = u(x)$ ,  $d = d(x)$ :  
naive parton model (scaling)

Over the years a magnificent work  
both experimental and theoretical



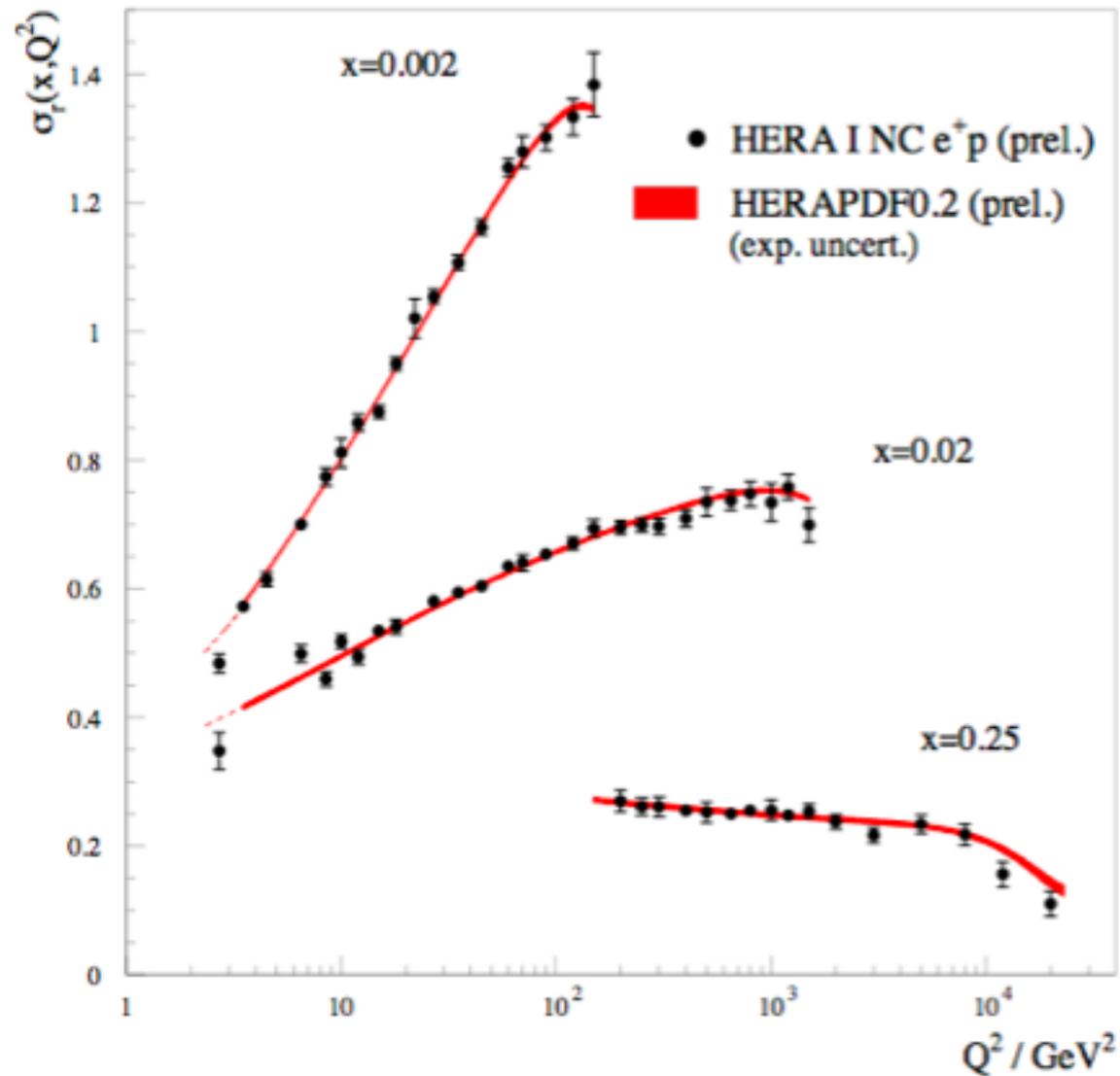
# Great progress in the DIS data culminated at HERA

## Proton Structure Function $F_2(x, Q^2)$

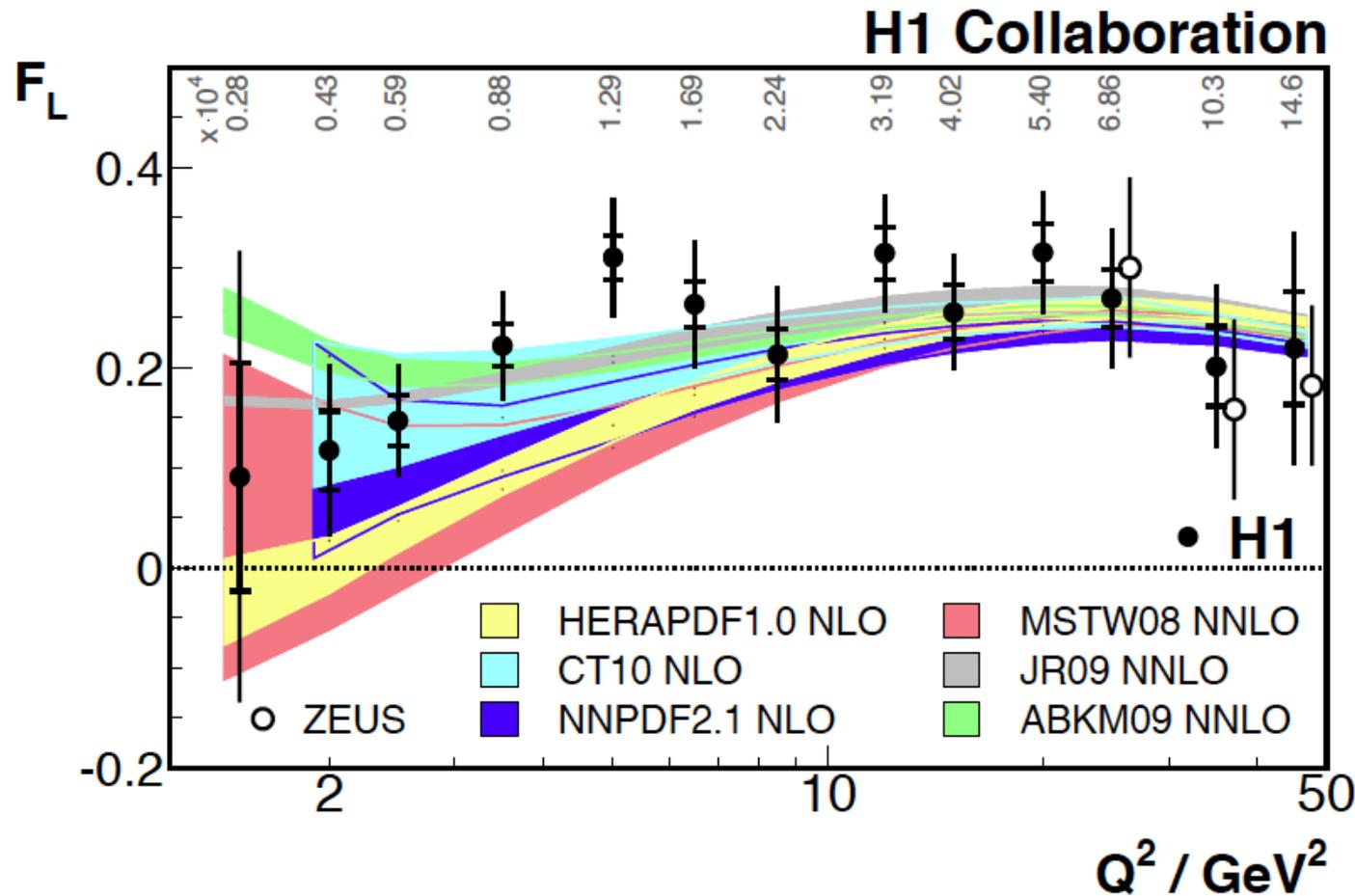


This is how the scaling violations appear in 2011 after >40 years

### H1 and ZEUS Combined PDF Fit



It took ~40 years to get meaningful data on the longitudinal structure function!!

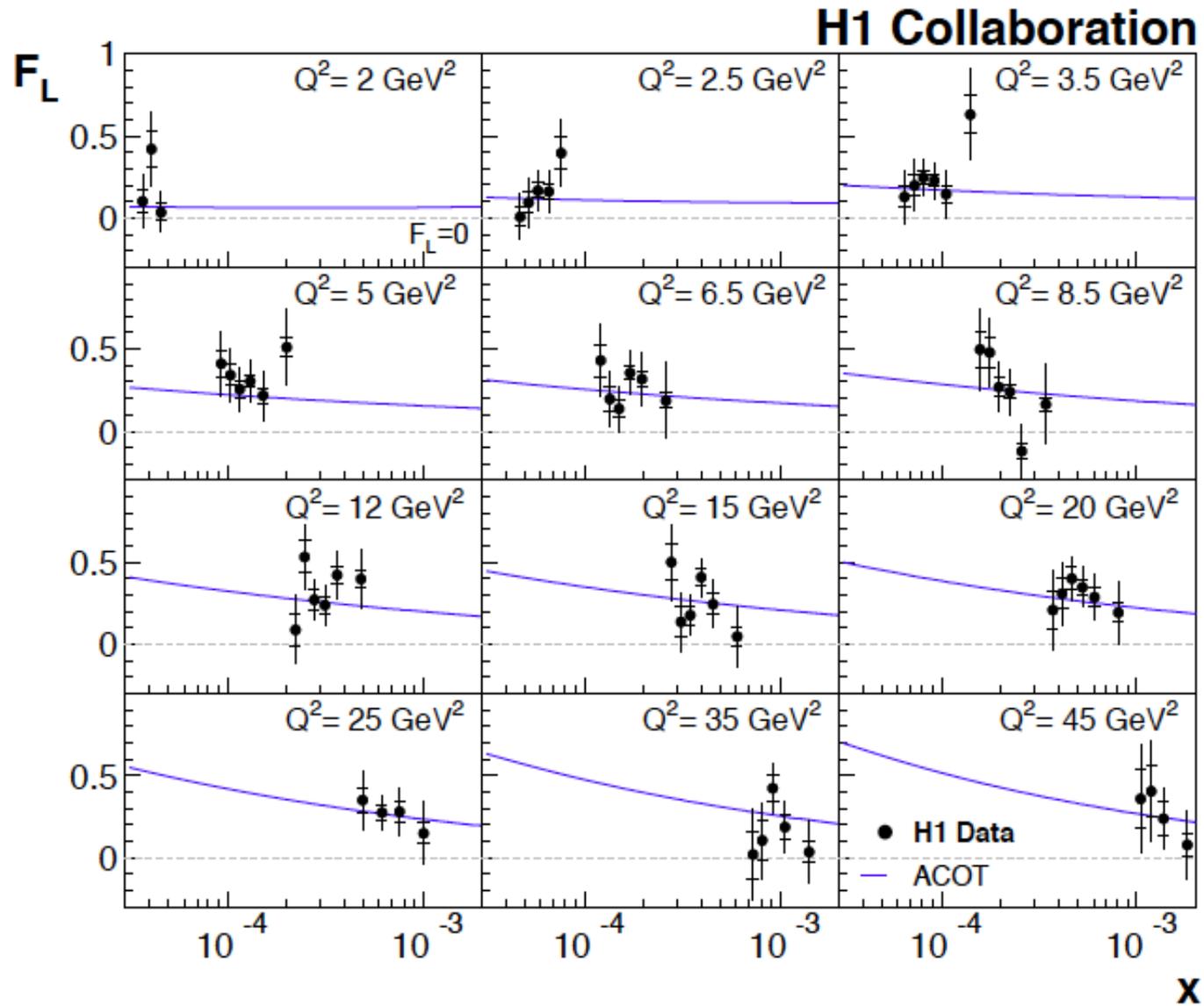


But better data would be highly desirable

Altarelli, Martinelli '78

$$\oplus \quad F_L(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} x^2 \int_x^1 \frac{dy}{y^3} \left[ \frac{8}{3} F_2(y, Q^2) + \frac{40}{9} yg(y, Q^2) \left(1 - \frac{x}{y}\right) \right]_{n_f=4}$$

# $F_L$ vs $x, Q^2$



**NLO QCD & ACOT**

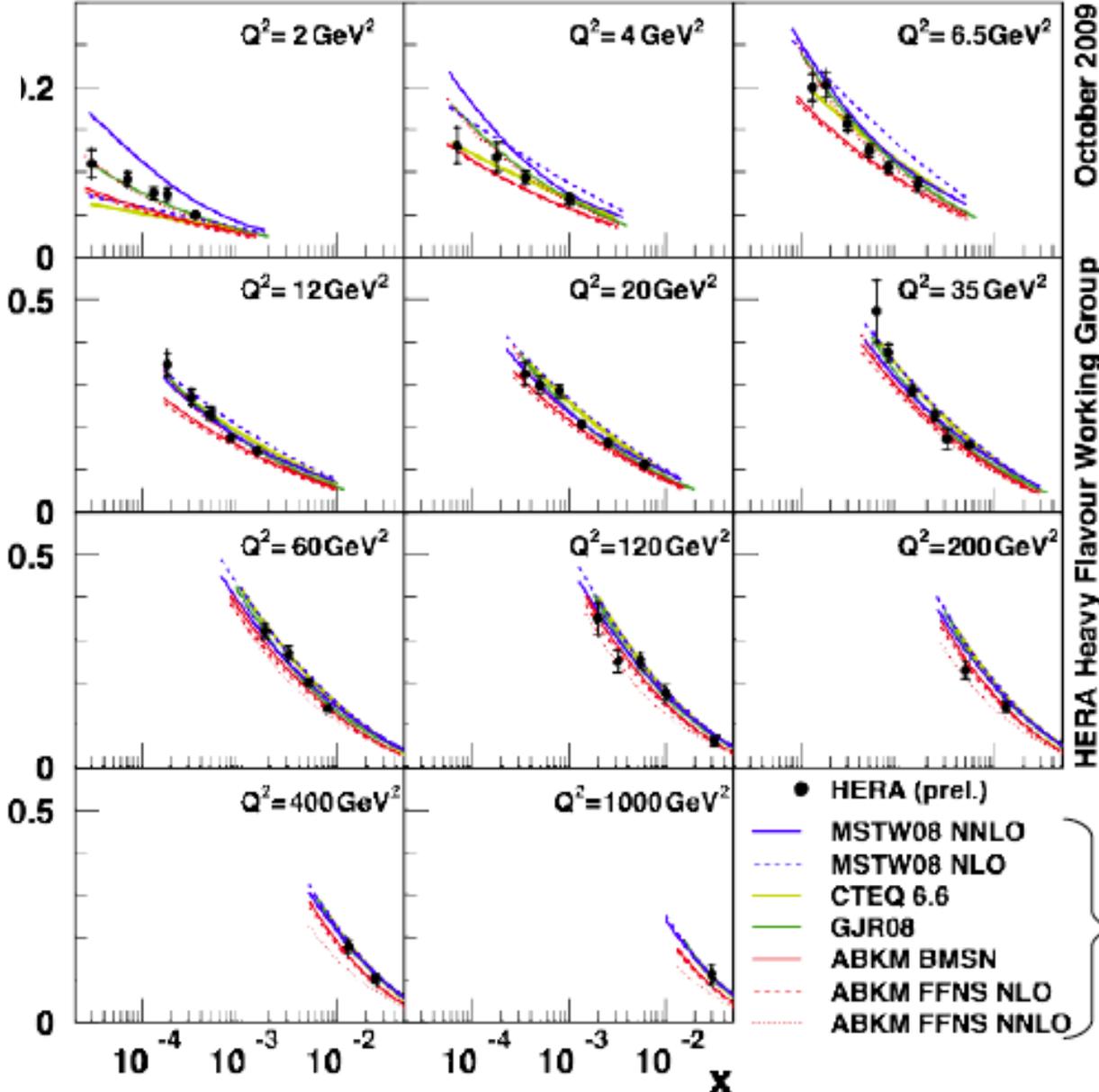
# Heavy flavoured structure functions

H1 and ZEUS

$$F_2^{cc}$$

The charm component of  $F_2$  measured

A great job at HERA!



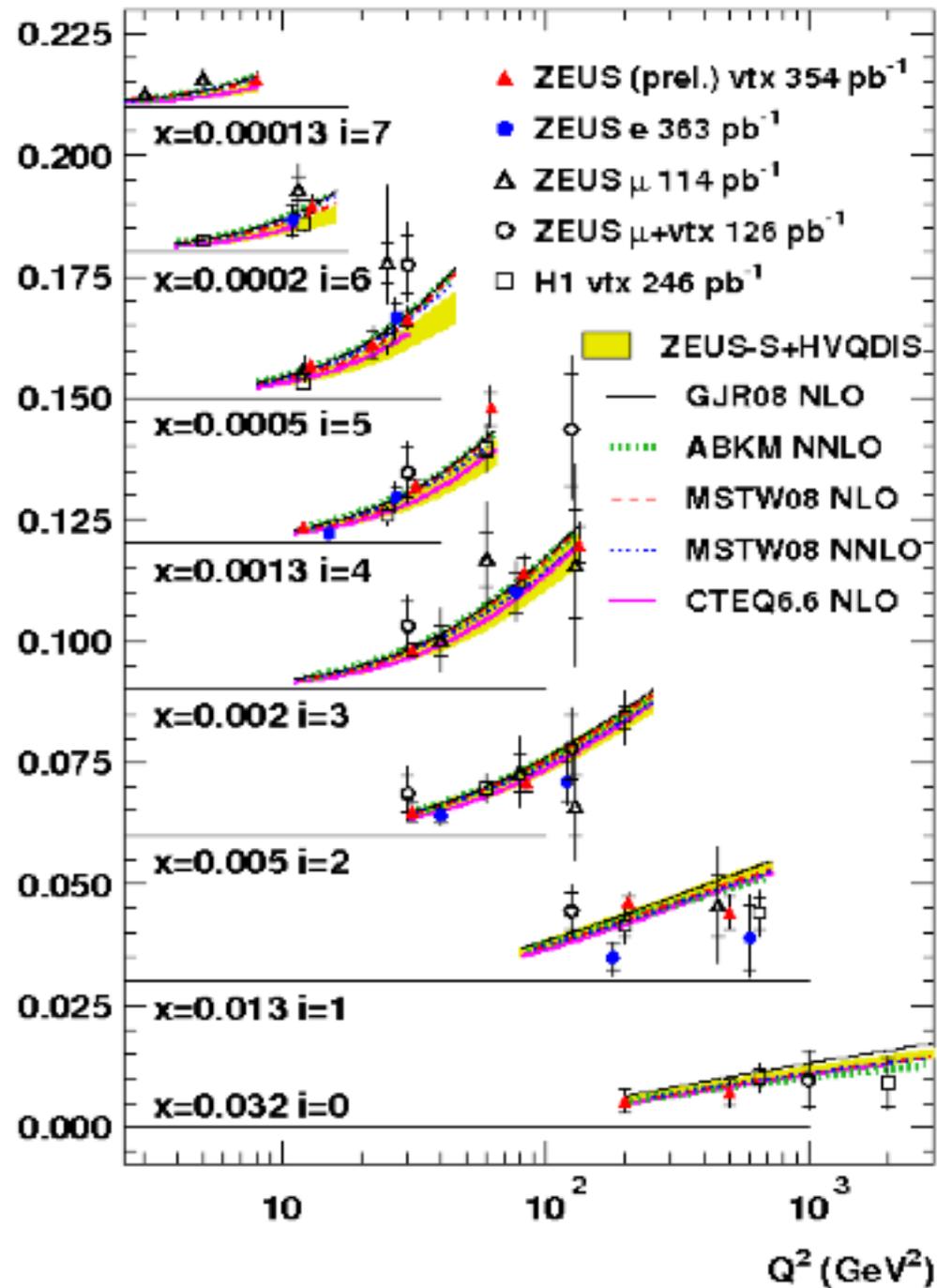
October 2009  
HERA Heavy Flavour Working Group

- HERA (prel.)
  - MSTW08 NNLO
  - - - MSTW08 NLO
  - CTEQ 6.6
  - GJR08
  - ABKM BMSN
  - - - ABKM FFNS NLO
  - - - ABKM FFNS NNLO
- } different HQ schemes

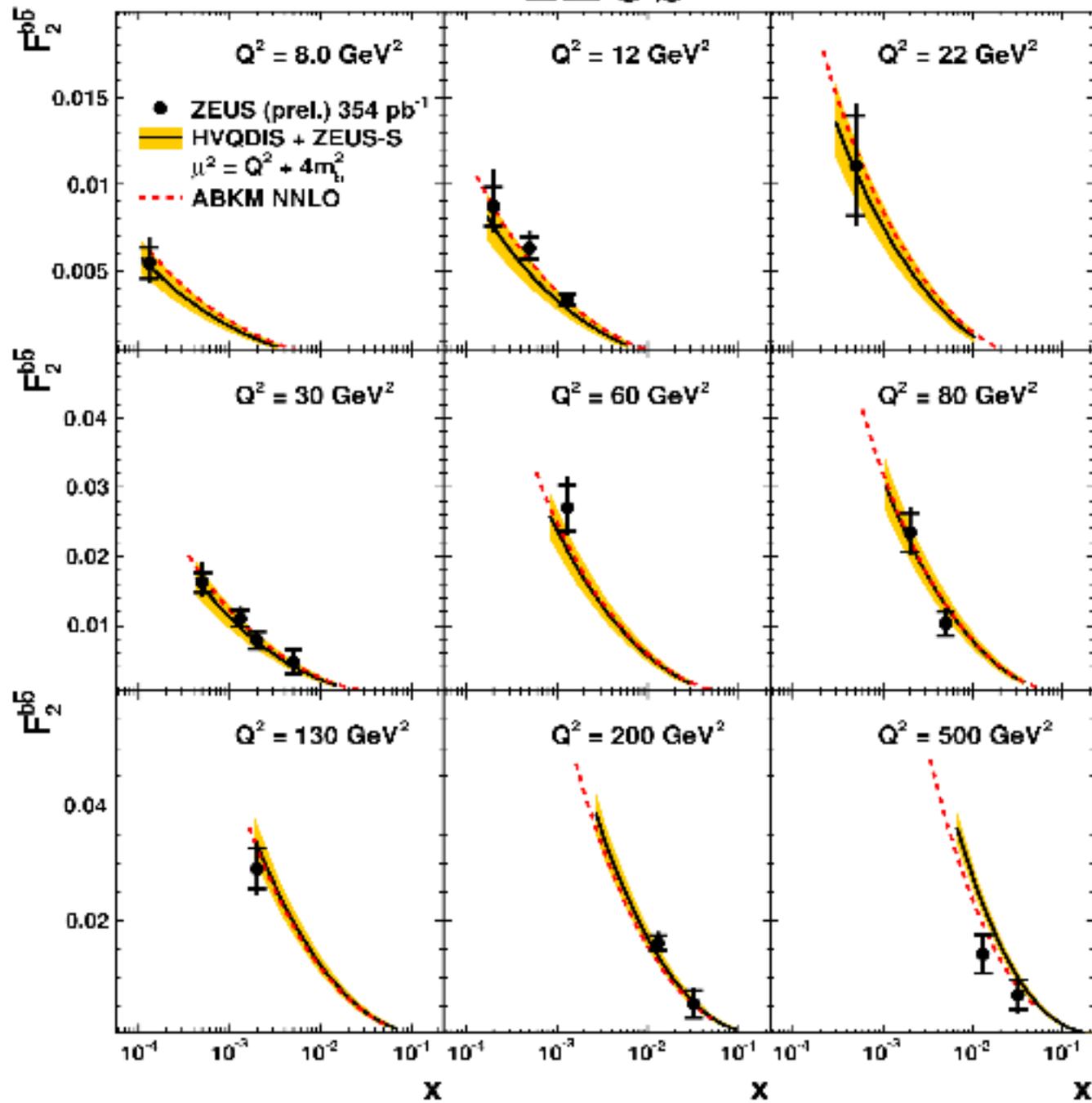


$$F_2^{b\bar{b}}$$

And also the  
b quark  
contribution  
to F2 has been  
measured

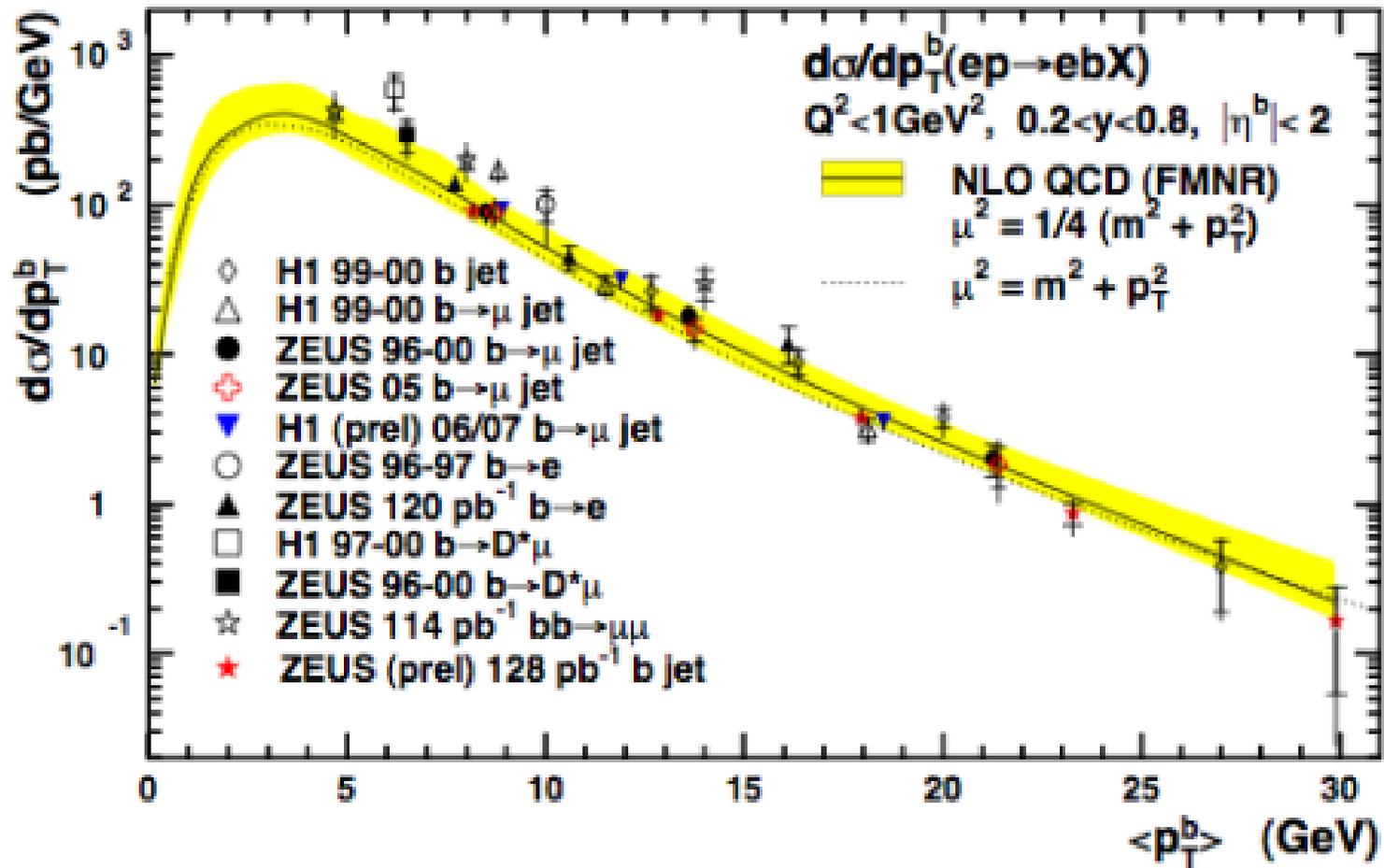


# ZEUS

 $F_2^{b\bar{b}}$ 

# b photoproduction

## HERA

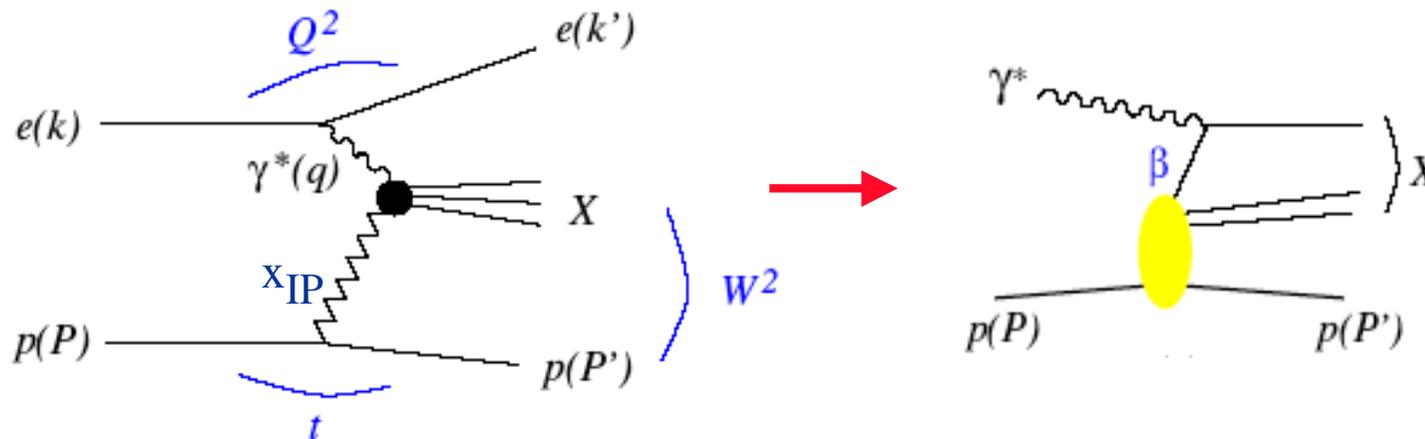


Fair agreement with NLO QCD



# Diffractive structure functions

## QCD partons and Pomeron phenomenology



Arneodo, Diehl '06

$$x_{\mathbb{P}} = \frac{(P - P') \cdot q}{P \cdot q} \quad \beta = \frac{Q^2}{2(P - P') \cdot q} \quad \beta x_{\mathbb{P}} = x$$

$$x_{\mathbb{P}} \sim 0.001-0.02$$

$$\frac{d\sigma^{ep \rightarrow eXp}}{d\beta dQ^2 dx_{\mathbb{P}} dt} = \frac{4\pi\alpha_{\text{em}}^2}{\beta Q^4} \left[ \left(1 - y + \frac{y^2}{2}\right) F_2^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) - \frac{y^2}{2} F_L^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) \right]$$

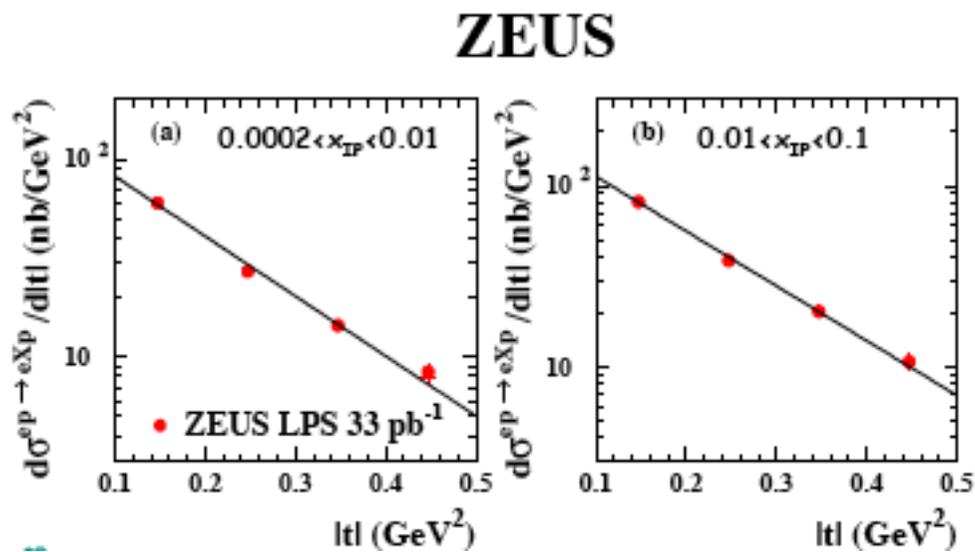
QCD evolution

factorization

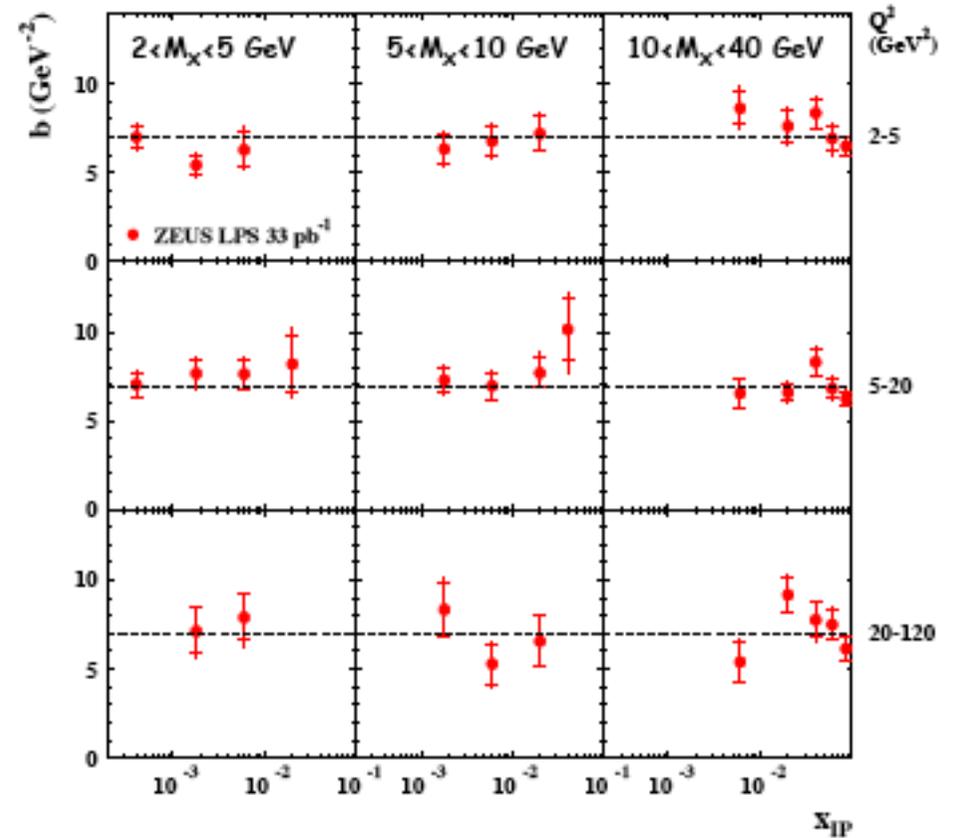
$$F_2^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) = \sum_i \int_{\beta}^1 \frac{dz}{z} C_i\left(\frac{\beta}{z}\right) f_i^D(z, x_{\mathbb{P}}, t; Q^2)$$



t dependence is exponential  
(typical of diffraction)

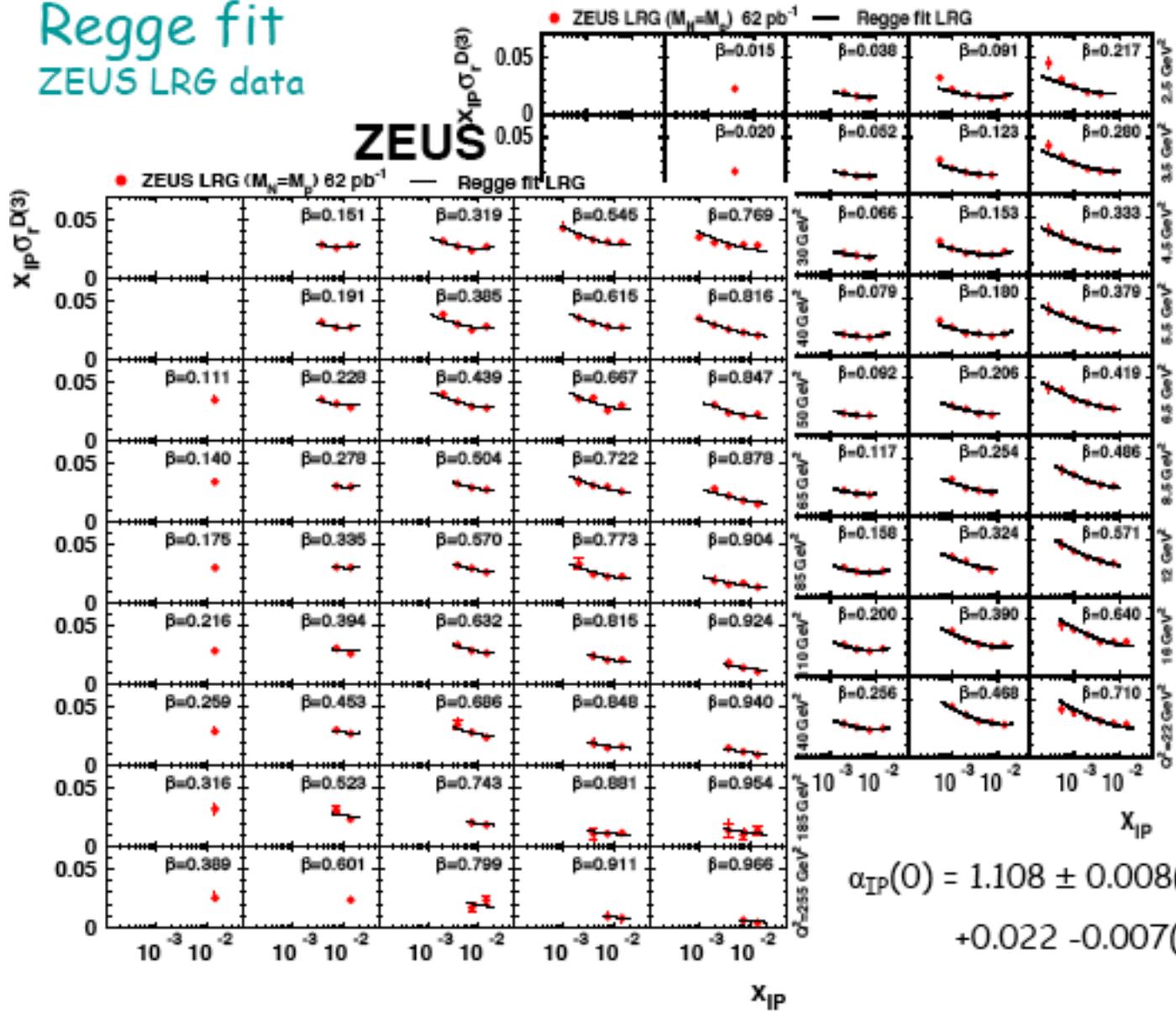


Fit to  $e^{-b|t|} \rightarrow b = 7.0 \pm 0.4 \text{ GeV}^{-2}$



Regge fit  
ZEUS LRG data

# ZEUS

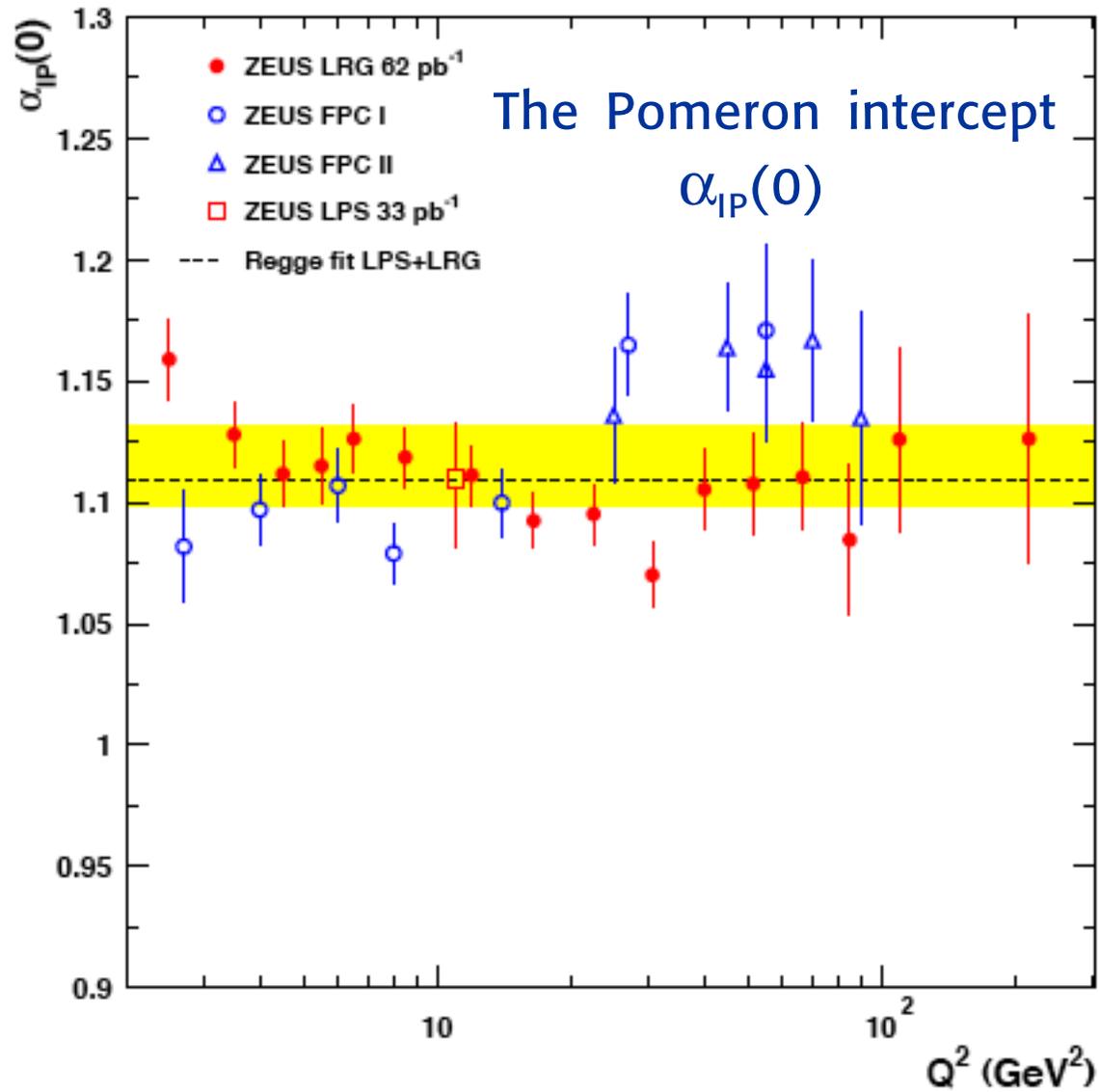


$$\alpha_{IP}(0) = 1.108 \pm 0.008(\text{stat+syst})$$

$$+0.022 -0.007(\text{model})$$



→ Assumption of Regge factorisation works

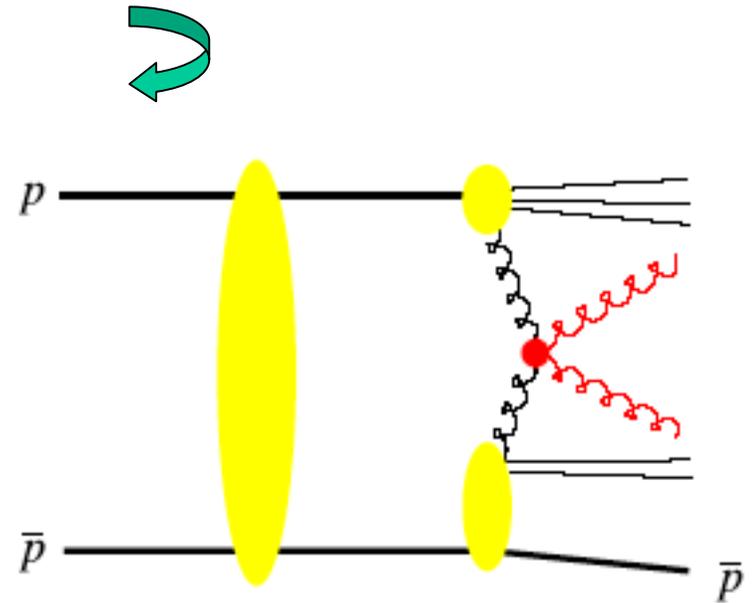
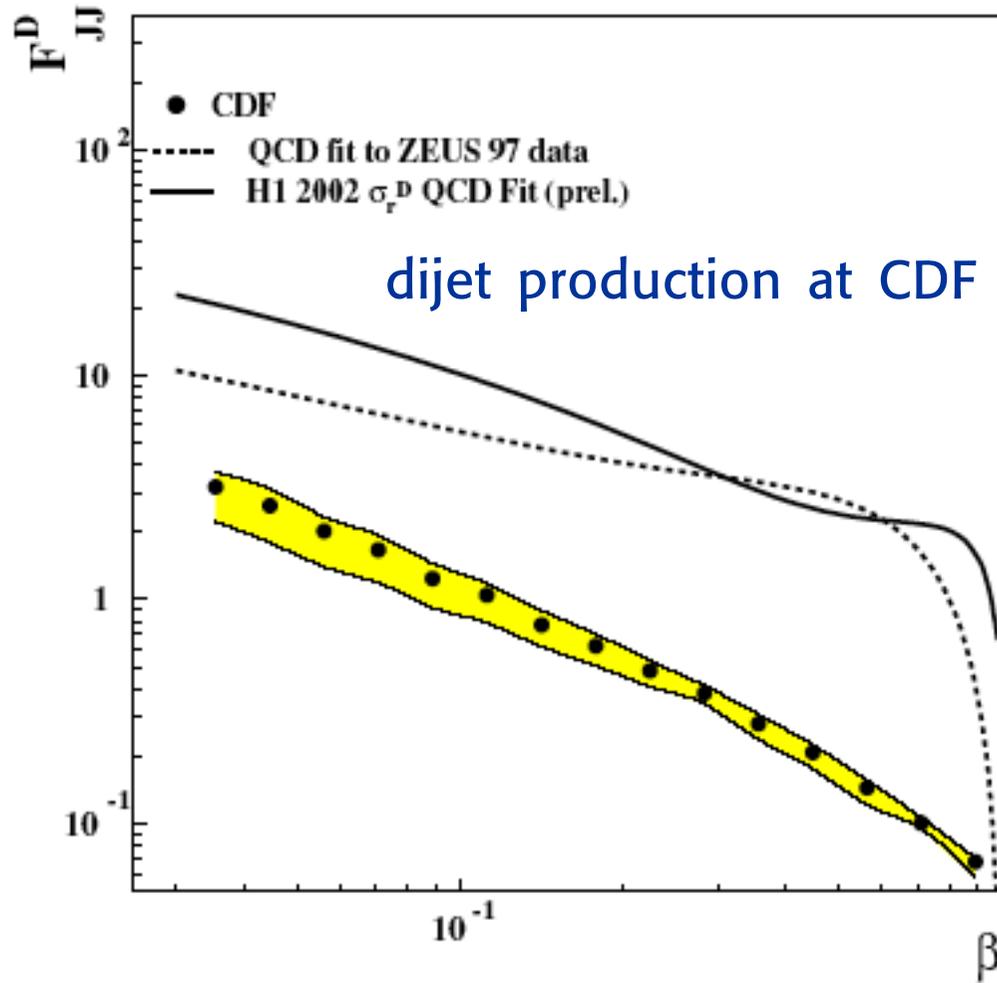


constant in  $Q^2$   
 $\alpha_{IP}(0) > 1$   
 (maybe 1  
 modulo logs)



# Diffractive parton densities do not factorize outside eP!

Berera, Soper '95  
Collins '97



Progress in experiment has been matched by impressive achievements in theory

For example in the theory of scaling violations



One can say that the application of QCD starts with the Nobel winner papers by **Gross & Wilczek** and by **Politzer** in **1973**

### Ultraviolet Behavior of Non-Abelian Gauge Theories\*

David J. Gross† and Frank Wilczek

*Joseph Henry Laboratories, Princeton University, Princeton, New Jersey 08540*

(Received 27 April 1973)

It is shown that a wide class of non-Abelian gauge theories have, up to calculable logarithmic corrections, free-field-theory asymptotic behavior. It is suggested that Bjorken scaling may be obtained from strong-interaction dynamics based on non-Abelian gauge symmetry.

### Reliable Perturbative Results for Strong Interactions?\*

H. David Politzer

*Jefferson Physical Laboratories, Harvard University, Cambridge, Massachusetts 02138*

(Received 3 May 1973)

An explicit calculation shows perturbation theory to be arbitrarily good for the deep Euclidean Green's functions of any Yang-Mills theory and of many Yang-Mills theories with fermions. Under the hypothesis that spontaneous symmetry breakdown is of dynamical origin, these symmetric Green's functions are the asymptotic forms of the physically significant spontaneously broken solution, whose coupling could be strong.



In few years the QCD improved parton model was developed

ASYMPTOTIC FREEDOM IN PARTON LANGUAGE

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G. PARISI \*\*\*

*Institut des Hautes Etudes Scientifiques, Bures-sur-Yvette, France*

Received 12 April 1977

$$\frac{dq^i(x,t)}{dt} = \frac{\alpha(t)}{2\pi} \int_x^1 \frac{dy}{y} \left[ \sum_j^{2f} q^j(y,t) P_{q^i q^j} \left( \frac{x}{y} \right) + G(y,t) P_{q^i G} \left( \frac{x}{y} \right) \right] \quad (22)$$

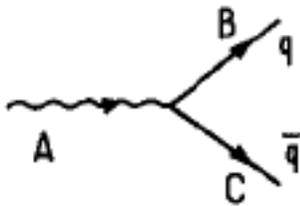
$t = \ln Q^2 / \mu^2$

$$\frac{dG(x,t)}{dt} = \frac{\alpha(t)}{2\pi} \int_x^1 \frac{dy}{y} \left[ \sum_j^{2f} q^j(y,t) P_{G q^j} \left( \frac{x}{y} \right) + G(y,t) P_{GG} \left( \frac{x}{y} \right) \right] \quad (23)$$

 The QCD evolution equations hand-written by me on the '77 preprint (scanned by KEK)

In our paper, formulated in parton language but with running coupling, the splitting functions are derived directly from the QCD vertices, making clear they are the same for all processes (factorisation)

$$P_{BA}(z) = \frac{1}{2} z(1-z) \overline{\sum}_{\text{spins}} \frac{|V_{A \rightarrow B+C}|^2}{p_{\perp}^2} \quad (z < 1)$$



$$\overline{\sum}_{\text{pol}} |V_{q \rightarrow G+q}|^2 = \frac{2p_{\perp}^2}{z(1-z)} \frac{1+(1-z)^2}{z} C_2(\mathbf{R})$$

$$P_{Gq}(z) = C_2(\mathbf{R}) \frac{1+(1-z)^2}{z}$$

$$P_{qq}(z) = C_2(\mathbf{R}) \frac{1+z^2}{1-z} \quad (z < 1)$$

$$P_{qq}(z) = C_2(\mathbf{R}) \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \delta(z-1) \right]$$

The evolution is described as a branching process with probabilities determined by the splitting functions



The evolution equations are now often called DGLAP

*DEEP INELASTIC  $ep$  SCATTERING IN PERTURBATION THEORY*

V. N. GRIBOV and L. N. LIPATOV

Leningrad Institute for Nuclear Physics, USSR Academy of Sciences

Submitted October 18, 1971

Yad. Fiz. 15, 781–807 (April, 1972)

before G&W and P!

**The parton model and perturbation theory**

L. N. Lipatov

*Leningrad Institute of Nuclear Physics, USSR Academy of Sciences*

(Submitted November 5, 1973)

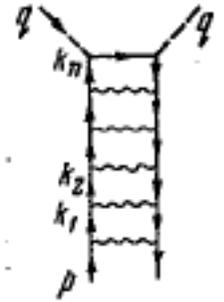
Yad. Fiz. 20, 181–198 (July 1974)



These papers refer to an **abelian** vector theory [presented together with a pseudoscalar theory]

$$H_{\text{int}} = g \bar{\psi} \gamma_{\mu} \psi V_{\mu}$$

They ask the right question and extract the relevant terms from the dominant class of diagrams



But from their presentation it is very difficult to extract the useful results (in the vector theory section).

$$\frac{dD_i^j(x)}{d\lambda} = -D_i^j(x) w_j(g_{\lambda}^2) \frac{1}{\lambda} + \sum_{j'} \int_x^1 dx' D_i^{j'}(x') w_{j' \rightarrow j}(x', x) \frac{1}{\lambda},$$

$$D_i^j(x) |_{\lambda=m^2} = \delta(x-1) \delta_{ij},$$

$$w_{N \rightarrow N}(x_j, x_N) = w_{\bar{N} \rightarrow \bar{N}}(x', x_N) = \frac{g_{\lambda}^2}{16\pi^2} 2 \frac{1}{(x')^2} \frac{x'^2 + x_N^2}{x' - x_N}$$

$$w_{N \rightarrow M}(x', x_M) = w_{\bar{N} \rightarrow M}(x', x_M) = \frac{g_{\lambda}^2}{16\pi^2} 2 \frac{1}{(x')^2} \frac{x'^2 + (x' - x_M)^2}{x_M}$$



# Calculation of structure functions of deep-inelastic scattering and $e^+e^-$ annihilation by perturbation theory in quantum chromodynamics

Yu. L. Dokshitzer

Leningrad Institute of Nuclear Physics, USSR Academy of Sciences  
 (Submitted April 20, 1977)  
 Zh. Eksp. Teor. Fiz. 73, 1216-1240 (October 1977)

Exactly contemporary to us

More explicit than G&L

The limit  $x \rightarrow 1$  is not made explicit

He knew G&L who are quoted in the refs.:

non abelian



$$\begin{aligned} &\equiv V_F^F(x) = 2 \frac{1+x^2}{1-x}, \\ &\equiv V_F^G(x) = 2 \frac{1+(1-x)^2}{x}, \\ &\equiv V_G^F(x) = 2[x^2+(1-x)^2], \\ &\equiv V_G^G(x) = 4x(1-x) \left[ 1 + \frac{1}{x^2} + \frac{1}{(1-x)^2} \right] \end{aligned}$$

<sup>1</sup>V. N. Gribov and L. N. Lipatov, Yad. Fiz. 15, 781, 1218 (1972) [Sov. J. Nucl. Phys. 15, 438, 675 (1972)].

<sup>2</sup>L. N. Lipatov, Yad. Fiz. 20, 181 (1974) [Sov. J. Nucl. Phys. 20, 94 (1975)].

This is the D. result "equivalent" to the evolution equations

$$W_q(\omega_h, \xi_k; \xi) = \frac{e_q^2}{d_F(\xi)} \delta\left(1 - \frac{1}{\omega_h}\right) + \int_{\xi_k}^{\xi} d\xi' \int_0^1 \frac{dx}{x} \Phi_{F^F}(x).$$

$$W_q(x\omega_h, \xi'; \xi) + \int_{\xi_k}^{\xi} d\xi' \frac{d_G(\xi')}{d_F(\xi')} \int_0^1 \frac{dx}{x} \Phi_{F^G}(x) \tilde{W}(x\omega_h, \xi'; \xi),$$

$$\tilde{W}(\omega_h, \xi_h; \xi) = \int_{\xi_k}^{\xi} d\xi' \int_0^1 \frac{dx}{x} \Phi_G(x) \tilde{W}(x\omega_h, \xi'; \xi).$$

$$+ \int_{\xi_k}^{\xi} d\xi' \frac{d_F(\xi')}{d_G(\xi')} \int_0^1 \frac{dx}{x} \Phi_G(x) \sum_{q, \bar{q}=1}^{n_f} [W_q(x\omega_h, \xi'; \xi) + W_{\bar{q}}].$$

$$\sigma_T = \frac{1}{16\pi^2} \int_{s^2}^{1s^2} \frac{dk^2}{k^2} \bar{g}^2(k^2)$$



Note:  $d_G/d_F \tilde{W}$  is what we call the gluon density in terms of partons

Splitting functions stimulated the development of the most advanced computational techniques over the years

For nearly 20 years all splitting functions  $P$  have been known to only NLO accuracy:  $\alpha_s P \sim \alpha_s P_1 + \alpha_s^2 P_2 + \dots$

Floratos et al; Gonzales-Arroyo et al; Curci et al; Furmanski et al

Then the complete, analytic NNLO results have been derived for the first few moments ( $N < 13, 14$ ).

Larin, van Ritbergen, Vermaseren+Nogueira

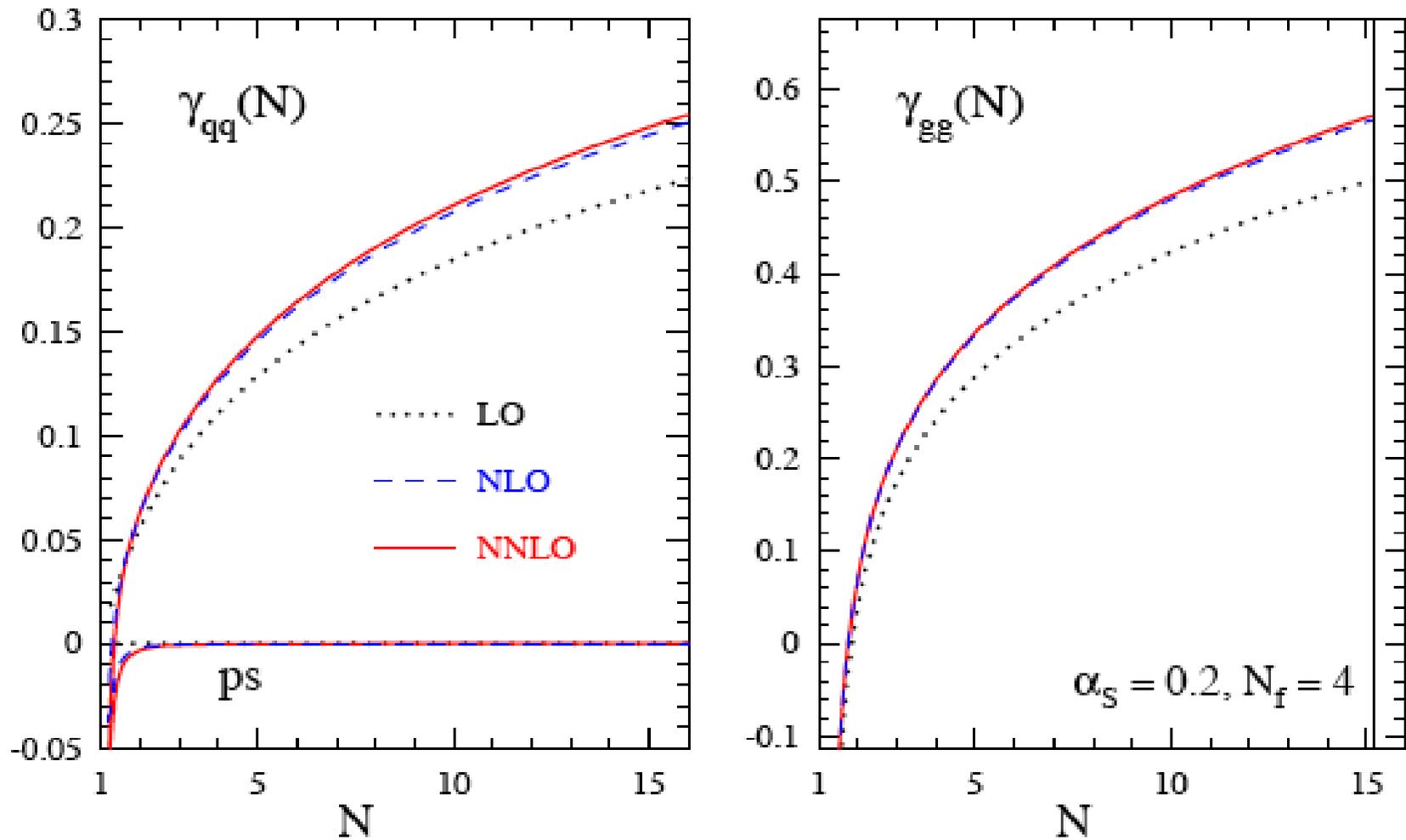
Finally, in 2004, the calculation of the NNLO splitting functions has been totally completed  $\alpha_s P \sim \alpha_s P_1 + \alpha_s^2 P_2 + \alpha_s^3 P_3 + \dots$

Moch, Vermaseren, Vogt '04

⊕ A really monumental, fully analytic, computation



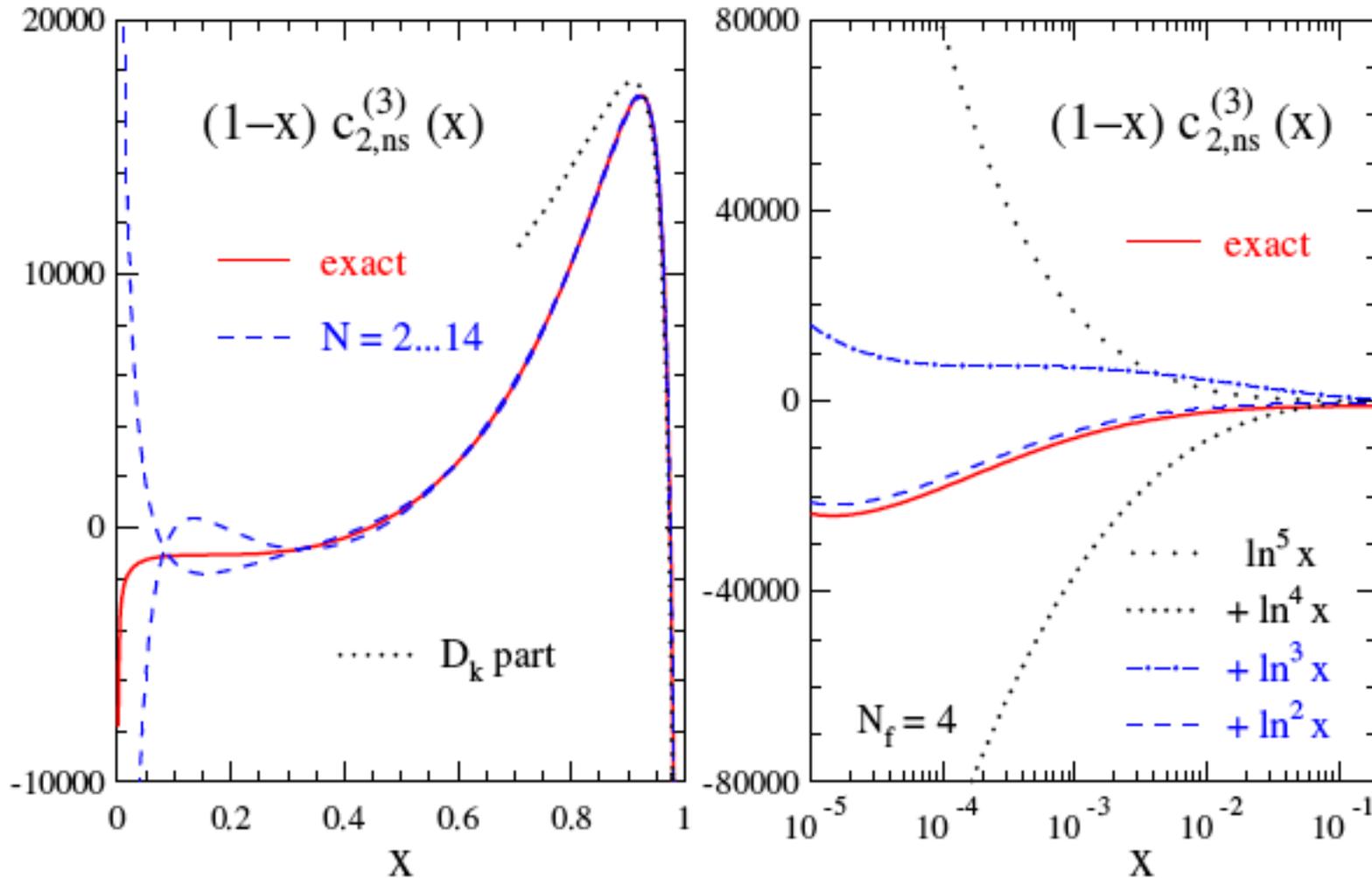
# Anomalous dimensions vs $N$ , the Mellin index



Good convergence is apparent

Now also the  $\alpha_s^3$  coefficient functions are known  
 (eg the NNLO calculation of  $F_L$  completed)

Moch, Vermaseren, Vogt '05



# Singlet splitting function at small $x$

Resum  $(\alpha_s \log 1/x)^n$

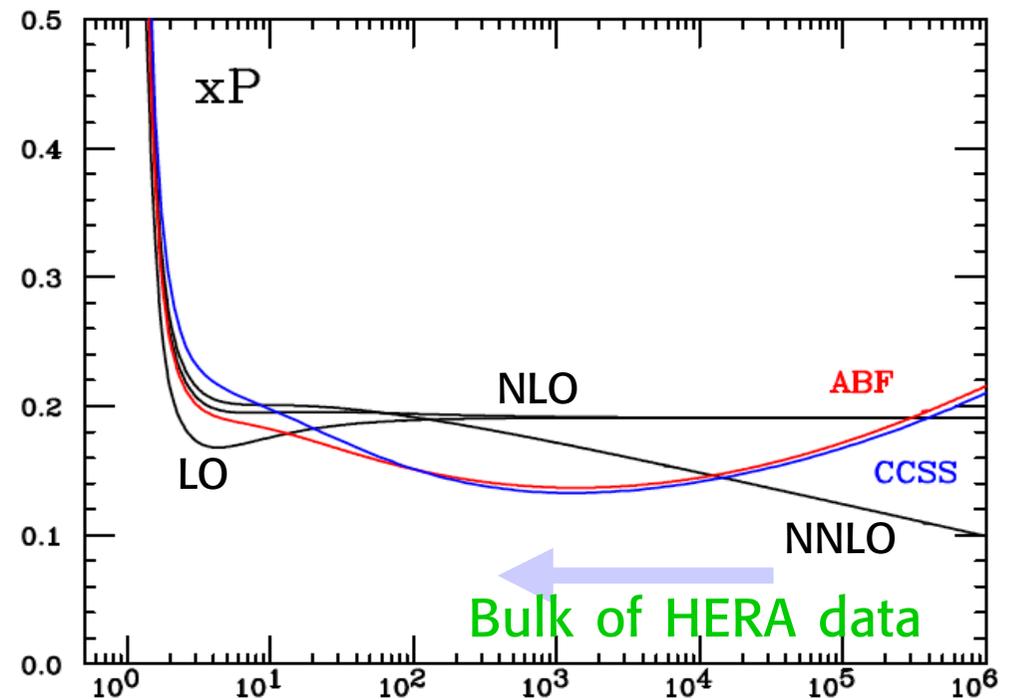
The problem of correctly including BFKL at small  $x$  has been solved

Ciafaloni, Colferai, Salam, Stasto (CCSS)  
Altarelli, Ball, Forte (ABF); White, Thorne

Momentum cons.+ symmetry + running coupling effect

→ soft simple pole  
in anom. dim

- BFKL sharp rise tamed
- resummed result close to NLO in HERA region
- new expansion stable



Note that the NNLO evolution goes crazy in the HERA range. As a result, NNLO fits are less good than NLO fits. Resummation cannot be ignored.

$1/x$



Due to the dip there is **less** scaling violations at HERA than from NLO

Fitting  $\alpha_s$  from NLO one would obtain a smaller value than the true value (for the same gluon).

However, the behaviour at small  $x$  has not yet been fully implemented in the evolution codes used in fitting the data.

This introduces a bias that could be avoided which affects the determination of pdf's and  $\alpha_s(Q^2)$



In spite of the large effort in theory and experiment over ~40 years still our knowledge is in many respects surprisingly not satisfactory

Some examples:

- The determination of  $\alpha_s$  from DIS
- Ambiguities on the pdf's
- Neutrino structure functions not good enough
- ONLY NOW (!) some reasonable data on  $F_L$  are been obtained (H1 and ZEUS)
- Polarized DIS
- • • •



## What is the value of $\alpha_s$ from DIS?

The measurement of  $\alpha_s(m_Z)$  is very important.  
In my opinion one should adopt as alpha-meters those processes **where the theory is fully under control**:  
(all other processes should be taken as tests of QCD)

Totally inclusive processes with light cone operator expansion: e+e- total hadron cross-section, Z and  $\tau$  decay and DIS

From LEP we have the best values to compare with:

- Z inclusive decay:  $\alpha_s(m_Z)=0.1191\pm0.0027$  (N<sup>3</sup>LO)
- $\tau$  inclusive decay:  $\alpha_s(m_Z)=0.1212\pm0.0011+?$  (N<sup>3</sup>LO)

more questionable as  $m_\tau$  is small

Davier et al '08



DIS is the next "golden" channel to consider

## $\alpha_s$ from DIS : more complicated

The scaling violations of non-singlet str. functs. would be ideal: less dependence on input parton densities

$$\frac{d}{dt} \log F(x, t) = \frac{\alpha_s(t)}{2\pi} \int_x^1 dy \frac{F(y, t)}{yF(x, t)} P_{qq}\left(\frac{x}{y}\right)$$

But

- for  $F_p - F_n$  exp. errors add up in the difference,
  - $F_{3\nu N}$  is not terribly precise  
( $\nu$  data only from CCFR, NuTeV)
  - neglecting sea and glue in  $F_2$  for  $x > x_0$  decreases
- ⊕ the sample and introduces a dependence on  $x_0$

# Non singlet electron/muon production

From a recent analysis of eP and eD data, neglecting sea and gluons at  $x > 0.3$  (error to be evaluated)

- Non singlet DIS:  $\alpha_s(m_Z)=0.1148\pm0.0019$  (exp)+? (NLO)  
 $\alpha_s(m_Z)=0.1134\pm0.0020$  (exp)+? (NNLO)

Bluemlein, Bottcher, Guffanti '07

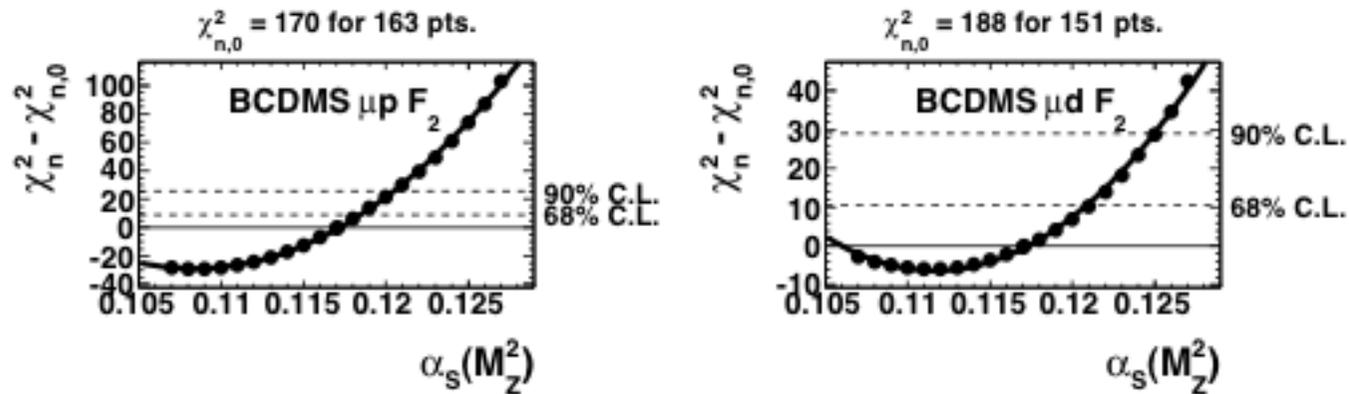
- a rather small central value
- not much difference between NLO and NNLO

According to Watt the contribution of singlet to F2 at  $x \sim 0.3$  is still  $\sim 10\%$



BCDMS data push towards small  $\alpha_s$

### MSTW 2008 NNLO ( $\alpha_s$ ) PDF fit



According to Watt 162/280 exp points at  $x > 0.3$  are from BCDMS



When one measures  $\alpha_s$  from scaling viols. in  $F_2$  from e or  $\mu$  beams, data are abundant, exp. errors small but:

$$\alpha_s \longleftrightarrow \text{gluon correlation} \quad dF/d\log Q^2 \sim \alpha_s g$$

There is a strong feedback on  $\alpha_s$  of the parametrisation of  $g$ .  
A too rigid param'n of gluon may strongly bias  $\alpha_s$

The Neural Network approach may suppress  $g$  parametrization errors (The NNPDF Coll. '10)

$$\text{DIS only} \quad \alpha_s(m_Z) = 0.1177 \pm 0.0009(\text{exp}) \text{ +? (th) (NNLO)}$$

It appears that including Tevatron jets is important to constrain  $g$  at large  $x$  (and then, via momentum conservation, also at small  $x$ ). But jets rates only known at NLO accuracy

## Recent $\alpha_s(m_Z)$ determinations from DIS at NNLO

$$\alpha_s(m_Z) = 0.1129 \pm 0.0014 \text{ (exp)+?}$$

Alekhin, Blumlein, Klein, Moch '09

$$\alpha_s(m_Z) = 0.1158 \pm 0.0035 \text{ (exp)+?}$$

Jimenez-Delgado, Reya '08

Ambiguities:

- Heavy quarks
- $F_L$
- Higher orders

From combined H1+ZEUS data

$$\alpha_s(m_Z) = 0.1147 \pm 0.0012 \text{ (exp)+?}$$

Alekhin, Blumlein, Moch '10

For HERA data the NLO evolution should be improved by a correct treatment of small x effects

⊕ (negative g at small x and  $Q^2$  is a symptom)

## Global fit to $\alpha_s$ and PDF

dominated by DIS but not only DIS

$$\alpha_s(m_Z) = 0.1171 \pm 0.0014(\text{exp})+? \quad (\text{NNLO})$$

Martin, Stirling, Thorne, Watt '09

MRST attribute their larger value of  $\alpha_s$  to a more flexible parametrisation of the gluon and claim that the Tevatron jets are needed to fix  $g$  at large  $x$



## In conclusion, for $\alpha_s(m_Z)$ from DIS

Bethke takes  $\alpha_s(m_Z) = 0.1142 \pm 0.0023$  from non-singlet and this is what he puts in his average from DIS

recall:  $\alpha_s(m_Z) = 0.1134 \pm 0.0020$  (exp)+? (NNLO)

Bluemlein, Bottcher, Guffanti '07

Problems: neglect singlet at  $x > x_0$ , small data sample, BCDMS...

From the previous discussion it appears that for singlet there are problems related to the gluon determination and parametrization

$\alpha_s(m_Z)$  tends to slide towards low values if the g problem is not fixed [ $\alpha_s(m_Z) \sim 0.113-0.116$ ]

The NNPDF approach or fixing the g on the Tevatron jets increases  $\alpha_s(m_Z)$  [ $\alpha_s(m_Z) \sim 0.117-0.118$ ]



Still an open problem!

At HERA  $\alpha_s(m_Z)$  can also be measured from jets in DIS but the TH error is large and dominant

**Inclusive jet:**

$$\alpha_s(M_Z) = 0.1190 \pm 0.0021 \text{ (exp.)} \pm 0.0020 \text{ (pdf)} \begin{matrix} +0.0050 \\ -0.0056 \end{matrix} \text{ (th.)}$$

**Dijet:**

$$\alpha_s(M_Z) = 0.1146 \pm 0.0022 \text{ (exp.)} \pm 0.0021 \text{ (pdf)} \begin{matrix} +0.0044 \\ -0.0045 \end{matrix} \text{ (th.)}$$

H1

**Trijet:** most precise ( $\sim \alpha_s^2$ )

$$\alpha_s(M_Z) = 0.1196 \pm 0.0016 \text{ (exp.)} \pm 0.0010 \text{ (pdf)} \begin{matrix} +0.0055 \\ -0.0039 \end{matrix} \text{ (th.)}$$

By comparison in e+e- the recent determinations from non completely inclusive channels are allegedly very precise (looks too precise....)

- Event shapes:

$$\alpha_s(m_Z) = 0.1135 \pm 0.0011 + ? \text{ (N}^3\text{LO)}$$

Abbate et al '10

$$\alpha_s(m_Z) = 0.1153 \pm 0.0017 + ? \text{ (N}^3\text{LO)}$$

Gehrmann et al '10



# Polarized Structure Functions

A subject where our knowledge is still far from satisfactory

Who carries the proton spin?

$$\frac{1}{2}\Delta\Sigma + \Delta g + \Delta L_z = \frac{1}{2}$$

typically  $\Delta\Sigma_{\text{exp}} \sim 0.24$

What is missing must be either  $\Delta g + \Delta L_z$  or  $\Delta\Sigma$  terms at small  $x$  (below the measured range)



First moments

$$\Delta q \equiv \Delta q + \Delta \bar{q}$$

$$a_3 = \Delta u - \Delta d = (F + D)(1 + \varepsilon_2) = 1.269 \pm 0.003$$

 SU(2) breaking

$$a_8 = \Delta u + \Delta d - 2\Delta s = (3F - D)(1 + \varepsilon_3) = 0.586 \pm 0.031$$

 SU(3) breaking

$$\Gamma_1 = \int dx g_1(x) = \frac{1}{12} [a_3 + \frac{1}{3}(a_8 + 4a_0)]$$

From  $\Gamma_1$  we get  $a_0$

$$a_0 \equiv \Delta \Sigma = \Delta u + \Delta d + \Delta s = a_8 + 3\Delta s \approx 0.24 \quad \text{at } Q^2 = 1 \text{ GeV}^2$$



for  $\varepsilon_2, \varepsilon_3 = 0$

$$\Delta u \approx 0.81$$

$$\Delta d \approx -0.46$$

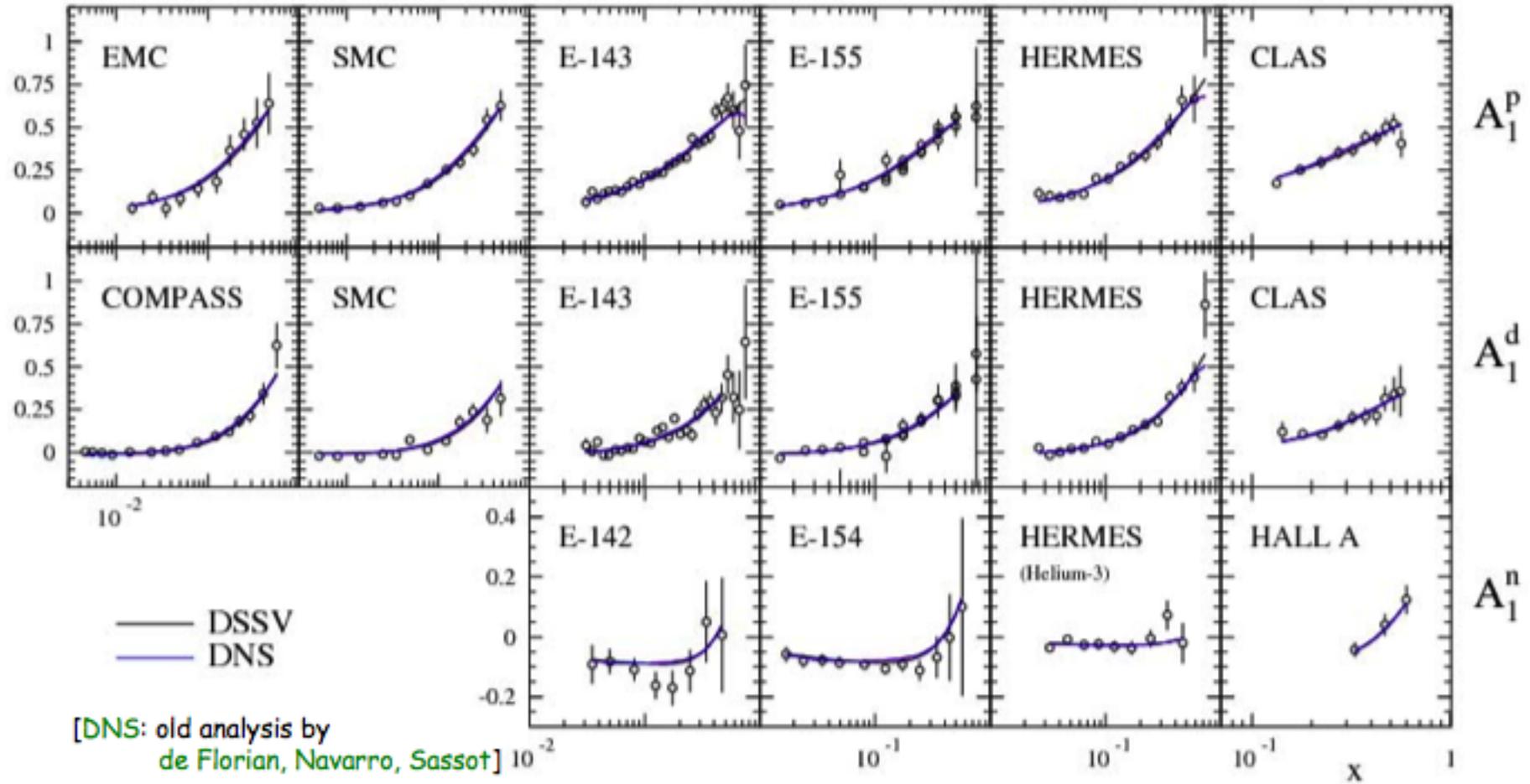
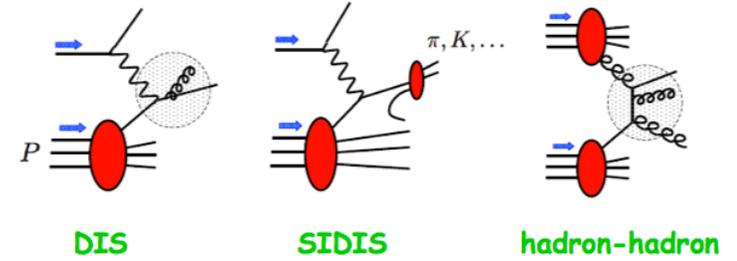
$$\Delta s \approx -0.12$$

This is a strong result!  
Given  $F, D$  and  $\Gamma_1$  we  
know  $\Delta u, \Delta d, \Delta s, \Delta \Sigma$   
in the SU(3) limit



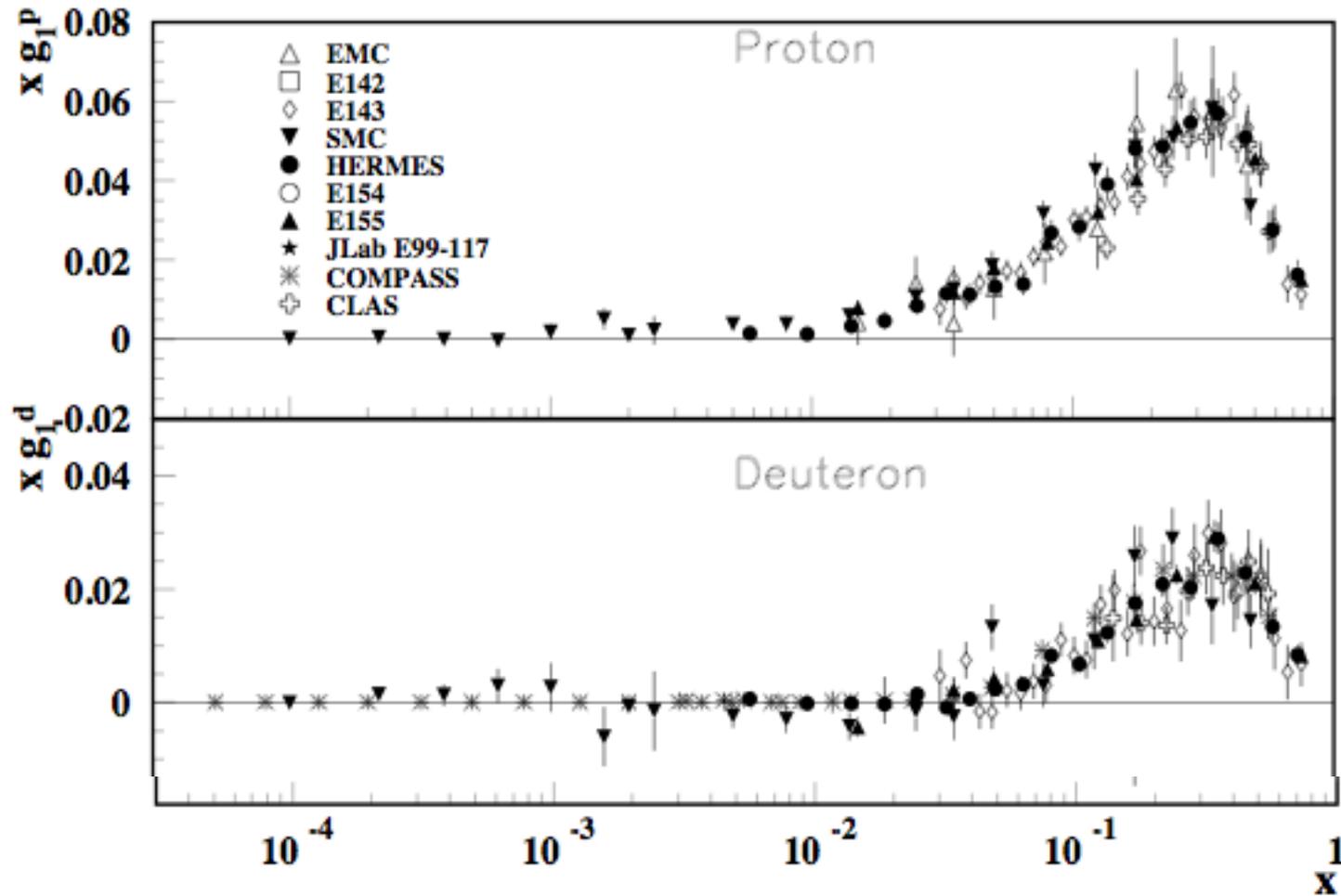
A beautiful set of data

# spin asymmetries in inclusive DIS



The 1st moment of  $g_1$  does not seem to get much at small  $x$

Theory: Ermolaev, Greco, Troyan



In massless QCD in perturbation theory at LO:

- $\Delta\Sigma$  is conserved
- $\Delta g \sim 1/\alpha_s(Q^2) \sim \log Q^2$
- $\Delta g + \Delta L_z$  is conserved

$$\frac{1}{2}\Delta\Sigma + \Delta g + \Delta L_z = \frac{1}{2}$$

$\underbrace{\sim 0.12 \quad \log Q^2 \quad \log Q^2}_{\text{const}}$

while at NLO

- $\Delta\Sigma'$  is conserved:  $\Delta\Sigma = \Delta\Sigma' - N_f \frac{\alpha_s(Q^2)}{2\pi} \Delta g$

In principle the gluon could explain the smallness of  $\Delta\Sigma$

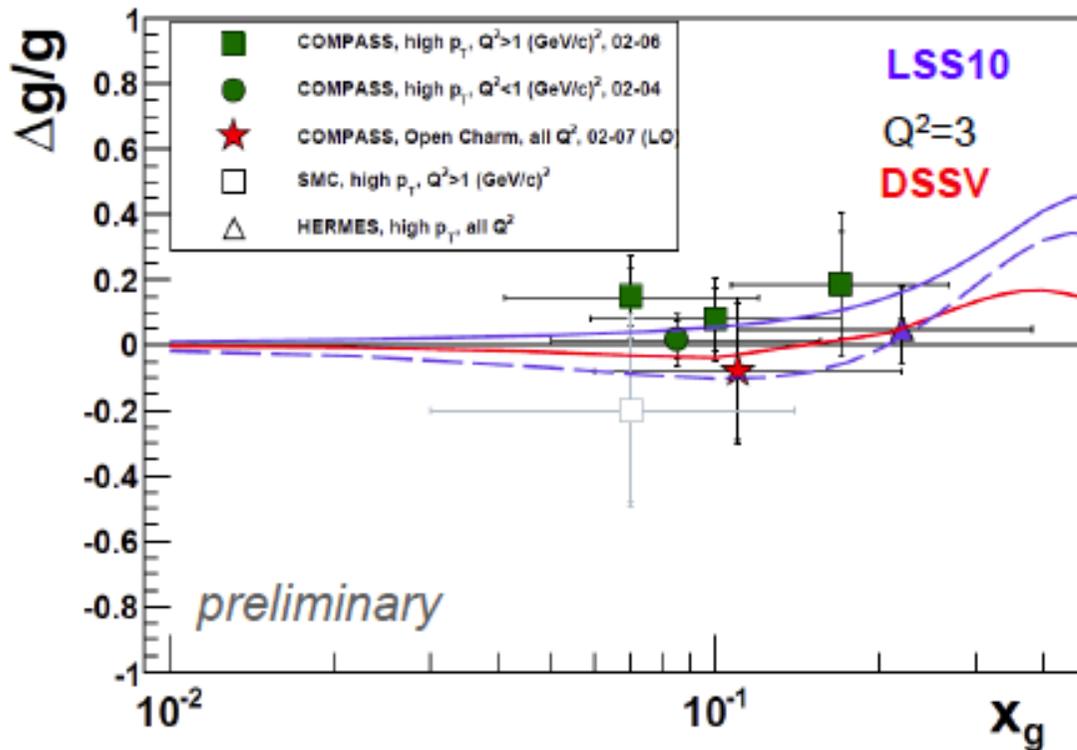
$\Delta g$  measured indirectly from scaling violations, directly from asymmetries, e.g. in cc production

Existing direct measurements HERMES, COMPASS, CLAS, RHIC still very crude. No hint of large  $\Delta g$  at large x.

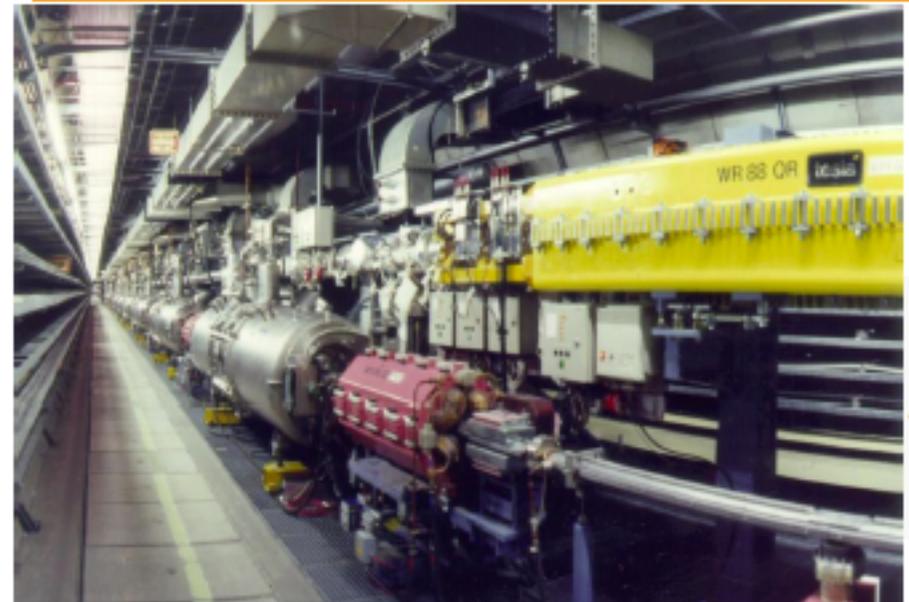


# Experimental data on $\Delta g$

- SMC
- COMPASS
- HERMES
- SLAC
- RHIC



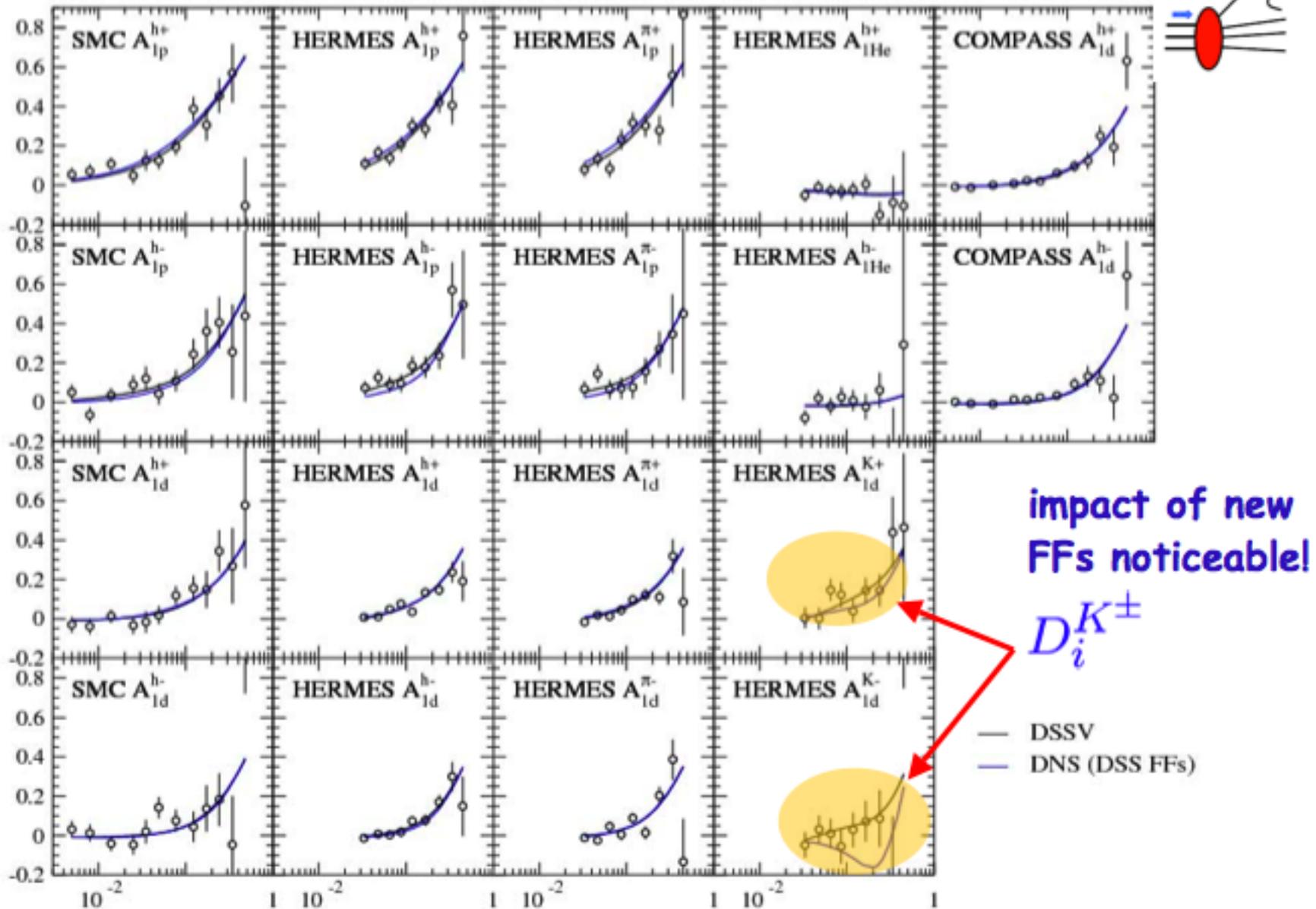
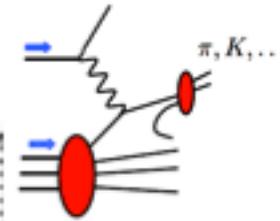
# HERMES at DESY



HERA  $e^+$  &  $e^-$  27 GeV  
 longitudinally polarized  $\sim 54\%$

LSS10,  $\Delta G \sim +0.32$  at  $Q^2=4$   
 LSS10,  $\Delta G \sim -0.33$  (node)  
 DSSV,  $\Delta G = 0.02$  at  $Q^2=3$

# spin asymmetries in semi-inclusive DIS



# The fit to all data leads to puzzling results

Tension between the 1st moments from SU(3) and from fitting the actual data ( $x > 0.001$ ) which fix the moments only thru a possibly too rigid parametrization assumed

de Florian et al '08

TABLE II: First moments  $\Delta f_j^{1, [x_{\min}^{-1}]}$  at  $Q^2 = 10 \text{ GeV}^2$ .

	$x_{\min} = 0$	$x_{\min} = 0.001$	
	best fit	$\Delta\chi^2 = 1$	$\Delta\chi^2/\chi^2 = 2\%$
$\Delta u + \Delta \bar{u}$	0.813	0.793 $^{+0.011}_{-0.012}$	0.793 $^{+0.028}_{-0.034}$
$\Delta d + \Delta \bar{d}$	-0.458	-0.416 $^{+0.011}_{-0.009}$	-0.416 $^{+0.035}_{-0.025}$
$\Delta \bar{u}$	0.036	0.028 $^{+0.021}_{-0.020}$	0.028 $^{+0.059}_{-0.059}$
$\Delta \bar{d}$	-0.115	-0.089 $^{+0.029}_{-0.029}$	-0.089 $^{+0.090}_{-0.080}$
$\Delta \bar{s}$	-0.057	-0.006 $^{+0.010}_{-0.012}$	-0.006 $^{+0.028}_{-0.031}$
$\Delta g$	-0.084	0.013 $^{+0.106}_{-0.120}$	0.013 $^{+0.702}_{-0.314}$
$\Delta \Sigma$	0.242	0.366 $^{+0.015}_{-0.018}$	0.366 $^{+0.042}_{-0.062}$

SU(3)

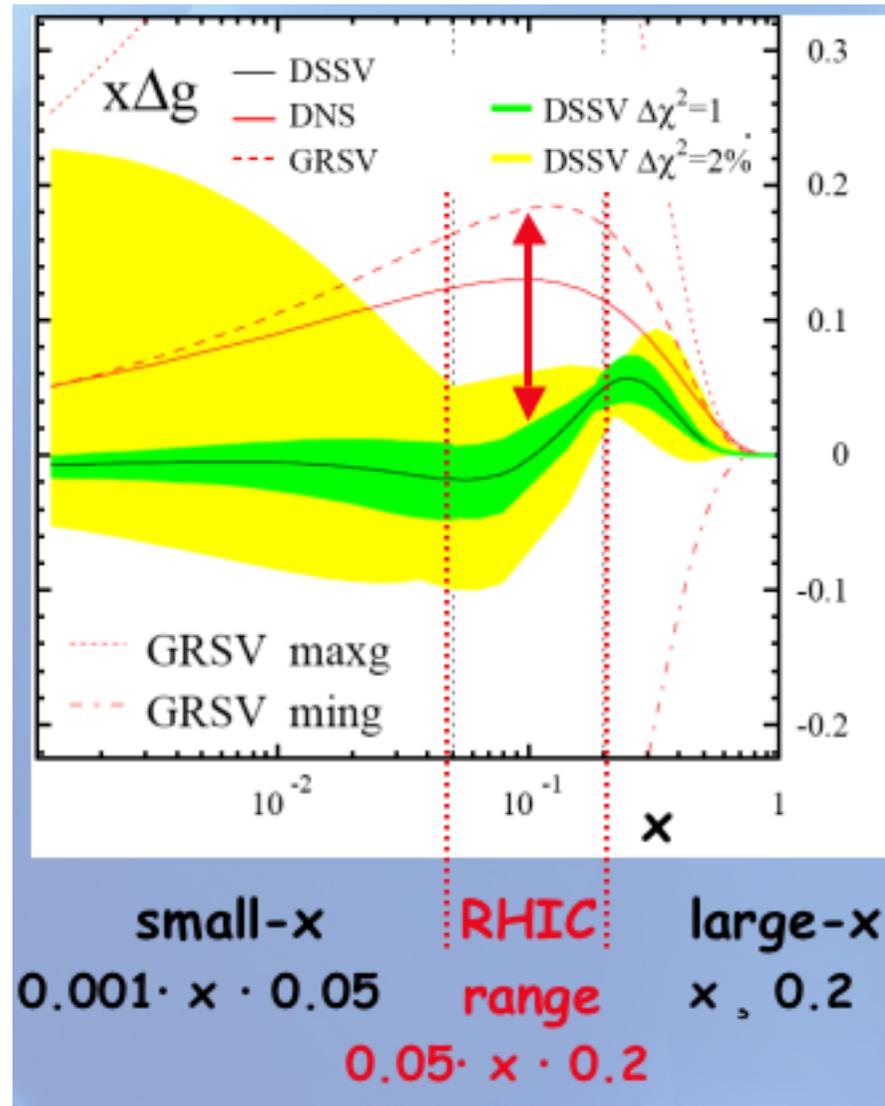
Parametrization?  
Recall NNPDF  $s_+$   
The error from small  $x$   
probably large

Kaon SIDIS fixes  
 $\Delta s$  but is  
questionable



Still  
 large ambiguities  
 at small  $x$   
 in unmeasured region

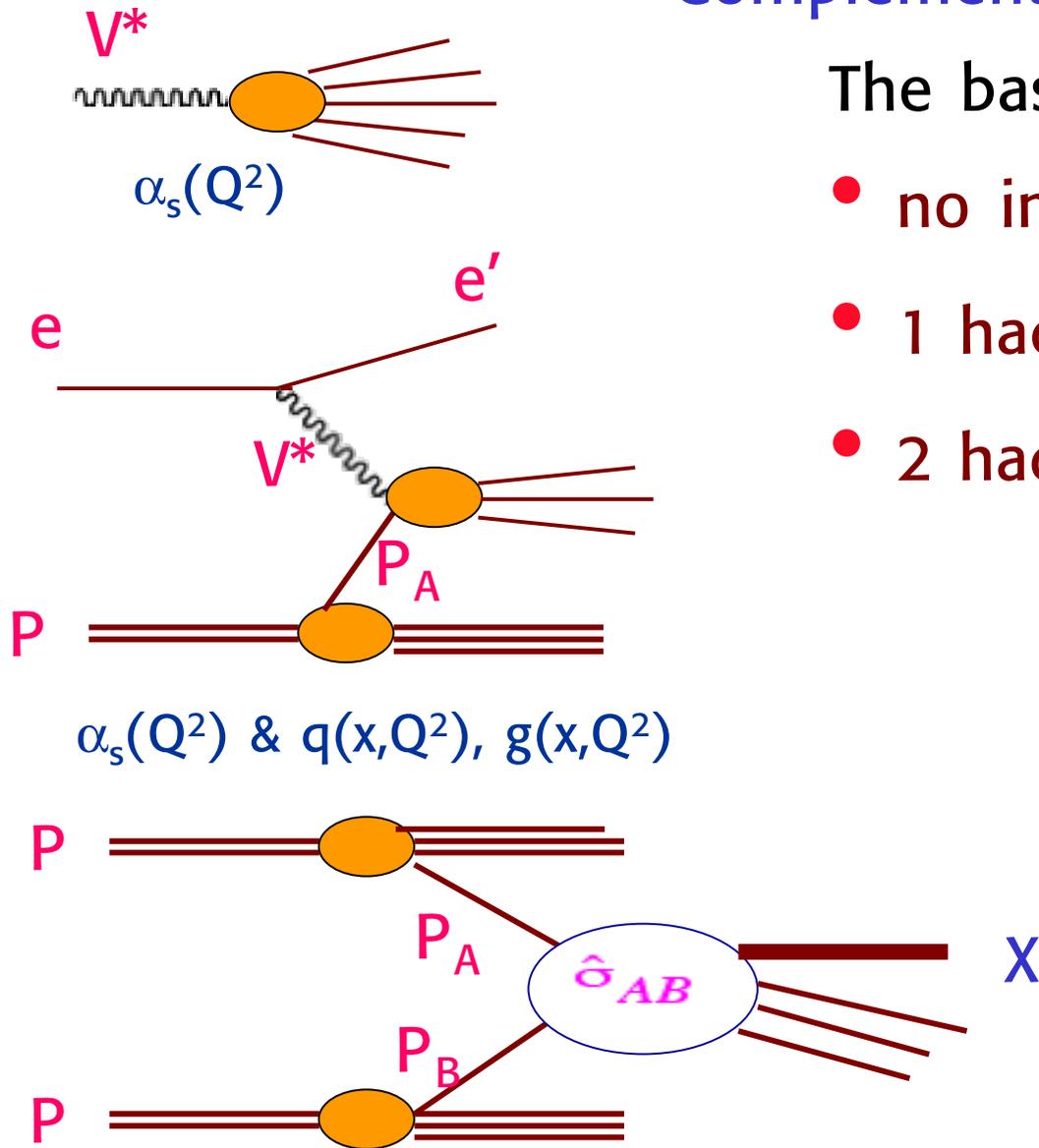
Much of  $\Delta g$  could be  
 hidden at small  $x$



# Complementary tools for particle physics

The basic experimental set ups:

- no initial hadron (...LEP, ILC, CLIC)
- 1 hadron (...HERA, .... LHeC)
- 2 hadrons (...SppS, Tevatron, LHC)



Progress in particle physics needs their continuous interplay to take full advantage of their complementarity

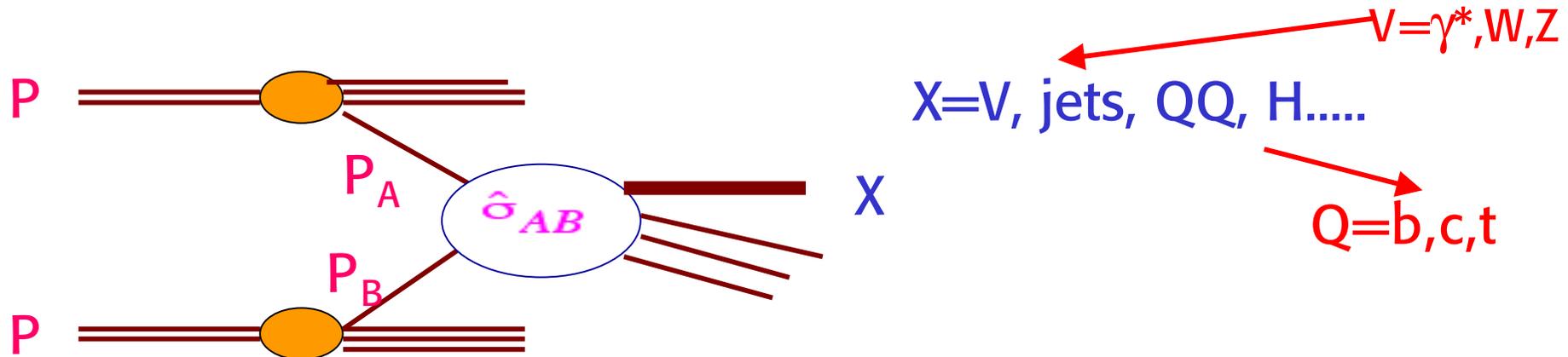


Parton densities extracted from DIS are used to compute hard processes, via the Factorisation Theorem:

$$\sigma(s) = \sum_{A,B} \int dx_1 dx_2 p_A(x_1, Q^2) p_B(x_2, Q^2) \hat{\sigma}_{AB}(x_1 x_2 s, Q^2)$$

← density of parton A  
 → reduced X-section

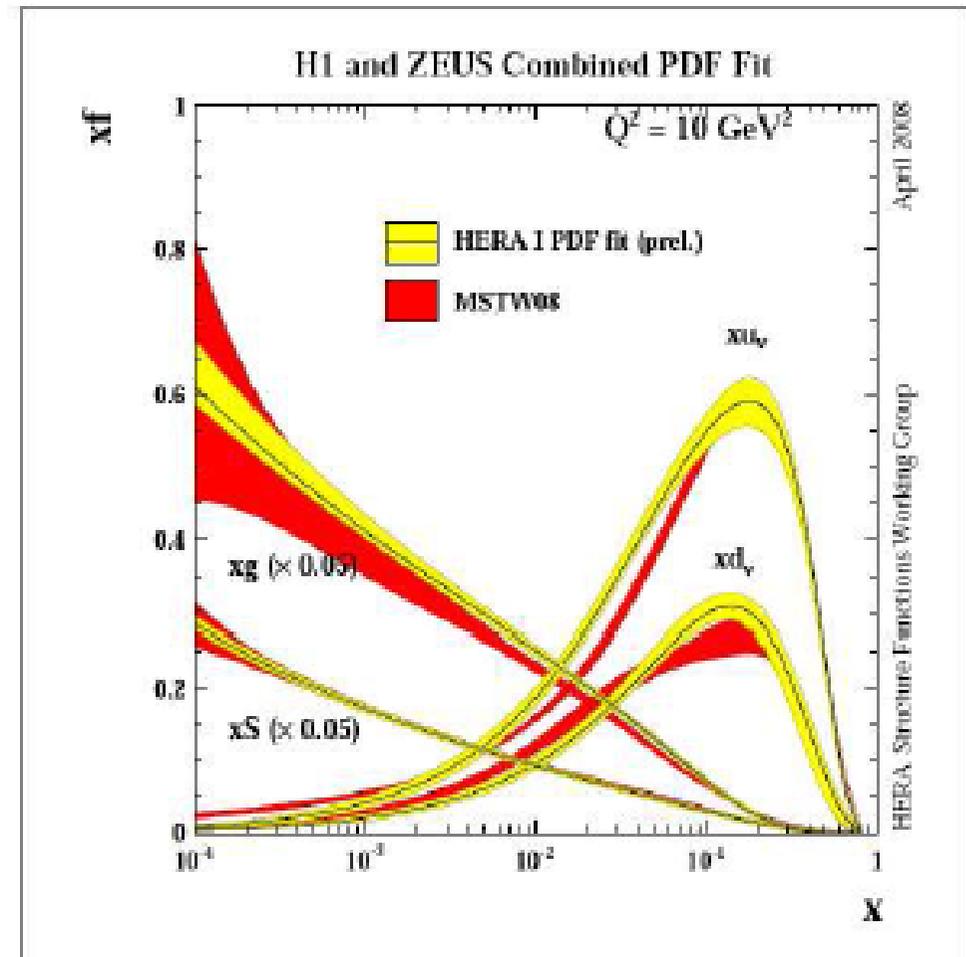
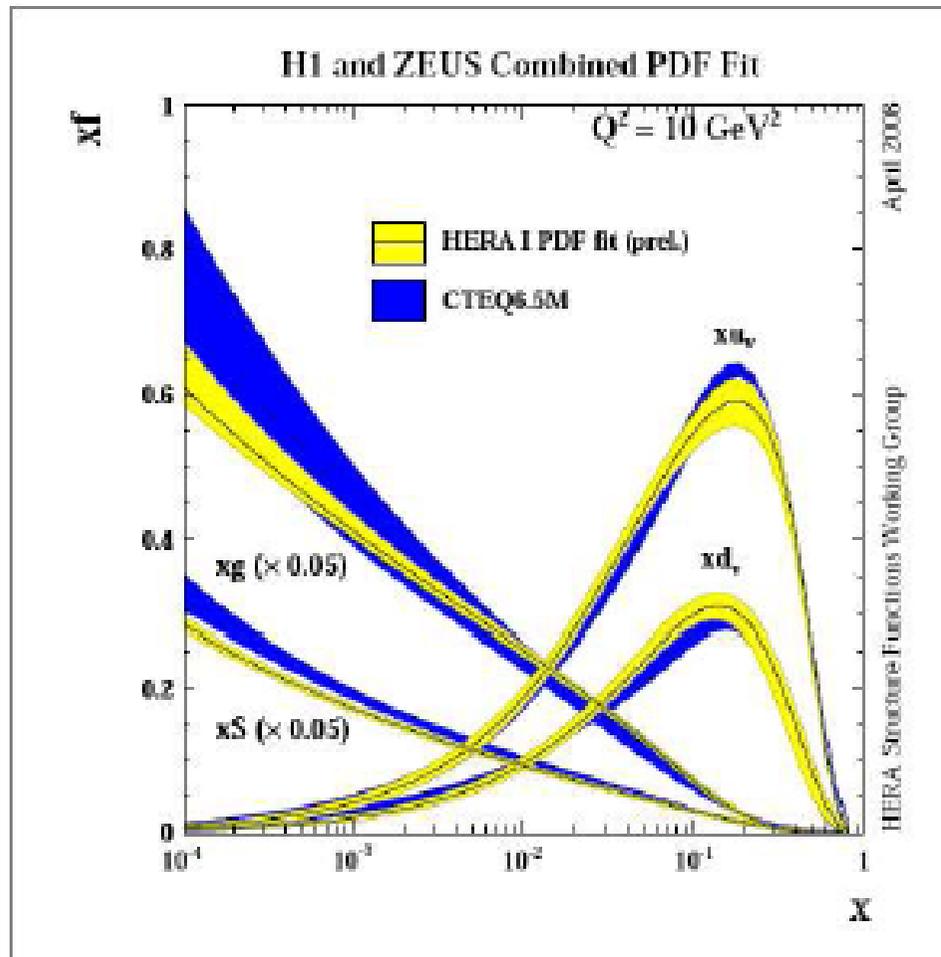
For example, at hadron colliders



- Very stringent tests of QCD
- Feedback on constraining parton densities

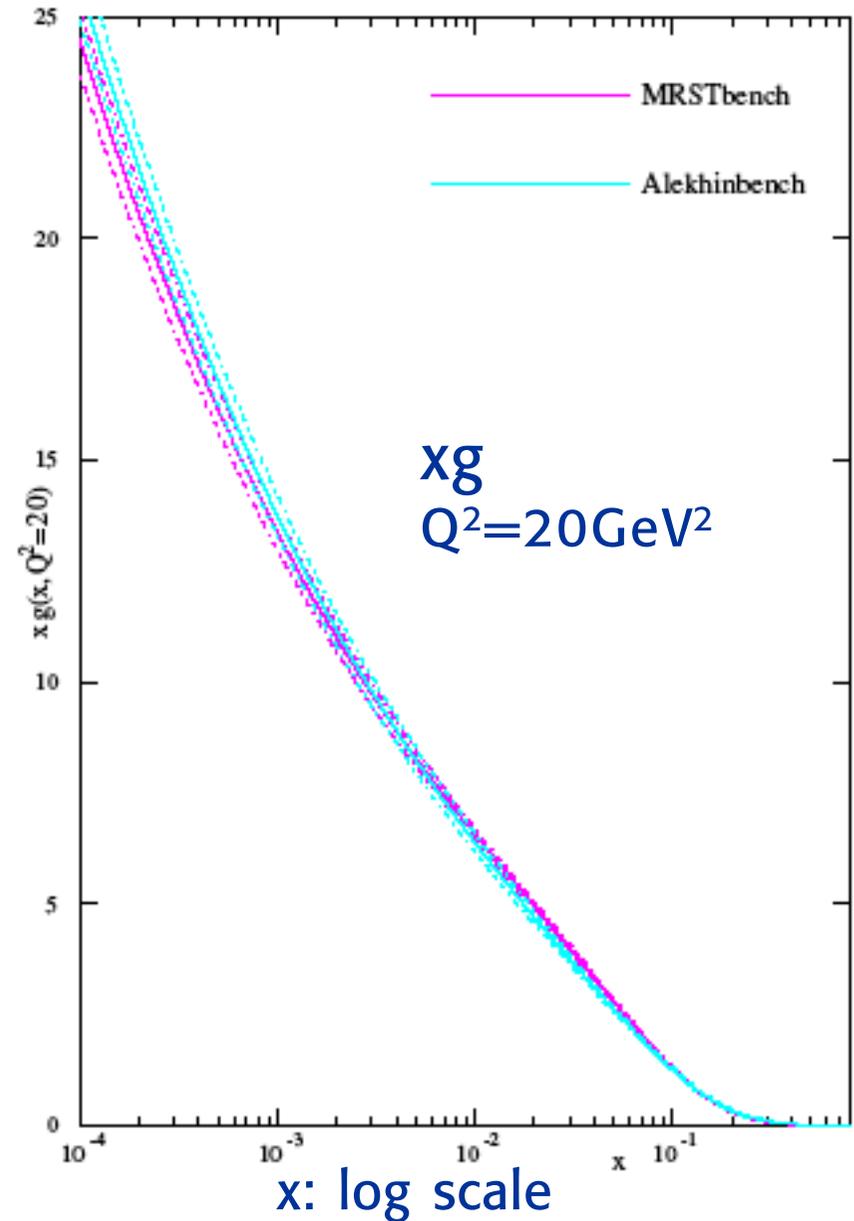
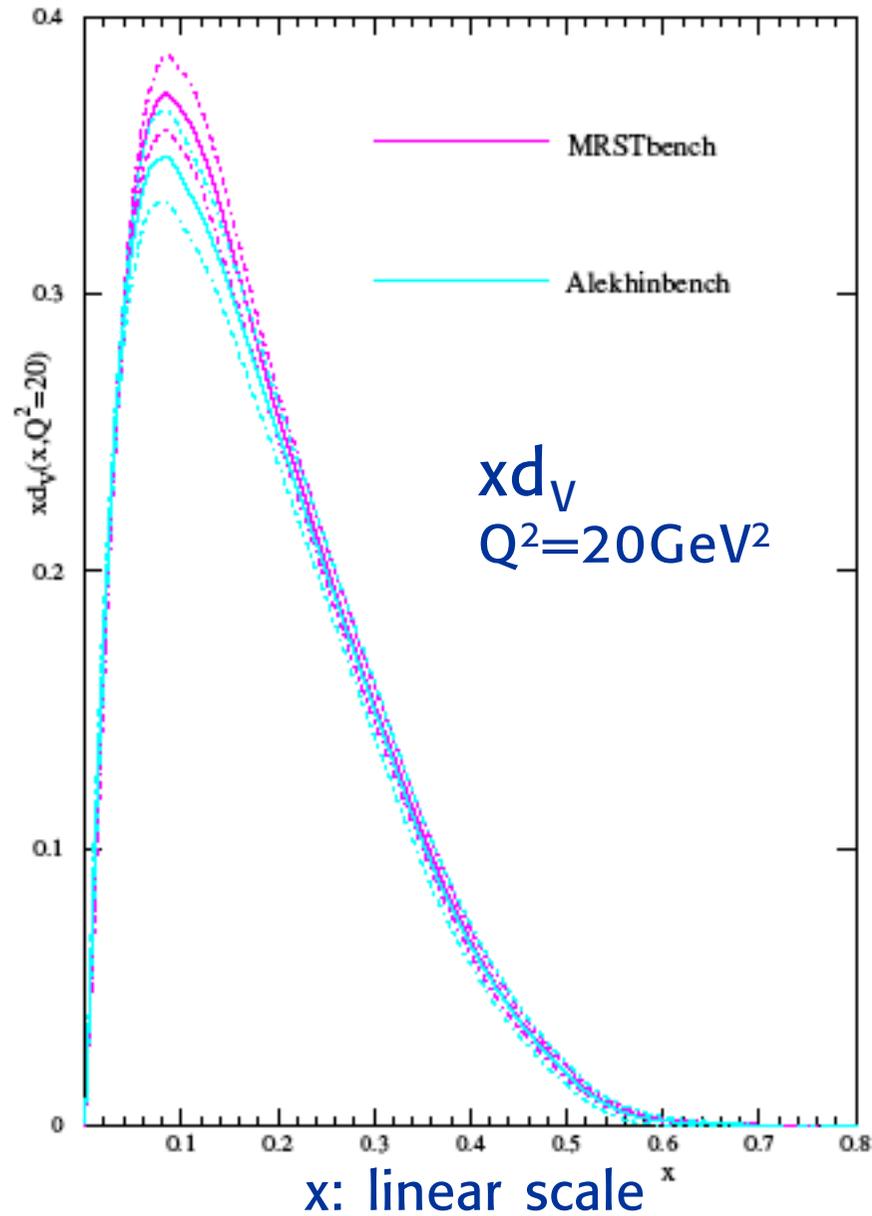


# HERA is a main source of information on pdf's for LHC

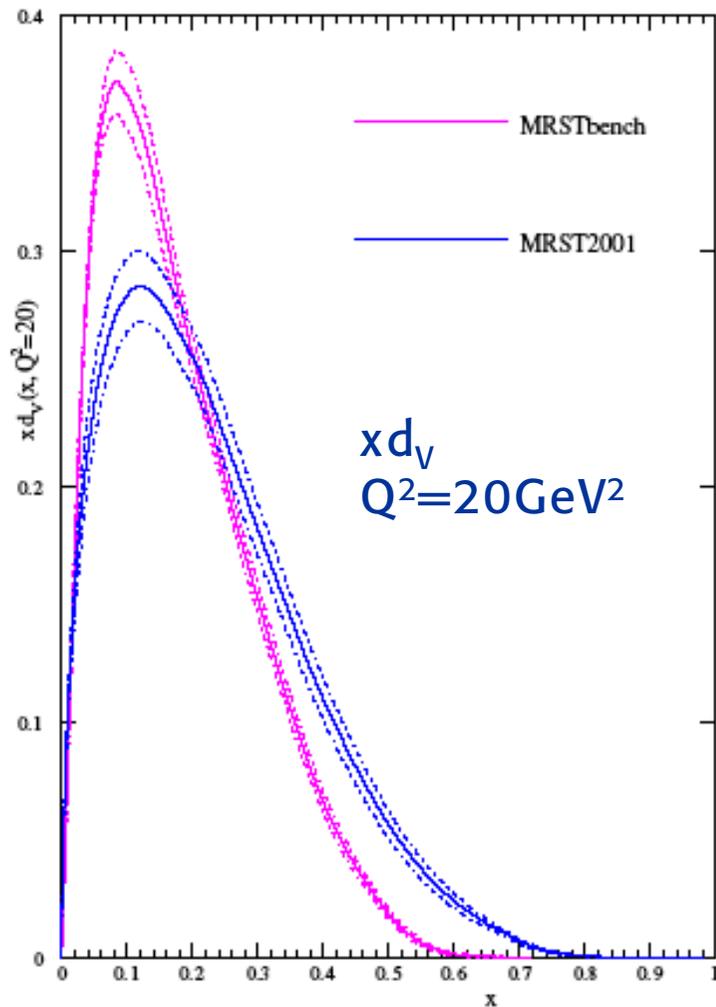


# Different fits to same DIS data are comparable

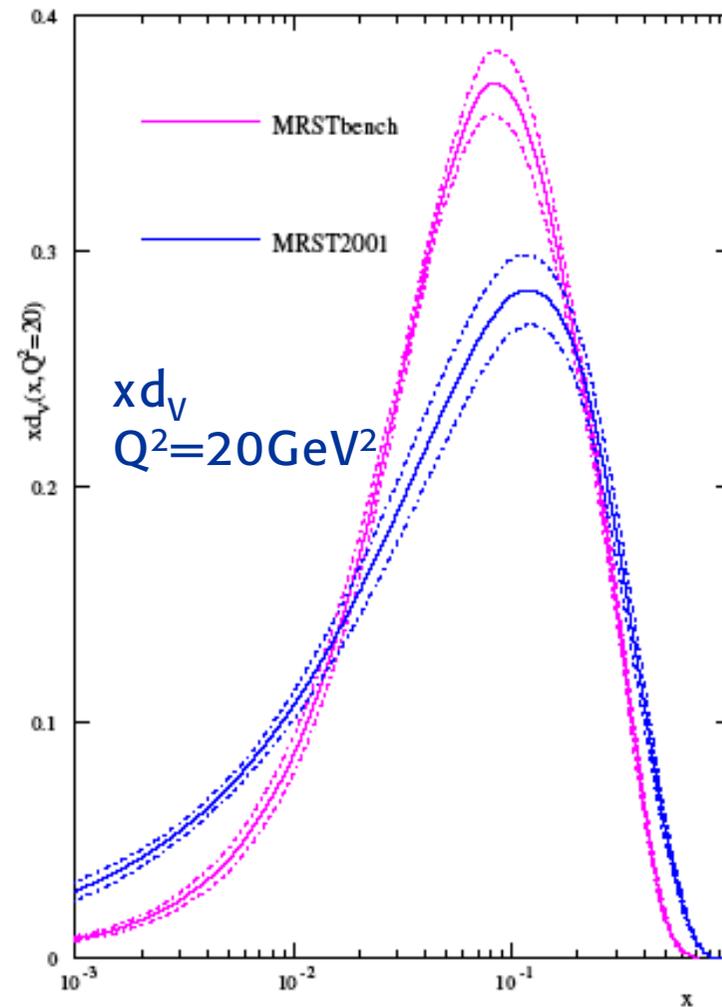
HERA LHC Workshop '06



But differ from those obtained from all the data

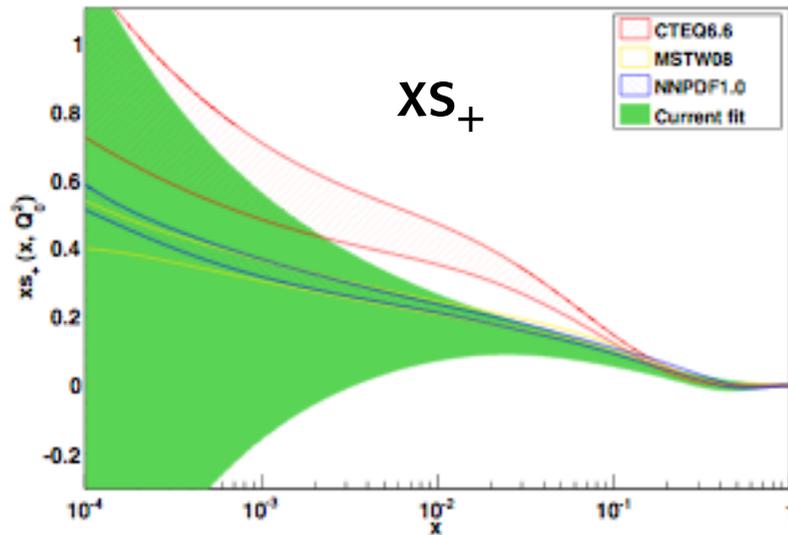
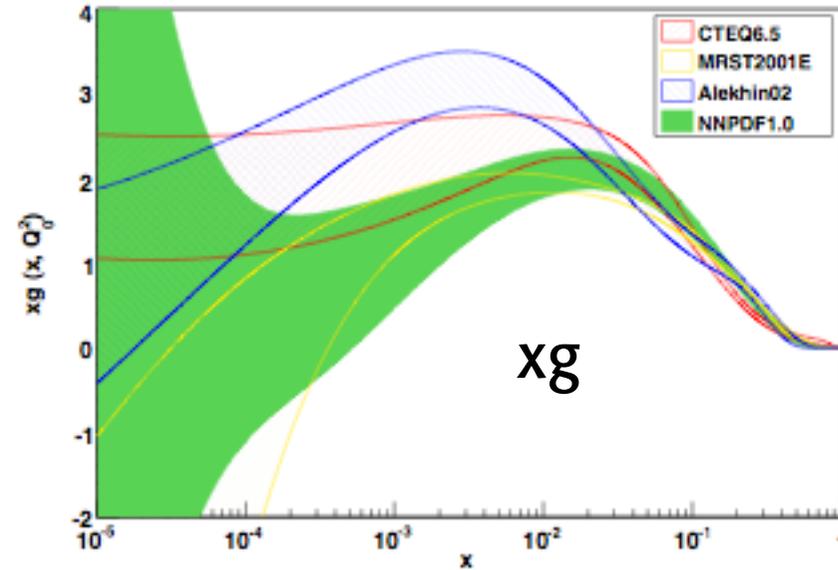
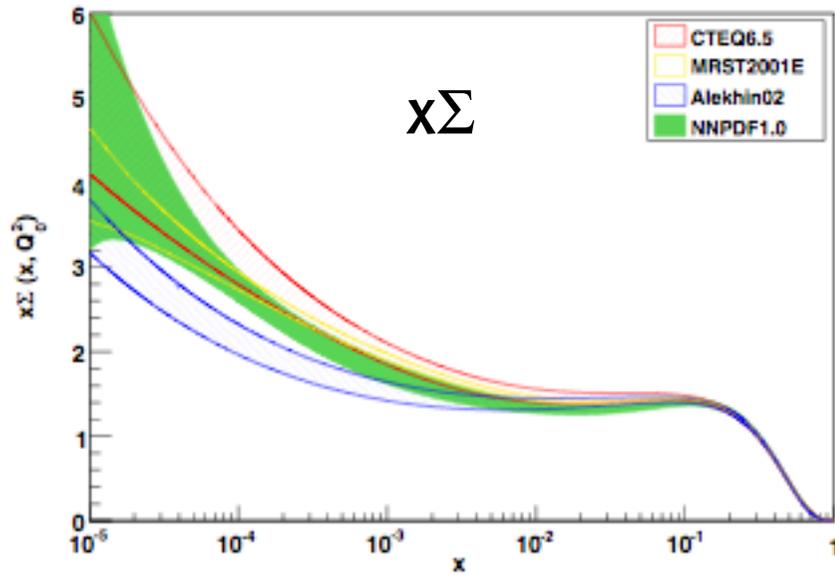


x: linear scale



x: log scale

⊕ This shows that extrapolation from one data set to another is dangerous

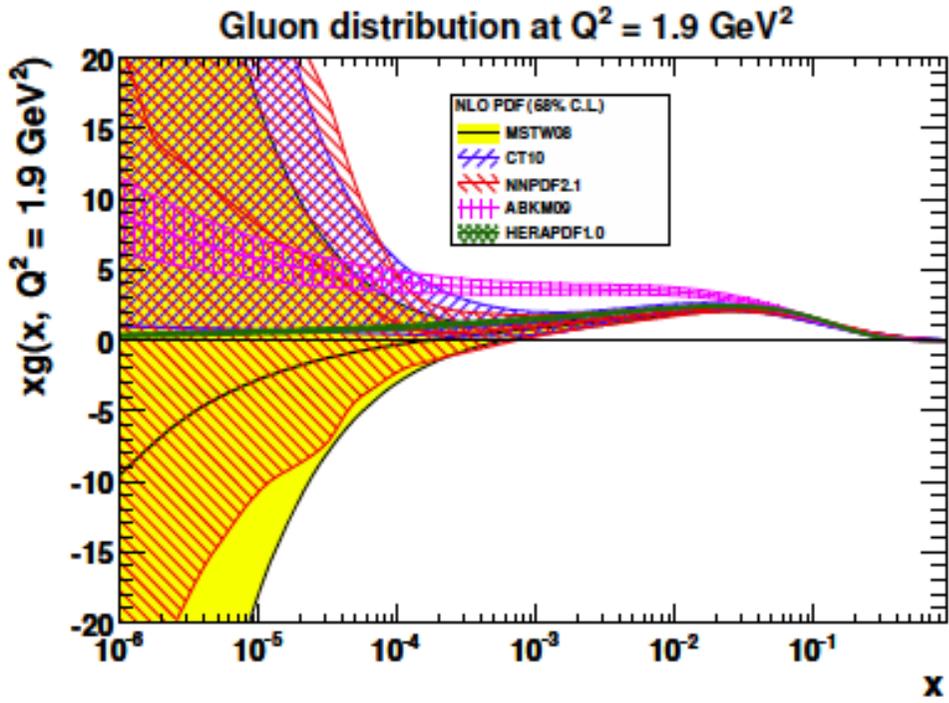
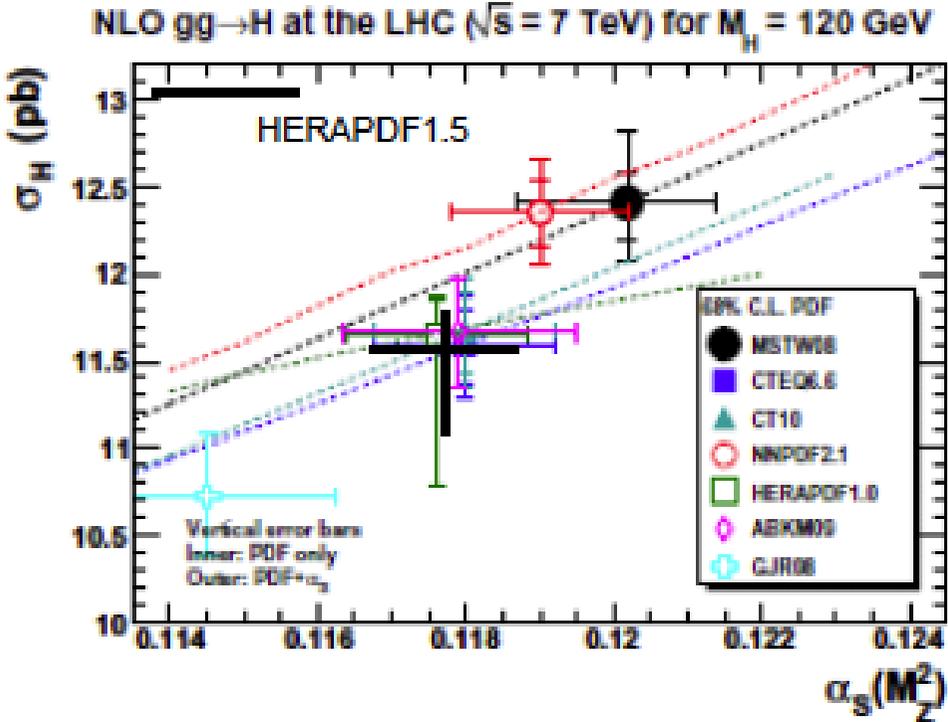


Neural Network pdf  
less dep on parametrization.  
a large ensemble of pdf allowed

Uncertainties larger than for  
CTEQ, MRST, Alekhin  
in unmeasured region

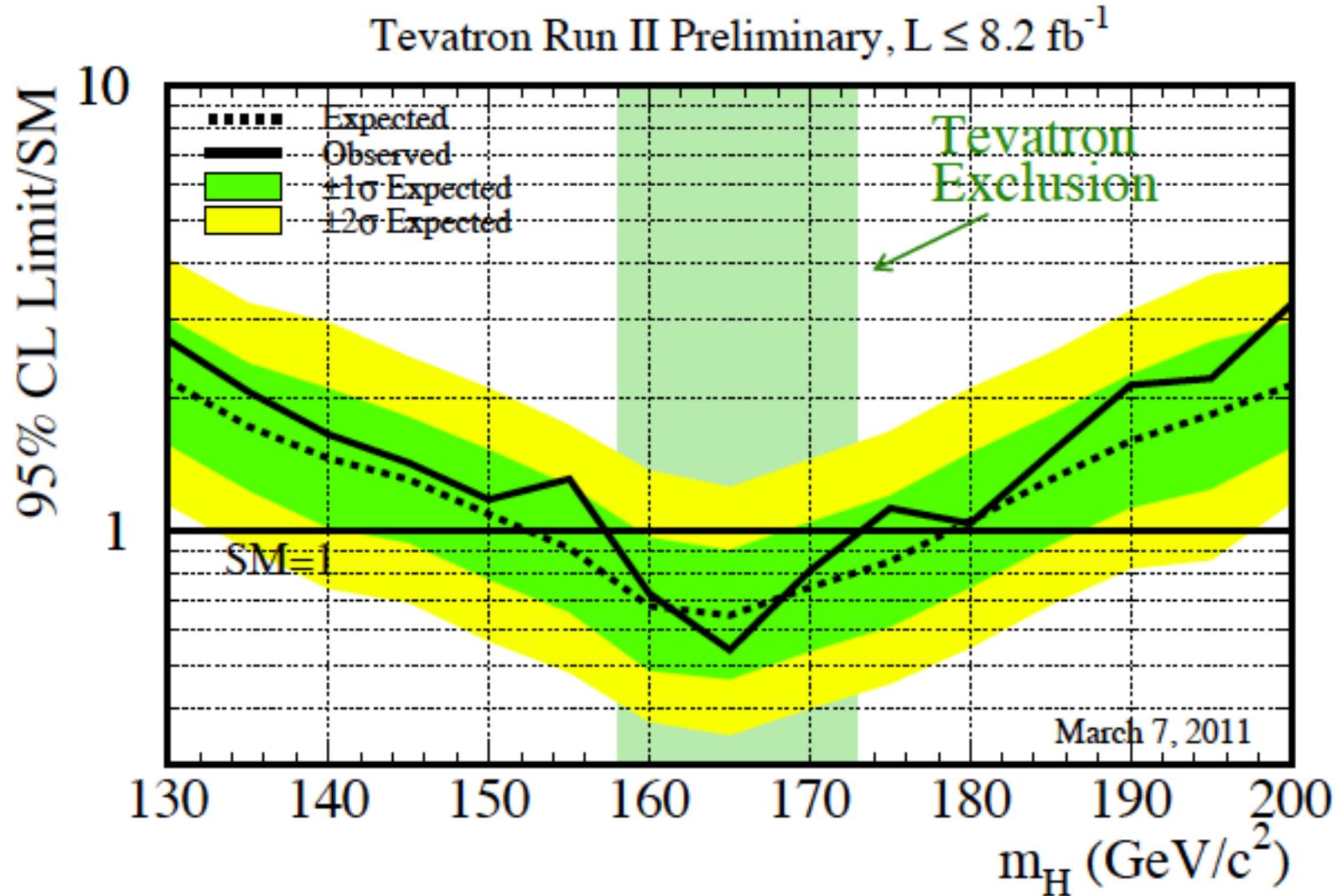


# gluon pdf and $\alpha_s(m_Z)$ crucial for Higgs production at the LHC



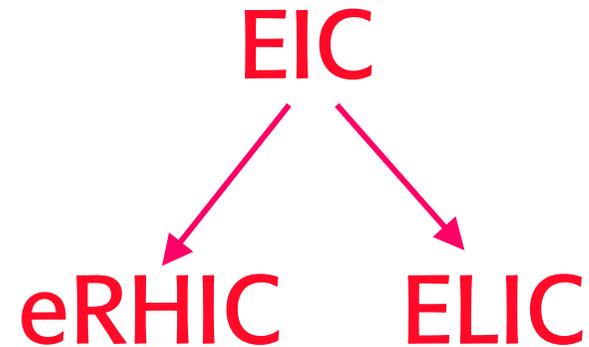
gluon pdf and  $\alpha_s(m_Z)$  crucial for Higgs production at the LHC

Here is the Tevatron case



# What future for DIS and PDF's?

- Jefferson Lab (12 GeV, ELIC?)
- Brookhaven (RHIC, eRHIC?)
- CERN (COMPASS, LHeC?)



---

## Electron Ion Collider

- Lumi  $> 10^{33} \text{ cm}^{-2} \text{ sec}^{-1}$
- CM Energy 30-100 GeV
- Polarized protons & heavy ions (A=p-U)
- Science focus: QCD, EW(?) & Nucleon Spin

## Large Hadron e-Collider

- Lumi  $\sim 10^{33} \text{ cm}^{-2} \text{ sec}^{-1}$
- CM Energy 1.4 TeV
- Un-polarized protons and heavy ions (A=p-Pb)
- Science Focus: QCD, EW and BSM Physics



# LHeC

$$60 \text{ GeV } e^{\pm} \leftrightarrow 7 \text{ TeV } p \rightarrow 2E_{\text{CM}} \sim 1.3 \text{ TeV}$$

compare with HERA  $2E_{\text{CM}} \sim 0.3 \text{ TeV}$

Luminosity  $\sim 10^{33} \text{ cm}^{-2}\text{s}^{-1}$  (3-30  $\text{fb}^{-1}$  per year)

HERA  $\sim 0.12\text{-}0.3 \text{ fb}^{-1}$  per year

$\gamma$  of eP system:  $\gamma \sim E/m_{eP} \sim 5$

HERA  $\sim \gamma \sim 2.7$

$e^{\pm}$  polarization possible

⊕ Simultaneous running of eP with PP or eA with AA

The eP option was present since the beginning of the LHC

ECFA-CERN Workshop

Large Hadron Collider in the LEP Tunnel

Lausanne March '84

Published in CERN-ECFA Wkshp.1984:0549 ([QCD183:E2:1984](#))

PHYSICS OF ep COLLISIONS IN THE TeV ENERGY RANGE

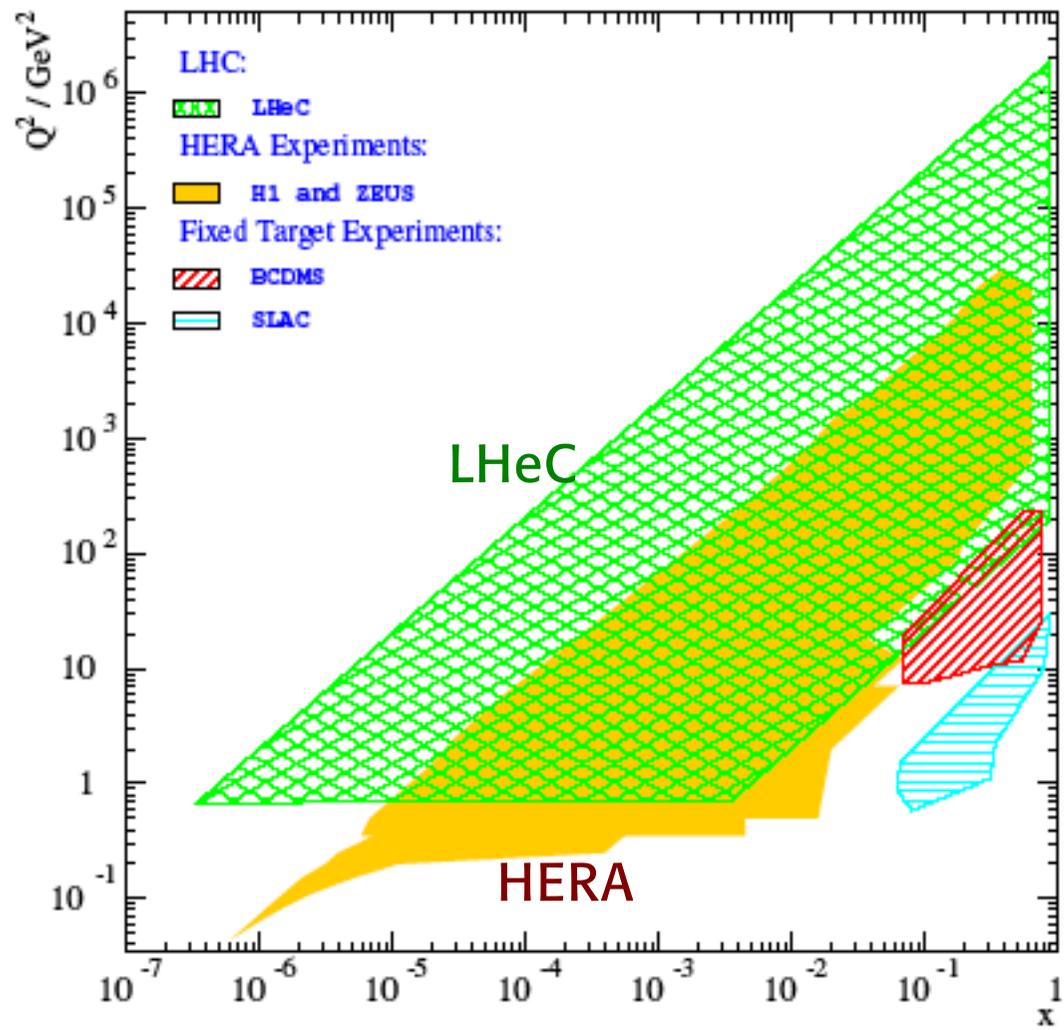
G. Altarelli<sup>\*)</sup>, B. Mele<sup>\*)</sup> and R. Rückl,

CERN, Geneva, Switzerland

*(Presented by G. Altarelli)*

ABSTRACT

We study the physics of electron-proton collisions in the range of centre-of-mass energies between  $\sqrt{s} \approx 0.3$  TeV (HERA) and  $\sqrt{s} \approx (1-2)$  TeV. The latter energies would be achieved if the electron or positron beam of LEP [ $E_e \approx (50-100)$  GeV] is made to collide with the proton beam of LHC [ $E_p \approx (5-10)$  TeV].



# Broad physics goals

- Proton structure and precision QCD physics in the domain of  $x$  and  $Q^2$  of LHC experiments
- Small- $x$  physics in eP and eA collisions
- Probing the  $e^\pm$ -quark system at  $\sim$ TeV energy  
eg leptoquarks, excited  $e^*$ 's, mirror e,  
SUSY with no R-parity.....
- Searching for new EW currents  
eg RH  $W$ 's,  
effective  $eeqq$  contact interactions...



# Conclusion

DIS is a very fundamental process in particle physics

HERA has very much contributed to our knowledge on the proton structure

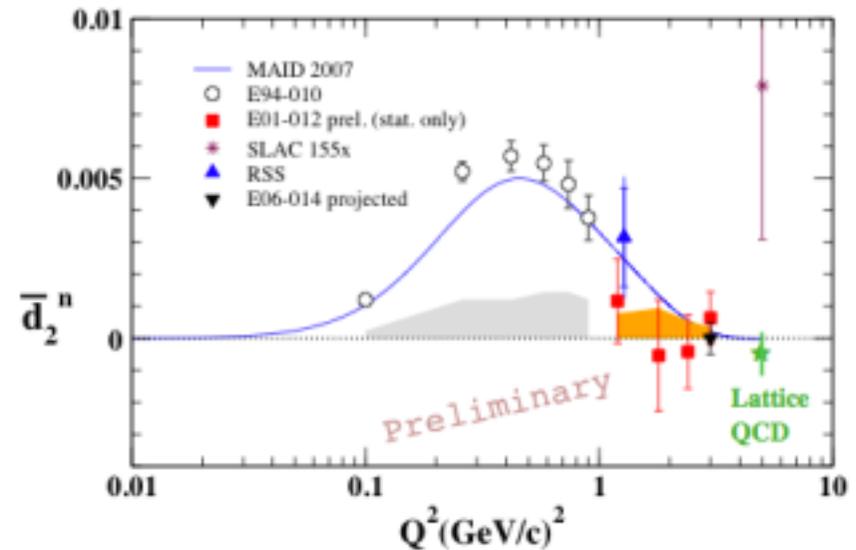
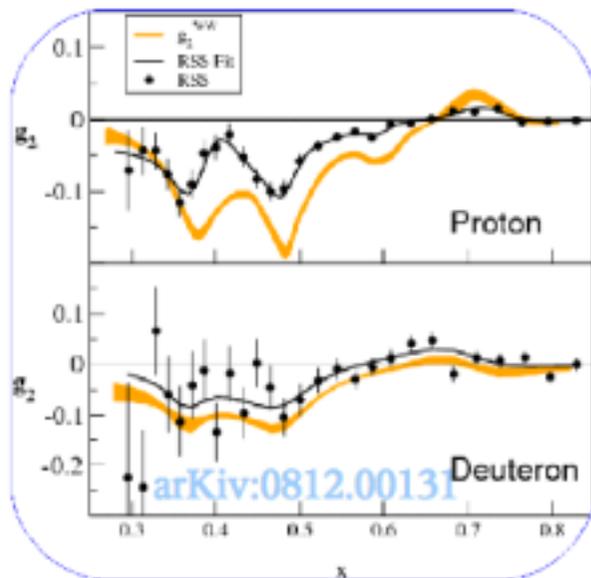
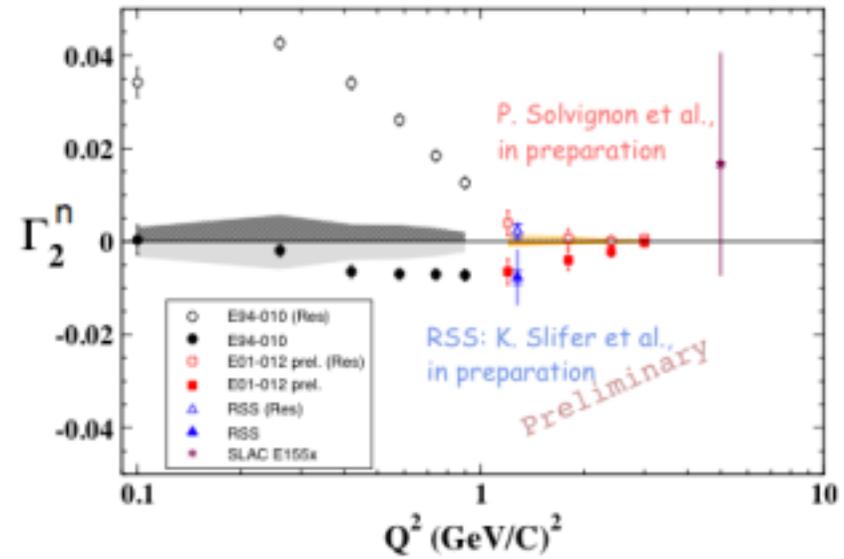
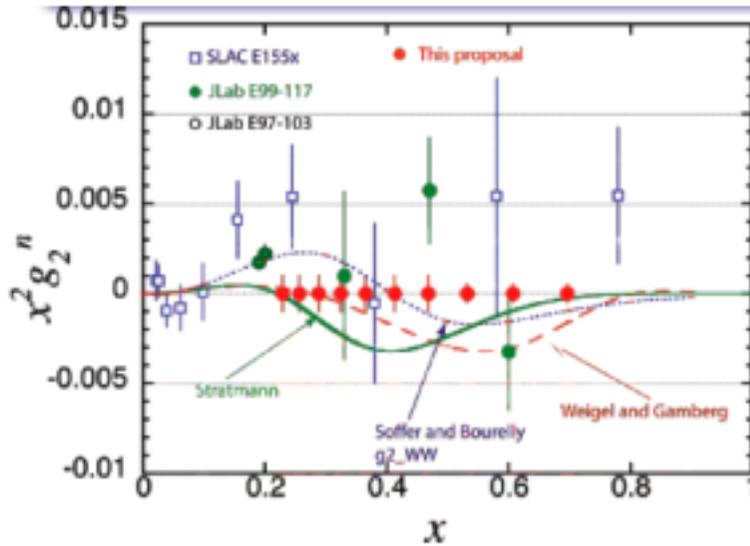
A large number of open questions remain in this domain in particular at small  $x$

Additional issues will certainly be prompted by the LHC data and discoveries

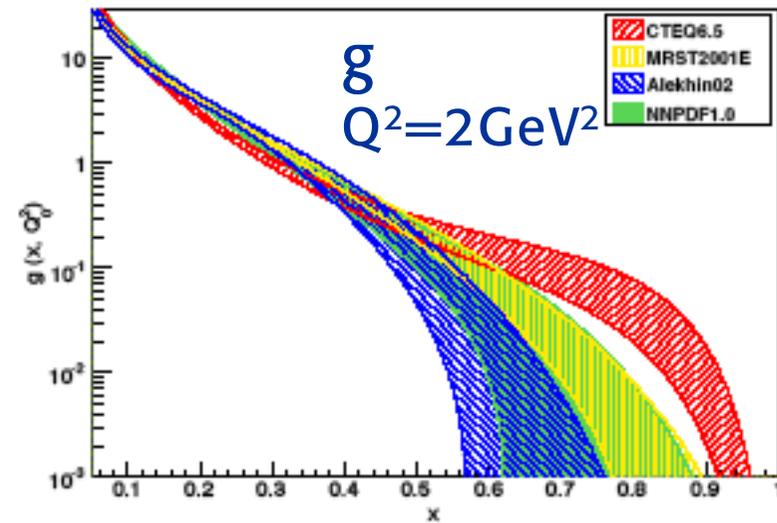
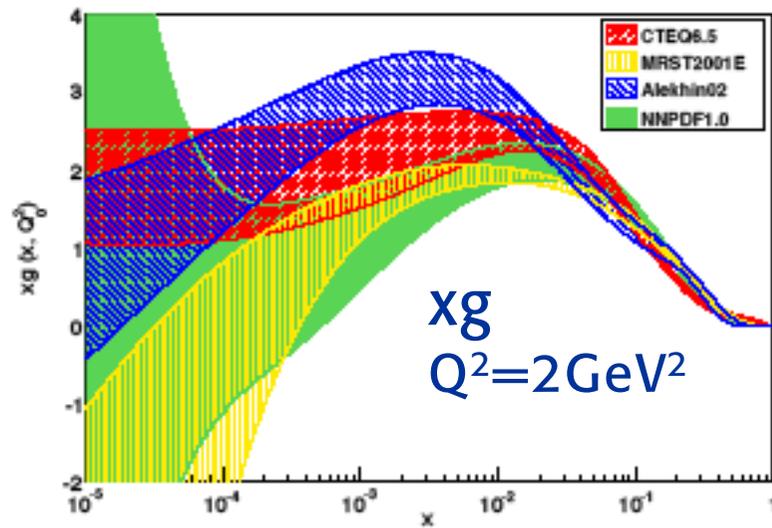
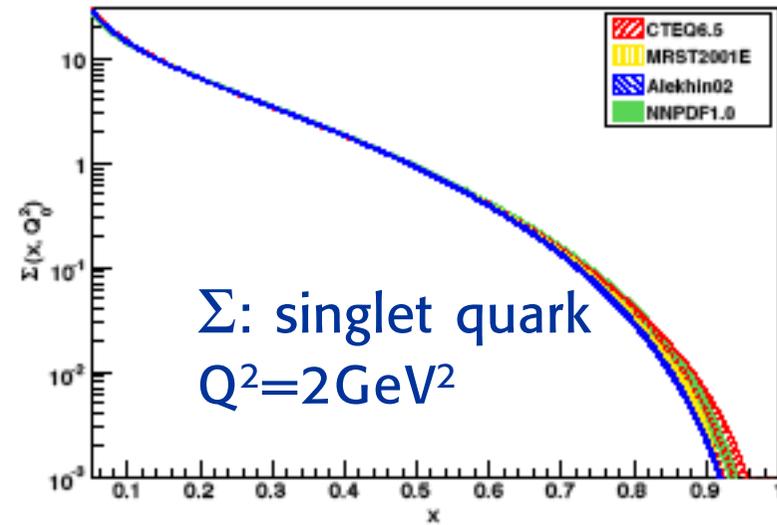
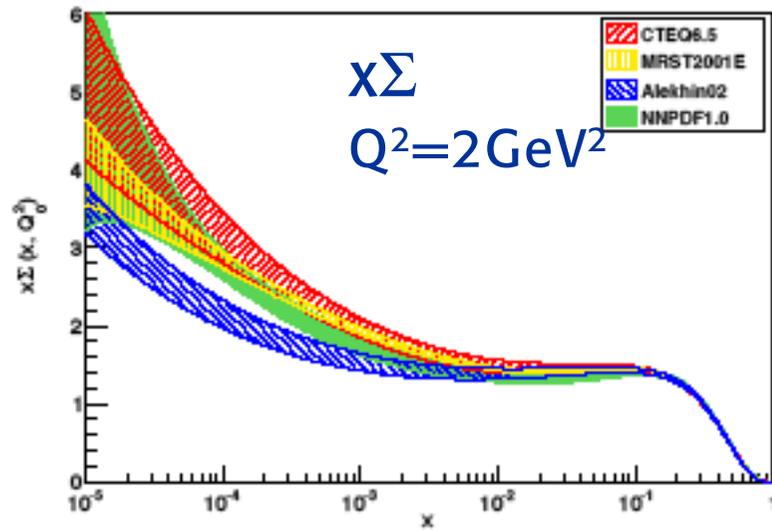
It would be a waste not to exploit the 7 TeV beams for eP and eA physics at some stage during the LHC time



# Data on $g_2$ support the BC sum rule and show departures from the WW sum rule



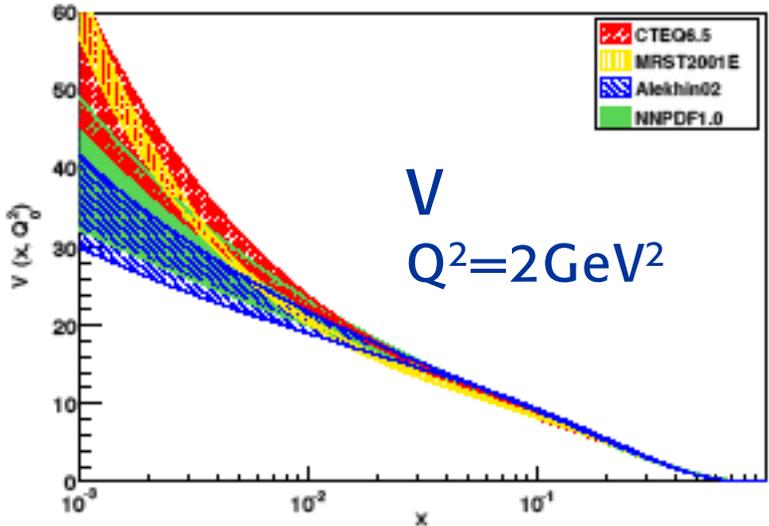
NNPDF: R. Ball et al '08



x: log scale

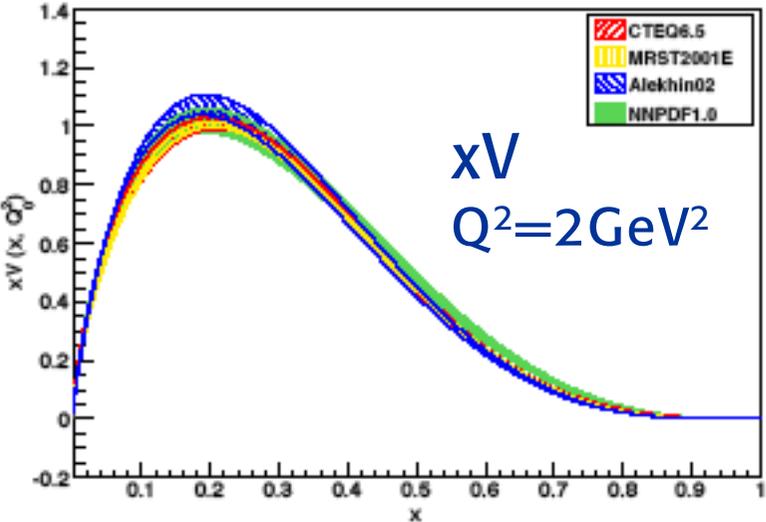
x: linear scale

$$V(x) \equiv \sum_{i=1}^{n_f} (q_i(x) - \bar{q}_i(x))$$



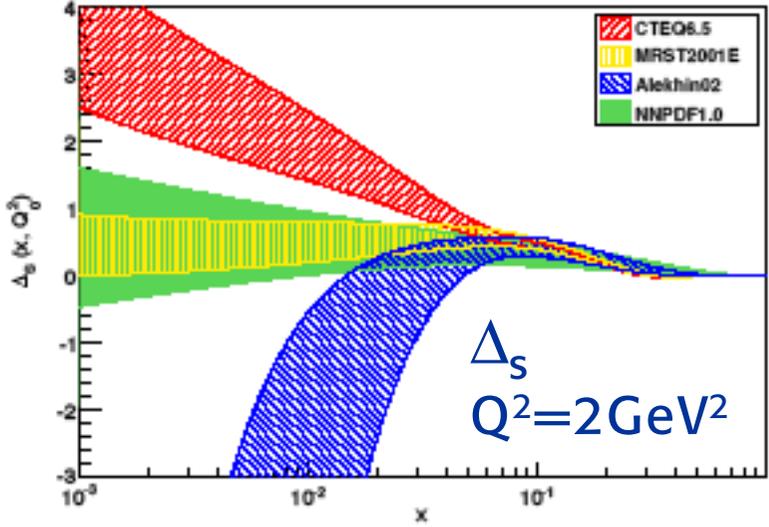
V  
Q<sup>2</sup>=2 GeV<sup>2</sup>

x: log scale

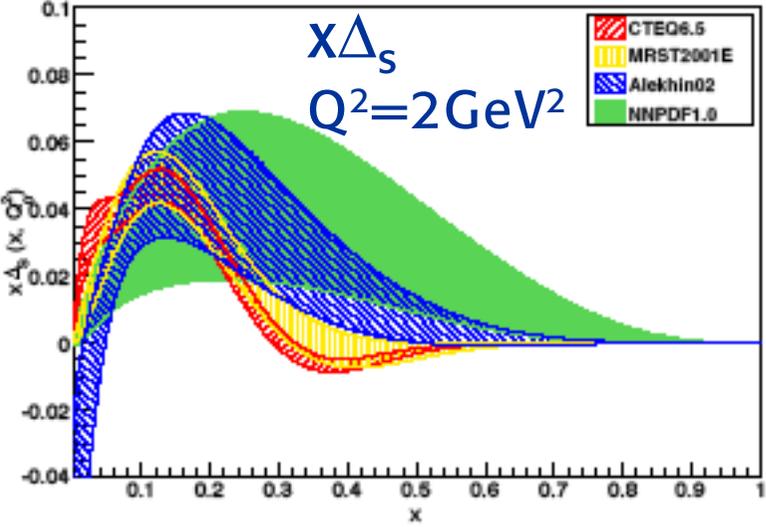


xV  
Q<sup>2</sup>=2 GeV<sup>2</sup>

x: linear scale



Δ<sub>S</sub>  
Q<sup>2</sup>=2 GeV<sup>2</sup>



xΔ<sub>S</sub>  
Q<sup>2</sup>=2 GeV<sup>2</sup>

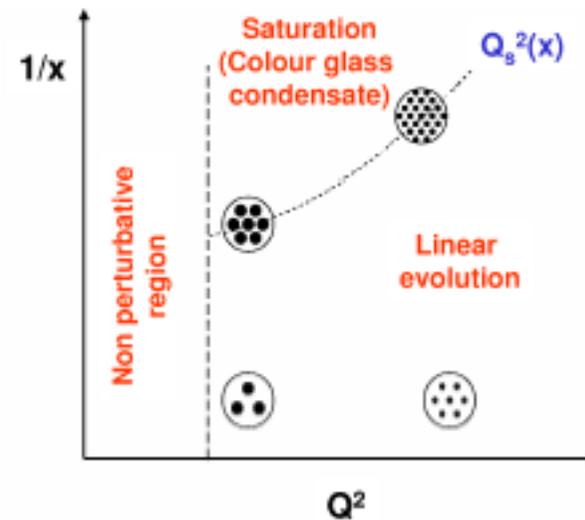


$$\Delta_S(x) \equiv \bar{d}(x) - \bar{u}(x)$$

The region where we expect the leading twist perturbative regime to fail is at very small  $x$  where the singlet splitting functions finally take off

This is at the boundary of the LHeC domain

Saturation: when in a sphere of  $r \sim 1/Q$  there are too many gluons (large  $Q$ , small  $x$ )  
--> colour glass condensate



At the LHeC one goes deeper in the small- $x$  region and it should be possible to test the details of the resummed evolution and of the transition region

⊕ The ion beam will enhance the potentialities for saturation