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# Three questions, one answer

*Neutrinos as the key to the universe as we know it*

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# Three questions

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Three open questions in physics

- How neutrinos acquire their tiny masses?
- Why is there only matter in the universe?
- Why the electron and proton have exactly the same charge?

It is plausible that one mechanism answers all three questions

# Outline

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- A short introduction to HEP
- Q1: Neutrinos
- Q2: Matter and anti-matter
- Q3: Electric charge quantization
- Conclusion: A possible answer and what next

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# Introduction to HEP

# What is HEP

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A very simple question

$$\mathcal{L} = ?$$

# Building Lagrangians

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- Choosing the generalized coordinates (fields)
- Imposing symmetries and how fields transform (input)
- The Lagrangian is the most general one that obeys the symmetries
- We truncate it at some order, usually fourth

# The Standard Model (SM)

It explains almost everything we see in Nature

- The symmetry is  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- There are three generations of fermions (flavors) and one scalar (Higgs)

$$\begin{array}{lll} Q_L(3, 2)_{+1/6} & U_R(3, 1)_{+2/3} & D_R(3, 1)_{-1/3} \\ L_L(1, 2)_{-1/2} & E_R(1, 1)_{-1} & H(1, 2)_{+1/2} \end{array}$$

- $H$  gives  $SU(2)_L \times U(1)_Y \rightarrow U(1)_{\text{EM}}$
- Each group corresponds to a force
- $SU(N)$  is non-Abelian while  $U(1)$  is Abelian

# Accidental symmetries

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Two kinds of symmetries

- Input: symmetries we impose
- Output: symmetries due to the truncation (accidental)
- Example: The period of a pendulum does not depend on the amplitude



# The accidental symmetries of the SM

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- The SM has baryon number and lepton number as accidental symmetries
- That explains why the proton is stable
- There are fundamental differences between baryon number and electric charge conservations
  - Electric charge conservation is imposed while baryon and lepton numbers are not
  - Electric charge comes with a force (the EM force) while baryon and lepton numbers do not

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# 1: Neutrino masses

# What are neutrinos

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- Neutral fermions
- They appear massless to a very good approximation (we did not detect them traveling slower than light)
- They come with three flavors:  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$
- Think of flavor as an “new” observable:  $\hat{F}$
- Generally speaking

$$m_\nu = 0 \Rightarrow [H, F] = 0 \qquad m_\nu \neq 0 \Rightarrow [H, F] \neq 0$$

- Non conservation of flavor is a sign for massive neutrinos

# Probing neutrino masses

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- Direct searches are not sensitive to very small masses
- Neutrino oscillation experiments are sensitive to  $m_\nu$
- For example: producing  $\nu_\mu$  and detecting  $\nu_\tau$  far away
- Many different experiments found clear evidences for neutrino oscillations that give

$$m_\nu \sim \text{few} \times 10^{-2} \text{ eV}$$

- Compared to  $m_e \sim 10^6 \text{ eV}$  and  $m_P \sim 10^9 \text{ eV}$

neutrinos have tiny masses

# Neutrino masses in the SM

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The SM implies that neutrinos are exactly massless

- We need to add something to the SM
- There are several ways to extend the SM such that neutrinos are massive
- One idea: add a “sterile” heavy fermion to the SM,  $N$

Why such a new particle lead to massive neutrinos?

# $m_\nu \neq 0$ : A 2nd look at 2nd order PT

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- Small corrections to energies due to the whole spectrum
- We can reverse the logic: The correction is a way to probe the high energy states
- Consider a two level system with  $E_1 \gg E_0$

$$\Delta E_0 \propto \frac{|\langle 0 | \Delta H | 1 \rangle|^2}{E_0 - E_1} \sim \frac{1}{E_1}$$

- We see the sensitivity to the “heavy” states
- In high energy physics,  $E \rightarrow m$ , and thus

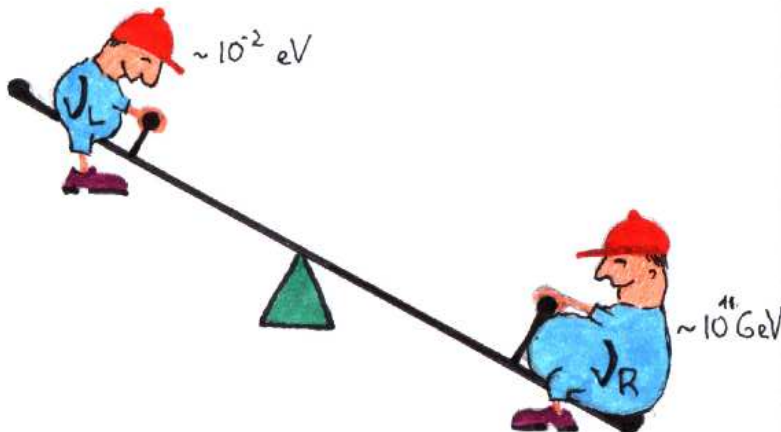
$$m \sim \frac{1}{M}$$

# Neutrino masses

- The heavy fermion  $N$  gives mass to the light neutrino due to 2nd order perturbation theory

$$m_\nu \sim \frac{m_W^2}{M_N}$$

- The scale is  $M_N \lesssim 10^{15} \text{ GeV}$



- The see-saw mechanism
- Lepton number is broken

# Neutrino masses: the question

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What is the mechanism that gives neutrinos their masses? In particular, is it related to  $N$ ?



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## 2: Matter, anti-matter and CPV

# Matter, anti-matter and CPV

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- We know anti-matter exists. The positron seems to be an exact “mirror image” of the electron
- The formal transformation between them is called CP
- Matter and anti-matter cannot coexist. When they meet they annihilate
- The universe has a net positive baryon number
- In the SM baryon number is an accidental symmetry. We expect the same amount of matter and anti-matter, basically zero
- Measurements (BBN and the CMB) imply

$$\eta \equiv \frac{n_B}{n_\gamma} = \text{few} \times 10^{-10}$$

# Baryogenesis

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$$\eta \equiv \frac{n_B}{n_\gamma} = \text{few} \times 10^{-10}$$

The questions

- Why is there only matter around us?
- Can we explain the measured number?

# Ways to baryogenesis

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There are several logical possibilities

- Initial conditions are such that  $n_B \neq 0$
- Separation: we are here, they are there
- Dynamical generation of baryons in the early universe

The third possibility looks much more attractive

# The Sakharov conditions

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The three Sakharov conditions for dynamically generated baryon asymmetry

- Baryon number violating process

$$X \rightarrow p^+ e^-$$

- C and CP violation

$$\Gamma(X \rightarrow p^+ e^-) \neq \Gamma(\bar{X} \rightarrow p^- e^+)$$

- Deviation from equilibrium

$$\Gamma(X \rightarrow p^+ e^-) \neq \Gamma(p^+ e^- \rightarrow X)$$

# SM baryogenesis

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The three Sakharov conditions are satisfied in the SM

- Baryon number violating process: sphalerons
- The weak interaction violates C and CP
- Out of equilibrium from the electroweak phase transition

In principle, the SM can generate a world with matter

# The problem of SM baryogenesis

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While the SM makes baryons, it is not efficient enough

$$\eta_{\text{SM}} \sim 10^{-25} \ll 10^{-10}$$

An open question is therefore:

## What is the source of the baryons in the universe?

# Leptogenesis

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- Using the  $N$  that was postulated for neutrino masses
- Dynamic generation of lepton number via  $N$  decay
- Since this occurs at very high temperature, the sphalerons convert lepton asymmetry to baryon asymmetry
- For this to work  $M_N \gtrsim 10^{11}$  GeV



# Baryogenesis: the question

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What is the mechanism that generates baryons in the universe? In particular, is it related to  $N$ ?

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Q3: Why  $q_e = -q_P$ ?

# Ways for charge quantization

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Why all charges are a integer times  $q_e/3$  while the masses are all over the place?

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Several ideas

- Just an accident
- Dirac quantization
- $U(1)_{\text{EM}}$  is part of a rotation in a larger space

# Charge quantization

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What is charge? The amount of rotation

$$\psi \rightarrow e^{iq\theta} \psi$$

- Think of rotation in 2 and 3 dimensions
- 2d:  $r \rightarrow e^{iq\theta} r$  for any  $q$  a number
- 3d:  $r \rightarrow e^{iq_i \theta_i} r$  where  $q_i$  is a matrix and  $[q_i, q_j] = i\epsilon_{ijk} q_k$
- $q$  is quantized if the 2d rotation is part of a 3d rotation

Non-Abelian symmetries imply  
charge quantization

# GUT

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- GUT: The SM symmetry group is a part of a bigger group
- In that case, all the SM fields are part of a bigger multiplet (like  $e$  and  $\nu$  in the weak interaction)
- The GUT group is broken to the SM one

$$\text{GUT implies } q_e = -q_P$$

# GUT: how it works?

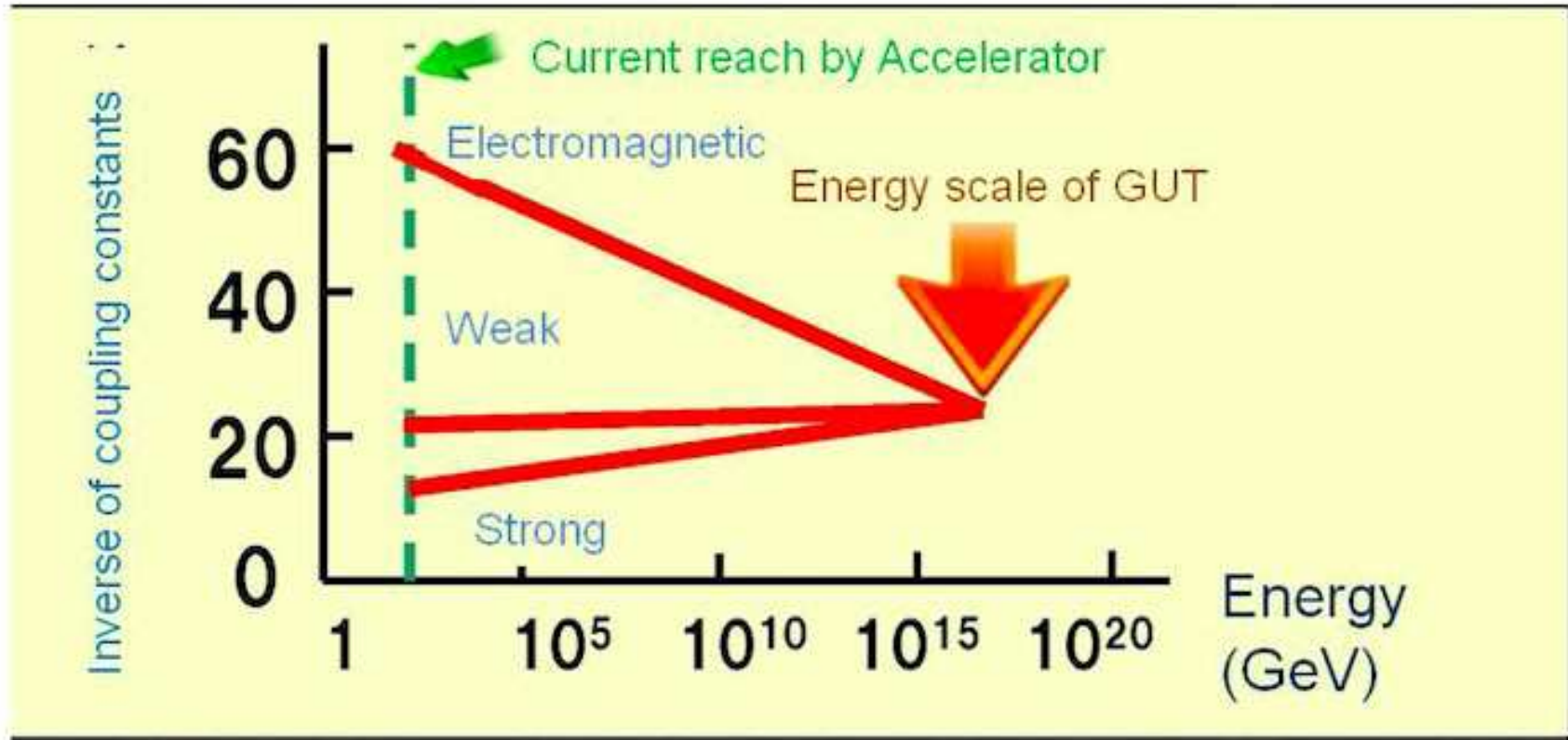
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- All the SM fields are part of a bigger group
- Our best candidate for GUT is  $SO(10)$

$$SO(10) \rightarrow SU(3) \times SU(2) \times U(1)$$

- In the SM we have 15 DoF, and in  $SO(10)$  we need 16
- The one more field that we need is not charged under the SM. It has the same properties as  $N$
- Its mass is of the order of the GUT breaking scale
- What is the scale associated with the breaking?

# GUT scale



$$M_{GUT} \sim 10^{16} \text{ GeV} \Rightarrow M_N \lesssim 10^{16} \text{ GeV}$$

# GUT: the question

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Is GUT realized in Nature?  
In particular, is  $N$  part of it?



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# All together now

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- Why are neutrinos massive?
- How we ended up in a universe with matter?
- Do we have a GUT?

It all points to that new  
particle,  $N$

Can the same  $N$  do it all?

Can the same  $N$  do it all?

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**YES!**

# What next?

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Many experiments are running and others are planned

- to look for CP violation in neutrino oscillations
- to search for proton decay
- to observe neutrinoless double beta decay

We expect to see signals in all of them

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# Conclusions

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(maybe) Thanks the neutrinos that we are here

