

## Implications of lepton non-universality for BSM models and colliders

with input from arXiv:1408.1627, arXiv:1411.4773 , arXiv:1503.01084, arXiv:1609.08895,  
arXiv:1704.05444, arXiv:1801.09399 with Martin Schmaltz, Ivo de Medeiros Varzielas, Dennis Loose,  
Kay Schönwald, Ivan Nisandzic

Gudrun Hiller, TU Dortmund

Works supported in part by the DFG research Unit FOR 1873 and the Federal Ministry for Education  
and Research (BMBF)



symmetry, and symmetry-breaking (the same yet not the same)

Matter comes in 3 generations  $\psi \rightarrow \psi_i, i = 1, 2, 3$ .

$$\begin{pmatrix} u \\ d \end{pmatrix}, \begin{pmatrix} c \\ s \end{pmatrix}, \begin{pmatrix} t \\ b \end{pmatrix}$$

Fermions mix, change "flavor" in weak processes and violate CP!

$$\mathcal{L}_{SM} = -\frac{1}{4}F^2 + \bar{\psi}i\not{D}\psi + \frac{1}{2}(D\Phi)^2 - \bar{\psi}Y\psi\Phi + \mu^2\Phi^2 - \lambda\Phi^4$$

Strength of couplings, forces:  $\alpha_s, \alpha_w, \alpha_e$ : 3

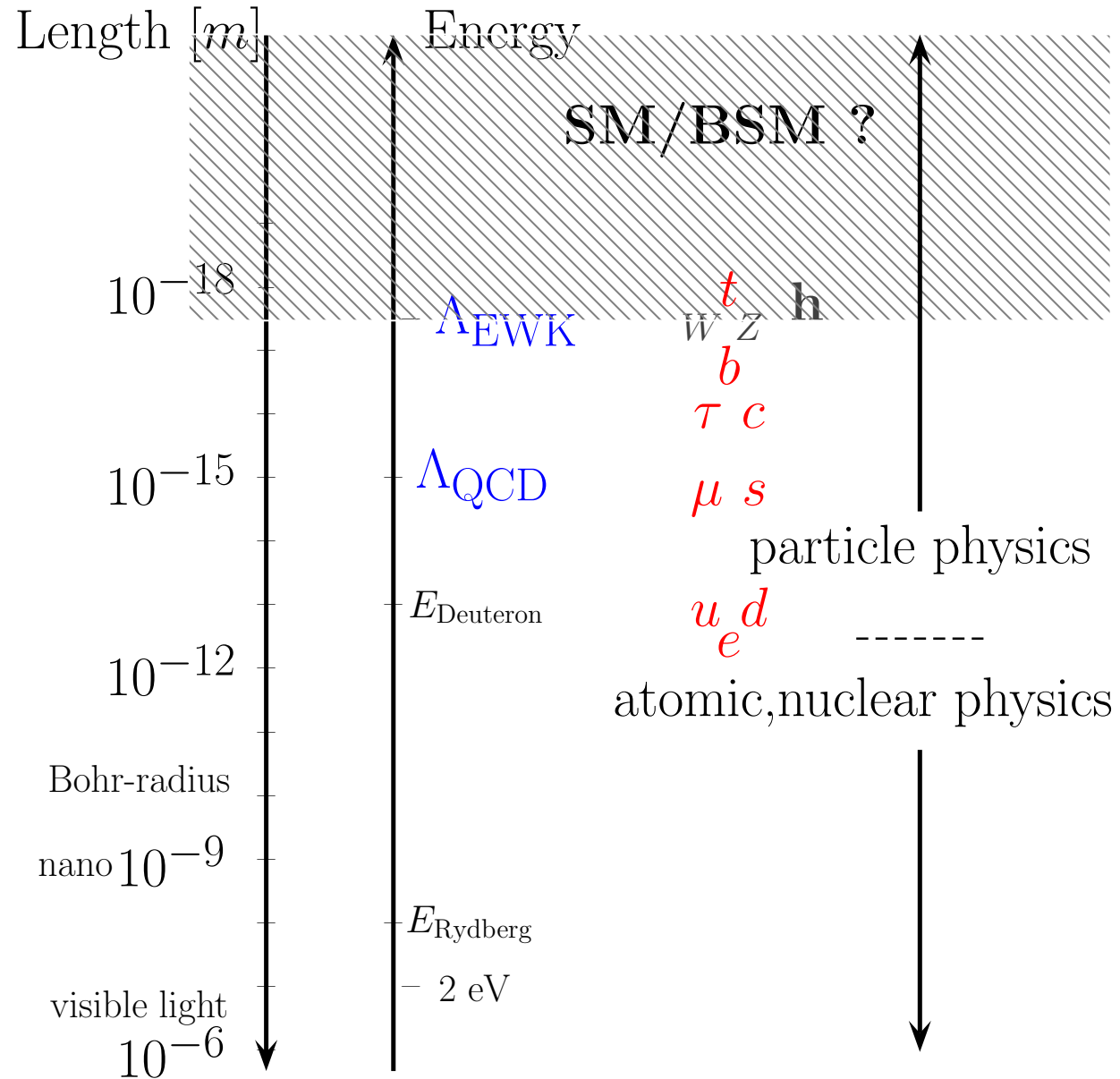
Electroweak scale, e.g.,  $m_Z$ : 1

Scalar potential. e.g.,  $m_h$ : 1

Fermions: 13

18 parameters (minimal, without neutrino masses and strong phase)  
flavor most uneconomical part of SM, masses and mixing puzzling

# Physics at highest Energies



The Yukawa coupling  $Y$  in  $\mathcal{L}_{SM} = -\bar{\psi}Y\psi\Phi + \dots$  is a  $3 \times 3$  matrix.

Experimentally:

$$Y_u \sim \begin{pmatrix} 10^{-5} & -0.002 & 0.008 + i 0.003 \\ 10^{-6} & 0.007 & -0.04 \\ 10^{-8} + i 10^{-7} & 0.0003 & 0.94 \end{pmatrix}$$

$$Y_d \sim \text{diag} (10^{-5}, 5 \cdot 10^{-4}, 0.025)$$

$$Y_e \sim \text{diag} (10^{-6}, 6 \cdot 10^{-4}, 0.01)$$

very peculiar structure      **not understood**

quark mixing is hierarchal, lepton mixing anarchical  $O(1)$  entries

---

Generational structure & mixing is a feature of the SM and many beyond-SM particles. VIRTUES:

*i)* high sensitivity to BSM in flavor violation; predictive, and suppressed in SM therefore ideal to look for New Physics in, e.g.,  $b \rightarrow s\ell\ell, \mu \rightarrow e\gamma, \dots$

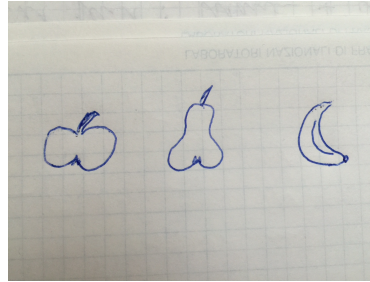
*ii)* flavorful processes are intrinsically linked to the "flavor puzzle": masses, i.e., Yukawa matrices in  $\mathcal{L}_{SM} = -\bar{Q}Y_u H^C U - \bar{Q}Y_d H D + \dots$  do not appear to be random but rather structured - from where? with a BSM-signal, we may be able to progress here

*iii)* plenty of modes  $s \rightarrow d, c \rightarrow u, b \rightarrow s, d, t \rightarrow c, u, \mu \rightarrow e, \tau \rightarrow \mu, e$  plus charged ones and  $h \rightarrow f\bar{f}'$ ; ongoing & future experiments, too. we may identify  $\mathcal{L}_{BSM}$ ; complementary to direct searches

# Lepton Non-universality?

---

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}$$



Anomalies in semileptonic  $B$ -meson decays:

$$R_K = \frac{\mathcal{B}(B \rightarrow K \mu \mu)}{\mathcal{B}(B \rightarrow K e e)} \quad 2.6\sigma \quad (\text{LHCb}'14)$$

$$R_{K^*} = \frac{\mathcal{B}(B \rightarrow K^* \mu \mu)}{\mathcal{B}(B \rightarrow K^* e e)} \quad 2.6\sigma \quad (\text{LHCb}'17)$$

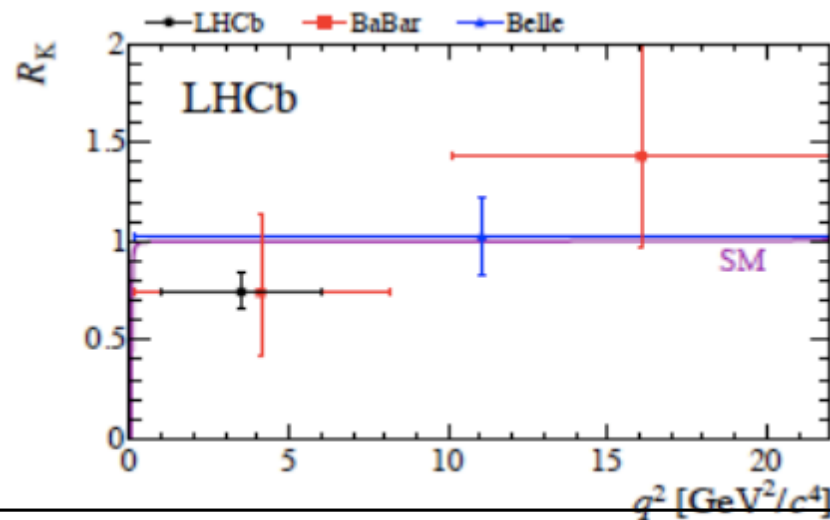
$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu_\tau)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu_\ell)} \quad \sim 2.7\sigma (D^*), \sim 2\sigma (D)$$

(LHCb'15, B-factories)

$$R_H = \frac{\mathcal{B}(B \rightarrow H \mu \mu)}{\mathcal{B}(B \rightarrow H e e)}, \quad H = K, K^*, X_s, \Phi, \dots$$

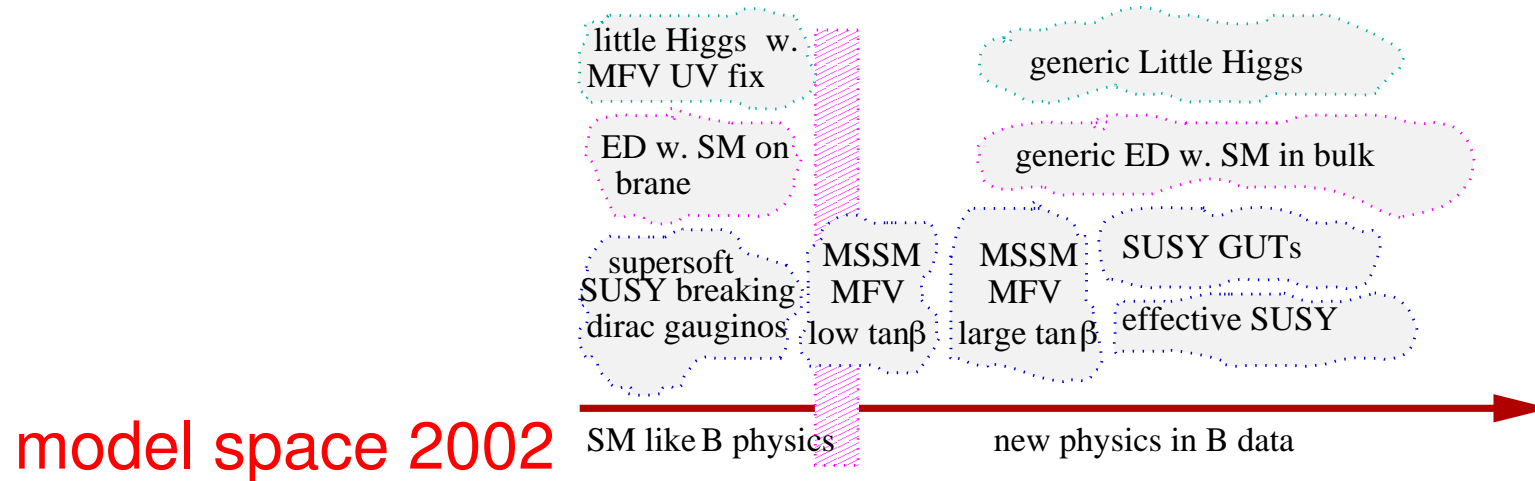
In models with lepton universality (incl. SM):  $R_H = 1 + \text{tiny}$  GH, Krüger '03

	LHCb	SM
$\mathcal{B}(B \rightarrow K \mu \mu)_{[1,6]}$	$(1.21 \pm 0.09 \pm 0.07) \cdot 10^{-7}$	$(1.75^{+0.60}_{-0.29}) \cdot 10^{-7}$
$\mathcal{B}(B \rightarrow K e e)_{[1,6]}$	$(1.56^{+0.19+0.06}_{-0.15-0.04}) \cdot 10^{-7}$	same
$R_K  _{[1,6]}$	$0.745 \pm_{0.074}^{0.090} \pm 0.036$	$\simeq 1$





$\psi_i$  may be more different than we thought



2002: top-down models [plot from hep-ph/0207121](#)

2018:  $U(1)$ -extensions, leptoquarks,...

theory activities how to get these from UV-models [1708.06450](#), [1708.06350](#),

[1706.05033](#), [1808.00942](#) ..

We are seeing  $\sim 2.6\sigma$  hints of new physics in  $b \rightarrow sll$ , LNU between  $e$ 's and  $\mu$ 's in both observables  $R_K$  and  $R_{K^*}$  [LHCb '14, '17](#),

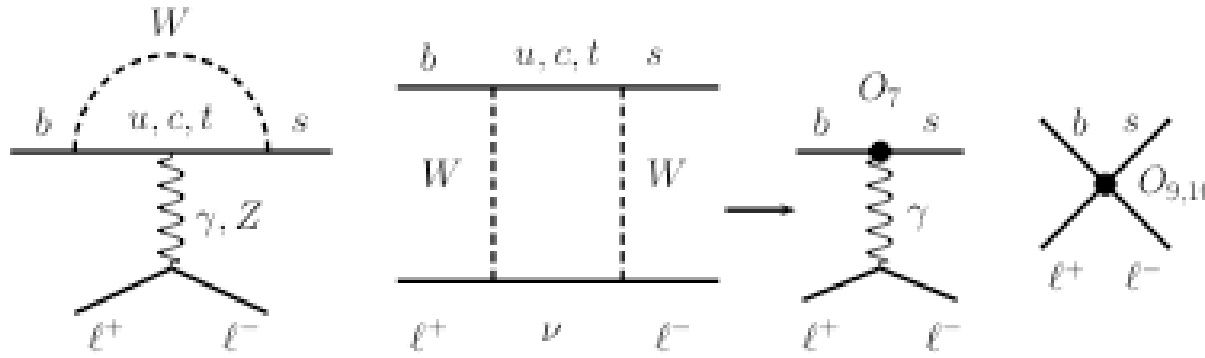
$$R_H = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{H} \mu \mu)}{\mathcal{B}(\bar{B} \rightarrow \bar{H} e e)}, \text{ same cuts e and mu, } H = K, K^*, X_s, \dots$$

Lepton-universal models (incl. SM):  $R_H = 1 + \text{tiny}$  [GH, Krüger, hep-ph/0310219](#)

How can we go on, consolidate and decipher this effect?

1. Which operators are responsible for the deviation? [1411.4773](#)
2. BSM in electrons, or muons, or in both?
3. Side effects from flavor: LFV,  $\tau$ 's, or  $SU(2)$ :  $\nu$ 's [1411.0565](#), [1412.7164](#),  
[1503.01084](#) Charm and Kaons
4. BSM CP violation [1411.4773](#)
5. Collider implications (leptoquarks!)

# $b \rightarrow s\ell\ell$ FCNCs model-independently



Construct EFT  $\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i C_i(\mu) O_i(\mu)$  at dim 6

V,A operators  $\mathcal{O}_9 = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \ell]$ ,  $\mathcal{O}'_9 = [\bar{s}\gamma_\mu P_R b] [\bar{\ell}\gamma^\mu \ell]$

$\mathcal{O}_{10} = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \gamma_5 \ell]$ ,  $\mathcal{O}'_{10} = [\bar{s}\gamma_\mu P_R b] [\bar{\ell}\gamma^\mu \gamma_5 \ell]$

S,P operators  $\mathcal{O}_S = [\bar{s}P_R b] [\bar{\ell}\ell]$ ,  $\mathcal{O}'_S = [\bar{s}P_L b] [\bar{\ell}\ell]$ , **ONLY  $O_9, O_{10}$  are SM, all other BSM**

$\mathcal{O}_P = [\bar{s}P_R b] [\bar{\ell}\gamma_5 \ell]$ ,  $\mathcal{O}'_P = [\bar{s}P_L b] [\bar{\ell}\gamma_5 \ell]$

and tensors  $\mathcal{O}_T = [\bar{s}\sigma_{\mu\nu} b] [\bar{\ell}\sigma^{\mu\nu} \ell]$ ,  $\mathcal{O}_{T5} = [\bar{s}\sigma_{\mu\nu} b] [\bar{\ell}\sigma^{\mu\nu} \gamma_5 \ell]$

**lepton specific  $C_i O_i \rightarrow C_i^\ell O_i^\ell$ ,  $\ell = e, \mu, \tau$**

# 1. Diagnosing operators in $b \rightarrow s$

---

$R_K^{(*)}$  fit:

$$\text{Re}[C_9^{\text{NP}\mu} - C_{10}^{\text{NP}\mu} - (\mu \rightarrow e)] \sim -1.1 \pm 0.3, \quad \text{Re}[C_9'^{\mu} - C_{10}'^{\mu} - (\mu \rightarrow e)] \sim 0.1 \pm 0.4$$

At linear approximation it suffices to measure 2 different (by spin parity of final hadron)  $R_H$  ratios and then all others serve as

consistency checks [1411.4773](#)

Wilson coefficients  $C$ : V-A,  $C'$ : V+A currents

$$C + C' : \quad K, K_{\perp}^*, \dots$$

$$C - C' : \quad K_0(1430), K_{0,\parallel}^*, \dots$$

and  $K_{\perp}^*$  subleading at both high and low  $q^2$  windows. **Predictions:**

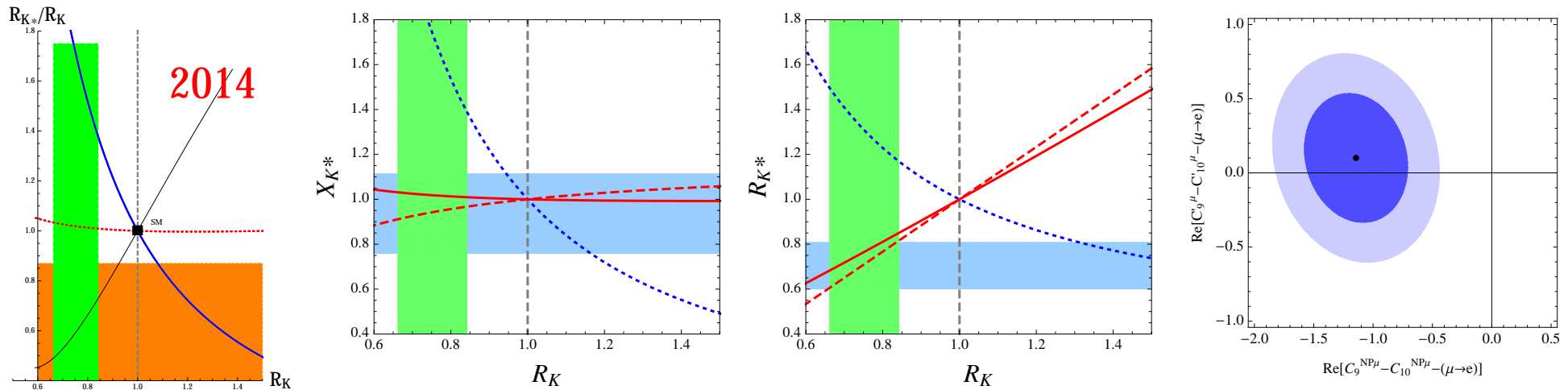
$$R_K \simeq R_{\eta} \simeq R_{K_1(1270,1400)}, \quad R_{K^*} \simeq R_{\Phi} \simeq R_{K_0(1430)}$$

**All  $R_H$  equal if no V+A currents present.**

# 1. Diagnosing operators in $b \rightarrow s$

The measurement of  $R_K$  and  $R_{K^*}$  does this diagnosing job. SM-like chirality operators are the dominant source behind the anomalies.

**Prediction:**  $R_{X_s} \simeq 0.73 \pm 0.07$  inclusive decays [1704.05444](#) Belle II talk by Komarov



Green band:  $R_K$   $1\sigma$  LHCb, blue band  $R_{K^*}$   $1\sigma$  LHCb. Different BSM scenarios are red dashed: pure  $C_{LL}$  (LQ triplet). Black solid:  $C_{LL} = -2C_{RL}$ . Blue:  $C_{RL}$  (LQ doublet)/disfavored as dominant source of LNU. Orange: data from  $B \rightarrow X_s \ell \ell$ .  $R_{X_s}^{\text{Belle}'09} = 0.42 \pm 0.25$ ,  $R_{X_s}^{\text{BaBar}'13} = 0.58 \pm 0.19$ .

## 2. electrons and/or muons?

---

$R_H < 1$ :

too few muons, or too many electrons, or combination thereof. To disentangle this lepton specific measurements are required. Belle

1612.05014

$B \rightarrow H e e$  and  $B \rightarrow H \mu \mu$  studies; global fits Bobeth, van Dyk, Mahmoudi, Matias, Virto, Straub, Camalich, Altmannshofer, Hurth, Hofer, Jäger

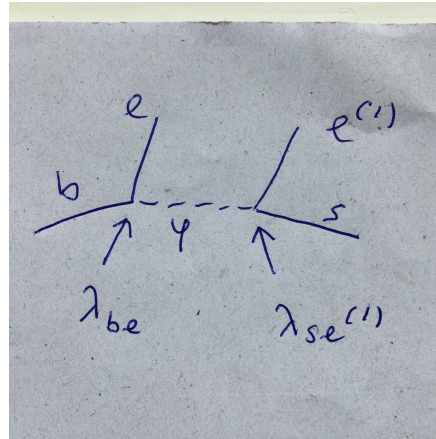
It is interesting that also  $B \rightarrow K, K^* \mu \mu$  has presently an anomaly, that even can point to the same direction as  $R_{K, K^*}$ . As NP in electrons is presently not NEEDED to explain data, one may work with BSM in muons only (occurs's razor, model-dependent argument, not a proof). **e vs mu: don't know for sure yet**

### 3. LFV and other flavor effects

---

From a flavor perspective, LNU quite generically implies LFV

Guadagnoli, Lane, ...



Leptoquark coupling matrix:  $\lambda_{ql} \equiv \begin{pmatrix} \lambda_{q_1 e} & \lambda_{q_1 \mu} & \lambda_{q_1 \tau} \\ \lambda_{q_2 e} & \lambda_{q_2 \mu} & \lambda_{q_2 \tau} \\ \lambda_{q_3 e} & \lambda_{q_3 \mu} & \lambda_{q_3 \tau} \end{pmatrix}$

rows=quarks, columns =leptons

(different from SM-Yukawas)

quark-lepton couplings:

red: K and D physics

general:

$$\begin{pmatrix} \lambda_{q1e} & \lambda_{q1\mu} & \lambda_{q1\tau} \\ \lambda_{q2e} & \lambda_{q2\mu} & \lambda_{q2\tau} \\ \lambda_{q3e} & \lambda_{q3\mu} & \lambda_{q3\tau} \end{pmatrix} R_{K,K^*} : \begin{pmatrix} * & * & * \\ \lambda_{q2e} & \lambda_{q2\mu} & * \\ \lambda_{q3e} & \lambda_{q3\mu} & * \end{pmatrix} + \text{occam's razor} : \begin{pmatrix} * & * & * \\ * & \lambda_{q2\mu} & * \\ * & \lambda_{q3\mu} & * \end{pmatrix}$$

flavor models (quark, lepton masses, CKM, PMNS): [1503.01084](#), [1609.08895](#)

$$\begin{pmatrix} \rho_d \kappa_e & \rho_d & \rho_d \kappa_\tau \\ \rho \kappa_e & \rho & \rho \kappa_\tau \\ \kappa_e & 1 & \kappa_\tau \end{pmatrix} \text{explicitly } c_\ell \begin{pmatrix} \delta \epsilon^4 & \epsilon^4 & \delta \epsilon^4 \\ \delta \epsilon^2 & \epsilon^2 & \delta \epsilon^2 \\ \delta & 1 & \delta \end{pmatrix} \text{ or } \begin{pmatrix} c_\nu \kappa \epsilon^2 & c_\ell \epsilon^4 + c_\nu \kappa \epsilon^2 & c_\nu \kappa \epsilon^2 \\ c_\nu \kappa & c_\ell \epsilon^2 + c_\nu \kappa & c_\nu \kappa \\ c_\ell \delta + c_\nu \kappa \epsilon^2 & c_\ell & c_\ell \delta + c_\nu \kappa \epsilon^2 \end{pmatrix}$$

Search for LFV (B-decays, in charm decays, and with charged leptons ( $\mu$ -e conversion, rare decays)  $SU(2)$ :  $b \rightarrow s \nu \nu$



observable	current 90 % CL limit	constraint	future sens.
$\mathcal{B}(\mu \rightarrow e\gamma)$	$5.7 \cdot 10^{-13}$ MEG	$ \lambda_{qe}\lambda_{q\mu}^*  \lesssim \frac{M^2}{(34\text{TeV})^2}$	$6 \cdot 10^{-14}$ MEG
$\mathcal{B}(\tau \rightarrow e\gamma)$	$1.2 \cdot 10^{-8}$ Belle	$ \lambda_{qe}\lambda_{q\tau}^*  \lesssim \frac{M^2}{(1\text{TeV})^2}$	
$\mathcal{B}(\tau \rightarrow \mu\gamma)$	$4.4 \cdot 10^{-8}$ Babar	$ \lambda_{q\mu}\lambda_{q\tau}^*  \lesssim \frac{M^2}{(0.7\text{TeV})^2}$	$5 \cdot 10^{-9}$ [B2]
$\mathcal{B}(\tau \rightarrow \mu\eta)$	$6.5 \cdot 10^{-8}$ Belle	$ \lambda_{s\mu}\lambda_{s\tau}^*  \lesssim \frac{M^2}{(3.7\text{TeV})^2}$	$2 \cdot 10^{-9}$ [B2]
$\mathcal{B}(B \rightarrow K\mu^\pm e^\mp)$	$3.8 \cdot 10^{-8}$ BaBar	$\sqrt{ \lambda_{s\mu}\lambda_{be}^* ^2 +  \lambda_{b\mu}\lambda_{se}^* ^2} \lesssim \frac{M^2}{(19.4\text{TeV})^2}$	
$\mathcal{B}(B \rightarrow K\tau^\pm e^\mp)$	$3.0 \cdot 10^{-5}$ PDG	$\sqrt{ \lambda_{s\tau}\lambda_{be}^* ^2 +  \lambda_{b\tau}\lambda_{se}^* ^2} \lesssim \frac{M^2}{(3.3\text{TeV})^2}$	
$\mathcal{B}(B \rightarrow K\mu^\pm \tau^\mp)$	$4.8 \cdot 10^{-5}$ PDG	$\sqrt{ \lambda_{s\mu}\lambda_{b\tau}^* ^2 +  \lambda_{b\mu}\lambda_{s\tau}^* ^2} \lesssim \frac{M^2}{(2.9\text{TeV})^2}$	$\lesssim 10^{-6}$ K.Petridis
$\mathcal{B}(B \rightarrow \pi\mu^\pm e^\mp)$	$9.2 \cdot 10^{-8}$ BaBar	$\sqrt{ \lambda_{d\mu}\lambda_{be}^* ^2 +  \lambda_{b\mu}\lambda_{de}^* ^2} \lesssim \frac{M^2}{(15.6\text{TeV})^2}$	

**Table 1:** Selected LFV data, constraints and future sensitivities. Here,  $q = d, s, b$ . The Belle II projections [B2] are for  $50 ab^{-1}$ .

For the constraint from  $\mathcal{B}(\tau \rightarrow \mu\eta)$  we ignored the possibility of cancellations with  $\lambda_{d\mu}\lambda_{d\tau}^*$ . We ignore tuning between leading order

diagrams in the  $\ell \rightarrow \ell' \gamma$  amplitudes.  $R_K: 0.7 \lesssim \text{Re}[\lambda_{se}\lambda_{be}^* - \lambda_{s\mu}\lambda_{b\mu}^*] \frac{(24\text{TeV})^2}{M^2} \lesssim 1.5$ ,  $K$ -decays  $|\lambda_{d\mu}\lambda_{s\mu}^*| \lesssim \frac{M^2}{(183\text{TeV})^2}$ .

Next round of  $\mu$ - $e$  conversion experiments reaching  $10^{-16}$  sensitive to the  $R_{K,K^*}$  parameter space!

predictions semileptonic  $B$ -decays:

$$\mathcal{B}(B \rightarrow K \mu^\pm e^\mp) \simeq 3 \cdot 10^{-8} \kappa^2 \left( \frac{1 - R_K}{0.23} \right)^2, \quad (1)$$

$$\mathcal{B}(B \rightarrow K e^\pm \tau^\mp) \simeq 2 \cdot 10^{-8} \kappa^2 \left( \frac{1 - R_K}{0.23} \right)^2, \quad (2)$$

$$\mathcal{B}(B \rightarrow K \mu^\pm \tau^\mp) \simeq 2 \cdot 10^{-8} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (3)$$

predictions  $\mu$  and  $\tau$  decays:

$$\mathcal{B}(\mu \rightarrow e\gamma) \simeq 2 \cdot 10^{-12} \frac{\kappa^2}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (4)$$

$$\mathcal{B}(\tau \rightarrow e\gamma) \simeq 4 \cdot 10^{-14} \frac{\kappa^2}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (5)$$

$$\mathcal{B}(\tau \rightarrow \mu\gamma) \simeq 3 \cdot 10^{-14} \frac{1}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (6)$$

$$\mathcal{B}(\tau \rightarrow \mu\eta) \simeq 4 \cdot 10^{-11} \rho^2 \left( \frac{1 - R_K}{0.23} \right)^2. \quad (7)$$

predictions purely leptonic decays (asymmetric branching ratios):

$$\frac{\mathcal{B}(B_s \rightarrow \ell^+ \ell'^-)}{\mathcal{B}(B_s \rightarrow \ell^- \ell'^+)} \simeq \frac{m_\ell^2}{m_{\ell'}^2} \quad \text{assuming left-handed leptons only} \quad (8)$$

$$\frac{\mathcal{B}(B_s \rightarrow \mu^+ e^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 0.01 \kappa^2 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (9)$$

$$\frac{\mathcal{B}(B_s \rightarrow \tau^+ e^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 4 \kappa^2 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (10)$$

$$\frac{\mathcal{B}(B_s \rightarrow \tau^+ \mu^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 4 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (11)$$

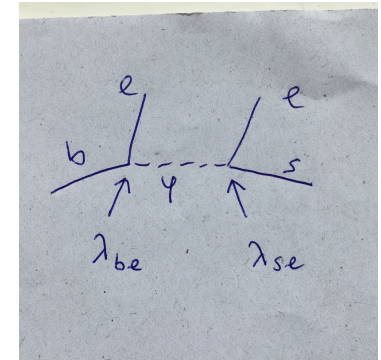
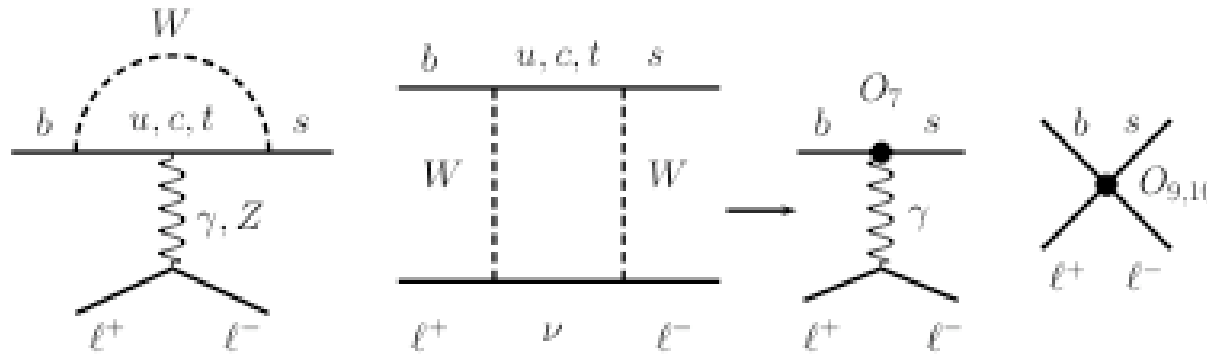
# Predictions for charm decays

	$\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-)$	$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-)$	$\mathcal{B}(D^+ \rightarrow \pi^+ e^\pm \mu^\mp)$	$\mathcal{B}(D^0 \rightarrow \mu^\pm e^\mp)$	$\mathcal{B}(D^+ \rightarrow \pi^+ \nu \bar{\nu})$
i)	SM-like	SM-like	$\lesssim 2 \cdot 10^{-13}$	$\lesssim 7 \cdot 10^{-15}$	$\lesssim 3 \cdot 10^{-13}$
ii.1)	$\lesssim 7 \cdot 10^{-8}$ ( $2 \cdot 10^{-8}$ )	$\lesssim 3 \cdot 10^{-9}$	0	0	$\lesssim 8 \cdot 10^{-8}$
ii.2)	SM-like	$\lesssim 4 \cdot 10^{-13}$	0	0	$\lesssim 4 \cdot 10^{-12}$
iii.1)	SM-like	SM-like	$\lesssim 2 \cdot 10^{-6}$	$\lesssim 4 \cdot 10^{-8}$	$\lesssim 2 \cdot 10^{-6}$
iii.2)	SM-like	SM-like	$\lesssim 8 \cdot 10^{-15}$	$\lesssim 2 \cdot 10^{-16}$	$\lesssim 9 \cdot 10^{-15}$

**Table 2:** Branching fractions for the full  $q^2$ -region (high  $q^2$ -region) for different classes of leptoquark couplings. Summation of neutrino flavors is understood. "SM-like" denotes a branching ratio which is dominated by resonances or is of similar size as the resonance-induced one. All  $c \rightarrow ue^+e^-$  branching ratios are "SM-like" in the models considered. Note that in the SM  $\mathcal{B}(D^0 \rightarrow \mu\mu) \sim 10^{-13}$ .

LHCb: arXiv:1512.00322 [hep-ex]  $\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.3 \cdot 10^{-8}$  at 90 % CL

i): hierarchy, ii) muons only iii) skewed, 1) no kaon bounds 2) kaon bounds apply for  $SU(2)_L$ -doublets  $Q = (c, s)$  1510.00311

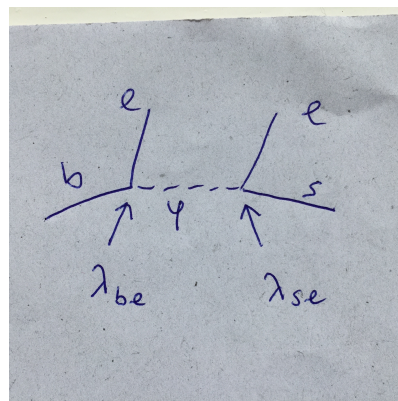


$R_K, R_{K^*}$  tells us at face value  $C_9^\mu = -C_{10}^\mu \simeq -0.6$  vs  $C_9^{\text{SM}} \simeq -C_{10}^{\text{SM}} \simeq 4$

about 20 % BSM contribution to  $O_{LL} = \bar{s}_L \gamma_\mu b_L \bar{\mu}_L \gamma^\mu \mu_L$ .

This actually is "according to plan": FCNCs are suppressed (GIM,CKM,loop) in SM and BSM physics can show up without big competition.

$R_{K^{(*)}} \neq 1$  would not only be a (loud) breakdown of the SM, it tells us something about flavor  $\rightarrow$  possibly learn something about flavor



Tree level explanations:

$$\frac{\lambda^2}{M^2} \sim \frac{1}{5} \frac{g^4}{m_W^2} \frac{1}{16\pi^2} V_{tb} V_{ts}^* \sim \frac{1}{(30\text{TeV})^2}$$

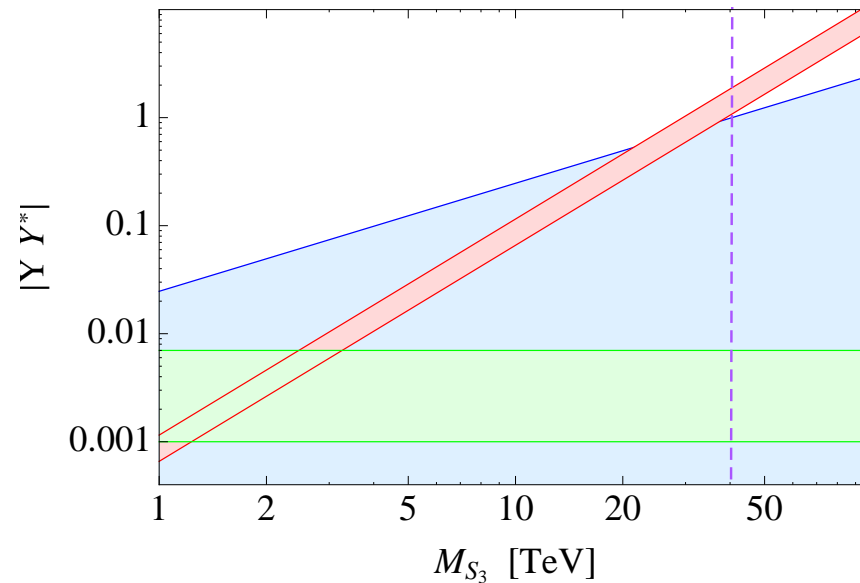
for order one couplings this points to a collider-mass scale.

With (minimal) flavor violating BSM  $\frac{\lambda^2}{M^2} \sim \frac{1}{5} \frac{g^4}{m_W^2} \frac{1}{16\pi^2} \sim \frac{1}{(6\text{TeV})^2}$   
this is within reach of the LHC.

In flavor models that explain quark, lepton masses, CKM, PMNS the BSM couplings can be further suppressed  $\rightarrow$  TeV-ish BSM mass.

# Mass scales versus couplings

$$R_{K,K^*} : \frac{Y_{b\mu} Y_{s\mu}^* - Y_{be} Y_{se}^*}{M^2} \simeq \frac{1.1}{(35 \text{ TeV})^2}, \quad (S_3) \text{ LQ scalar triplet} \quad \text{GH, Nisandzic, 1704.05444}$$



red: explains  $R_K, R_{K^*}$ , blue: allowed by  $B_s - \bar{B}_s$ -mixing, green: flavor model prediction

$Y_{q_3 \ell} \sim c_l$ ,  $Y_{q_2 \ell} \sim c_l \lambda^2$ ,  $q_3 = b, t$ ,  $q_2 = s, c$ ,  $\lambda, c_l \lesssim 0.2$  points to TeV-ish mass  $M$ !

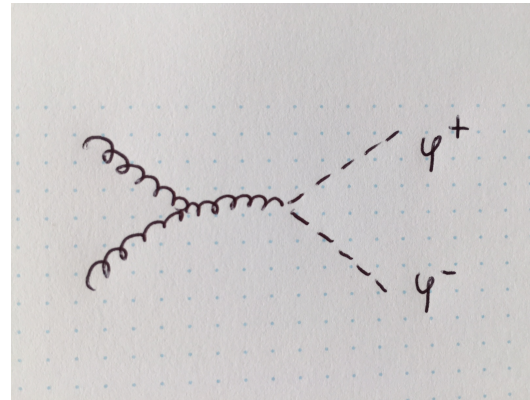
Model-independent upper limit by  $B_s$ -mixing  $\propto \lambda^4 / M^2$  at 40 TeV.



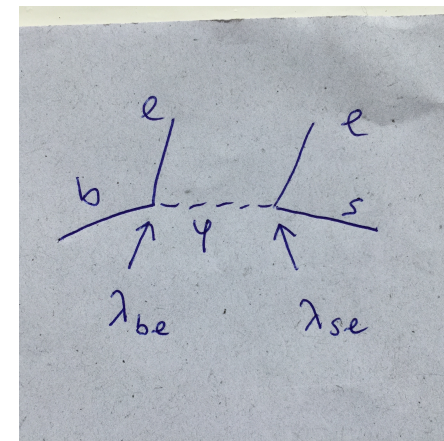
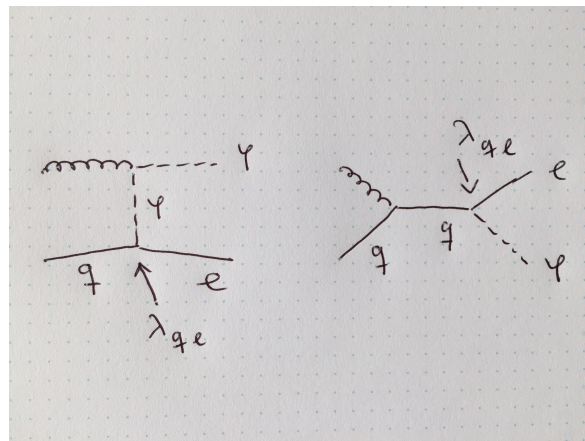
# 5. Producing LQs at the LHC

Pair production, e.g. recent works [1706.05033](#), [1710.06363](#) [1801.07641](#)

$$\sigma(pp \rightarrow \varphi^+ \varphi^-) \propto \alpha_s^2$$



Single LQ production from  $b$ -anomalies [1801.09399](#) in association with a lepton  $\sigma(pp \rightarrow \varphi l) \propto |\lambda_{ql}|^2 \alpha_s$  depends on flavor



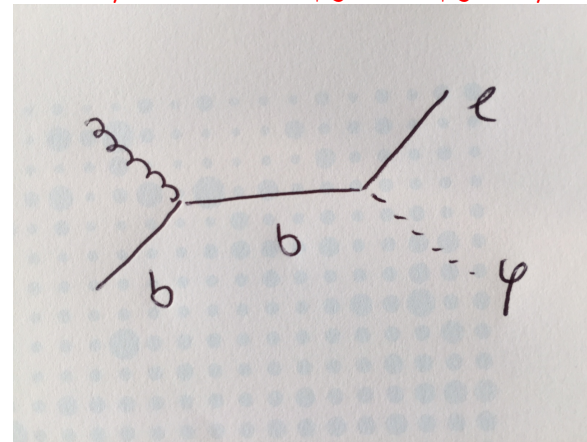
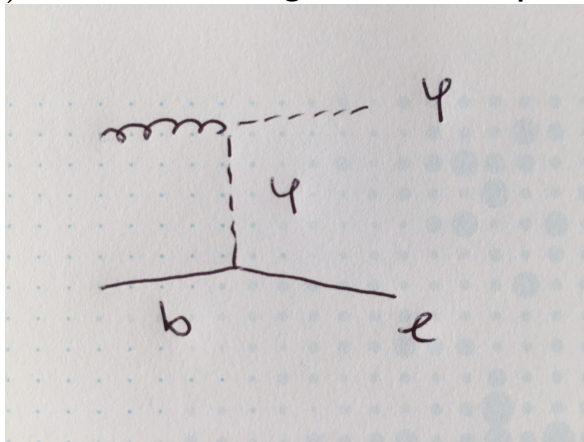
# Links with $b$ -physics and flavor

LHCb-data:  $(\lambda_{b\mu}\lambda_{s\mu}^* - \lambda_{be}\lambda_{se}^*)/M^2 \simeq 1/(35 \text{ TeV})^2$

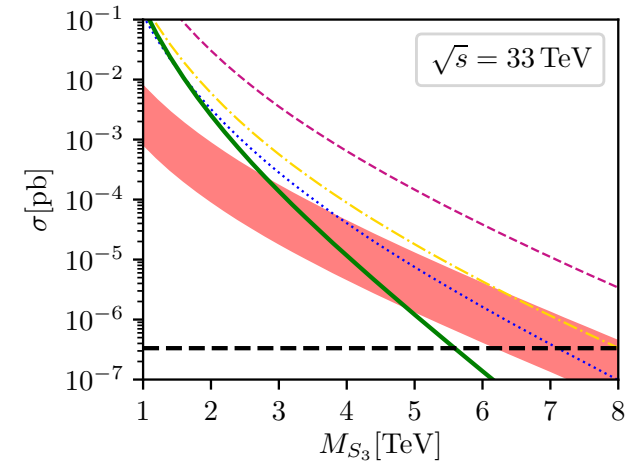
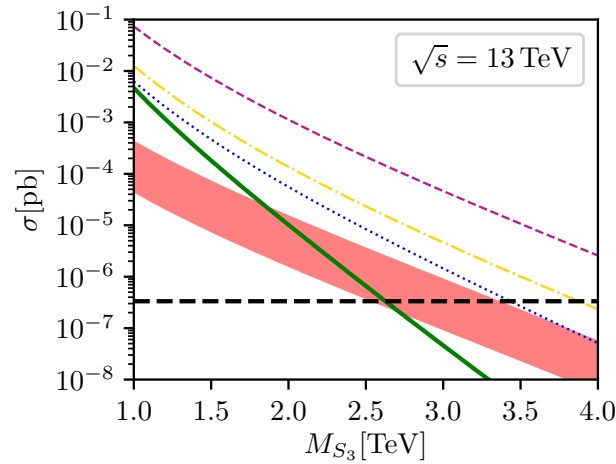
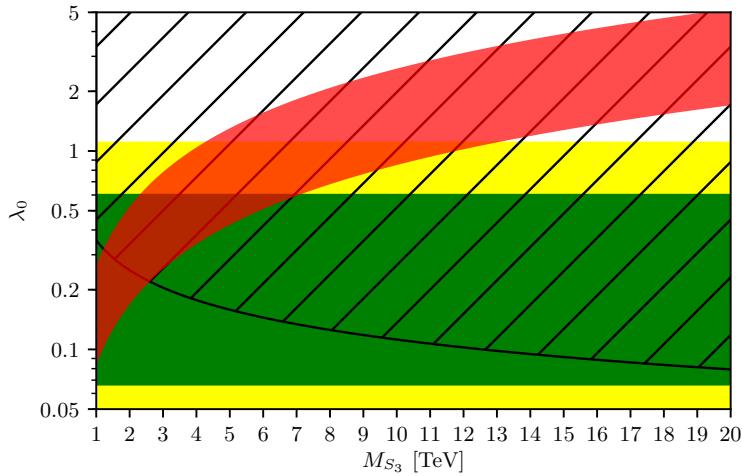
$$R_K, R_{K^*} : \lambda_{ql} = \begin{pmatrix} * & * & * \\ * & \lambda_{q2l2} & * \\ * & \lambda_{q3l2} & * \end{pmatrix} \text{ or } \begin{pmatrix} * & * & * \\ \lambda_{q2l1} & * & * \\ \lambda_{q3l1} & * & * \end{pmatrix}, \begin{pmatrix} * & * & * \\ \lambda_{q2l1} & \lambda_{q2l2} & * \\ \lambda_{q3l1} & \lambda_{q3l2} & * \end{pmatrix}$$

Hierarchies present in SM  $m_b \gg m_s$ , explain with symmetry, assume same mechanism for LQs:

$\lambda_{sli} \sim (m_s/m_b) \lambda_{bli} \rightarrow$  third generation quarks dominant,  $M/11.6 \text{ TeV} \lesssim \lambda_{bl} \lesssim M/3.9 \text{ TeV}$



# Producing LQs at the LHC



red:  $R_{K,K^*}$  with flavor  $M/11.6 \text{ TeV} \lesssim \lambda_{bl} \lesssim M/3.9 \text{ TeV}$

left plot: green: flavor model prediction points to multi-TeV mass; yellow: narrow width

other plots: magenta, yellow, blue:  $\lambda_{d\mu} = 1, \lambda_{s\mu} = 1, \lambda_{b\mu} = 1$ , black: no-loss reach with  $3 \text{ ab}^{-1}$

green curve: pair production (LO Madgraph) [1801.09399](#)

– Beauty wins over PDF if  $\lambda_{ql}$  follow quark mass hierarchies. Inverted hierarchies  $\lambda_{sl} > \lambda_{bl}$  would be surprising from a symmetry-based flavor model perspective and suggests means beyond.

$R_K, R_{K^*}$  anomaly points to  $(V - A) \times (V - A)$  -type BSM:

$$\lambda_{ql} = \begin{pmatrix} * & * & * \\ * & \lambda_{q2\ell 2} & * \\ * & \lambda_{q3\ell 2} & * \end{pmatrix} \text{ affects doublets: } \ell 2 = \mu, \nu_\mu, q2 = s, c, q3 = b, t$$

$S_3$ - dominant decay modes [1408.1627 \[hep-ph\]](#)

$$S_3^{+2/3} \rightarrow t \nu$$

$$S_3^{-1/3} \rightarrow b \nu, t \mu^- \quad (SU(2)\text{-triplet, scalar})$$

$$S_3^{-4/3} \rightarrow b \mu^-$$

$V_1$ - dominant decay modes

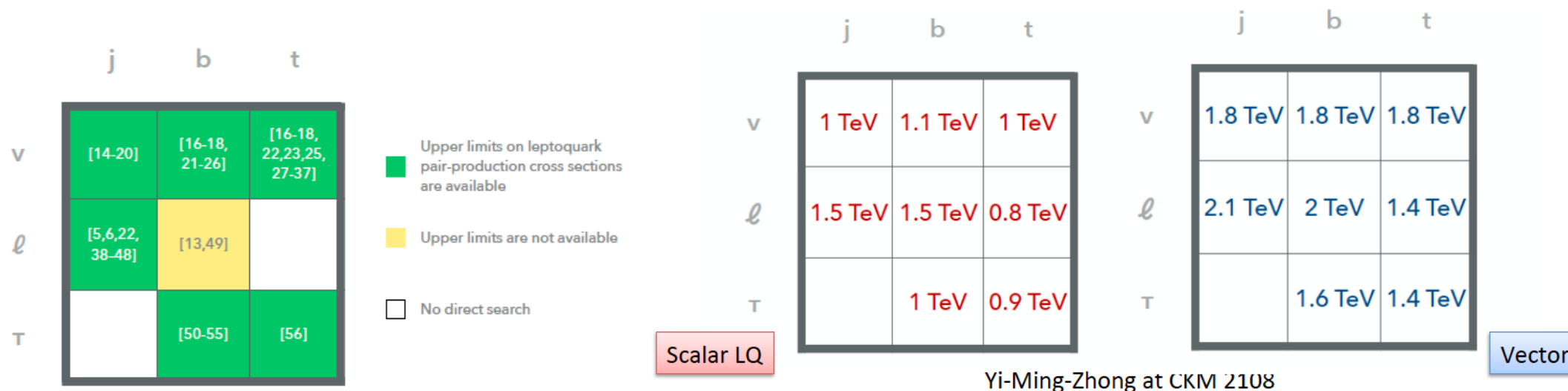
$$V_1^{+2/3} \rightarrow b \mu^+, t \nu \quad (SU(2)\text{-singlet, vector})$$

tagging useful to identify LQ-type (electric charge), e.g.,

$$V_1^{-2/3} \rightarrow \bar{b} \mu^- \text{ vs } S_3^{-4/3} \rightarrow b \mu^-$$

# Producing leptoquarks at the LHC

Leptoquarks related to  $R_{K,K^*}$  can be in reach of direct searches at the LHC – but no guarantees [1710.06363](#), [1801.07641](#), [1801.09399](#)



matrix and lower limits from arXiv:1706.05033, Zhong, Schmaltz '18

From  $R_{K,K^*}$  perspective:  $b\mu$  final states "vanilla", dropping a) the global  $b \rightarrow s\mu\mu$  fit suggests also  $be$ , or b) flavor hierarchies:  $j\mu$ ; additional modes  $b\nu, t\mu, t\nu$  by  $SU(2)$ ; more flavor:  $\tau$ 's  $\rightarrow$  whole matrix

- Current anomalies in semileptonic  $B$ -decays hint at violation of lepton-universality – and therefore breakdown of standard model. The April 2017 release of  $R_{K^*}$  by LHCb has strengthened the hints and allowed to pin down the Dirac structure: predominantly  $V - A$ -type.
- Future data – LNU updates and other observables  $R_{\Phi}, R_{X_s}, \dots, B \rightarrow K^* ee$  – from LHCb and in the nearer future from Belle II are eagerly awaited.
- No single measurement  $> 5\sigma$  presently, but its intriguing that  $R_{K, K^*}$  and the  $B \rightarrow K^{(*)} \mu\mu$  anomalies ("global fits") go in the same direction!
- What makes these LNU-anomalies – iff true – so important? Because they are theoretically clean (enough) and intimately linked to "flavor": Look for imprints in other sectors: D, K physics, LFV.
- In addition, new BSM model-building has been triggered that deserves attention in direct searches at ATLAS and CMS and future colliders.

Leptoquarks are flavorful and can be in reach of the LHC, where they can provide

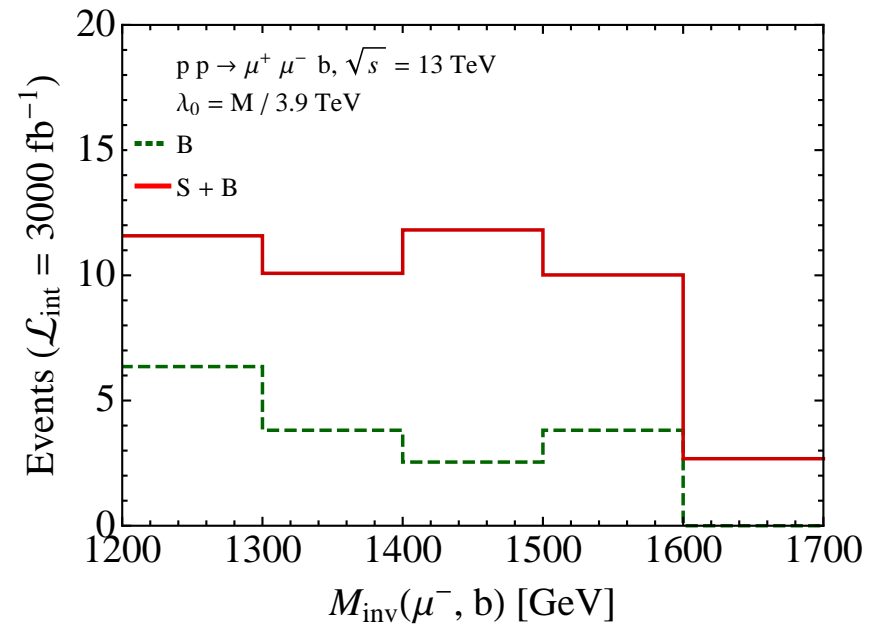
complementary information to rare decays:  $\lambda_{sl}, \lambda_{bl}, M$  versus  $\lambda_{bl}\lambda_{sl}^*/M^2 \simeq 1/(35 \text{ TeV})^2$

Data-driven upper limit from  $B_s$ -mixing  $\propto (\lambda_{bl}\lambda_{sl})^2/M^2$  at  $\sim 40 \text{ TeV}$ .

Bulk of parameter space outside of LHC.

1710.06363, 1801.07641, 1801.09399

1801.09399, in PRD

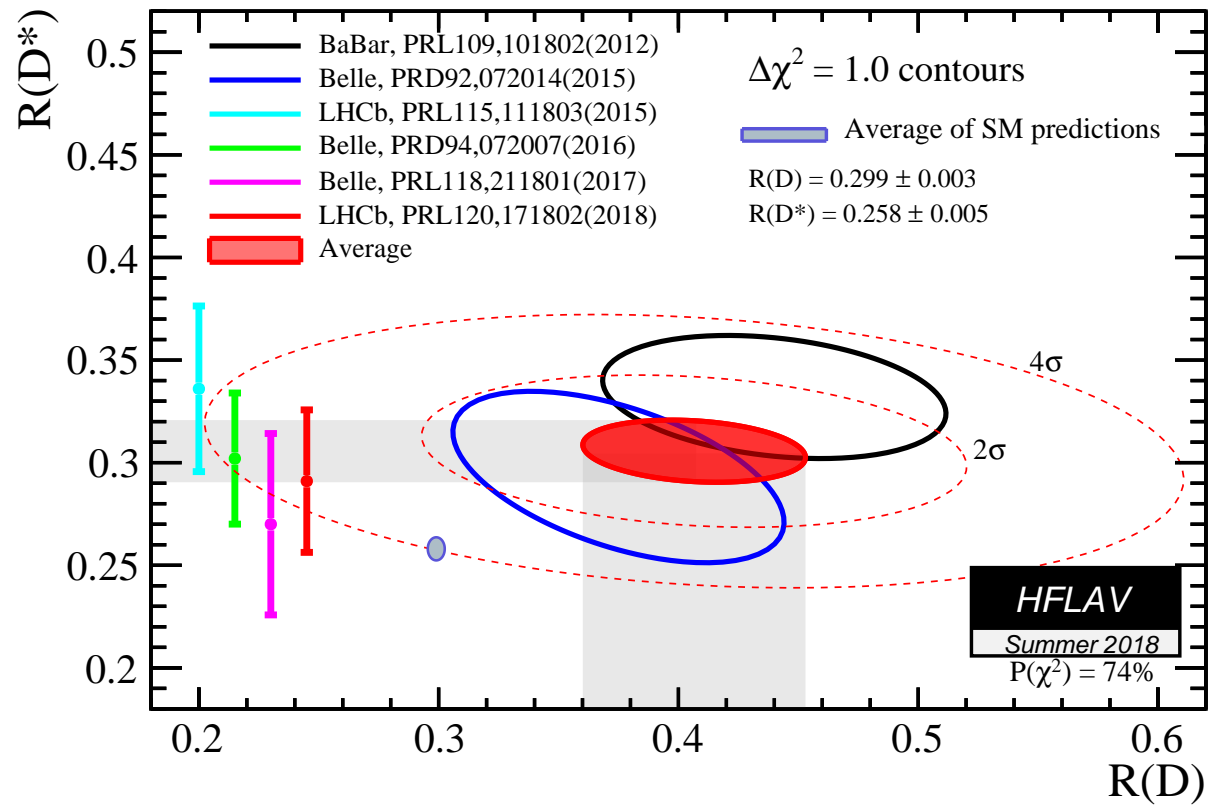


$M = 1.5$  TeV; around  $5 \sigma$  discovery significance for  $3 \text{ ab}^{-1}$

**Table 3:** Experimental results and SM predictions for  $R_D^{(*)}$ , 'NEW' labels updates since 2016. \*Error weighted average; † statistical and systematical uncertainties added in quadrature. [from 1804.02011](#)

	$R_D$	$R_{D^*}$
BaBar'12	$0.440 \pm 0.058 \pm 0.042$	$0.332 \pm 0.024 \pm 0.018$
Belle'15	$0.375 \pm 0.064 \pm 0.026$	$0.293 \pm 0.038 \pm 0.015$
Belle '16	-	$0.302 \pm 0.030 \pm 0.011$
Belle '16	-	$0.270 \pm 0.035^{+0.028}_{-0.025}$
LHCb'15	-	$0.336 \pm 0.027 \pm 0.030$
LHCb '17 <b>NEW</b>	-	$0.286 \pm 0.019 \pm 0.025 \pm 0.021$
average <b>NEW</b> *†	$0.406 \pm 0.050$	$0.307 \pm 0.015$
HFLAV average <b>NEW</b> †	$0.407 \pm 0.046$	$0.306 \pm 0.015$
SM	$0.300 \pm 0.008$ Na '15	$0.252 \pm 0.003$ Fajfer '12
SM <b>NEW</b>	$(0.300 \pm 0.008)(1 + \%)$ deBoer '18	$0.260 \pm 0.008$ Bigi '17
$\hat{R} = R^{exp}/R_{SM}$ <b>NEW</b> *†	$1.354 \pm 0.170$ (without QED ) $1.33 \pm 0.19$ (with QED, inflated errors)	$1.180 \pm 0.068$





The expected mass scale depends on flavor.

The size of the effect – current hints for SM deviation – in  $R_{K^{(*)}}$  is "natural", in the core of parameter space. How about  $R_{D^{(*)}}$ ?

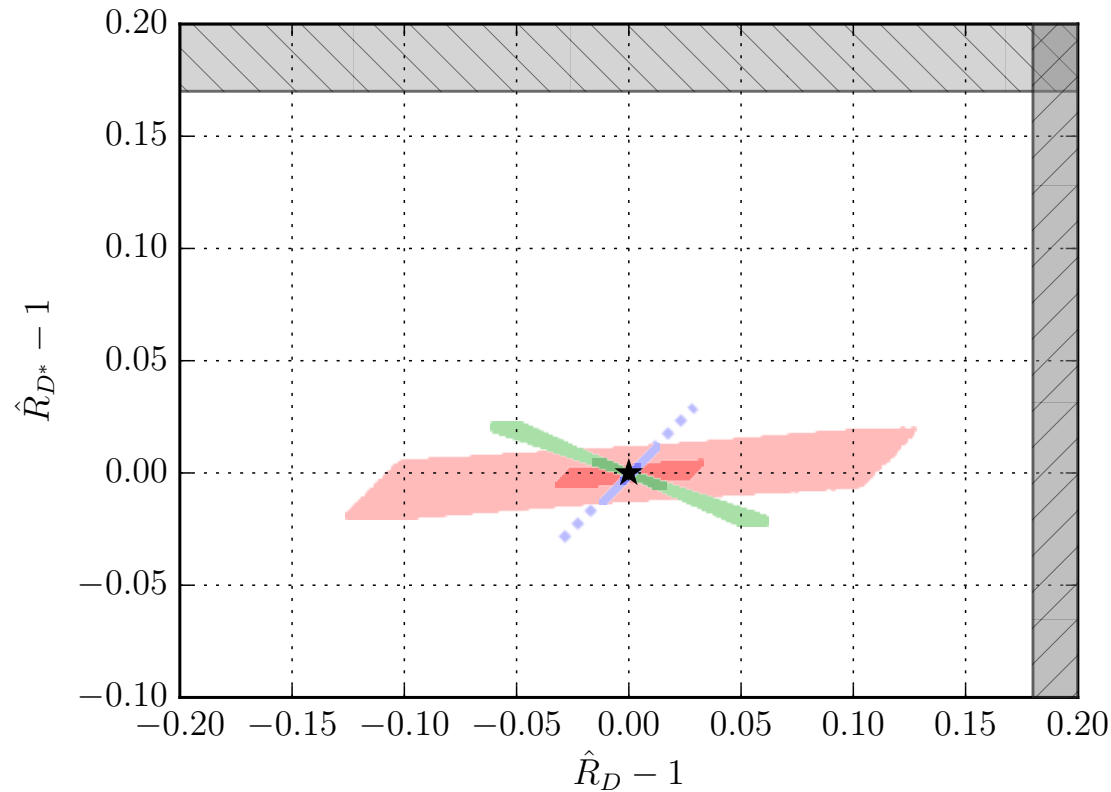
Tree-level in SM, similar order of anomalous data as  $R_{K^{(*)}}$  implies large couplings and very low BSM:

flavor	generic	minimal	PMNS/CKM
$R_{K^{(*)}}$ tree	30 TeV	6 TeV	few TeV
$R_{K^{(*)}}$ loop	few TeV	0.5 TeV	expected similar to $R_{D^{(*)}}$
$R_{D^{(*)}}$ tree	$\sim$ a TeV	0.3 TeV	not viable <a href="#">1609.08895</a>

Linking the anomalies is intriguing however not straightforward, lower deviation in  $R_{D^{(*)}}$ , in particular  $R_{D^*}$  more "natural".

---

# $R_{D^{(*)}}$ from leptoquarks with flavor?



$\hat{R}_{D^{(*)}} = R_{D^{(*)}}/R_{D^{(*)}}^{\text{SM}}$ ; star: SM, grey: exp  $1\sigma$  band (too far away from SM to fit the plot); red:  $V_1$ , blue  $V_3$ , green  $S_2$ . LQs with flavor patterns, constraints: rare  $K$  decays,  $\mu - e$  conversion,  $B \rightarrow K\nu\nu$ , perturbativity [1609.08895](#) — Ignoring the flavor model ones, only model  $V_1$  can avoid exp constraints. **All models  $S_3, V_1, V_3$  can explain  $R_{K^{(*)}}$ .**

$R_{K(*)}$ 

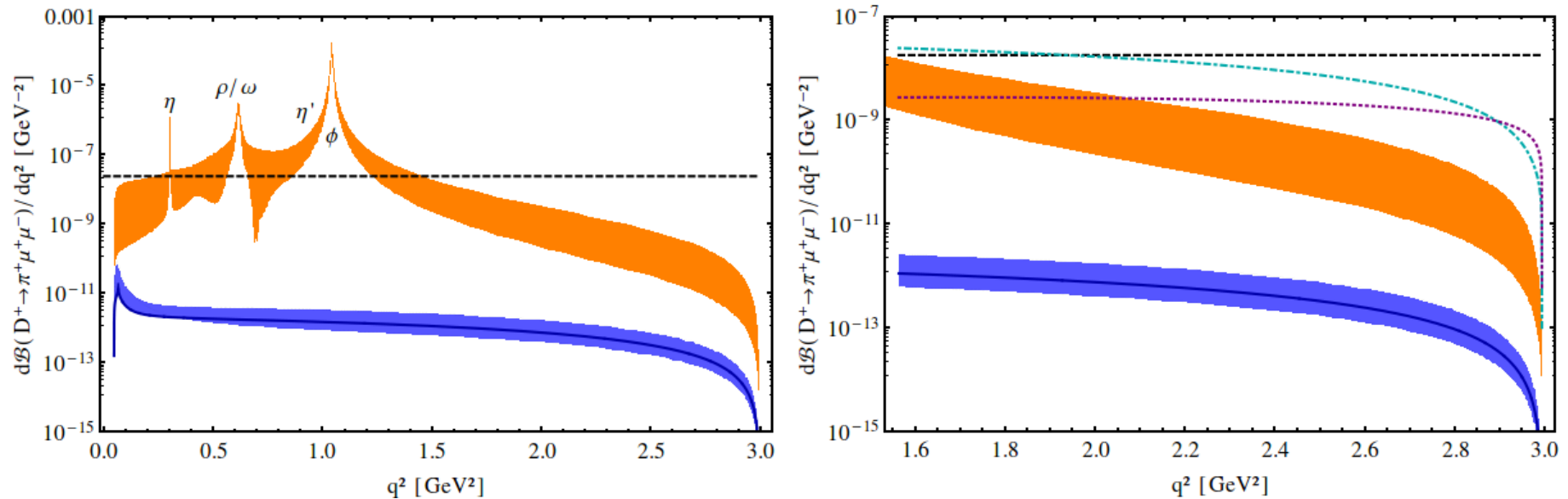
- triggered new type of BSM model-building:  $Z'$ , leptoquarks
- its plausible (OK order of magnitude)
- its an opportunity (highly informative clash with SM)
- how to consolidate? rule out?
- if this really stays, decipher

---

# Impact on $c \rightarrow ull$ ?

see also Fajfer, Kosnik

# Resonance contributions vs BSM



BSM windows in  $D \rightarrow \pi l^+ l^-$  branching ratios at high and very low  $q^2$  only; BSM Wilson coefficients need to be very large,  $\sim 1$ .

$$|C_9^R(q^2 = 1.5 \text{ GeV}^2)| \simeq 0.8 \text{ versus } |C_9^{nr \text{ SM}}(q^2 \gtrsim 1 \text{ GeV}^2)| \lesssim 5 \cdot 10^{-4}.$$

To observe BSM in rare charm either i) BSM is very large (plot to the right) or ii) contributes to SM null tests (LFV, LNU, CP, angular distr.)

$$\mathcal{B} = |A_{\text{SM}}|^2 + 2\text{Re}(A_{\text{SM}}A_{\text{NP}}^*) + |A_{\text{NP}}|^2$$

- Close to maximal BSM-CP violation switches off SM-BSM interference. Together with  $R_X < 1$  this requires large NP couplings to electrons (muons would enhance  $R_X$ )

Look for CP violation in  $b \rightarrow see$ , such as in the angular distribution in  $B \rightarrow K^*ee$ . (e.g.  $J_{7,8,9}$ ) [1411.4773](#)

- Explanation of  $R_K$  possible at  $2\sigma$  with pseudo/scalar operators: cross check with  $B \rightarrow Kee$  angular distribution [0709.4174](#), [1408.1627](#)

Sample flavor patterns of leptoquark coupling matrix  $\lambda$  (rows=quark flavor, columns=lepton flavor) that follow from  $U(1) \times A_4$

$$\lambda_{i)} \sim \begin{pmatrix} \rho_d \kappa & \rho_d & \rho_d \\ \rho \kappa & \rho & \rho \\ \kappa & 1 & 1 \end{pmatrix}, \quad \lambda_{ii)} \sim \begin{pmatrix} 0 & * & 0 \\ 0 & * & 0 \\ 0 & * & 0 \end{pmatrix}, \quad \lambda_{iii)} \sim \begin{pmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & * & 0 \end{pmatrix}$$