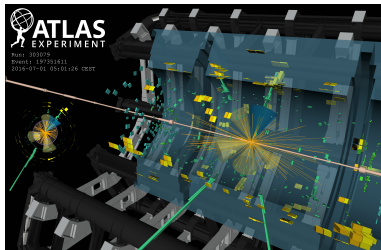
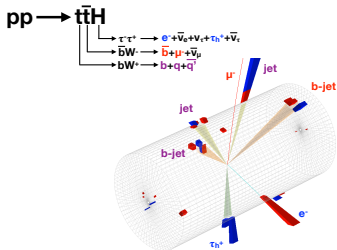


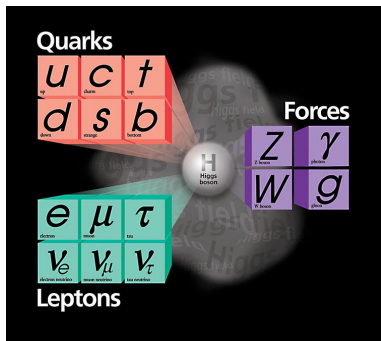


# Observation of Top Quark Pair Production in Association with a Higgs Boson

Carmen Diez Pardos (DESY)  
6 June 2018



# The fundamental building blocks of matter



- SM: Successful description of elementary particles and interactions
- LHC experiments discovered a new Higgs-like boson ( $m_H=125\text{GeV}$ )
- Candidate to close the long-standing puzzle of how elementary particles acquire mass in the SM
- **But does it behave like the SM Higgs?**

- **Higgs boson: production and decay rates consistent with SM expectations**
- Broad programme to **measure properties**
  - Confirm yet-unobserved processes
  - Search for deviations from SM expectation

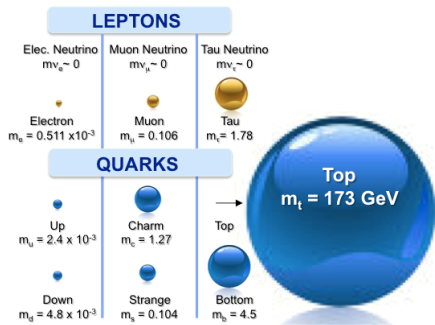
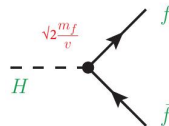
# The top quark and the Higgs boson

In the SM, elementary particles acquire mass via their interaction with the Higgs field

- Higgs coupling to the fermions (Yukawa coupling): proportional to fermion mass
- Top quark: **most massive known particle** → most strongly-coupled SM fermion ( $y_t \sim 1$ )

→ Essential to study Higgs properties, measure the coupling

- **Several open questions**
  - Is the mass of the top quark generated by the Higgs mechanism?
  - Role in electroweak symmetry breaking?



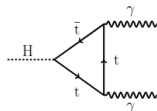
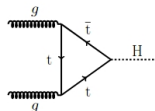
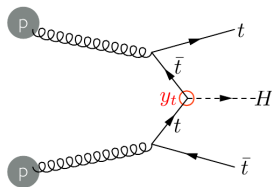
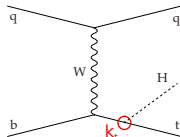
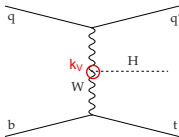
# Top-Higgs coupling: the hunt for $t\bar{t}H$

Best direct probe of the top-Higgs Yukawa coupling, vital step towards verifying the SM nature of the Higgs boson

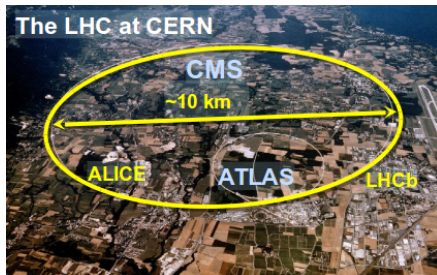
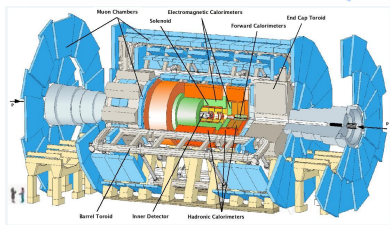
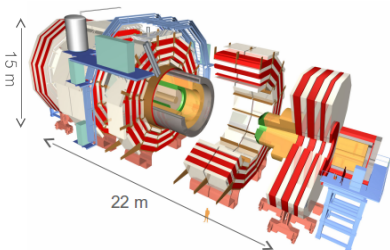
## • Direct measurement of $y_t$ in $t\bar{t}H$ production:

- gluon-gluon fusion: assumes no BSM coupling
- Allows probing new physics in  $gg \rightarrow H$  and  $H \rightarrow \gamma\gamma$  effective vertices

## • $y_t$ in $t\bar{t}H$ production: access to sign of the coupling



# Where to look for it? The Large Hadron Collider



- proton-proton collision energies ( $\sqrt{s}$ )
- Run-1: 7 & 8 TeV, 25  $\text{fb}^{-1}$ - stat. limited
- Run-2: 13 TeV, already  $\sim 100 \text{fb}^{-1}$

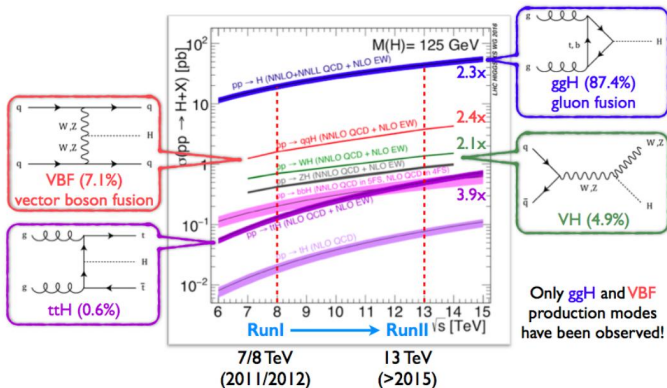
Expected 75,000  $t\bar{t}H$  events at the end of this year

This presentation focuses on results with 13 TeV data (up to 80  $\text{fb}^{-1}$ )  
+ combination

# $t\bar{t}H$ production

$\sigma_H \approx 0.5$  pb at  $\sqrt{s}=13$ TeV ( $m_H=125$ GeV)

- Only 1% of total Higgs cross section
- Larger increase in signal than backgrounds from 8 to 13 TeV
- By this year up to 6 times more data

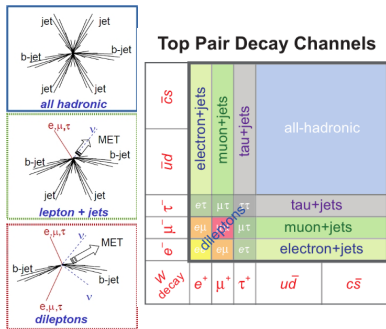


- $t\bar{t}H$  decay yields (very) complex final states, with many objects
- Crucial to understand the backgrounds (eg.  $\sigma_{t\bar{t}} \approx 830$  pb @13 TeV)
- Large irreducible backgrounds:  $t\bar{t}+X$  ( $X = b\bar{b}, W, Z$ )

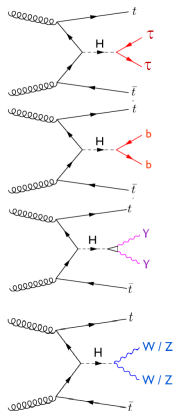
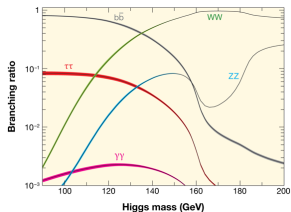
# Top quark $\times$ Higgs decay channels

- Exploiting all  $t\bar{t}$  decay channels and Higgs decays to
  - bottom quarks  $\rightarrow$  Large BR, large background contributions
  - W, Z bosons, taus  $\rightarrow$  smaller production rate, lower backgrounds
  - photons  $\rightarrow$  clean final state, very small rate

In the SM  $t \rightarrow Wb$  almost 100%, W decay defines final state

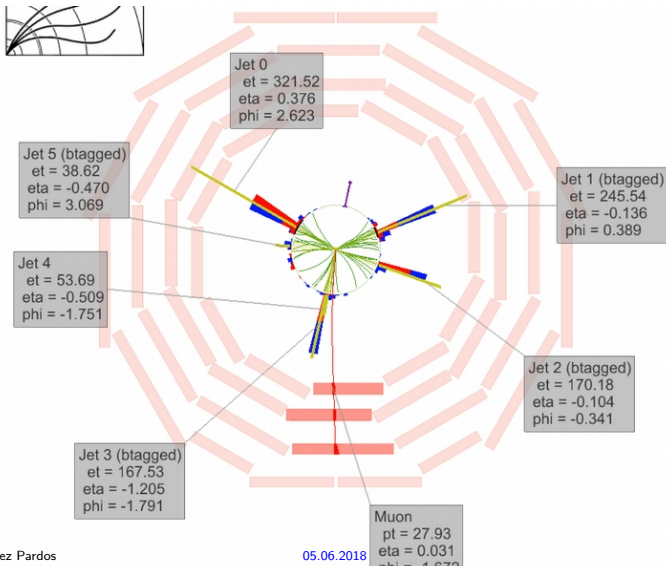


$\times$



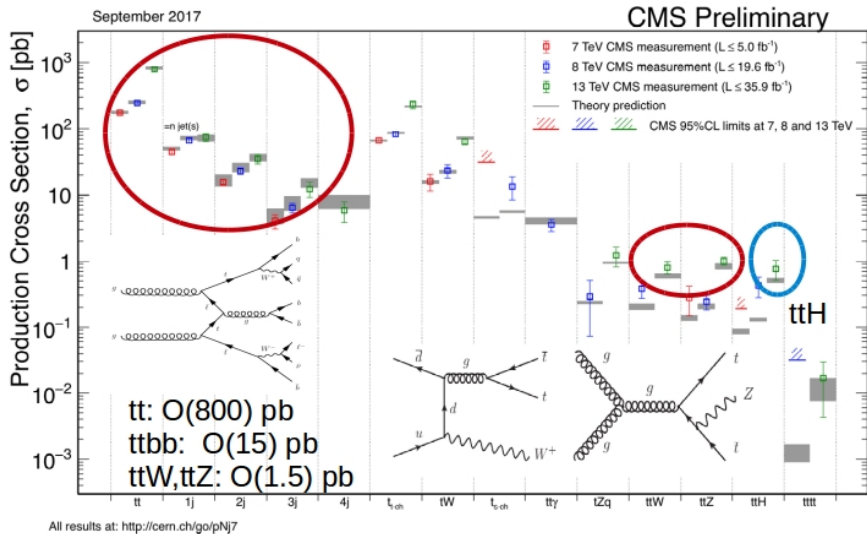
# Complex final states

- Complex final states, with many objects: leptons, jets, taus
- Large combinatorics of leptons and jets from top quark decays





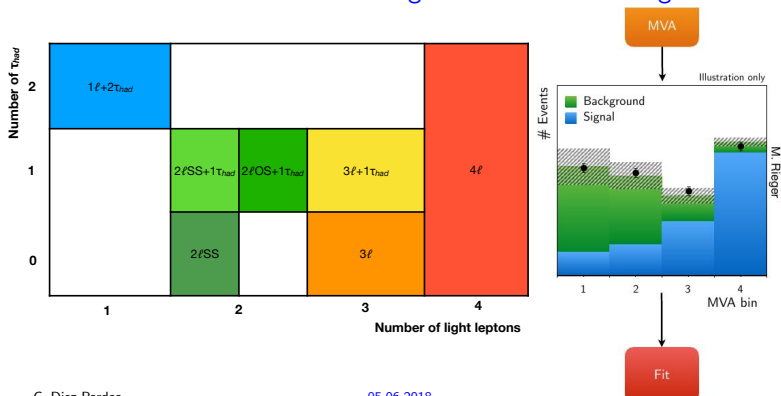
# Challenging backgrounds



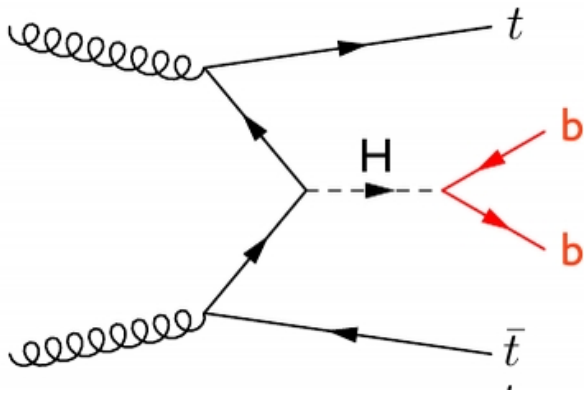
+ diboson production (WW, ZZ), QCD multijets...

# Sophisticated analysis strategies

- $t\bar{t}$  like selections with additional searches for Higgs decay products
- Event categorization based on top quark (W boson) and Higgs decay modes
- Multivariate analysis (MVA) techniques, eg. boosted decision trees (BDT) or deep neural networks (DNN), Matrix-Element-Methods (MEM) used to extract signal, boosted-object reconstruction
- Profile likelihood fits across all categories to extract the signal

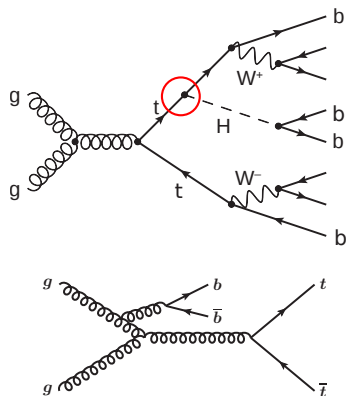


# $t\bar{t}H(b\bar{b})$



# $t\bar{t}H(b\bar{b})$ Production

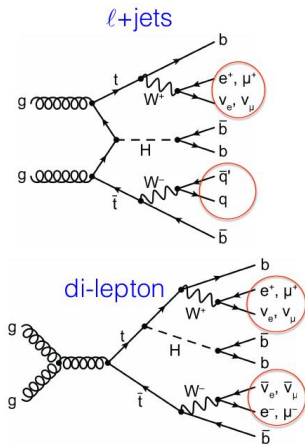
- Large  $\mathcal{B}(H \rightarrow b\bar{b})$ , access coupling 3rd generation quarks
- Challenging final state
  - Huge combinatorics in event reconstruction
  - Poor  $H \rightarrow b\bar{b}$  mass resolution
  - Large  $t\bar{t} + b\bar{b}$  background of  $\mathcal{O}(10)$ pb with associated large theory uncertainties: from simulation
- Search channels
  - Leptonic  $t\bar{t}$ : higher purity
  - Fully-hadronic  $t\bar{t}$ : higher rate



# $t\bar{t}H(b\bar{b})$ Leptonic

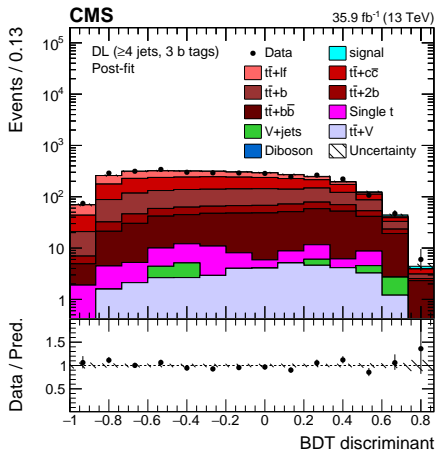
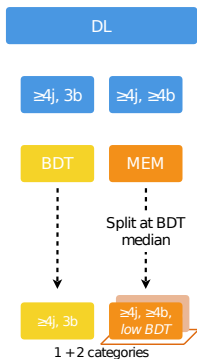
arXiv:1804.03682, PhysRevD.97.072016

- Events with exactly 1 (2) leptons ( $e, \mu$ )
- At least 3 (4) jets, with at least 1 (3) b-tagged
- Create categories enriched in signal and background events
- Exploiting MEM and MVA and boosted topologies to discriminate signal from background



# $t\bar{t}H(b\bar{b})$ Leptonic: **dilepton** $t\bar{t}$ channel (CMS)

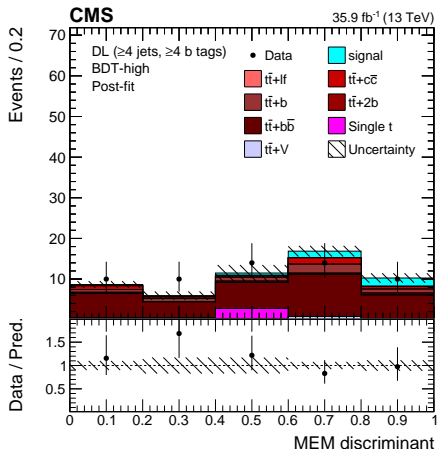
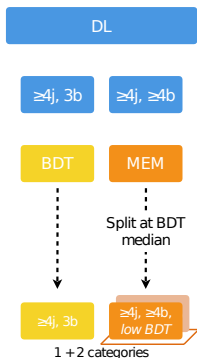
- Events categorised by **number of jets and b-tagged jets**



- $\geq 4j, 3b$ : **BDT** separating signal and inclusive  $t\bar{t}$  + jets background as final discriminant

# $t\bar{t}H(b\bar{b})$ Leptonic: **dilepton** $t\bar{t}$ channel (CMS)

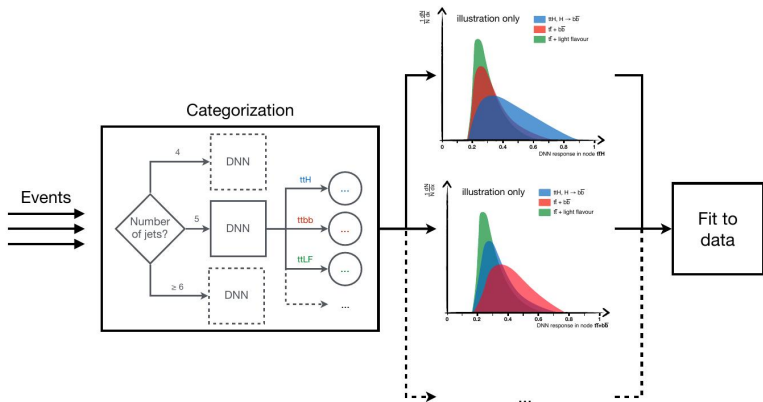
- Events categorised by **number of jets and b-tagged jets**



- $\geq 4j, \geq 4b$ : **low/high BDT sub-categories** + **MEM** separating against  $t\bar{t} + b\bar{b}$  background as final discriminant

# $t\bar{t}H(b\bar{b})$ Leptonic: **lepton+jets** $t\bar{t}$ channel (CMS)

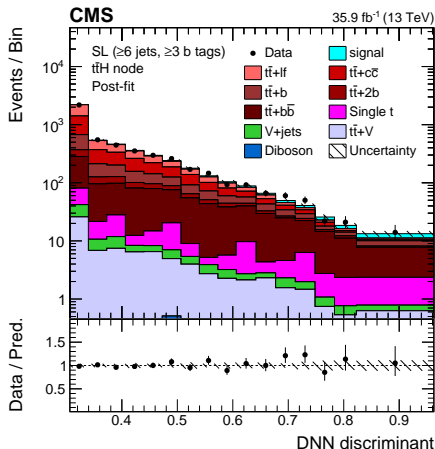
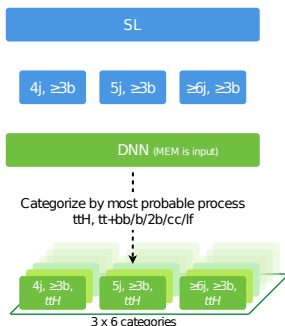
- Search in **single-lepton**  $t\bar{t}$  channel
- **Deep Neural Network** per jet category & most probable process: multi-classification as signal or any of 5  $t\bar{t}$  + jets bkg. ( $t\bar{t}$  +  $b\bar{b}$ ,  $t\bar{t}$  + 2b,  $t\bar{t}$  + b,  $t\bar{t}$  +  $c\bar{c}$ ,  $t\bar{t}$  + LF)
- Output of categorization yields powerful discriminators  
→ One for each process vs all other processes





# $t\bar{t}H(b\bar{b})$ Leptonic: **lepton+jets** $t\bar{t}$ channel (CMS)

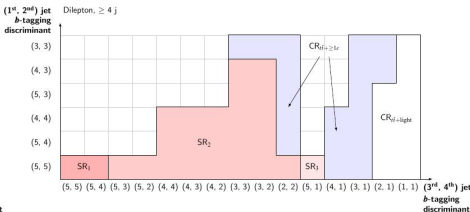
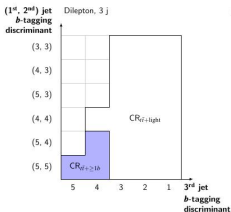
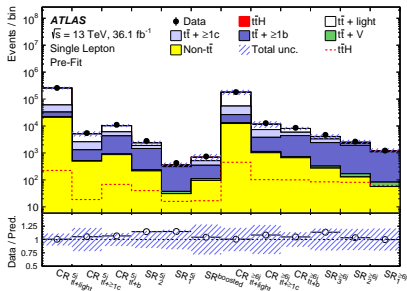
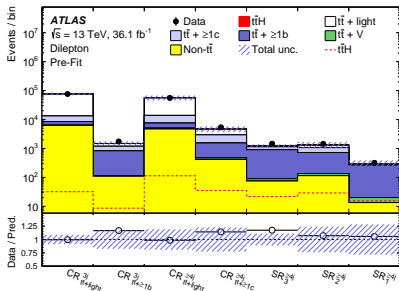
- Events categorised by **number of jets**: 4, 5,  $\geq 6$



- Final discriminant: **DNN output** of chosen process node

# $t\bar{t}H(b\bar{b})$ Leptonic: analysis strategy (ATLAS)

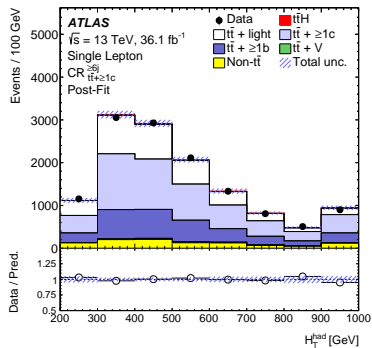
- Events categorised by number of leptons, jets, and b-tagging discriminant



SRs     $tt + \text{light}$      $tt + \ge 1c$      $tt + \ge 1b$

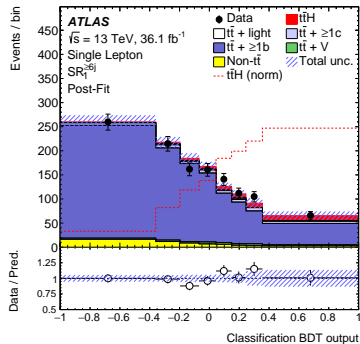
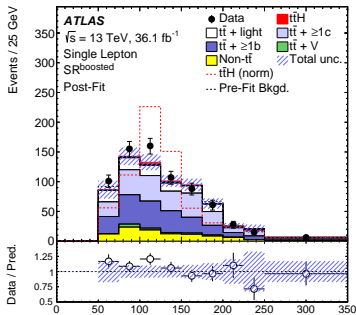
# $t\bar{t}H(b\bar{b})$ Leptonic: analysis strategy (ATLAS)

- 10 control regions to constrain different backgrounds:  $H_T$  distribution or yields
- 9 signal regions: BDT as final discriminant, with inputs

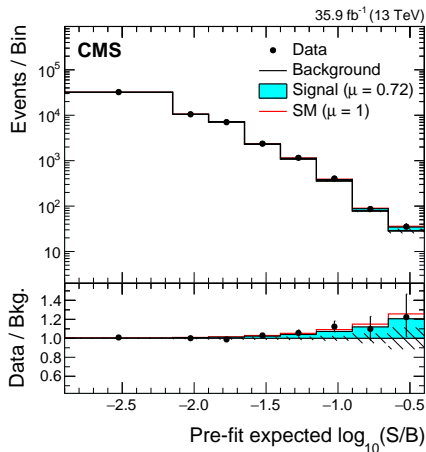


# $t\bar{t}H(b\bar{b})$ Leptonic: analysis strategy (ATLAS)

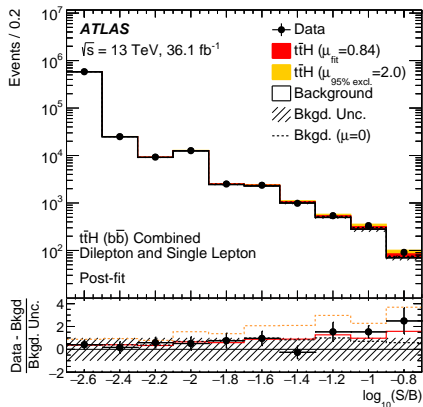
- 10 control regions to constrain different backgrounds:  $H_T$  distribution or yields
- 9 signal regions: BDT as final discriminant, with inputs
  - MEM
  - Likelihood discriminant:  $t\bar{t}H$  against  $t\bar{t} + b\bar{b}$
  - Event reconstruction techniques: BDT to reconstruct  $t\bar{t}H$  system, Boosted-object techniques



# $t\bar{t}H(b\bar{b})$ Leptonic: Results

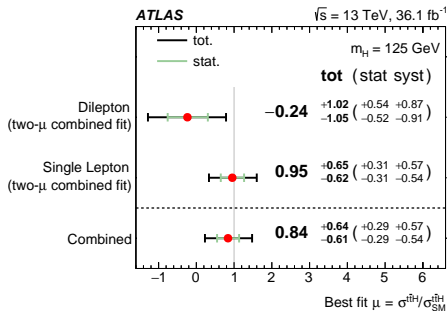
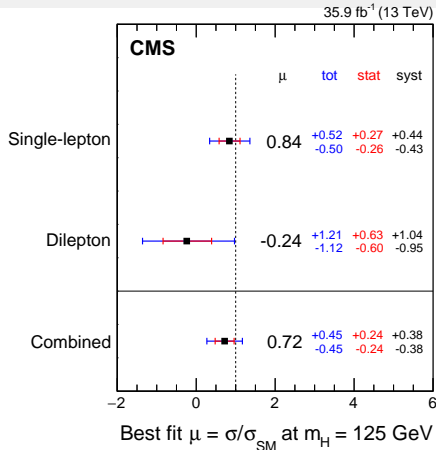


Best-fit  $\mu = 0.72^{+0.45}_{-0.45}$ ,  
at 1.6 (2.2)  $\sigma$  obs. (exp.) significance

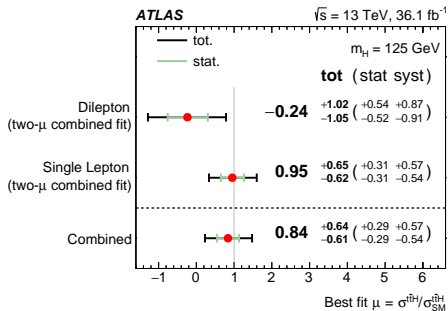
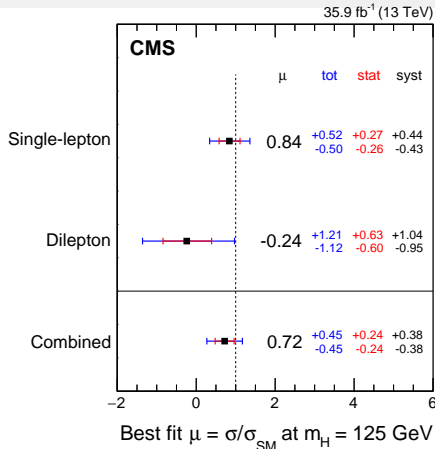


Best-fit  $\mu = 0.84^{+0.64}_{-0.61}$ ,  
at 1.4 (1.6)  $\sigma$  obs. (exp.) significance

# $t\bar{t}H(b\bar{b})$ Leptonic: Results



# $t\bar{t}H(b\bar{b})$ Leptonic: Results



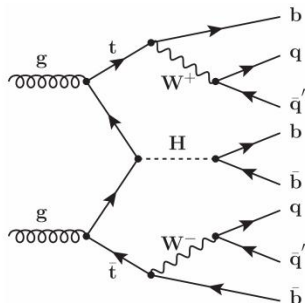
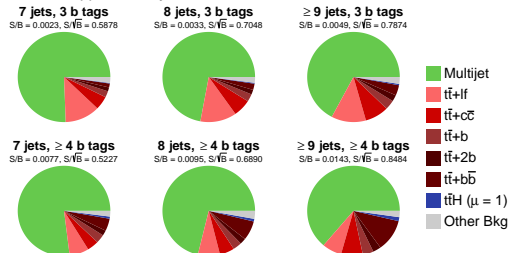
- Uncertainty on  $t\bar{t} + \text{heavy flavour}$  largest impact
- Statistical uncertainty of MC
- Experimentally limited by  $b$ -tagging uncertainties

# $t\bar{t}H(b\bar{b})$ Hadronic

arXiv:1803.06986

- Challenge:
  - Large backgrounds from QCD multijets,  $t\bar{t}$  + jets, and the irreducible  $t\bar{t} + b\bar{b}$
- Larger signal contribution
- Possibility to fully reconstruct the event

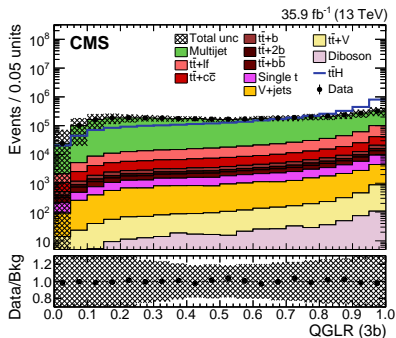
## CMS Supplementary





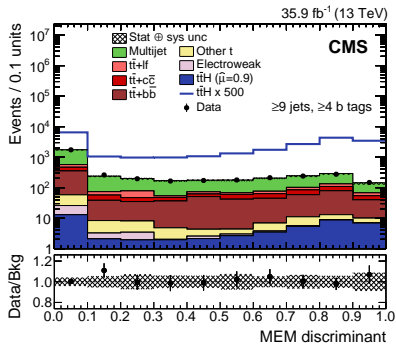
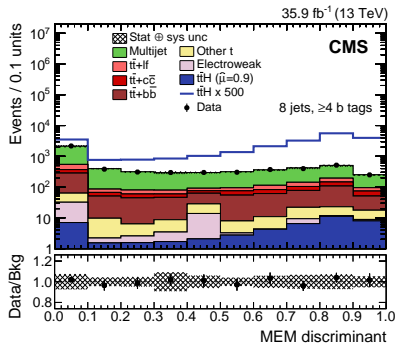
# $t\bar{t}H(b\bar{b})$ Hadronic: Analysis strategy

- $\geq 7$  jets,  $\geq 3$  b-tagged jets,  $H_T > 500$  GeV, no leptons
- Events categorised by number of jets and b-tagged jets
- Dominant background: QCD-multijet production
- A quark-gluon discriminant is used to differentiate quark jets from gluon jets
  - Shape from low b-tag multiplicity control region in data
  - Rate from final fit to data

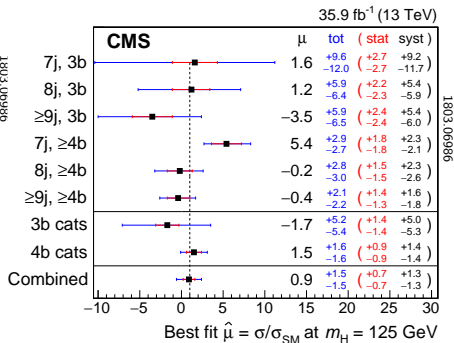
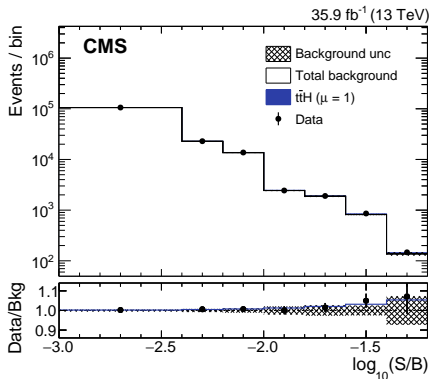


# $t\bar{t}H(b\bar{b})$ Hadronic: Analysis strategy

- Final discriminant: MEM
- Constructed from LO matrix elements for the  $t\bar{t}H$  signal and  $t\bar{t} + b\bar{b}$  backgrounds
- Also performs well against the  $t\bar{t} + \text{LF jets}$  and QCD multijets backgrounds

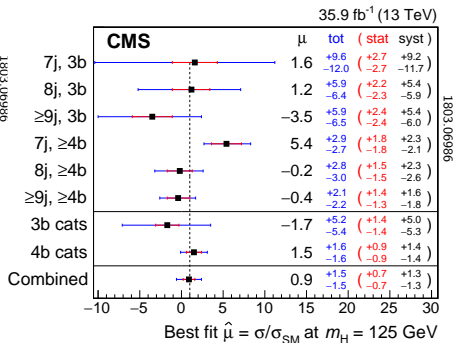
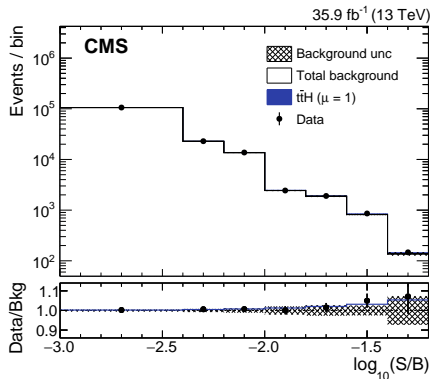


# $t\bar{t}H(b\bar{b})$ Hadronic: Results



Best-fit  $\mu = 0.9_{-1.5}^{+1.5}$ , upper 95% C.L. limit 3.8 (3.1) obs. (exp.)  $\times$  SM

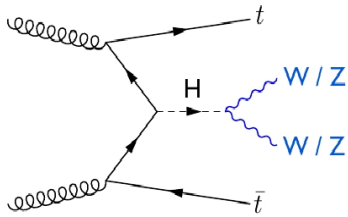
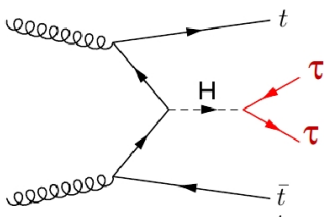
# $t\bar{t}H(b\bar{b})$ Hadronic: Results



Best-fit  $\mu = 0.9_{-1.5}^{+1.5}$ , upper 95% C.L. limit 3.8 (3.1) obs. (exp.)  $\times$  SM

- Major systematic uncertainties: Multijet estimation,  $t\bar{t} + HF$  prediction, b-tagging and JES etc.

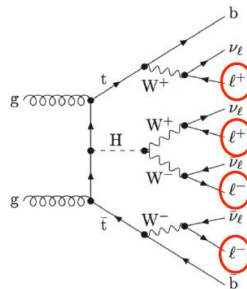
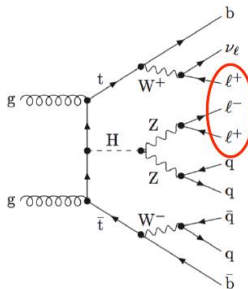
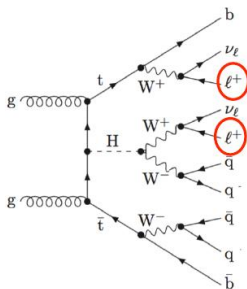
$$t\bar{t}H \rightarrow \tau\tau, \quad t\bar{t}H \rightarrow ZZ^*, \quad t\bar{t}H \rightarrow WW^*$$



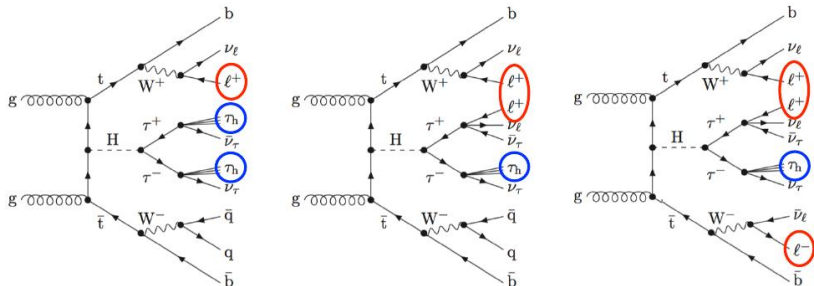
# $t\bar{t}H$ multilepton

arXiv:1803.05485, PRD 97 (2018) 072003

- Multilepton final states: Higgs decay to  $W^+W^-$ ,  $ZZ$ , and  $\tau\tau$
- Events categorized based on number of leptons and  $\tau_h$  candidates



# t $\bar{t}$ H multilepton: analysis strategy

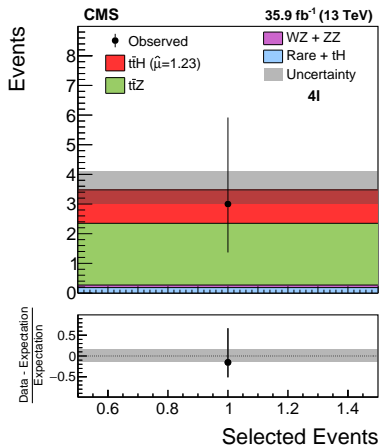
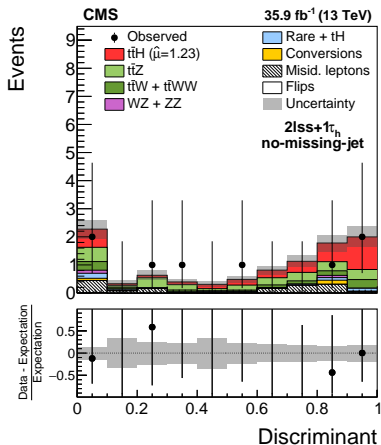


ATLAS: also 2 leptons OS + 1  $\tau_h$

- Additional requirements on jets and b-tagged jets
- Major backgrounds
  - Irreducible:  $t\bar{t} + V$  and diboson, predicted from simulation and control regions
  - Reducible: non-prompt leptons in  $t\bar{t} + \text{jets}$  events, estimated from data
  - Large  $t\bar{t} + \text{fake } \tau_h$  for 1 lepton + 2  $\tau_h$
- BDT and MEM discriminants to separate signal from backgrounds

# tH multilepton: analysis strategy (CMS)

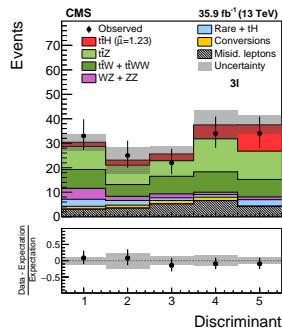
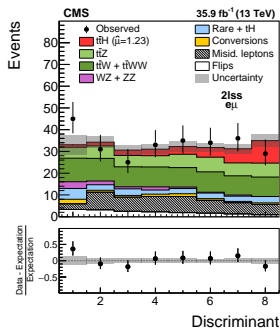
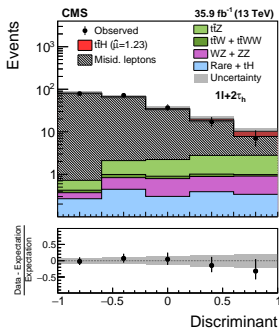
- Event categorization in lepton flavor, and b-jet multiplicity
- Discriminating variables
  - MEM against tZ (2 leptons same-sign + 1  $\tau_h$ )
  - Yield in 4-leptons (low stats.)





# tH multiplepton: analysis strategy (CMS)

- Event categorization in lepton flavor, and b-jet multiplicity
- Discriminating variables
  - MEM against tH (2 leptons same-sign + 1  $\tau_h$ )
  - Yield in 4-leptons (low stats.)
  - BDTs against tH + jets (1l+2  $\tau_h$ ) and tH + jets + tH + V (2 leptons same-sign, 3 leptons has MEM as input)

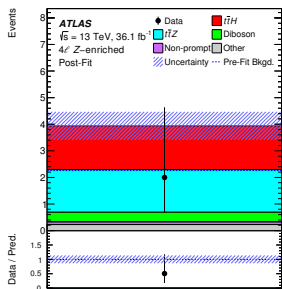
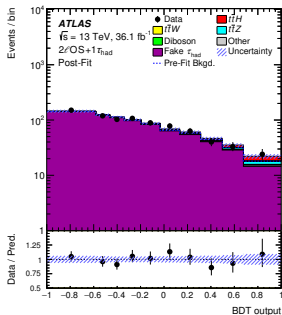
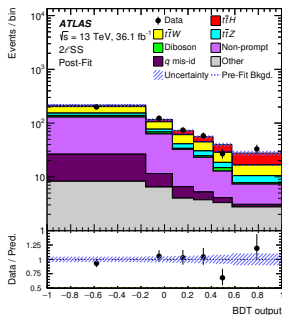


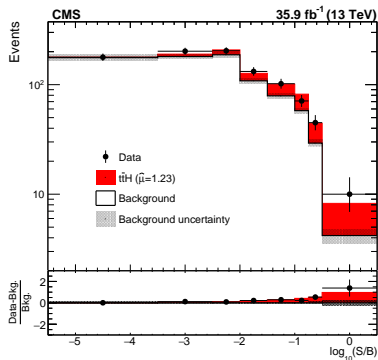
# t $\bar{t}$ H multilepton: analysis strategy (ATLAS)

- MVA discriminant trained against main backgrounds
  - 2ISS: t $\bar{t}$ H vs t $\bar{t}$  + jets and t $\bar{t}$ H vs t $\bar{t}$  + V
  - 3I: 5-dimensional multinomial BDT: t $\bar{t}$ H, t $\bar{t}$ W, t $\bar{t}$ Z, t $\bar{t}$  + jets, VV
  - $\tau$  channels: t $\bar{t}$ H vs t $\bar{t}$  + jets
  - 4I: t $\bar{t}$ Z

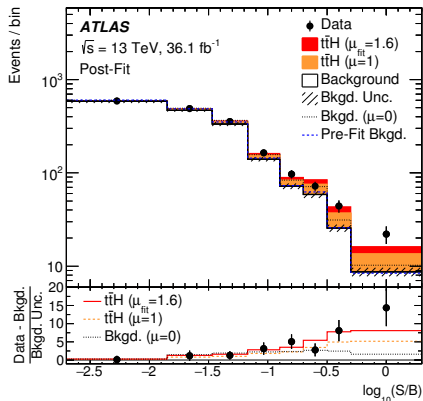
# t $\bar{t}$ H multilepton: analysis strategy (ATLAS)

- MVA discriminant trained against main backgrounds
  - 2ISS: t $\bar{t}$ H vs t $\bar{t}$  + jets and t $\bar{t}$ H vs t $\bar{t}$  + V
  - 3l: 5-dimensional multinomial BDT: t $\bar{t}$ H, t $\bar{t}$ W, t $\bar{t}$ Z, t $\bar{t}$  + jets, VV
  - $\tau$  channels: t $\bar{t}$ H vs t $\bar{t}$  + jets
  - 4l: t $\bar{t}$ Z
- Discriminating variables: BDT in all regions, except 4 leptons and 3 leptons + 1  $\tau_h$



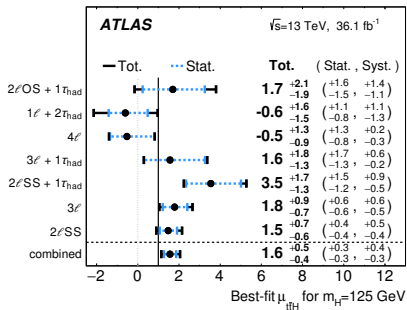
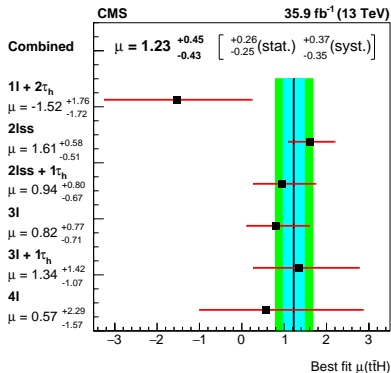
t $\bar{t}$ H multilepton results

Best-fit  $\mu = 1.23^{+0.45}_{-0.43}$ , at 3.2 (2.8)  $\sigma$   
obs. (exp.) significance

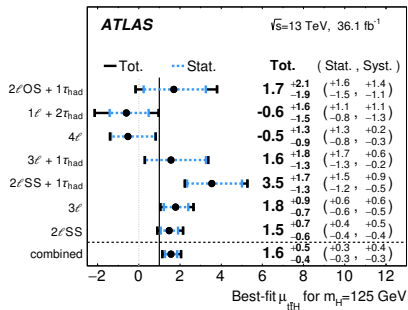
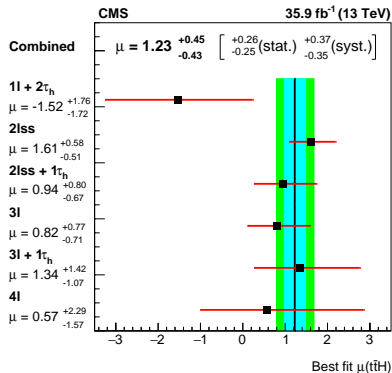


Best-fit  $\mu = 1.6^{+0.5}_{-0.4}$ , at 4.1 (2.8)  $\sigma$   
obs. (exp.) significance

# t $\bar{t}$ H multilepton results



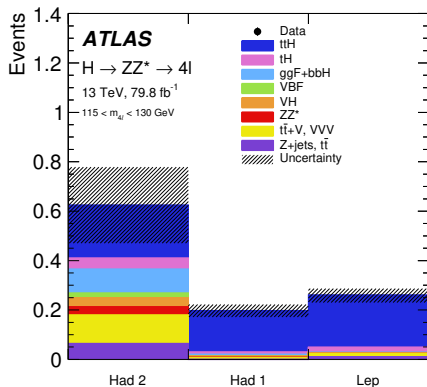
# tH multilepton results

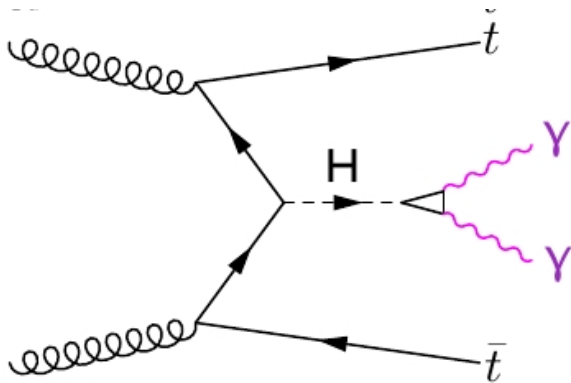


- Limited by non-prompt lepton estimation and  $\tau$  identification, jet energy scale and resolution,  $t\bar{t}H$  and  $t\bar{t} + V$  modelling
- Several channels limited by statistics

# ATLAS t $\bar{t}$ H(ZZ\* $\rightarrow$ 4l), 80 fb $^{-1}$ arXiv:1806.00425, sub. PLB

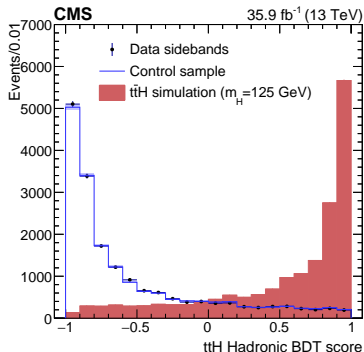
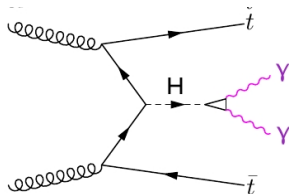
- Improved sensitivity: separate leptonic and hadronic categories with BDT (for hadronic)
- No event was observed (0.45 expected)  $\rightarrow$  Very statistically limited!
- 1.2  $\sigma$  expected



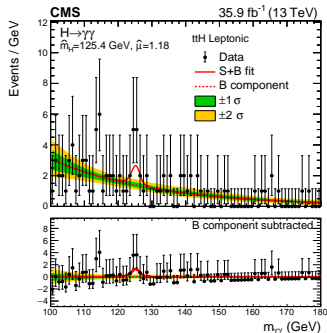
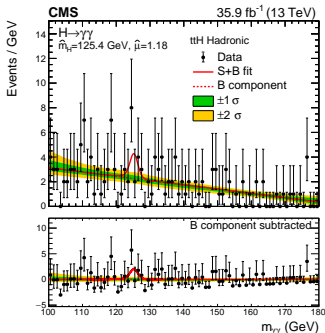
$t\bar{t}H(\gamma\gamma)$ 



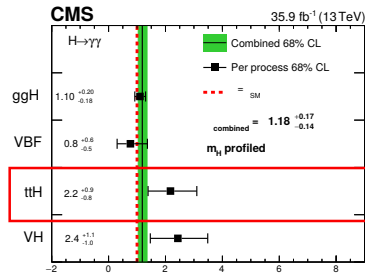
- Clear signature coming from the photons
- Higgs boson can be reconstructed as a narrow peak
- Backgrounds estimated from sideband regions
- Dedicated  $t\bar{t}H$  channel part of the global  $H \rightarrow \gamma\gamma$  analysis
- $t\bar{t}$  hadronic and leptonic channels
  - Hadronic  $t\bar{t}$  decay: MVA is used for background rejection
- Signal extracted from fit to  $m_{\gamma\gamma}$



# CMS $t\bar{t}H(\gamma\gamma)$ results

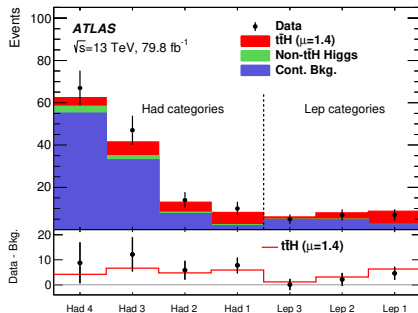
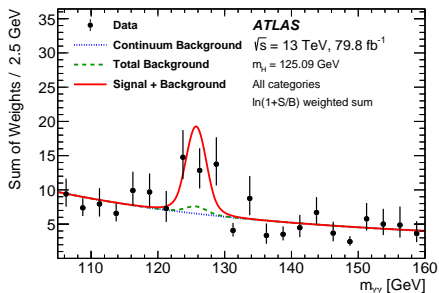


- Statistically limited
- Leading systematic uncertainties:  
Photon shower shape and energy scale



# ATLAS $t\bar{t}H(\gamma\gamma)$ results, $80 \text{ fb}^{-1}$ arXiv:1802.04146

- Analysis strategy: categorisation in 3 leptonic and 4 hadronic categories
- Increased sensitivity (50% for the same luminosity) by analysis improvements e.g: MVA utilizing  $\gamma$  and jet kinematic properties



Best-fit  $\mu = 1.39_{-0.42}^{+0.48}$ , at 4.1 (3.7)  $\sigma$  obs. (exp.) significance

# t $\bar{t}$ H Combination(s)

# CMS t $\bar{t}$ H combination

Phys.Rev.Lett. 120 (2018) 231801

## Contributing analyses

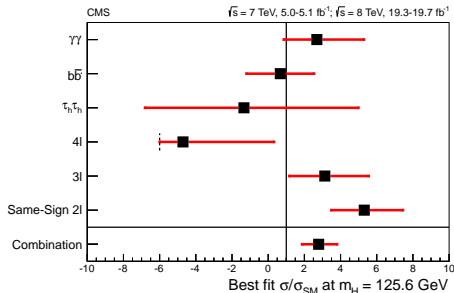
- All of the presented t $\bar{t}$ H analyses with 2016 data
- 7 TeV (up to 5.1 fb $^{-1}$ ) + 8 TeV (up to 19.7 fb $^{-1}$ ):

Dedicated analyses targeting the bb and multilepton final states

The t $\bar{t}$ H categories of the H  $\rightarrow$   $\gamma\gamma$  analysis

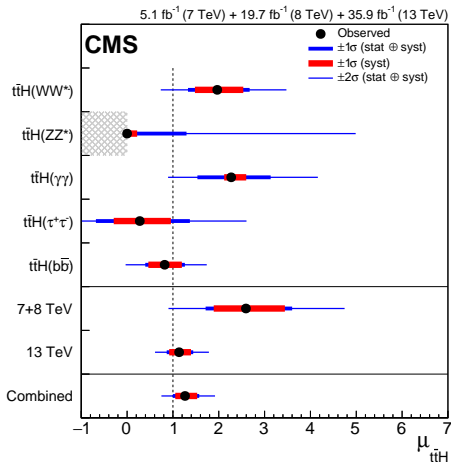
## Correlations between Run-1 and Run-2 analyses

- Inclusive signal theory and some background theory uncertainties correlated
- Experimental uncertainties largely uncorrelated



# CMS t $\bar{t}$ H combination

- $H \rightarrow \gamma\gamma$  and  $H \rightarrow ZZ$  channels still limited by statistics
- Other channels dominated by systematics
- Signal theory mainly from inclusive t $\bar{t}$ H prediction
- Background theory mainly from t $\bar{t}$  + HF prediction in t $\bar{t}$ H(b $\bar{b}$ )
- Experimental: lepton efficiencies, lepton mis-id, b-tagging and MC stats all important



$$\mu_{t\bar{t}H} = 1.26_{-0.26}^{+0.31} = 1.26_{-0.16}^{+0.16}(\text{stat})_{-0.15}^{+0.17}(\text{expt})_{-0.13}^{+0.14}(\text{Th. bkg})_{-0.07}^{+0.15}(\text{Th. sig})$$

# CMS t $\bar{t}$ H combination

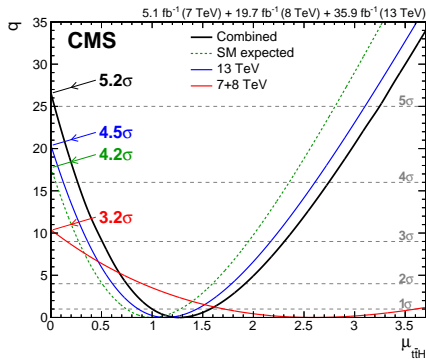
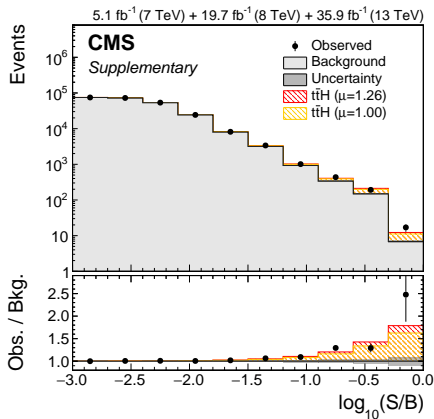
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- Background theory mainly from t $\bar{t}$  + HF prediction in t $\bar{t}$ H(b $\bar{b}$ )
- Experimental: lepton efficiencies, lepton mis-id, b-tagging and MC stats all important

Uncertainty source	$\Delta\mu$	
Signal theory	+0.15	-0.07
Inclusive t $\bar{t}$ H normalisation (cross section and BR)	+0.15	-0.07
t $\bar{t}$ H acceptance (scale, pdf, PS and UE)	+0.004	-0.004
Other Higgs boson production modes	+0.002	-0.003
Background theory	+0.14	-0.13
tt + bb/cc prediction	+0.13	-0.11
tt + V(V) prediction	+0.06	-0.06
Other background uncertainties	+0.03	-0.03
Experimental	+0.17	-0.15
Lepton (inc. $\tau_h$ ) trigger, ID and iso. efficiency	+0.08	-0.06
Misidentified lepton prediction	+0.06	-0.06
b-Tagging efficiency	+0.05	-0.04
Jet and $\tau_h$ energy scale and resolution	+0.04	-0.04
Luminosity	+0.04	-0.03
Photon ID, scale and resolution	+0.01	-0.01
Other experimental uncertainties	+0.01	-0.01
Finite number of simulated events	+0.08	-0.07
Statistical	+0.16	-0.16
Total	+0.31	-0.26

$$\mu_{t\bar{t}H} = 1.26^{+0.31}_{-0.26} = 1.26^{+0.16}_{-0.16}(\text{stat})^{+0.17}_{-0.15}(\text{expt})^{+0.14}_{-0.13}(\text{Th. bkg})^{+0.15}_{-0.07}(\text{Th. sig})$$

# CMS t $\bar{t}$ H combination

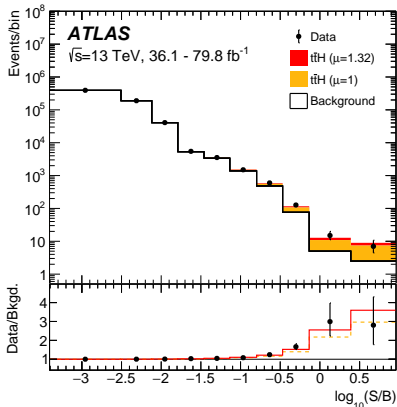
- First observation of the t $\bar{t}$ H production process (10 April 2018)
- Observed significance is  $5.2\sigma$  ( $4.2\sigma$  exp.) with respect to the  $\mu_{t\bar{t}H} = 0$  hypothesis





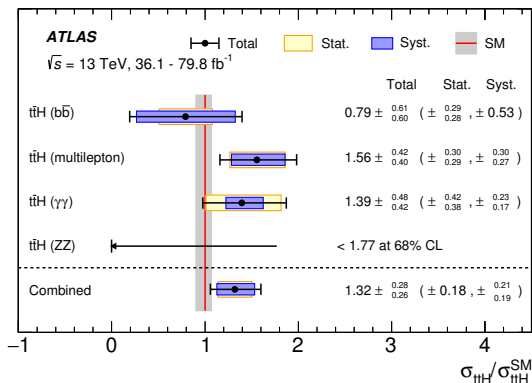
# ATLAS t $\bar{t}$ H combination [arXiv:1806.00425](https://arxiv.org/abs/1806.00425)

- 79.8 fb $^{-1}$  t $\bar{t}$ H( $\gamma\gamma$ ), t $\bar{t}$ H  $\rightarrow 4l$  results combined with 36.1 fb $^{-1}$  t $\bar{t}$ H( $b\bar{b}$ ), multilepton, as well as with the Run-1 result



Uncertainty source	$\Delta\sigma_{t\bar{t}H}/\sigma_{t\bar{t}H}$ [%]
Theory uncertainties (modelling)	
$t\bar{t}$ + heavy flavour	11.9
$t\bar{t}H$	9.9
Non- $t\bar{t}H$ Higgs boson production modes	6.0
Other background processes	1.5
Experimental uncertainties	
Fake leptons	2.2
Jets, $E_T^{\text{miss}}$	9.3
Electrons, photons	5.2
Luminosity	4.9
$\tau$ -lepton	3.2
Flavour tagging	3.0
MC statistical uncertainties	2.5
	1.8
	4.4

$$\mu_{t\bar{t}H} = 1.32_{-0.26}^{+0.28} = 1.32_{-0.18}^{+0.18}(\text{stat})_{-0.19}^{+0.21}(\text{syst})$$

ATLAS t $\bar{t}$ H combination

- Observation of t $\bar{t}$ H production with  $5.8 \sigma$  ( $4.9 \sigma$ ) sign. (Run-2) and  $6.3 \sigma$  ( $5.1 \sigma$ ) sign. including Run-1 (4 June 2018)

# Observation of $t\bar{t}H$ production!

- Results presented for  $t\bar{t}H$  searches with  $36\text{-}80\text{ fb}^{-1}$  of pp collision data @ 13 TeV (2016-17 data)
  - Improvements in analysis techniques compared to Run 1 (e.g. DNN, multivariate analysis  $t\bar{t}H(\gamma\gamma)$ , etc)
  - Addition of new challenging final states: fully hadronic mode, final states with hadronic decaying  $\tau$  leptons
  - Several channels already systematic limited: Working on further improvements
- Combination resulted in the first observation of  $t\bar{t}H$  production by CMS just published in PRL, ATLAS just submitted results including more 13 TeV data to PLB with larger significance
- New data being analyzed as we speak
  - More statistics helpful for developing more sophisticated strategies
  - Statistic limited channels will become more and more relevant

ATLAS: <https://twiki.cern.ch/twiki/bin/view/AtlasPublic/HiggsPublicResults>

CMS: <http://cms-results.web.cern.ch/cms-results/public-results/publications/HIG/index.html>

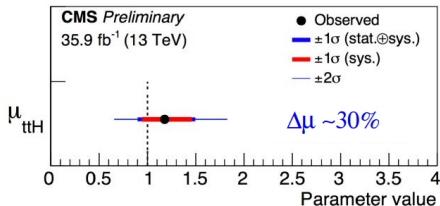
BACKUP

# $t\bar{t}H$ combination + other Higgs measurements

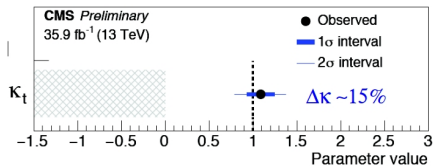
## CMS-HIG-17-031

Combination of  $t\bar{t}H$  analyses, along with other Higgs measurements, for 13 TeV data

- $t\bar{t}H$  +  $tH$  production cross section modifier from per-production mode fit (other production modes floating)
- Top coupling modifier from  $\kappa$ -framework fit with effective loops



ttH		
Best fit value	Uncertainty	
	Stat.	Syst.
1.09	+0.14 -0.14	+0.08 -0.08



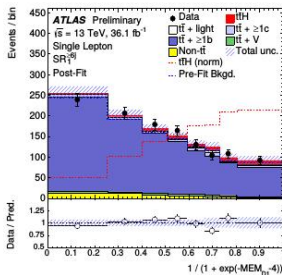
Best fit	Uncertainty	
	Stat.	Syst.
1.09	+0.14 -0.14	+0.08 -0.08

## MEM

 $t\bar{t}H(b\bar{b})$ : Matrix Element Method

Taken from these slides by I. Connelly

$$P(\mathbf{x}|\mathbf{H}, \boldsymbol{\alpha}) = \frac{(2\pi)^4}{\sigma_i^{\exp(\boldsymbol{\alpha})}} \int dP_A(\mathbf{y}) dP_B(\mathbf{y}) f(P_A) f(P_B) \frac{\mathcal{M}(\mathbf{y}|\mathbf{H}, \boldsymbol{\alpha})^2}{\mathcal{F}} \mathcal{W}(\mathbf{x}|\mathbf{y}) d\Phi_N(\mathbf{y})$$



- MEM linking a set of measured quantities ( $x$ , e.g. b-jet energy) with a set of unobservable partonic objects ( $y$ , e.g. b-quark energy) associated to a hypothesised process
- Test two hypotheses ( $H$ ):  $t\bar{t}H$  (signal) and  $t\bar{t}b\bar{b}$  (background)
- Transfer function  $W(x|y)$ : likelihood that partonic configuration  $y$  is measured as  $x$  (from MC)
- Discriminant defined as the difference between the logarithms of the signal and background likelihoods

## MEM

- **Signal extraction via Matrix Element Methods (MEM):**
  - **Event-by-event discriminator build upon matrix elements, combined with reconstruction-level information**

Numerical integration	Momentum conservation	Resolution function (allow ISR)
$w(\vec{y} \mathcal{H}) = \sum_{i=1}^{N_C} \int \frac{dx_a dx_b}{2x_a x_b s} \int \prod_{k=1}^8 \left( \frac{d^3 \vec{p}_k}{(2\pi)^3 2E_k} \right) (2\pi)^4 \delta(E, z) \left( p_a + p_b - \sum_{k=1}^8 p_k \right) \mathcal{R}(x, y) \left( \vec{p}_T, \sum_{k=1}^8 p_k \right)$		
$\times g(x_a, \mu_F) g(x_b, \mu_F)  \mathcal{M}(p_a, p_b, p_1, \dots, p_8) ^2 W(\vec{y}, \vec{p})$		
Parton density functions	LO scattering amplitude (Open Loops)	Detector transfer function

- **Construct per-event signal/background probabilities using full kinematic information in an analytic approach**

$$P_{s/b} = \frac{w(\vec{y}|\bar{t}\bar{t}H)}{w(\vec{y}|\bar{t}\bar{t}H) + k_{s/b} w(\vec{y}|\bar{t}\bar{t}+bb)}$$

- $i\bar{t}+bb$  take as background hypothesis, permuting overall jet assignments
- Works best for final states with multiple reconstructed jets

# Uncertainties $t\bar{t}H(b\bar{b})$

## ATLAS

Uncertainty source	$\Delta\mu$	
$t\bar{t} + \geq 1b$ modeling	+0.46	-0.46
Background-model stat. unc.	+0.29	-0.31
$b$ -tagging efficiency and mis-tag rates	+0.16	-0.16
Jet energy scale and resolution	+0.14	-0.14
$t\bar{t}H$ modeling	+0.22	-0.05
$t\bar{t} + \geq 1c$ modeling	+0.09	-0.11
JVT, pileup modeling	+0.03	-0.05
Other background modeling	+0.08	-0.08
$t\bar{t}$ + light modeling	+0.06	-0.03
Luminosity	+0.03	-0.02
Light lepton ( $e, \mu$ ) id., isolation, trigger	+0.03	-0.04
Total systematic uncertainty	+0.57	-0.54
$t\bar{t} + \geq 1b$ normalization	+0.09	-0.10
$t\bar{t} + \geq 1c$ normalization	+0.02	-0.03
Intrinsic statistical uncertainty	+0.21	-0.20
Total statistical uncertainty	+0.29	-0.29
Total uncertainty	+0.64	-0.61

(PhysRevD.97.072016)

## CMS

Uncertainty source	$\pm\Delta\mu$ (observed)	$\pm\Delta\mu$ (expected)
Total experimental	+0.15/-0.16	+0.19/-0.17
$b$ tagging	+0.11/-0.14	+0.12/-0.11
jet energy scale and resolution	+0.06/-0.07	+0.13/-0.11
Total theory	+0.28/-0.29	+0.32/-0.29
$t\bar{t}$ +hf cross section and parton shower	+0.24/-0.28	+0.28/-0.28
Size of the simulated samples	+0.14/-0.15	+0.16/-0.16
Total systematic	+0.38/-0.38	+0.45/-0.42
Statistical	+0.24/-0.24	+0.27/-0.27
Total	+0.45/-0.45	+0.53/-0.49

(HIG-17-026)



# $t\bar{t}H$ multilepton results

Uncertainty Source	$\Delta\mu$	
$t\bar{t}H$ modelling (cross section)	+0.20	-0.09
Jet energy scale and resolution	+0.18	-0.15
Non-prompt light-lepton estimates	+0.15	-0.13
Jet flavour tagging and $\tau_{\text{had}}$ identification	+0.11	-0.09
$t\bar{t}W$ modelling	+0.10	-0.09
$t\bar{t}Z$ modelling	+0.08	-0.07
Other background modelling	+0.08	-0.07
Luminosity	+0.08	-0.06
$t\bar{t}H$ modelling (acceptance)	+0.08	-0.04
Fake $\tau_{\text{had}}$ estimates	+0.07	-0.07
Other experimental uncertainties	+0.05	-0.04
Simulation statistics	+0.04	-0.04
Charge misassignment	+0.01	-0.01
Total systematic uncertainty	+0.39	-0.30

Source	Unc. [%]	$\Delta\mu/\mu$ [%]
Lepton selection efficiency	2–4	11
$\tau_h$ selection efficiency	5	4.5
b tagging efficiency	2–15	6
Reducible background	10–40	11
Jet energy calibration	2–15	5
$\tau_h$ energy calibration	3	1
Theoretical sources	$\approx 10$	12
Integrated luminosity	2.5	5

# Statistical methodology

- Results calculated using the profile likelihood (**L**) ratio, **q**

$\vec{\alpha}$  = Set of POIs at some fixed values to be tested

$\vec{\theta}$  = Nuisance parameters

$$q(\vec{\alpha}) = -2 \ln \left( \frac{L(\vec{\alpha}, \hat{\vec{\theta}}_{\vec{\alpha}})}{L(\hat{\vec{\alpha}}, \hat{\vec{\theta}})} \right)$$

Values of  $\vec{\theta}$  that maximise the likelihood given the fixed values of  $\vec{\alpha}$  being tested (conditional estimate)

Values of  $\vec{\alpha}$  and  $\vec{\theta}$  that globally maximise the likelihood (unconditional estimate)

- Exploit the asymptotic limit:
  - Test statistic  $q(\vec{\alpha})$  is assumed to follow a  $\chi^2$  distribution with  $\vec{\alpha}$  degrees of freedom
  - $\Rightarrow$  To determine a confidence-level (CL) interval for a single parameter  $\alpha$ , we only need to find the values of  $\alpha$  where  $q(\vec{\alpha}) =$  the  $\chi^2$  critical value for that CL, e.g.
    - 1D 68% CL at  $q(\alpha) = 1.00$

# ATLAS $t\bar{t}H(b\bar{b})$ selection

- *b*-tagging:
  - Considering 4 working points: *loose*, *medium*, *tight*, *very-tight*
  - Efficiency for *b*-jets: 85% → 60%
  - Rejection factor for *c*-jets [light jets]: 3→35 [30→1500]
  - *b*-tagging discriminant built as:

	none	<i>loose</i>	<i>medium</i>	<i>tight</i>	<i>very-tight</i>
Efficiency	-	85%	77%	70%	60%
Discriminant value	1	2	3	4	5

## Channel classification:

- Two separate channels depending on the number of light leptons ( $\ell=e, \mu$ ):  $1\ell, 2\ell$
- $2\ell$  opposite-sign (OS) with  $p_T > 27, 15$  GeV (veto  $m_{\ell\ell} \sim m_Z$ , and events with  $\tau_{had}$ )
  - Require  $\geq 3$  jets and  $\geq 2$  *medium* *b*-tagged jets
- $1\ell$  with  $p_T > 27$  GeV (veto events with  $\geq 2$   $\tau_{had}$ 's)
  - **High- $p_T$  category:**
    - 'Boosted' event: boosted Higgs and top candidates (large- $R$  jets, reclustered from  $R = 0.4$  jets), plus a *loose* *b*-tagged jet
    - Higgs boson candidate ( $p_T > 200$  GeV): two *loose* *b*-tagged jets
    - Top candidate ( $p_T > 250$  GeV): one *loose* *b*-tagged +  $\geq 1$  non-*b*-tagged jets
  - If failing the 'boosted' selection → '**Resolved**' event:
    - Require  $\geq 5$  jets and  $\geq 2$  *very-tight* *b*-tagged jets or  $\geq 3$  *medium* *b*-tagged jets