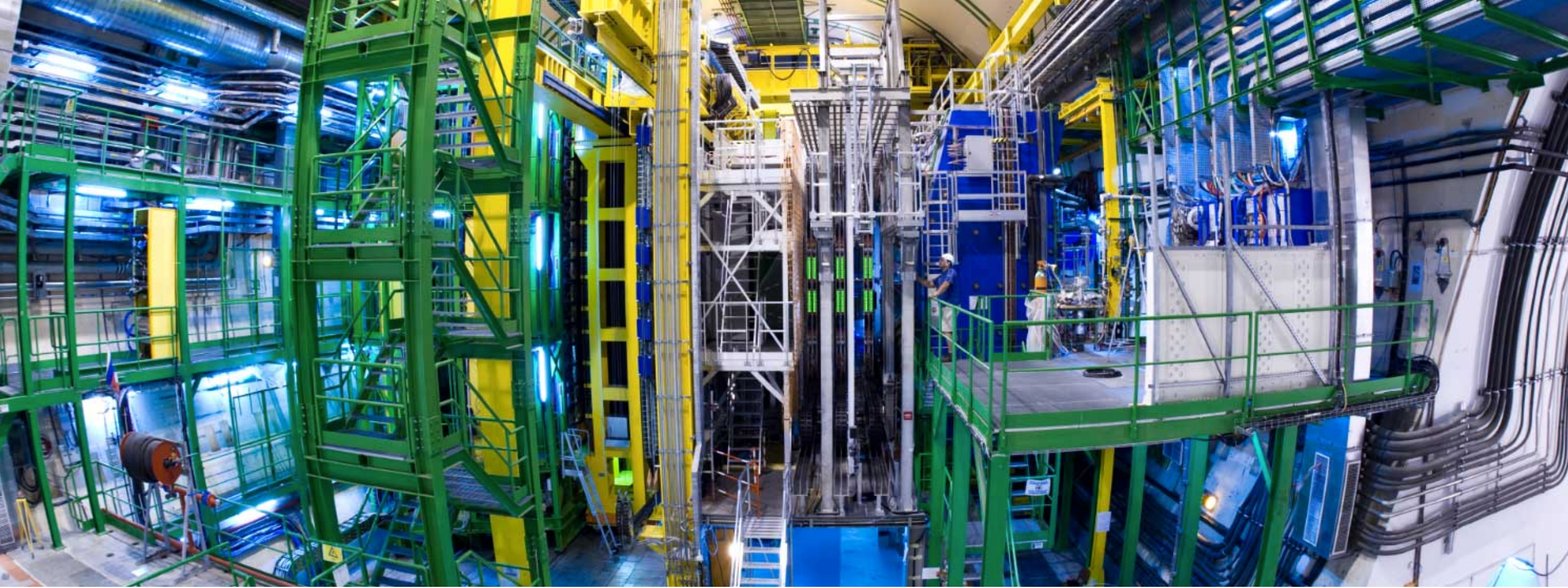
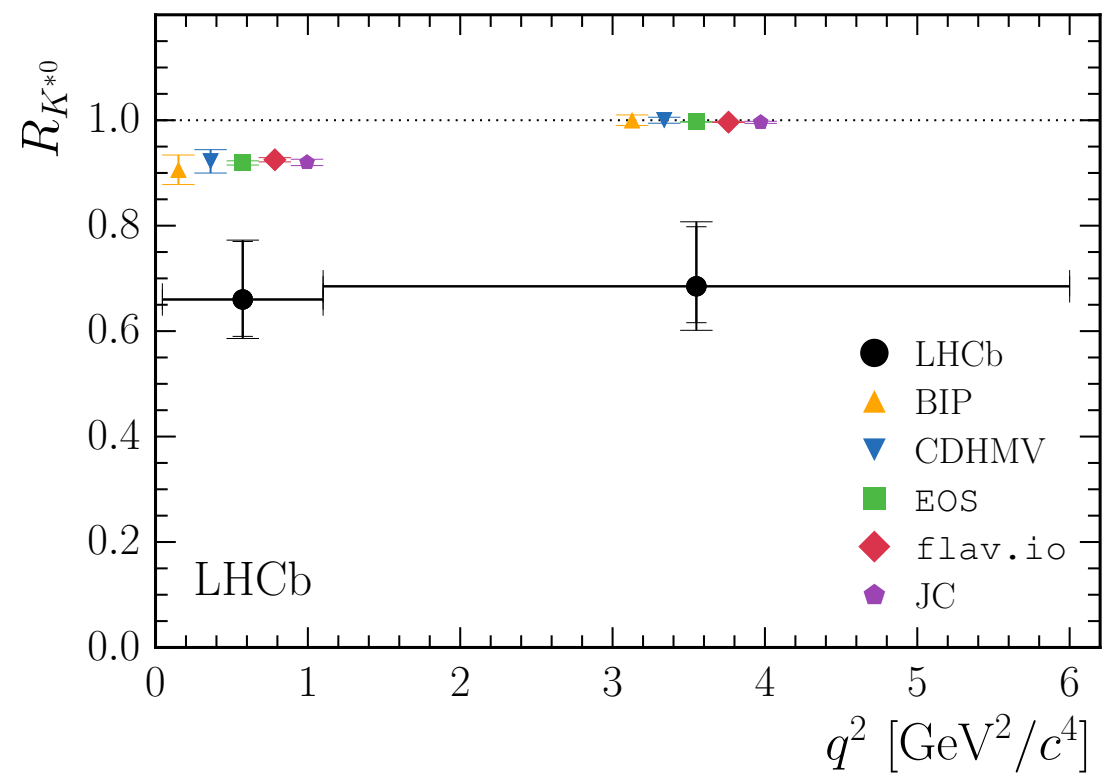
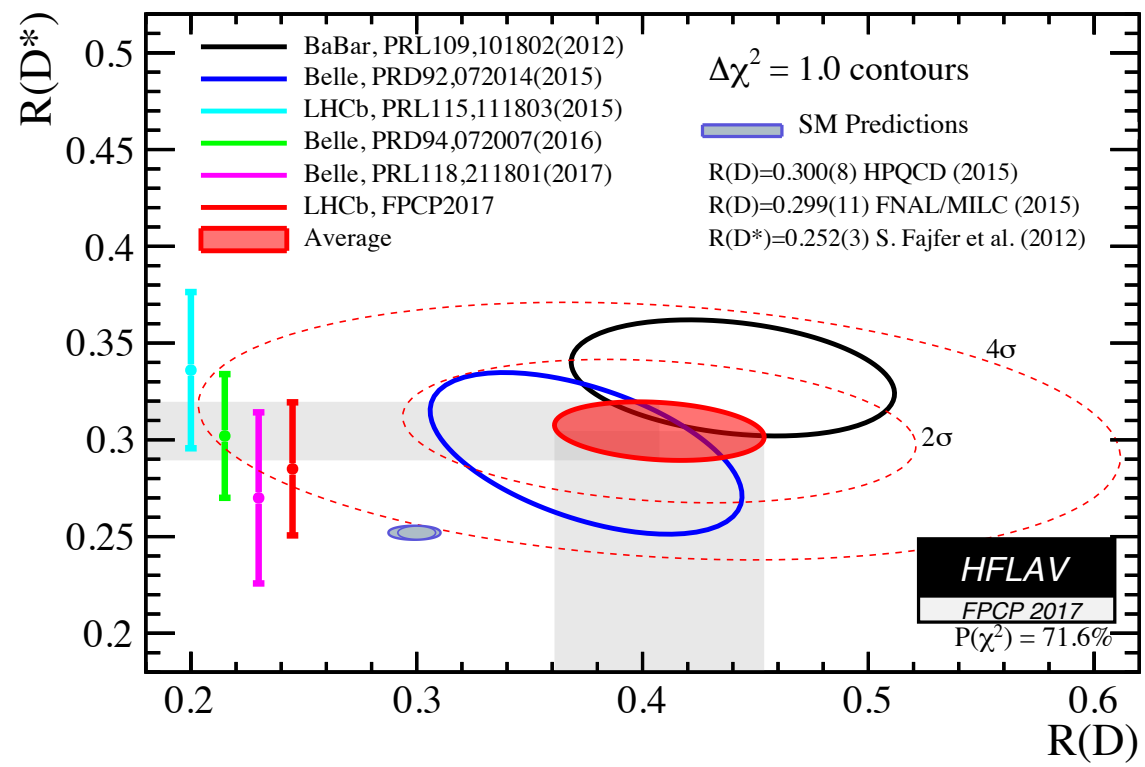
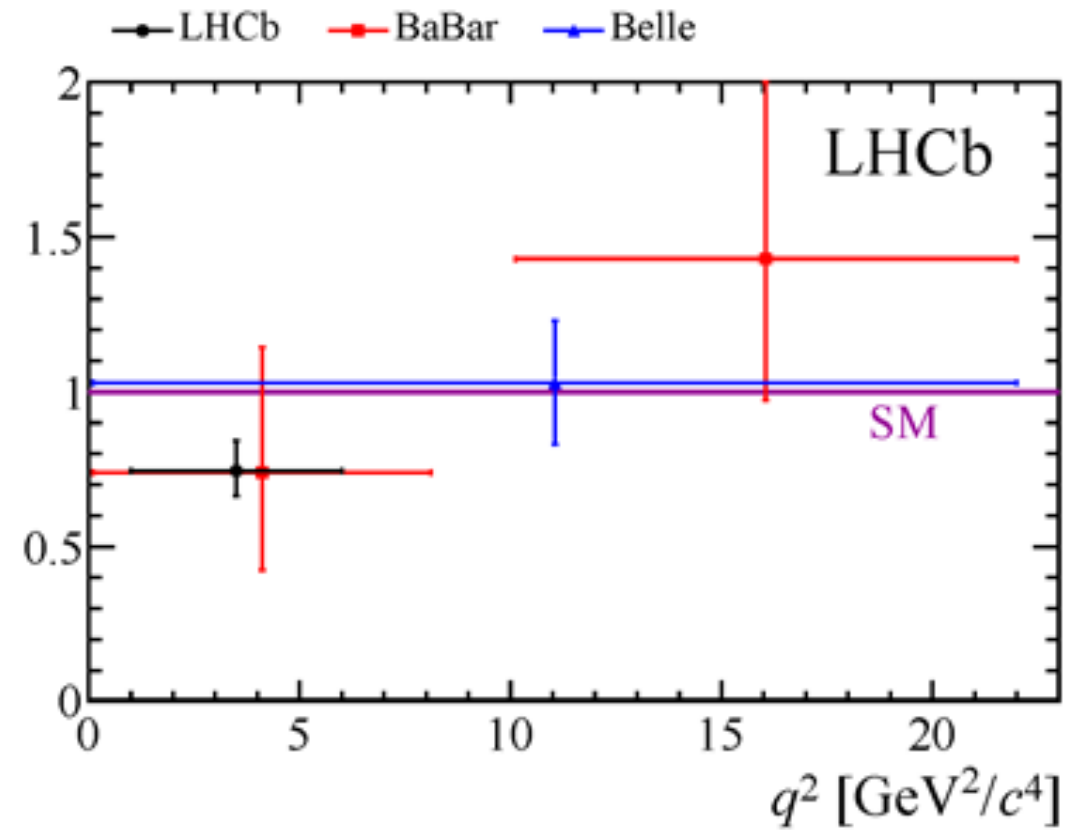
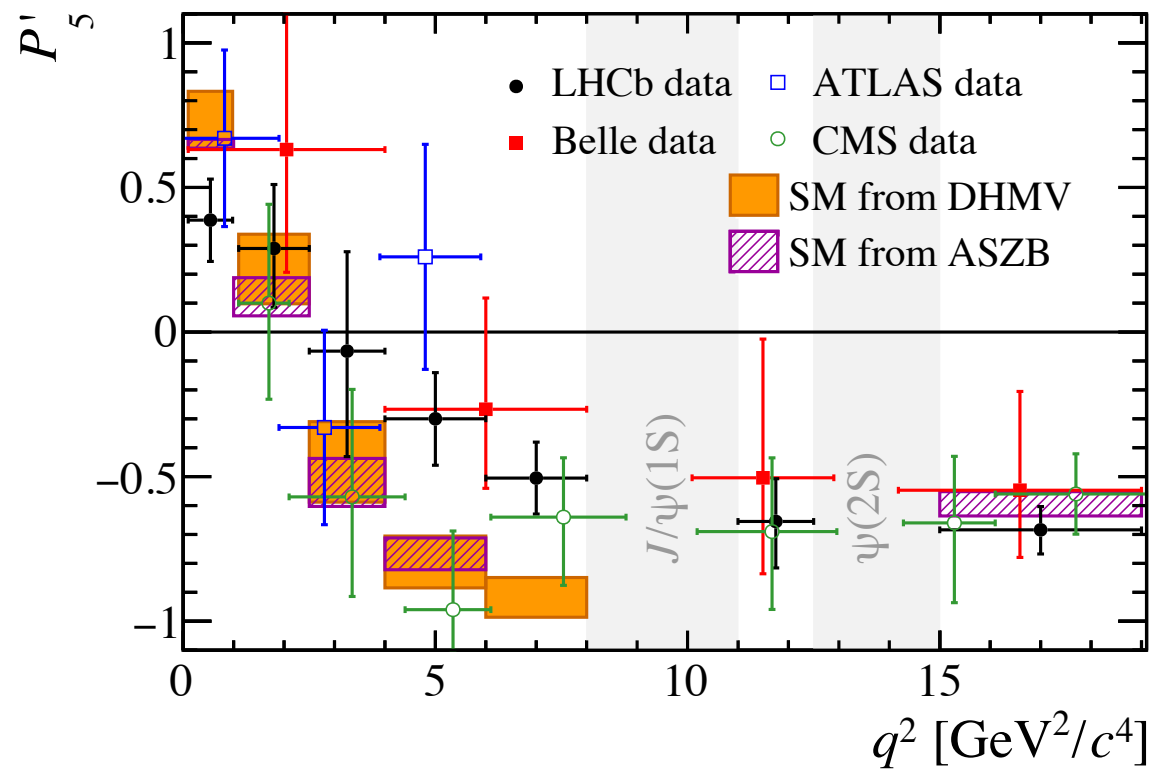
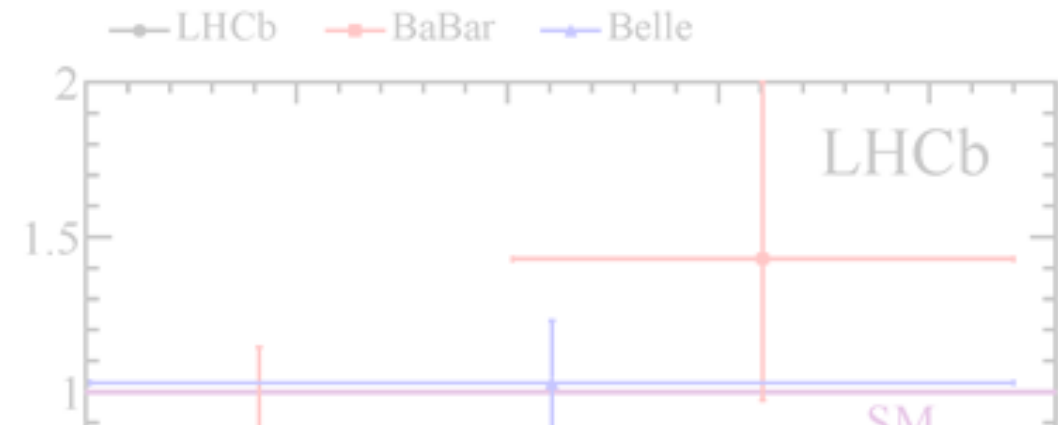
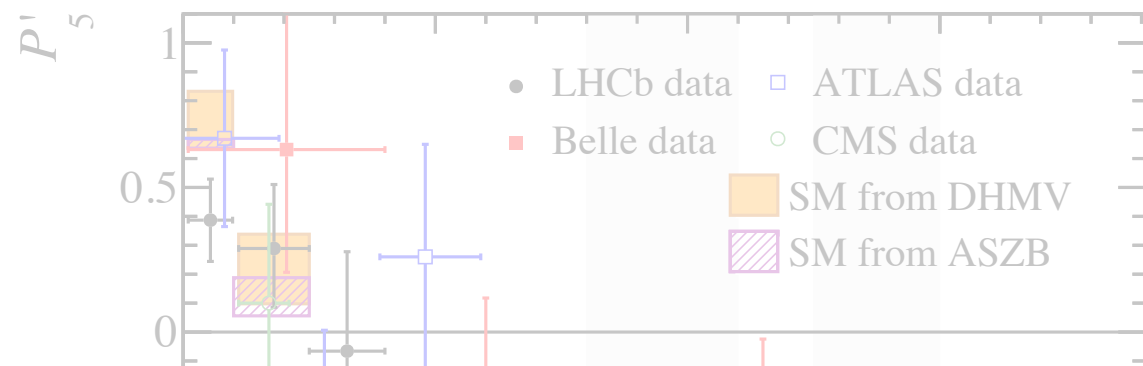




Lepton universality tests with tree-level semileptonic decays

Mika Vesterinen
University of Oxford
DESY colloquium
24-25 April 2018

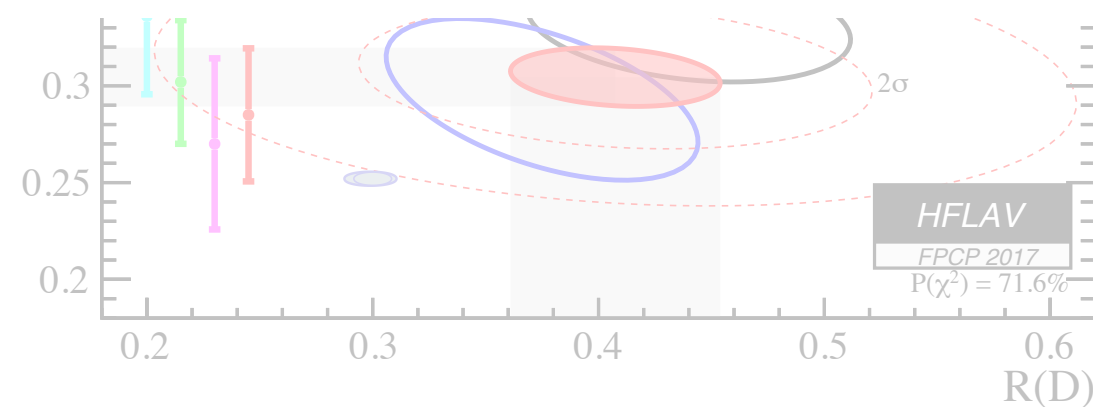




1. Introduction to B physics and LHCb

2. LHCb tests of Lepton Universality in tree decays

3. Future prospects

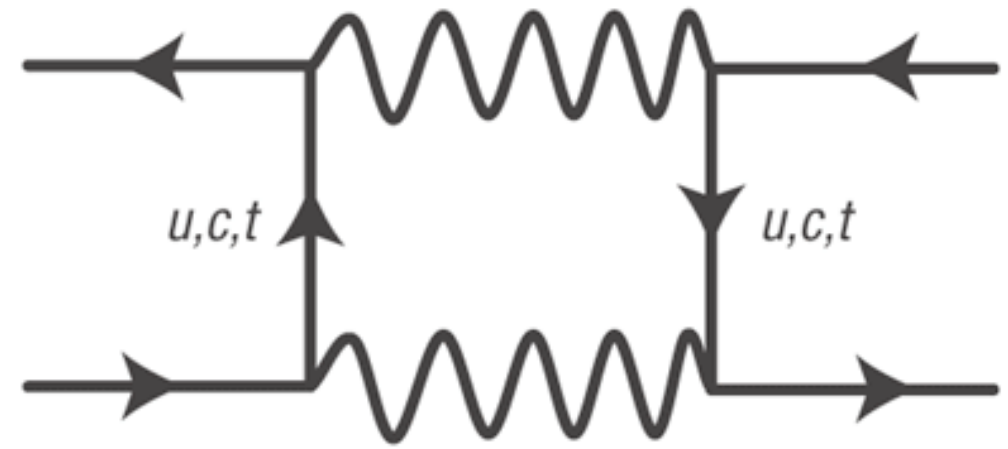


Searching for new physics beyond the SM

4



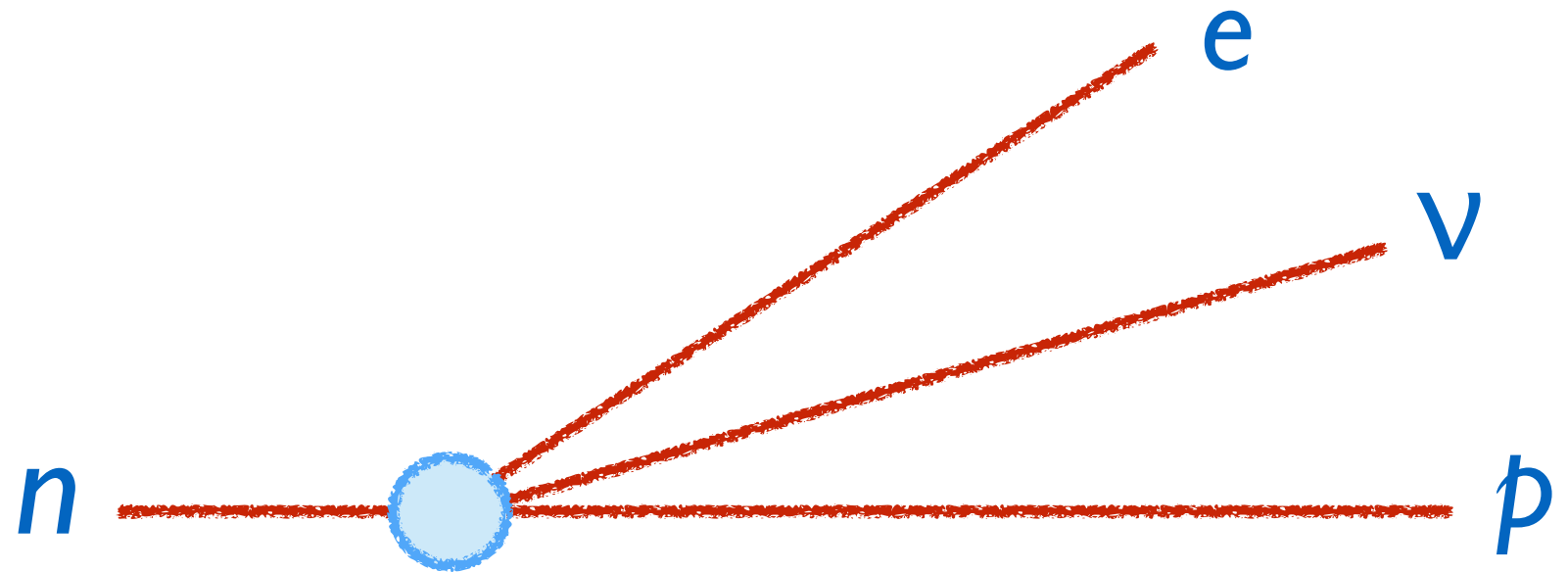
Direct: Sensitivity to heavier BSM scales requires higher **energy**



Indirect: Sensitivity to heavier BSM scales requires higher **precision**

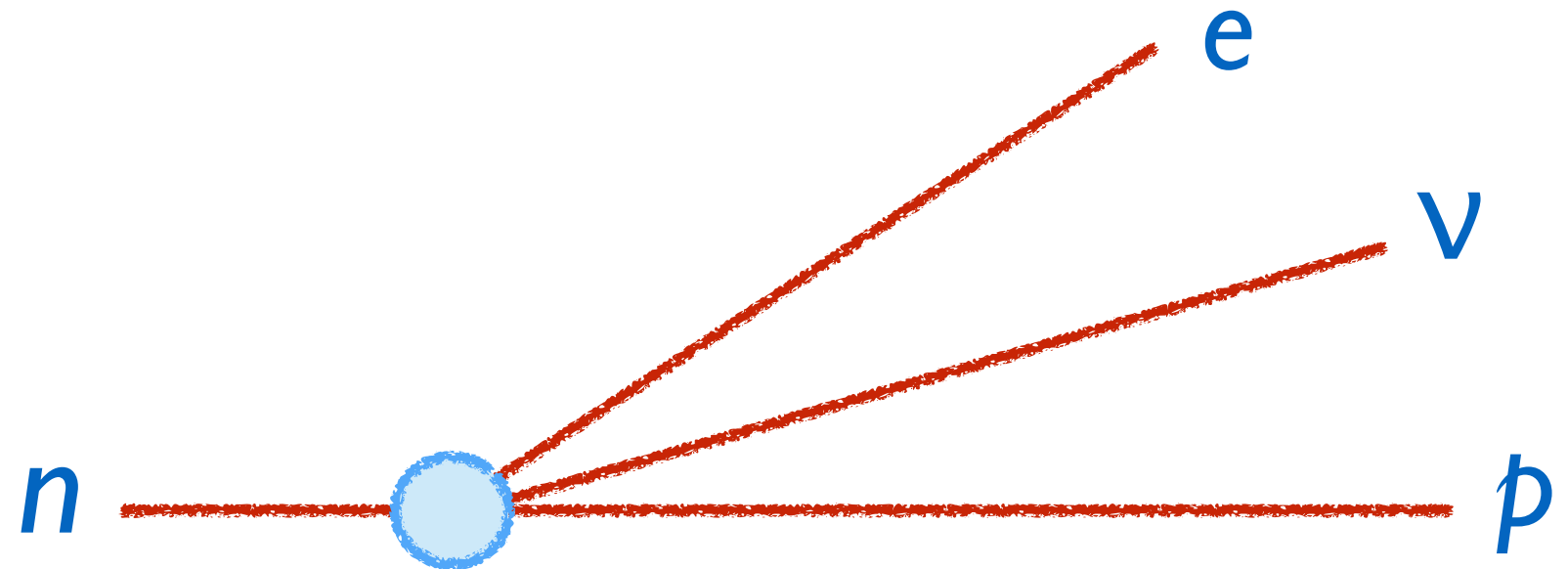
Indirect example

$$m_n \sim 1 \text{ GeV}$$



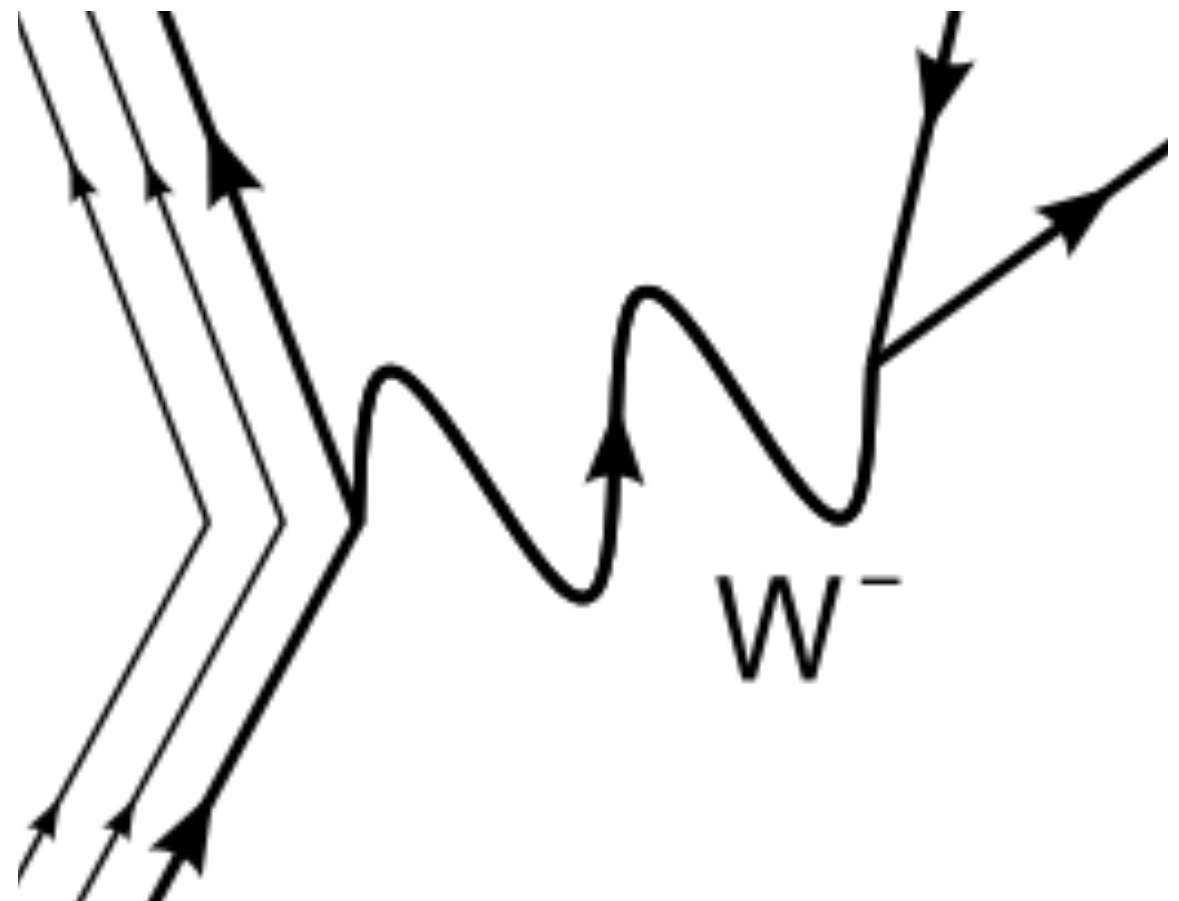
Indirect example

$$m_n \sim 1 \text{ GeV}$$



$$m_W \sim 100 \text{ GeV}$$

$$\text{Amplitude} \propto \frac{g^2}{m_W^2}$$



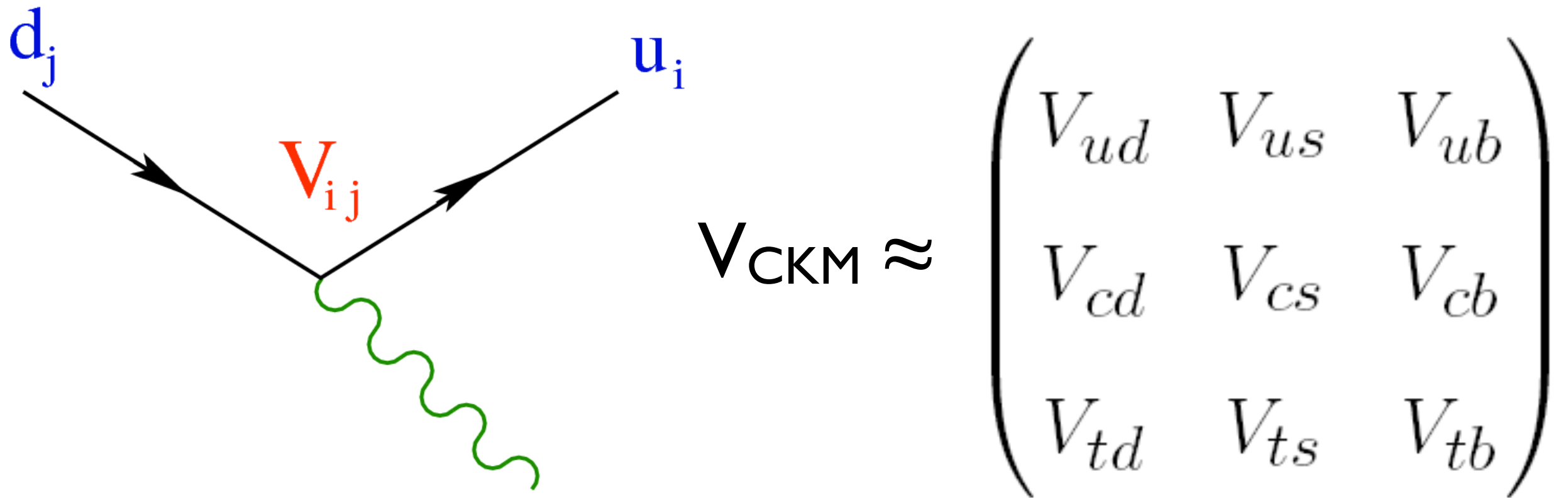
How to see...

...a measurable effect of a tiny BSM amplitude that is inversely proportional to the BSM mass scale?

Focus on processes/observables for which

- SM contribution is suppressed,
- and can be precisely computed.
- Experiments can reach high precision.

Quark flavour mixing



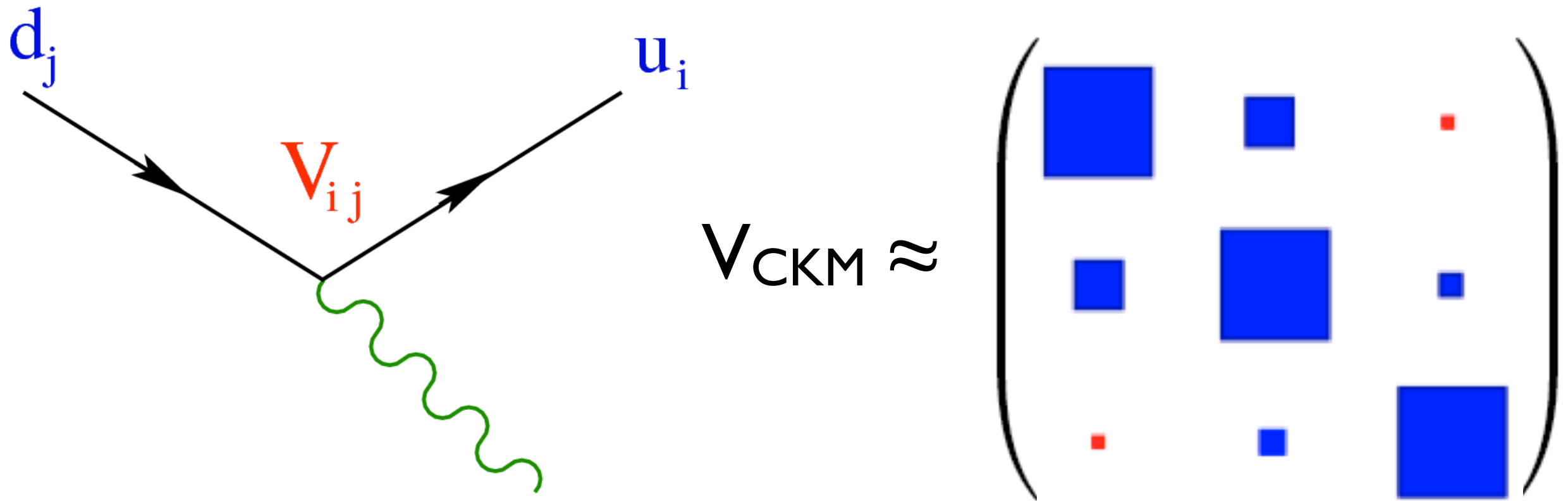
V_{CKM} is hierarchical $\Rightarrow$ SM amplitudes suppressed.

(V_{CKM} is also the sole source of CPV in the SM*)

V_{CKM} must be unitary $\Rightarrow$ testability.

*For SM with $\theta_{\text{QCD}} = m_\nu = 0$

Quark flavour mixing



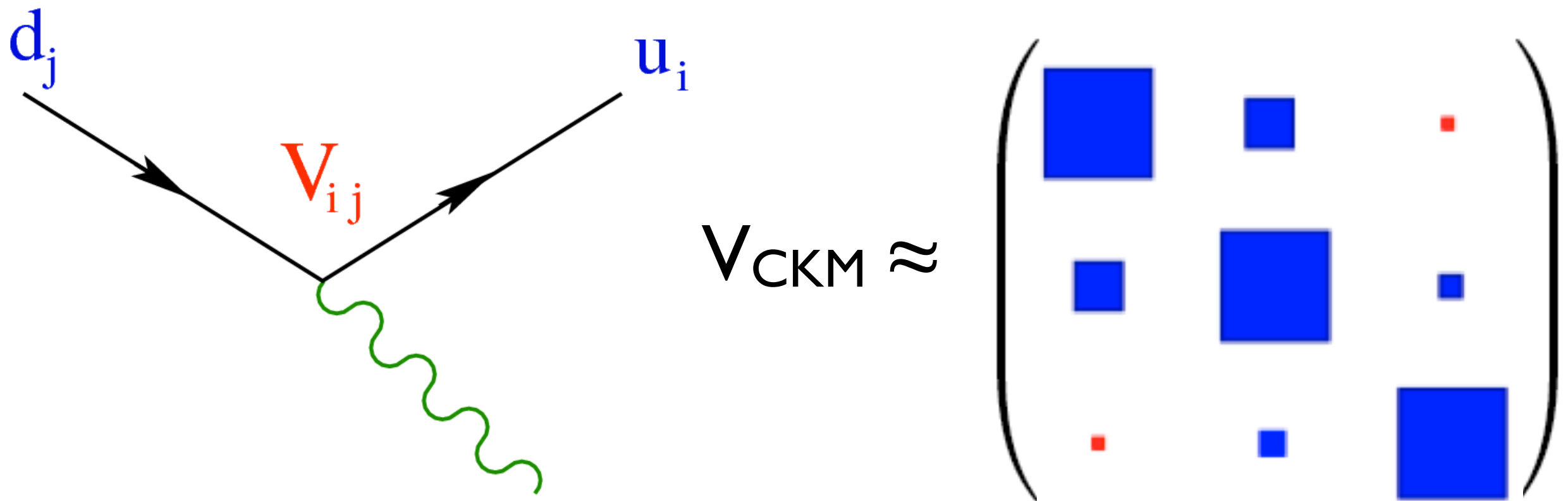
V_{CKM} is hierarchical $\Rightarrow$ SM amplitudes suppressed.

(V_{CKM} is also the sole source of CPV in the SM*)

V_{CKM} must be unitary $\Rightarrow$ testability.

*For SM with $\theta_{\text{QCD}} = m_{\nu} = 0$

Quark flavour mixing



V_{CKM} is hierarchical $\Rightarrow$ SM amplitudes suppressed.

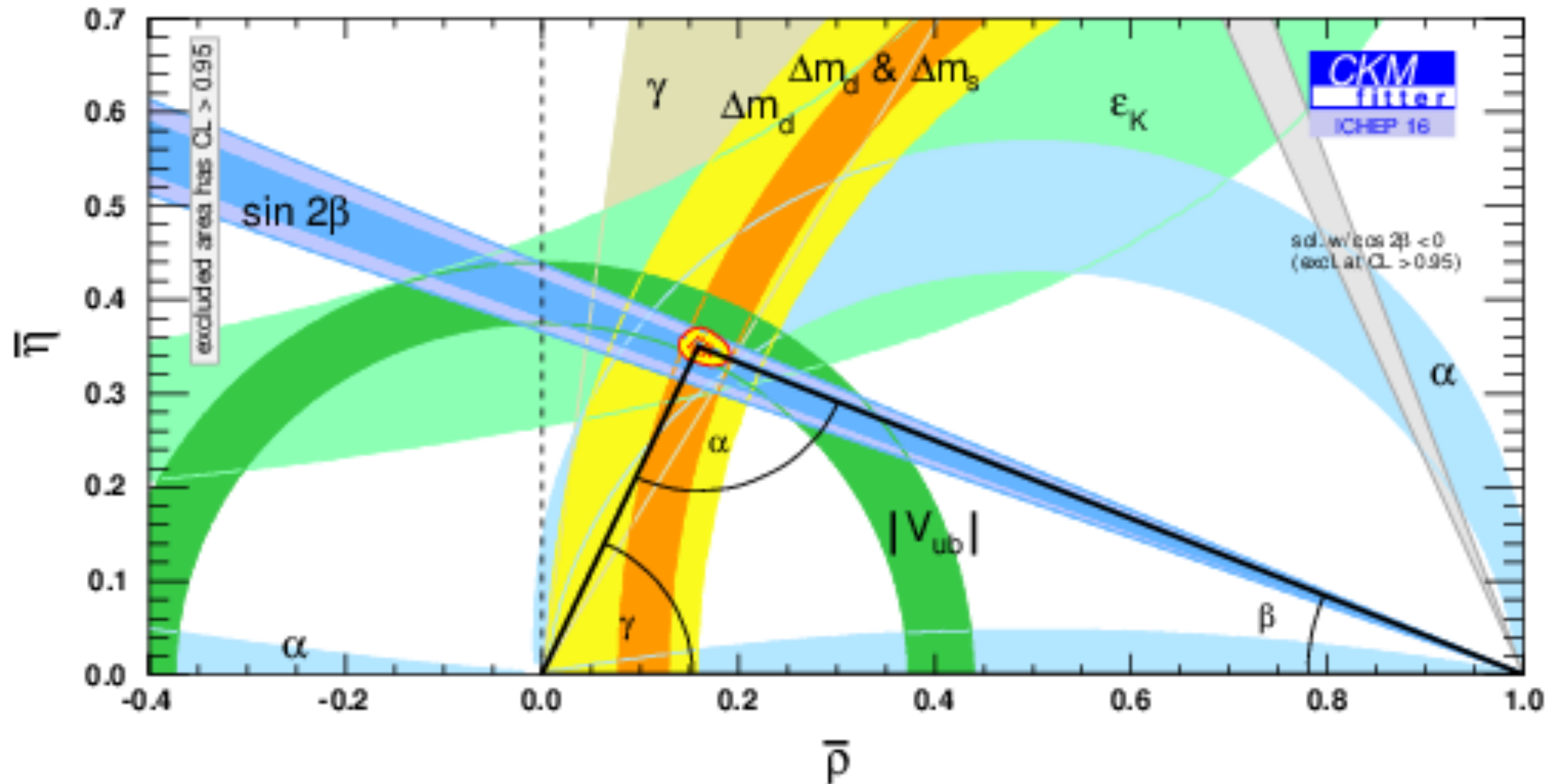
(V_{CKM} is also the sole source of CPV in the SM*)

V_{CKM} must be unitary $\Rightarrow$ testability.

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

*For SM with $\theta_{\text{QCD}} = m_\nu = 0$

The Unitarity Triangle today

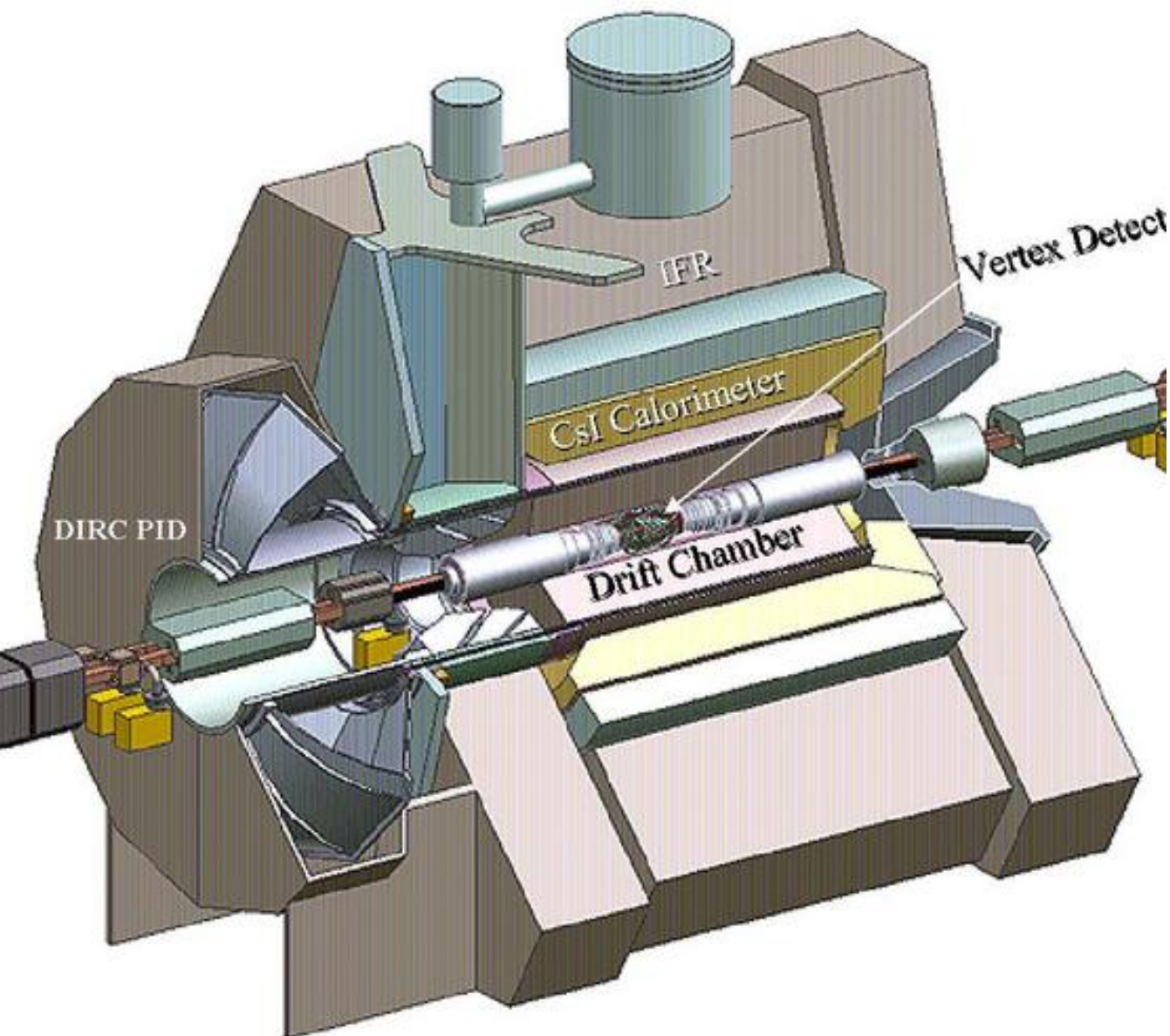


The SM CKM mechanism is the leading source of quark flavour mixing.

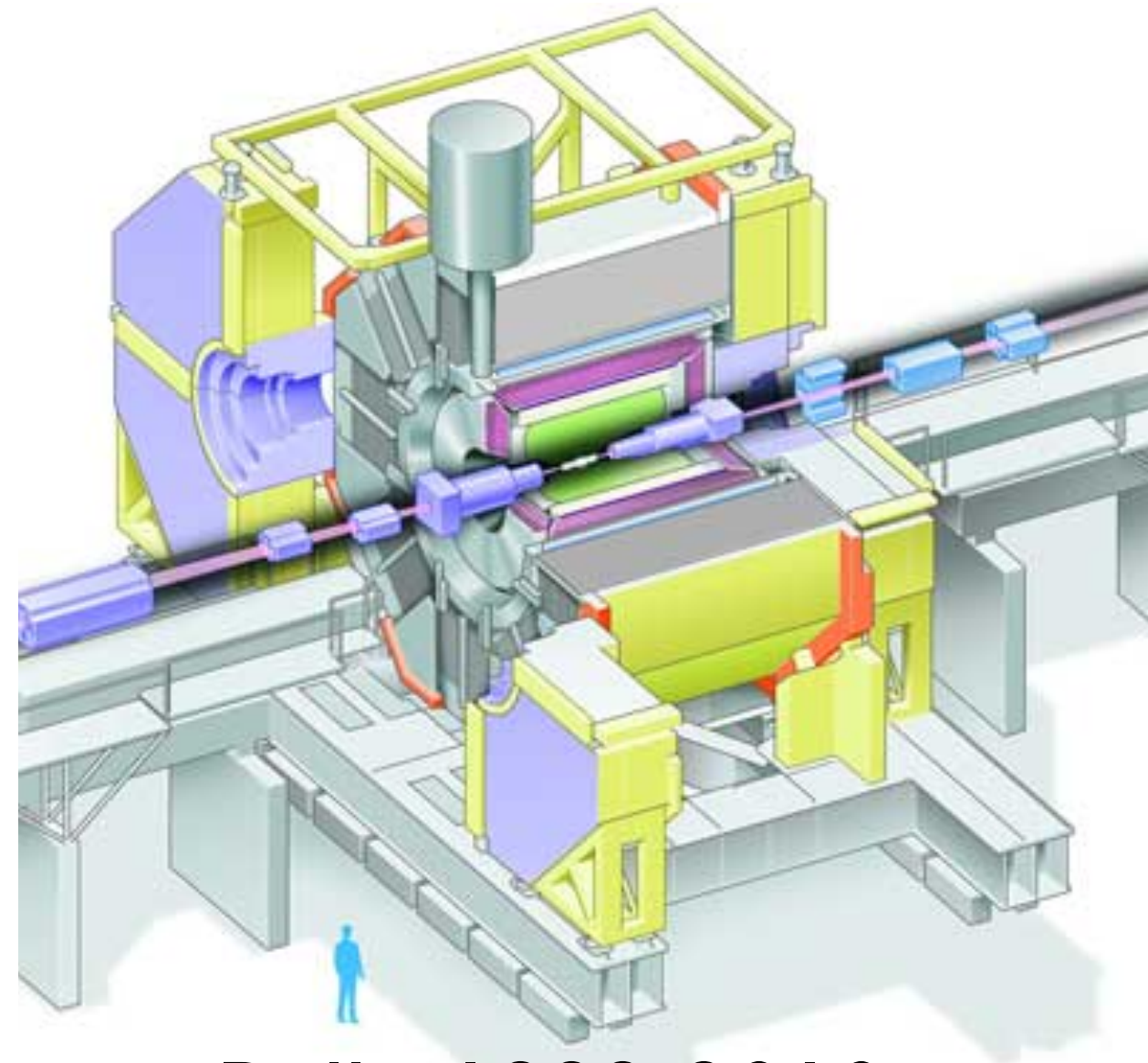
We are nowhere near exhausting the potential BSM sensitivity of quark flavour.

BaBar and Belle

$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow BB$$



BaBar | 1999-2008
~500 million BB

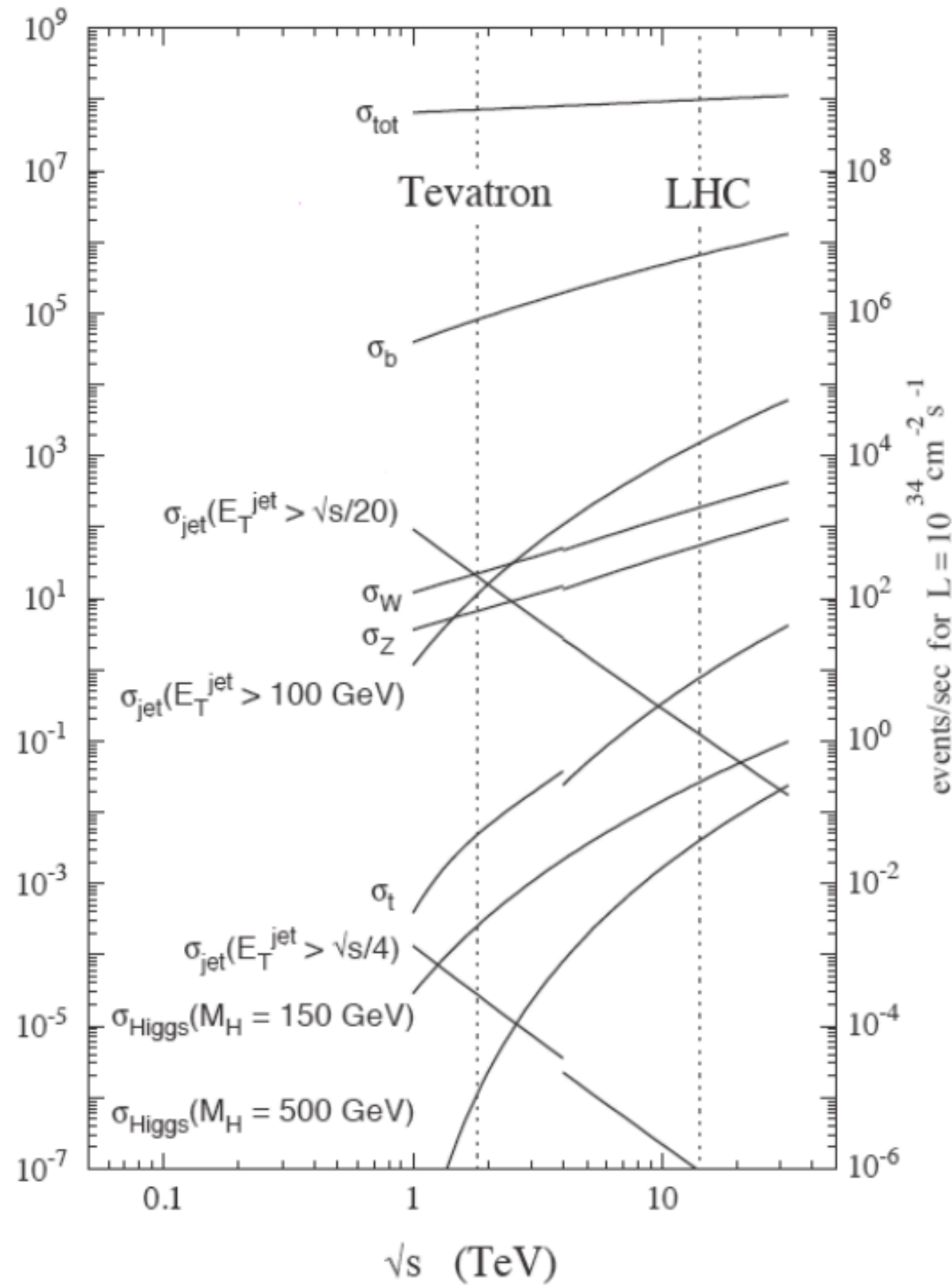
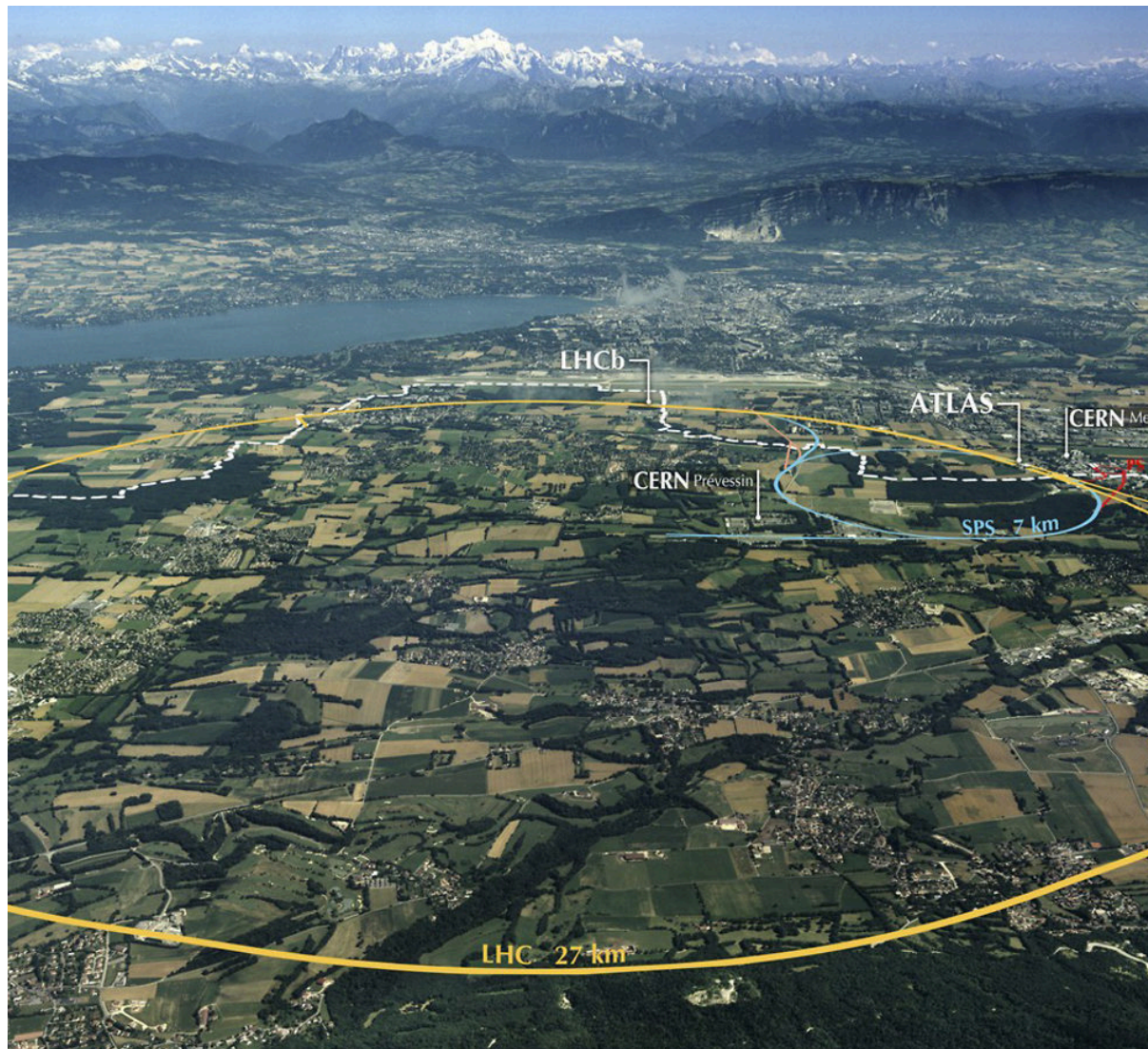


Belle | 1999-2010
~800 million BB

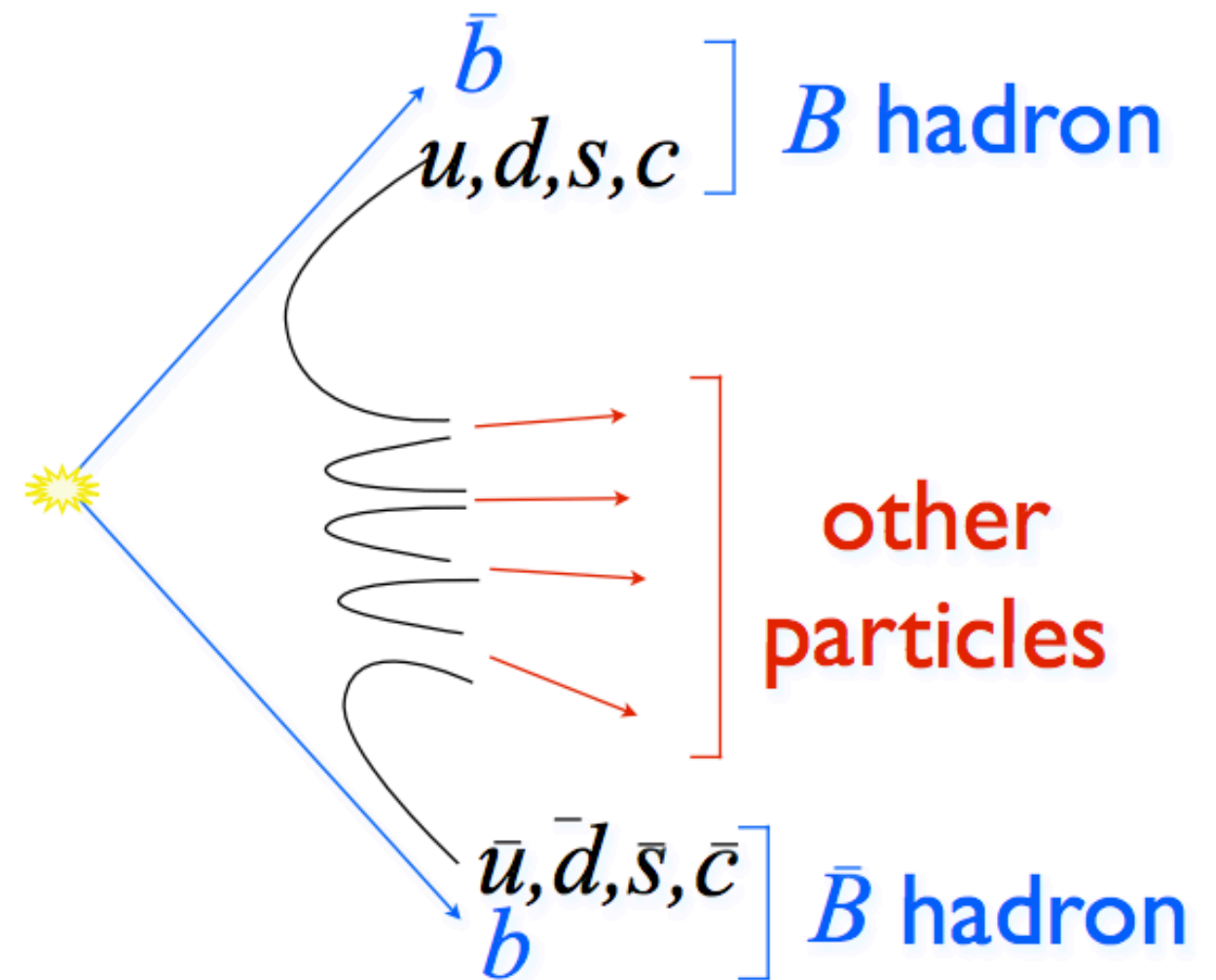
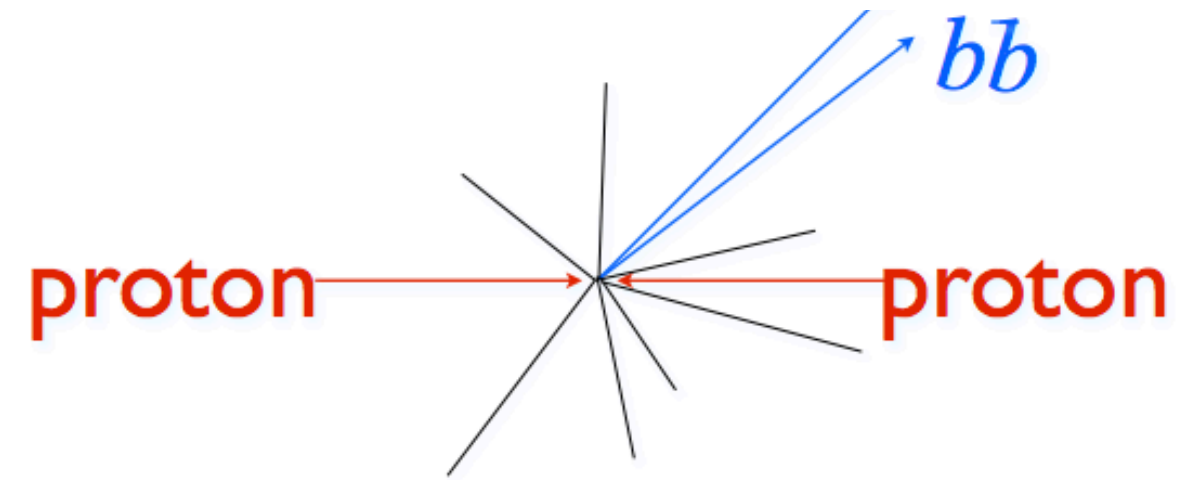
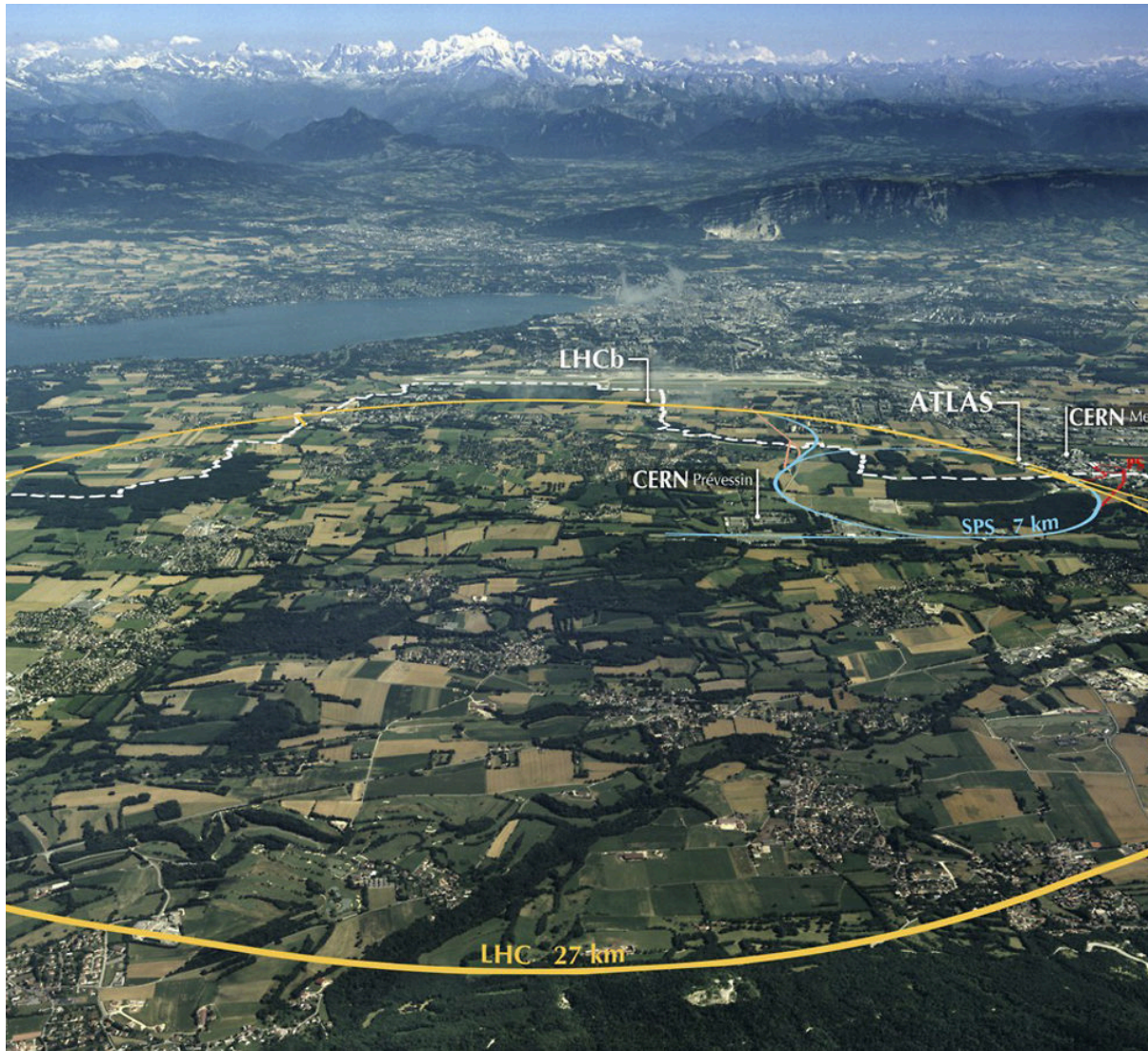
Later I will mention Belle-II, which will collect ~50x more luminosity during 2018-2024

The LHC

13

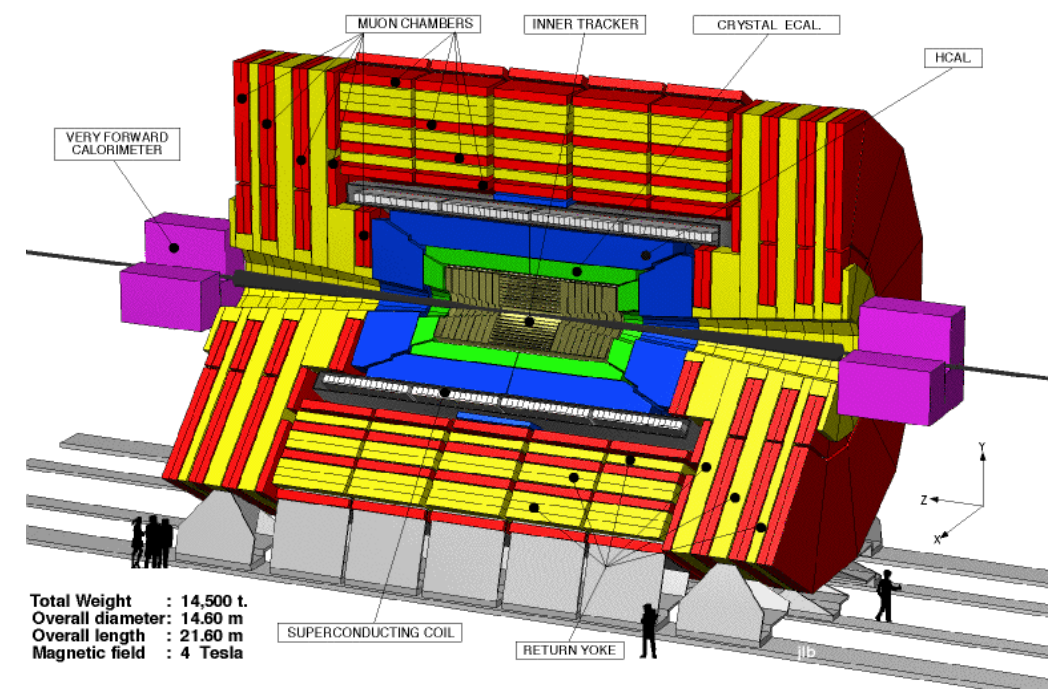
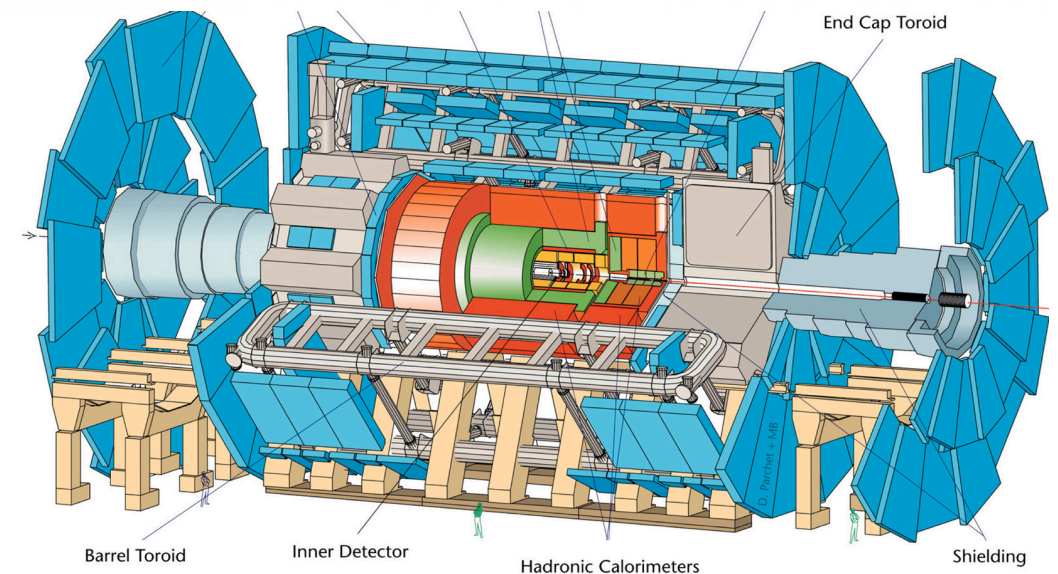
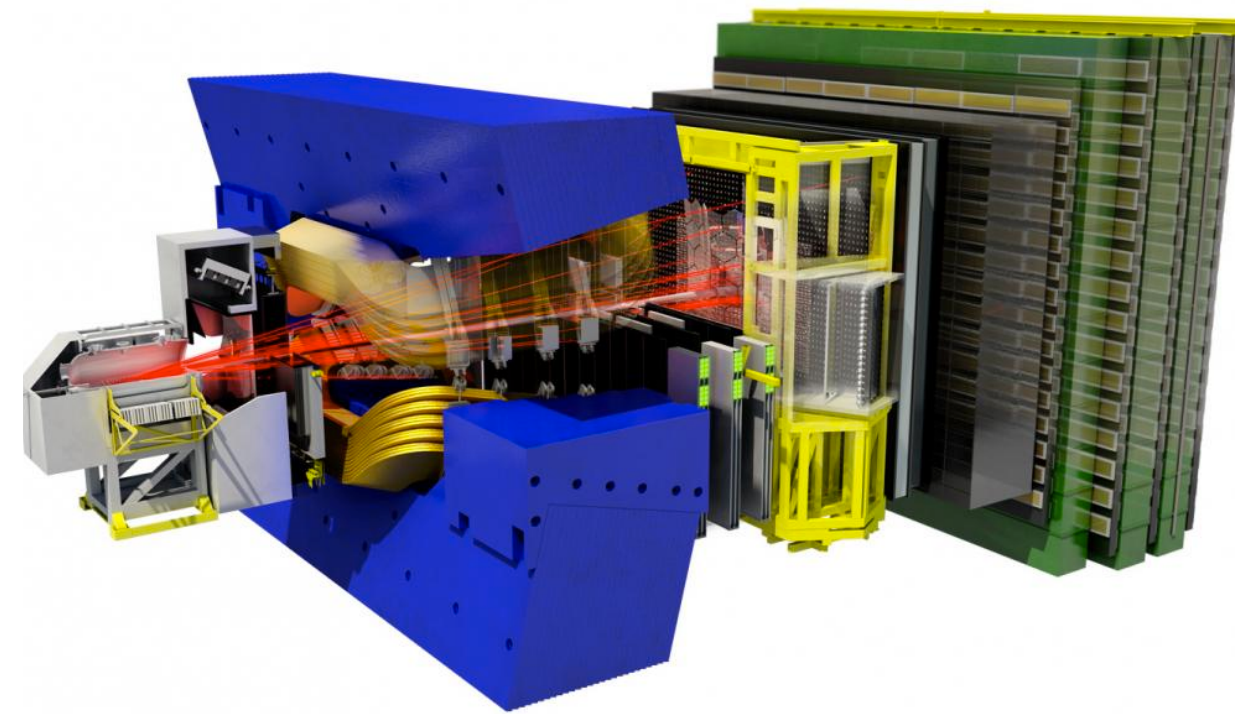
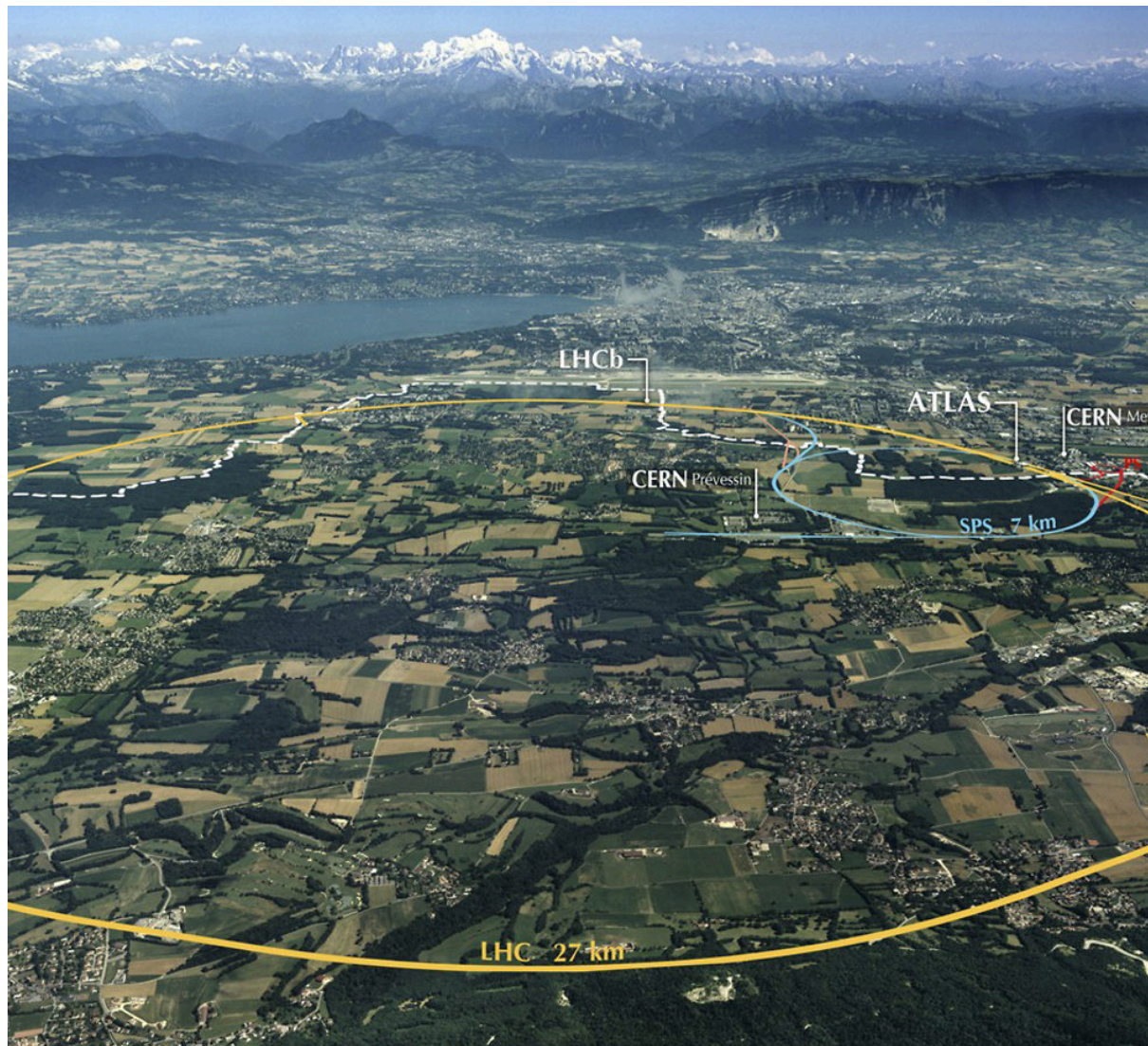


The LHC

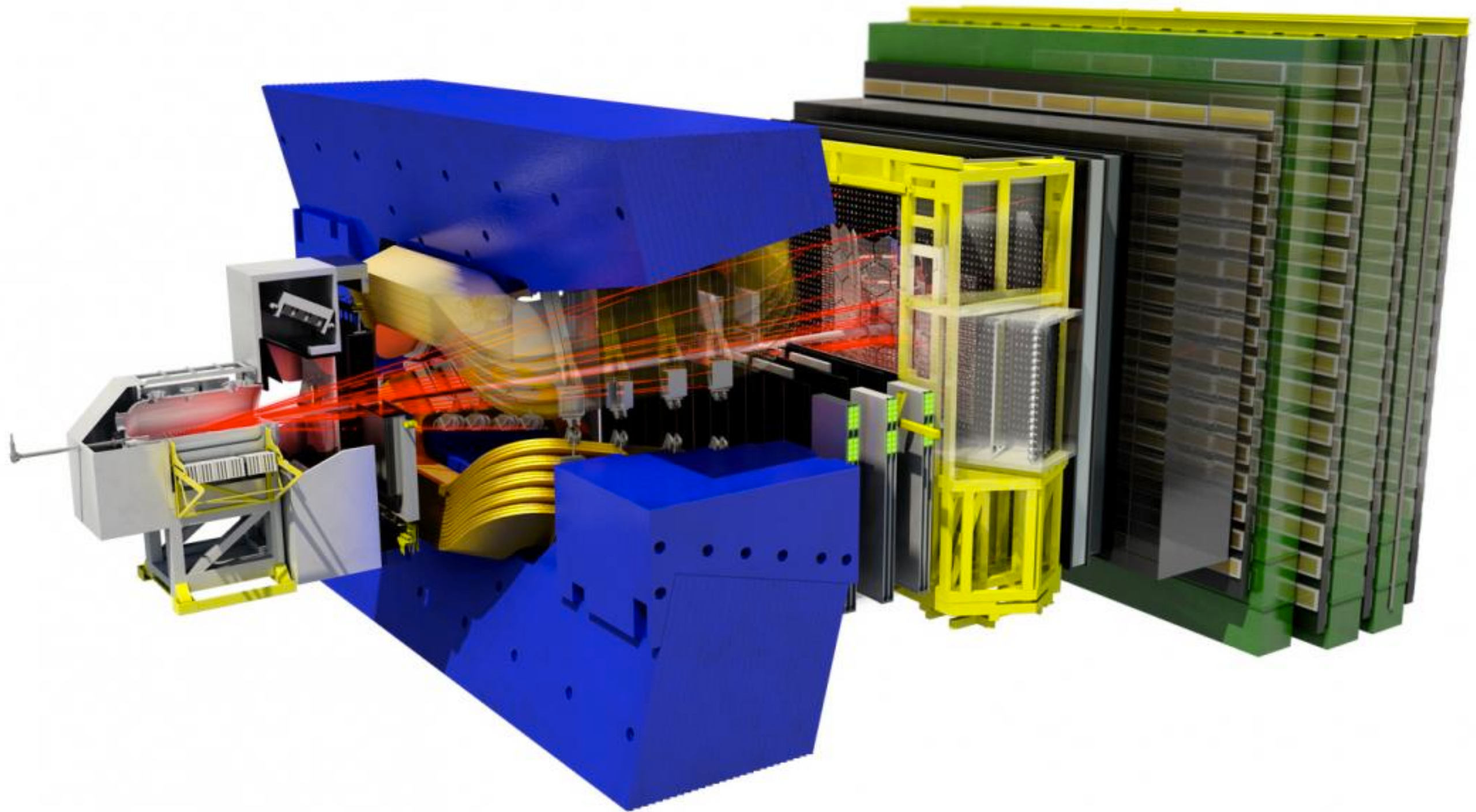


The LHC

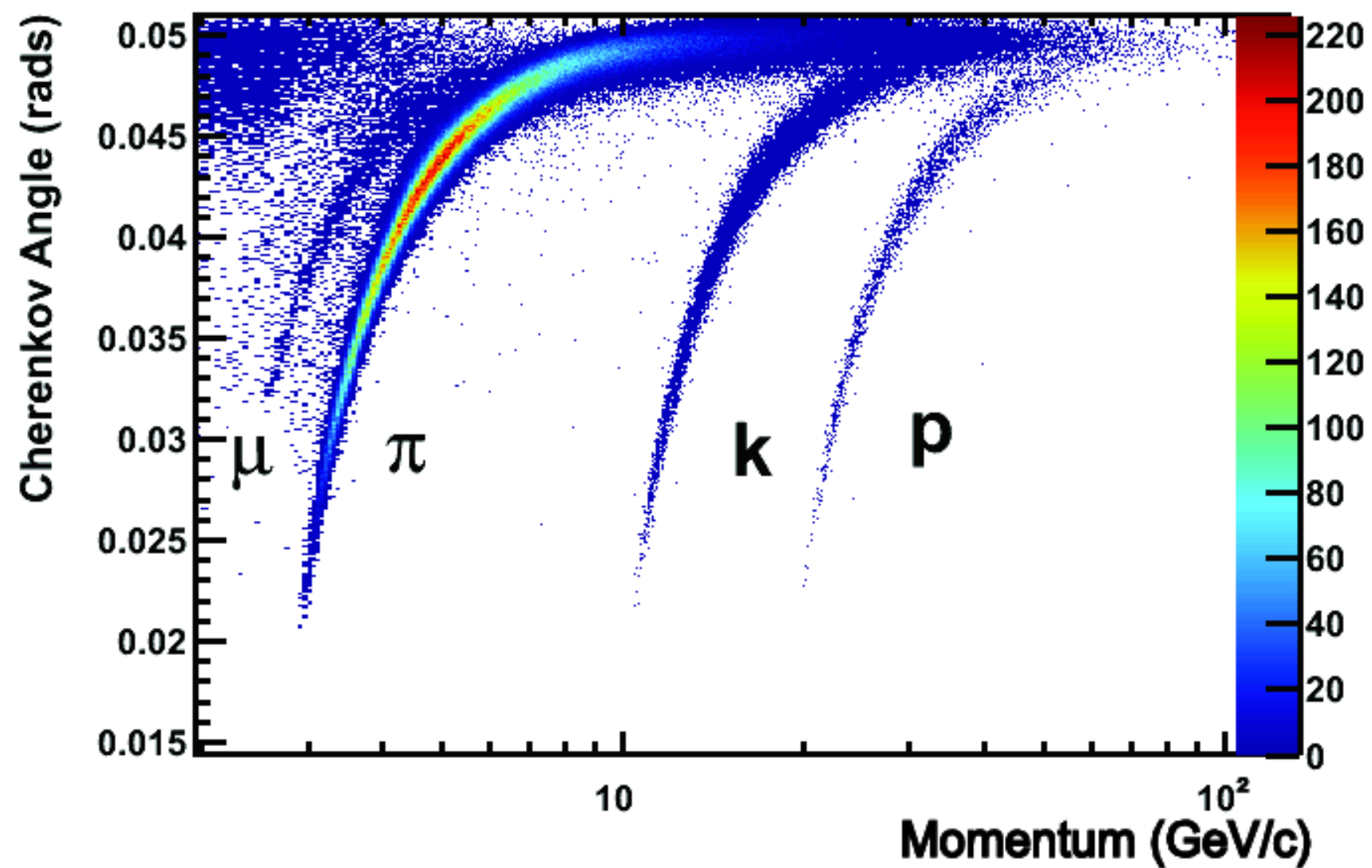
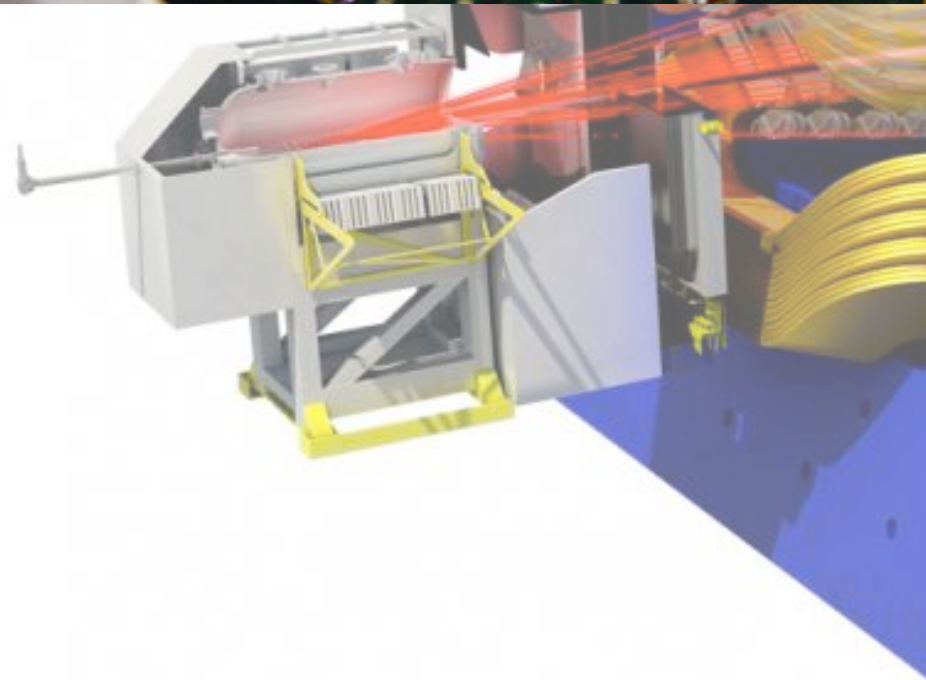
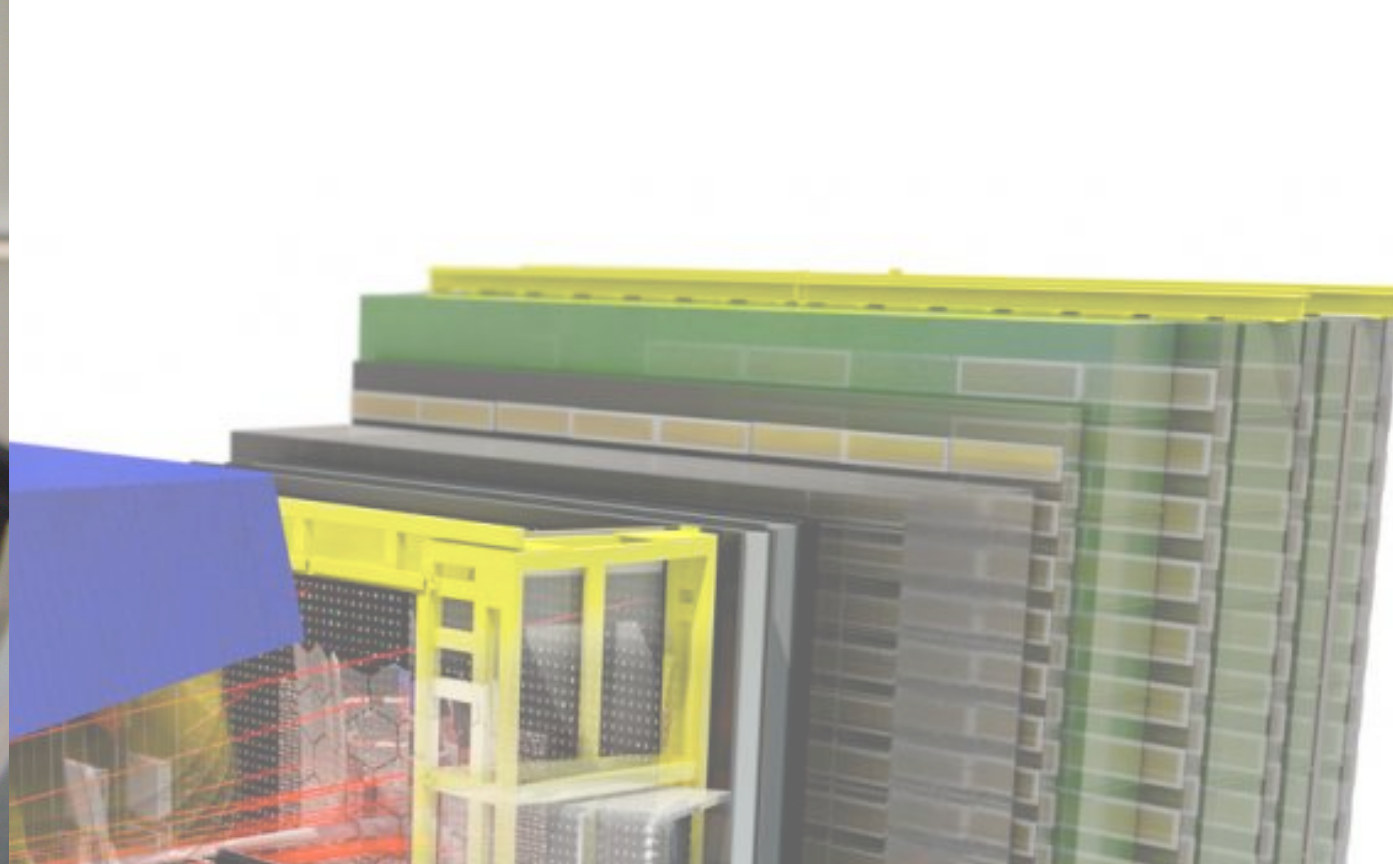
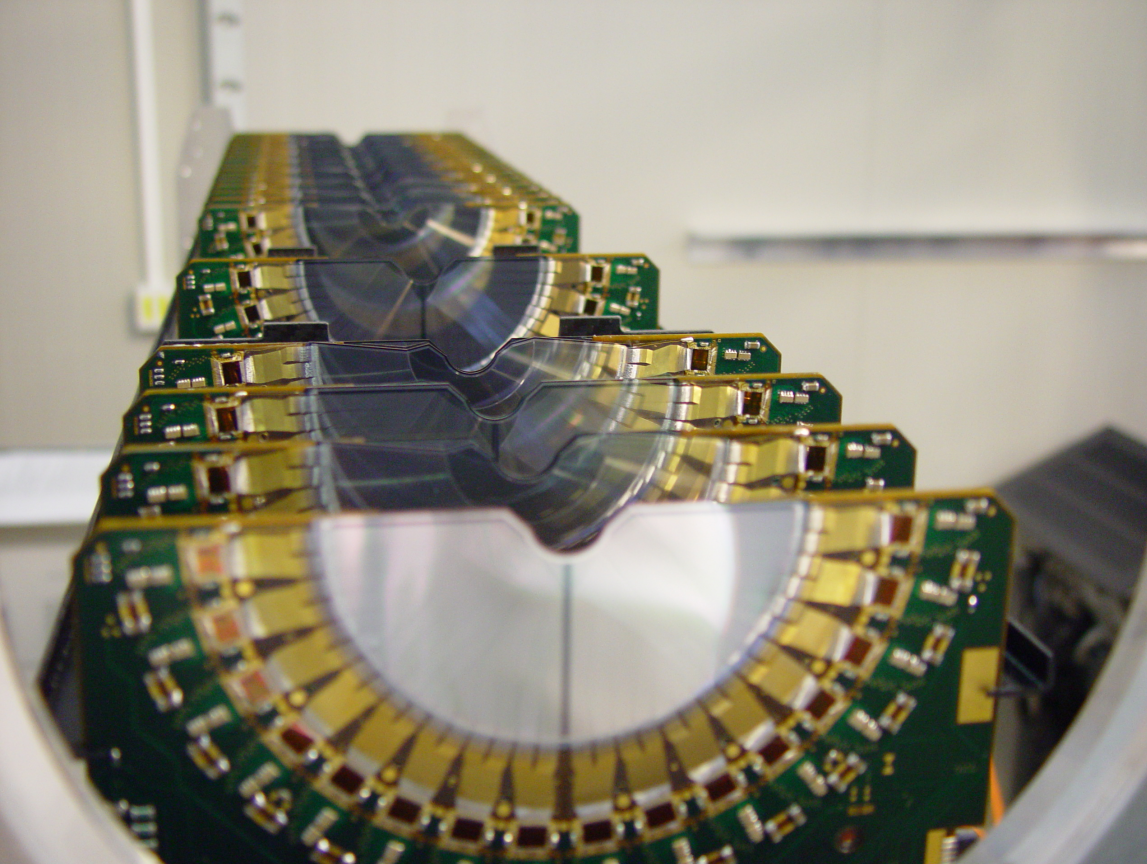
15



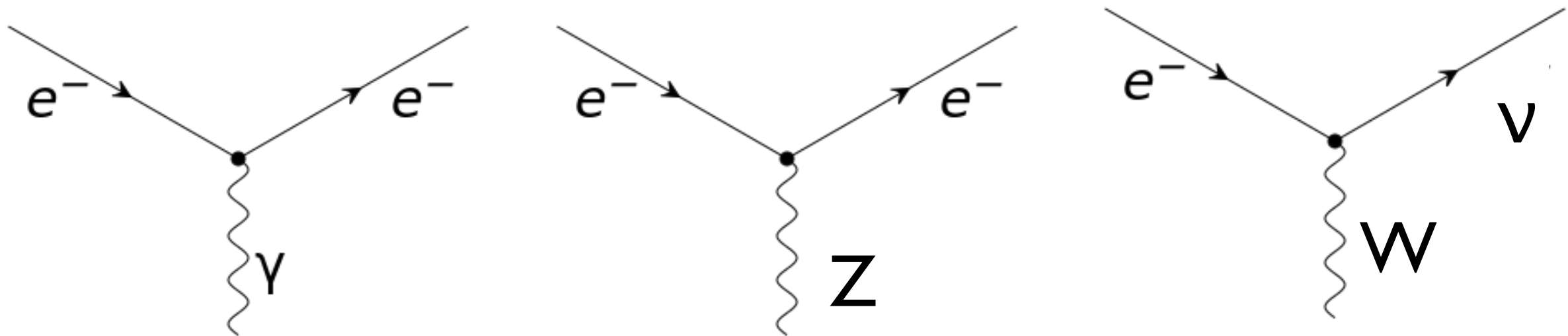
Total Weight : 14,500 t.
Overall diameter: 14.60 m
Overall length : 21.60 m
Magnetic field : 4 Tesla



Current dataset $\sim 10^{12}$ b hadrons



Lepton universality in the SM



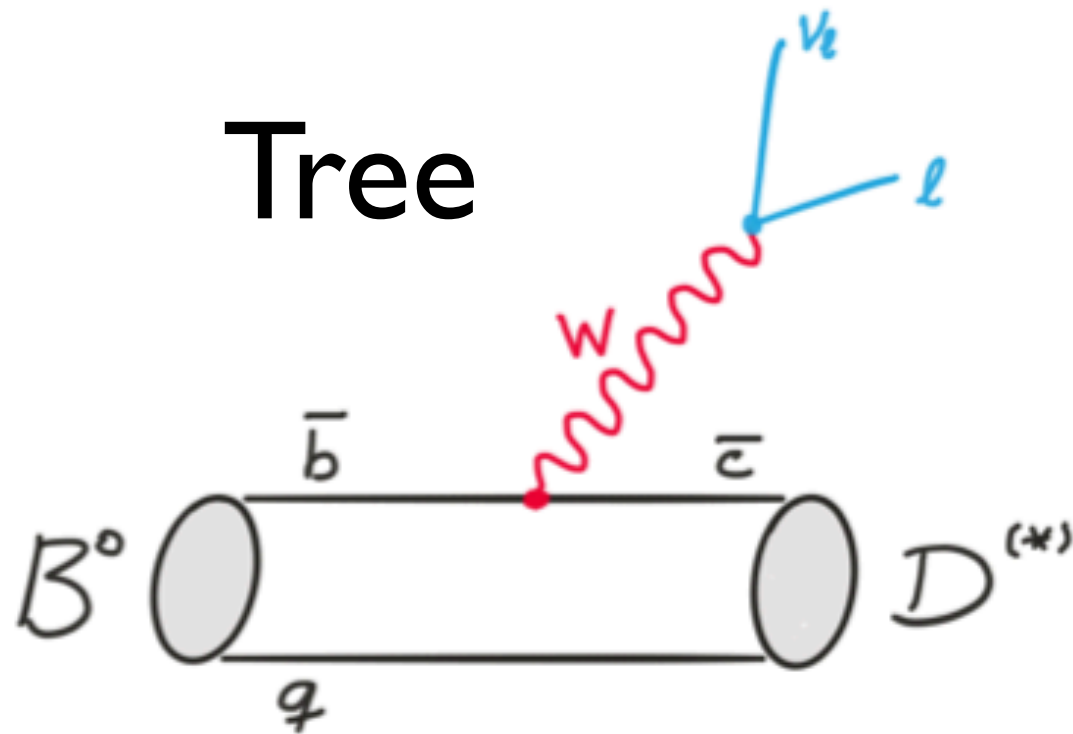
Couplings of above vertices are invariant under exchange of lepton generation.

Tested in W and Z decays at LEP,

Kaon and pion decays, τ and μ lifetimes...

LU tests with b decays

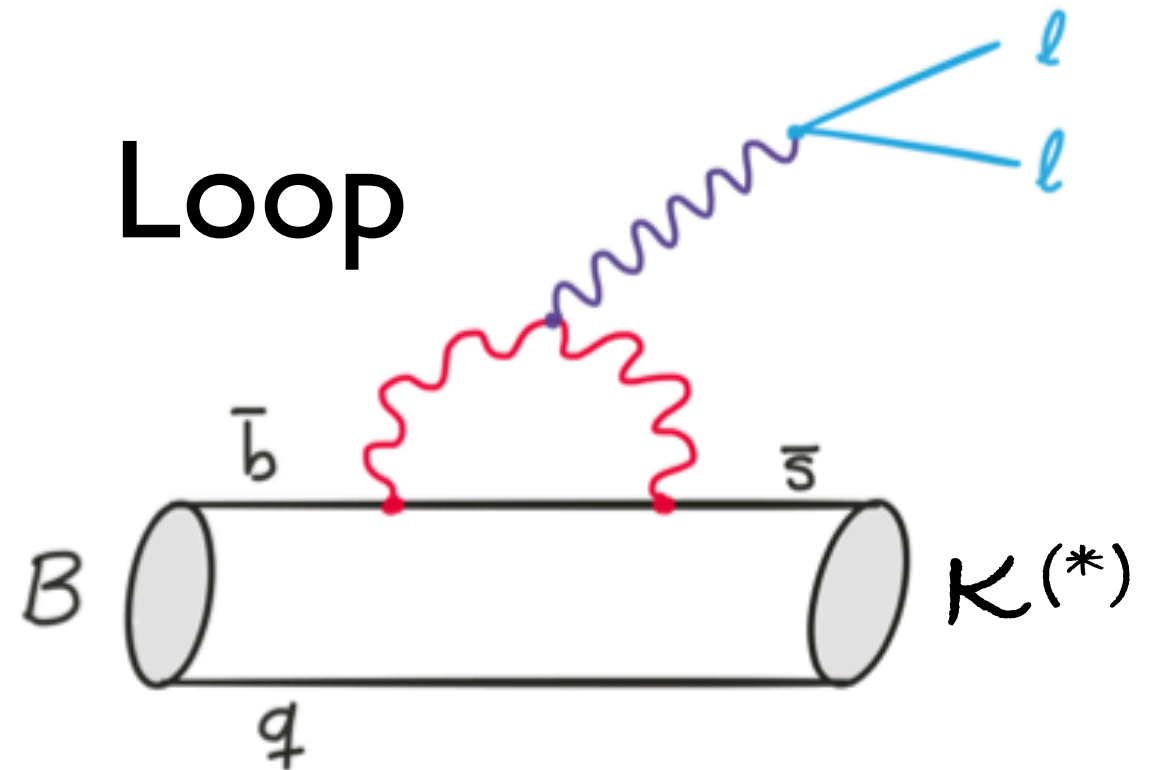
Tree



$$R(D^{(*)})$$

τ versus μ, e ratios

Loop

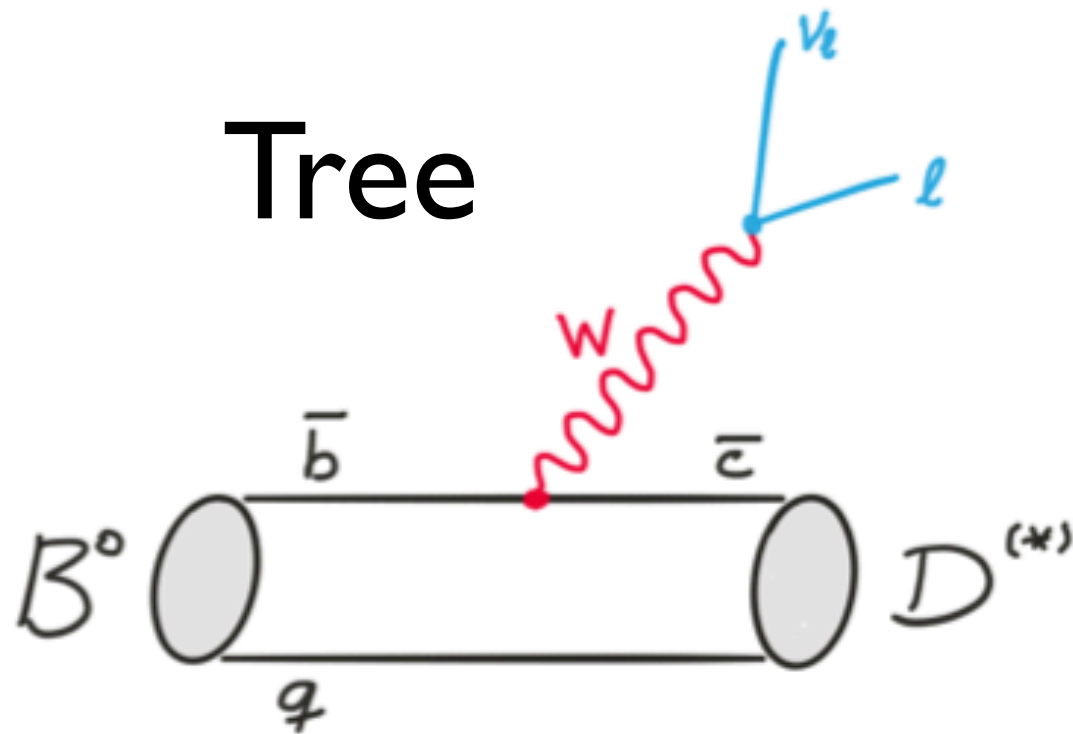


$$R(K^{(*)})$$

$\mu\mu$ versus ee ratios

LU tests with b decays

Tree

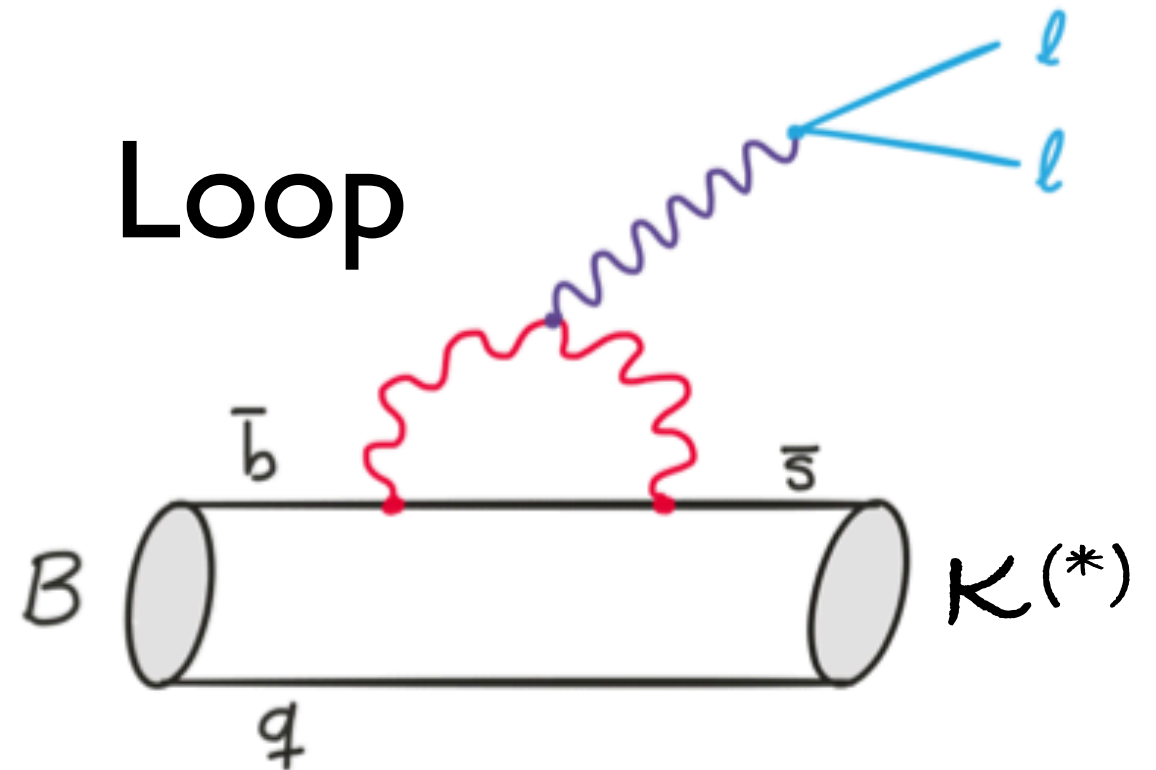


$$R(D^{(*)})$$

τ versus μ, e ratios

Focus of my talk

Loop

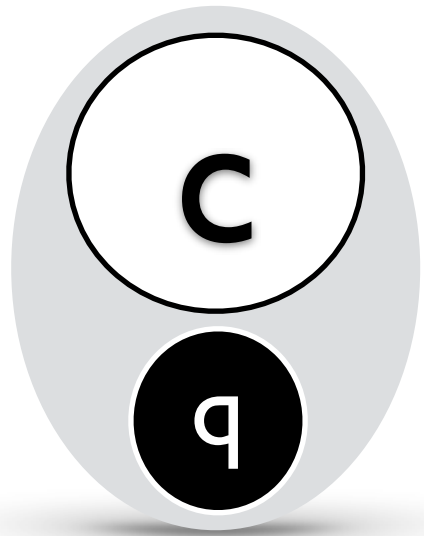


$$R(K^{(*)})$$

$\mu\mu$ versus ee ratios

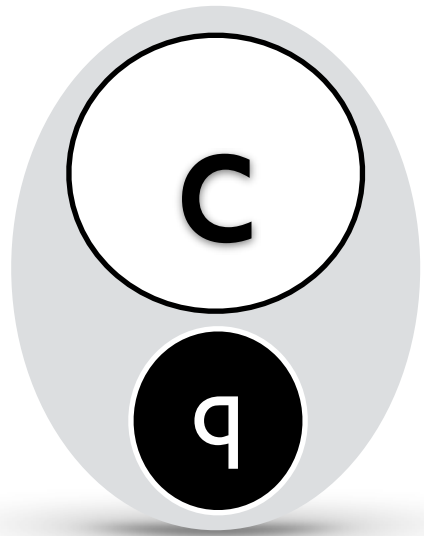
Covered in recent
DESY colloquium
by Johannes Albrecht

Jargon buster — D^*



$D^{+,0}$ mesons — spin 0

Jargon buster — D^*



$D^{+,0}$ mesons — spin 0

$D^{*+,0}$ mesons — spin 1 excitation

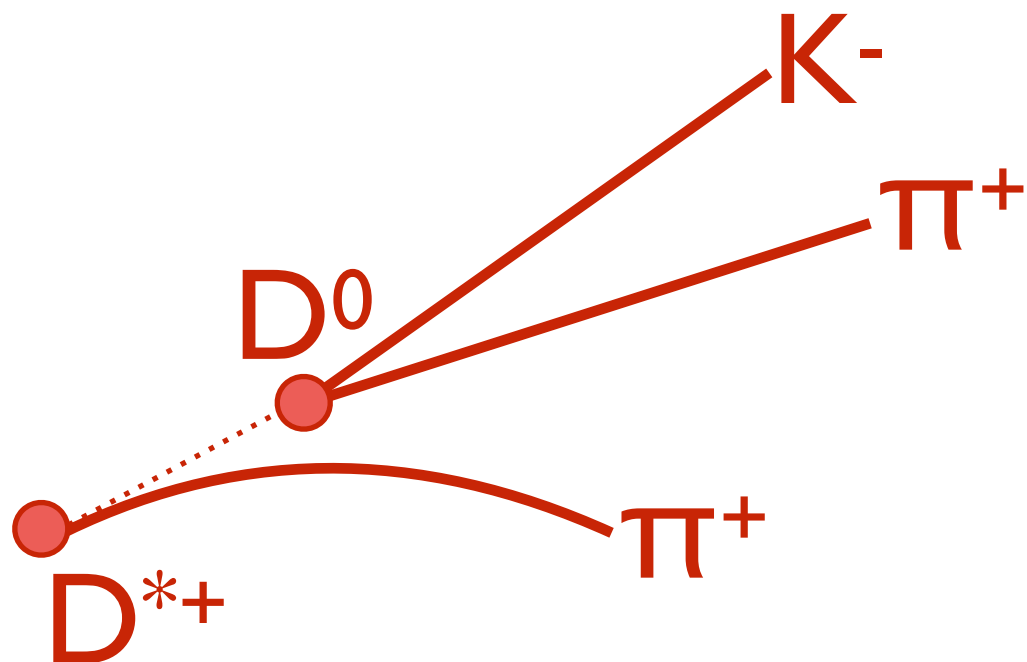
$D^*(2010)^\pm$ $I(J^P) = 1/2(1^-)$

Fraction (Γ_i / Γ)

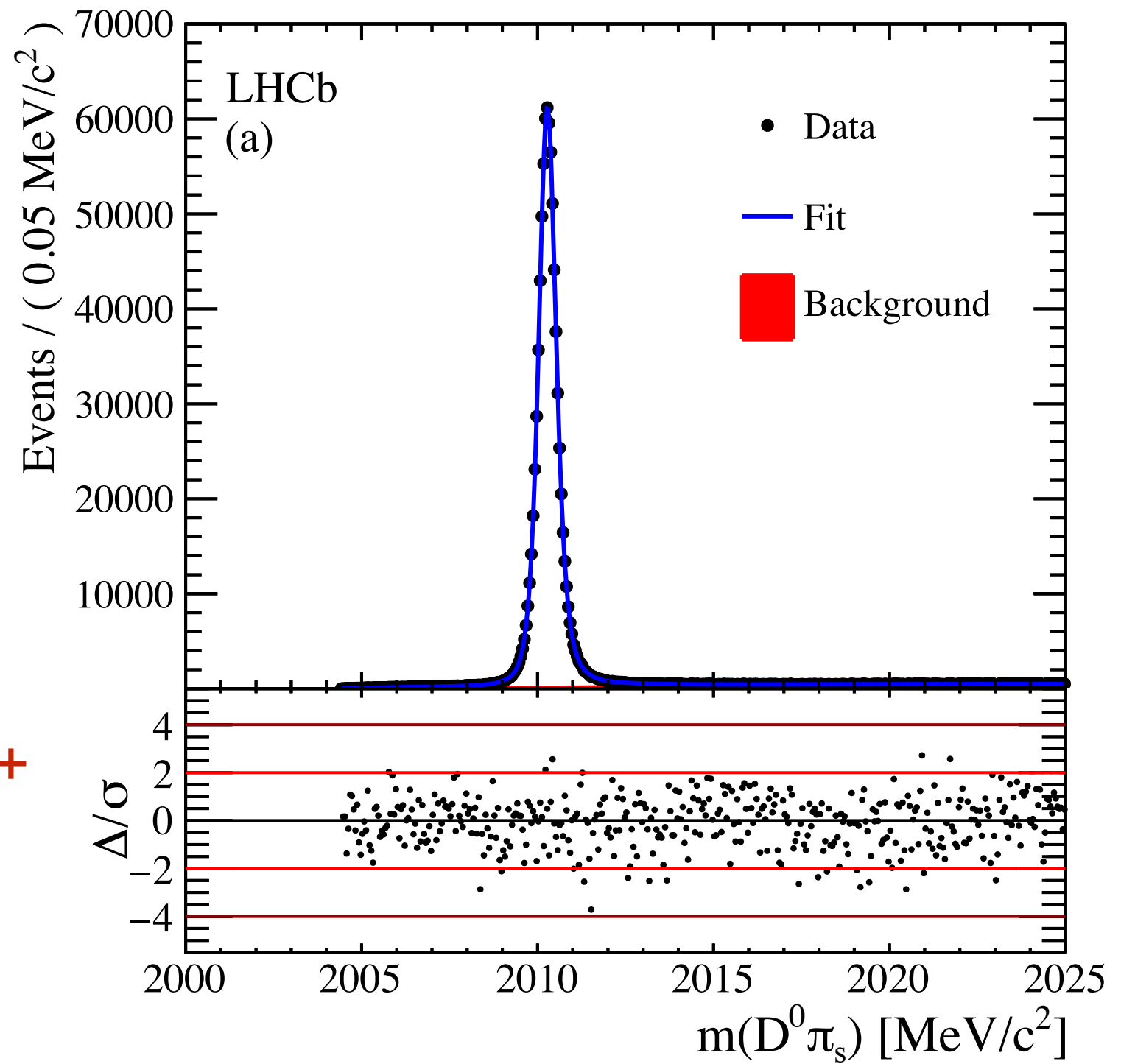
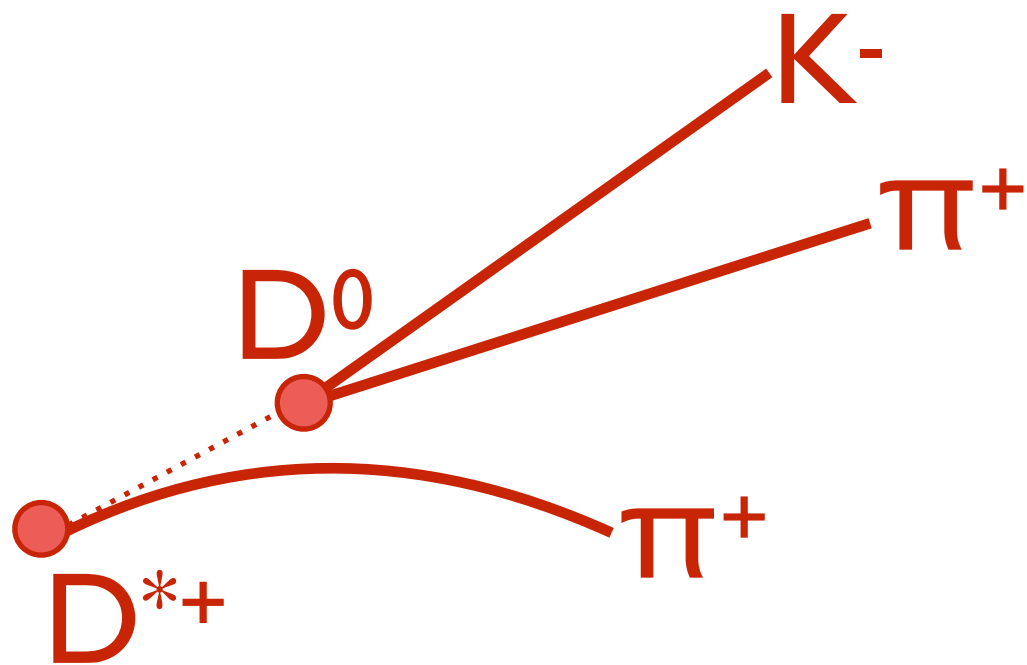
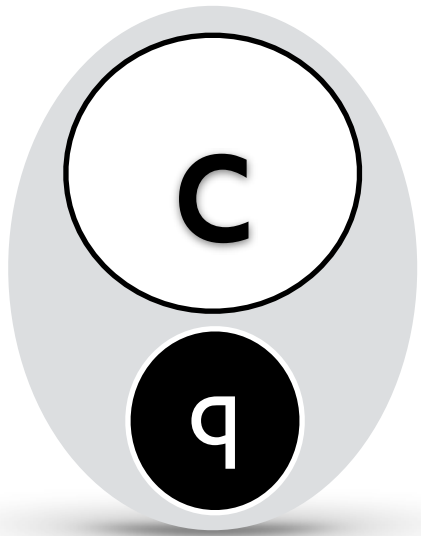
$D^0 \pi^+$ $(67.7 \pm 0.5)\%$

$D^+ \pi^0$ $(30.7 \pm 0.5)\%$

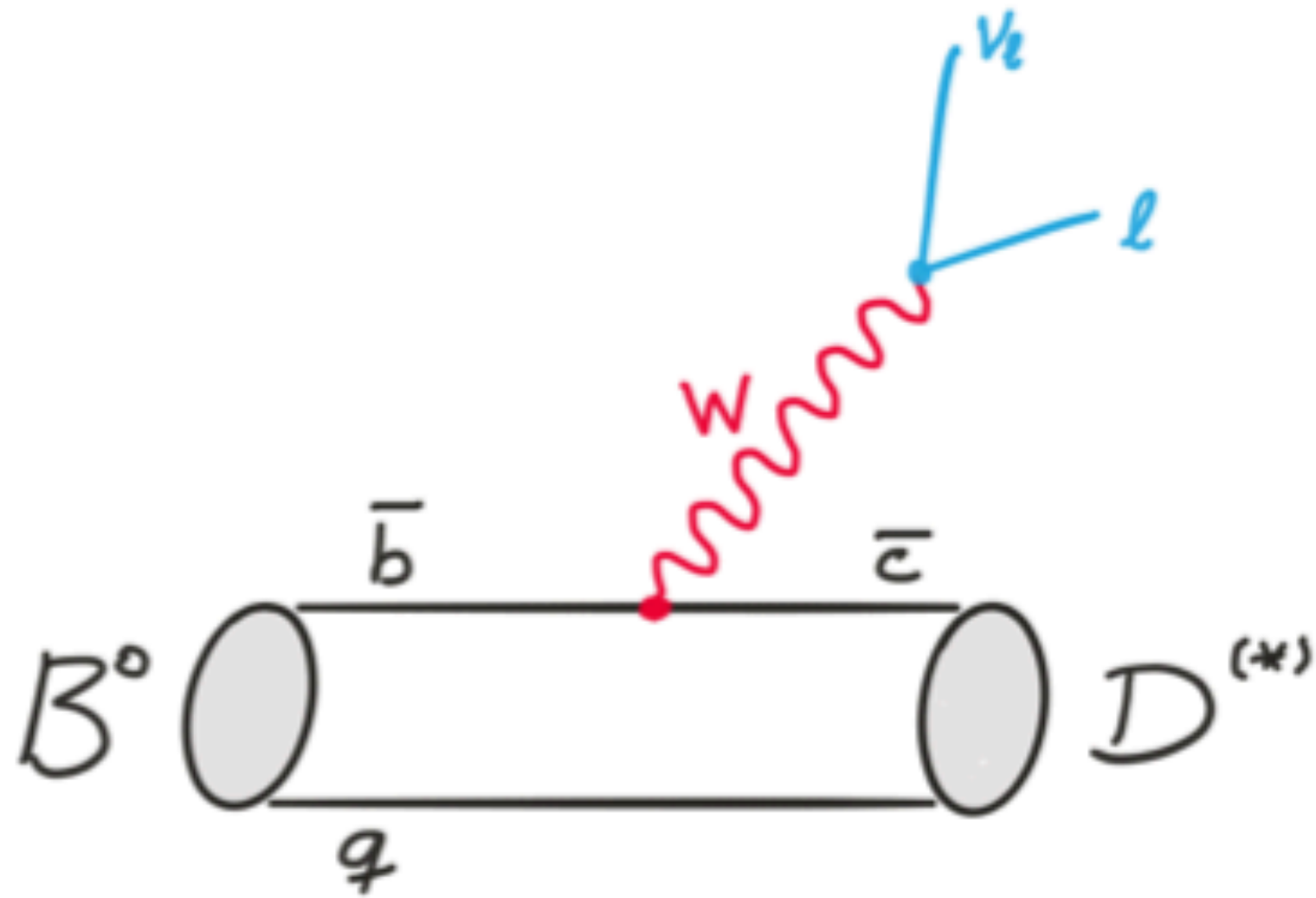
$D^+ \gamma$ $(1.6 \pm 0.4)\%$



Jargon buster – D^*



$$R(D^{(*)})$$



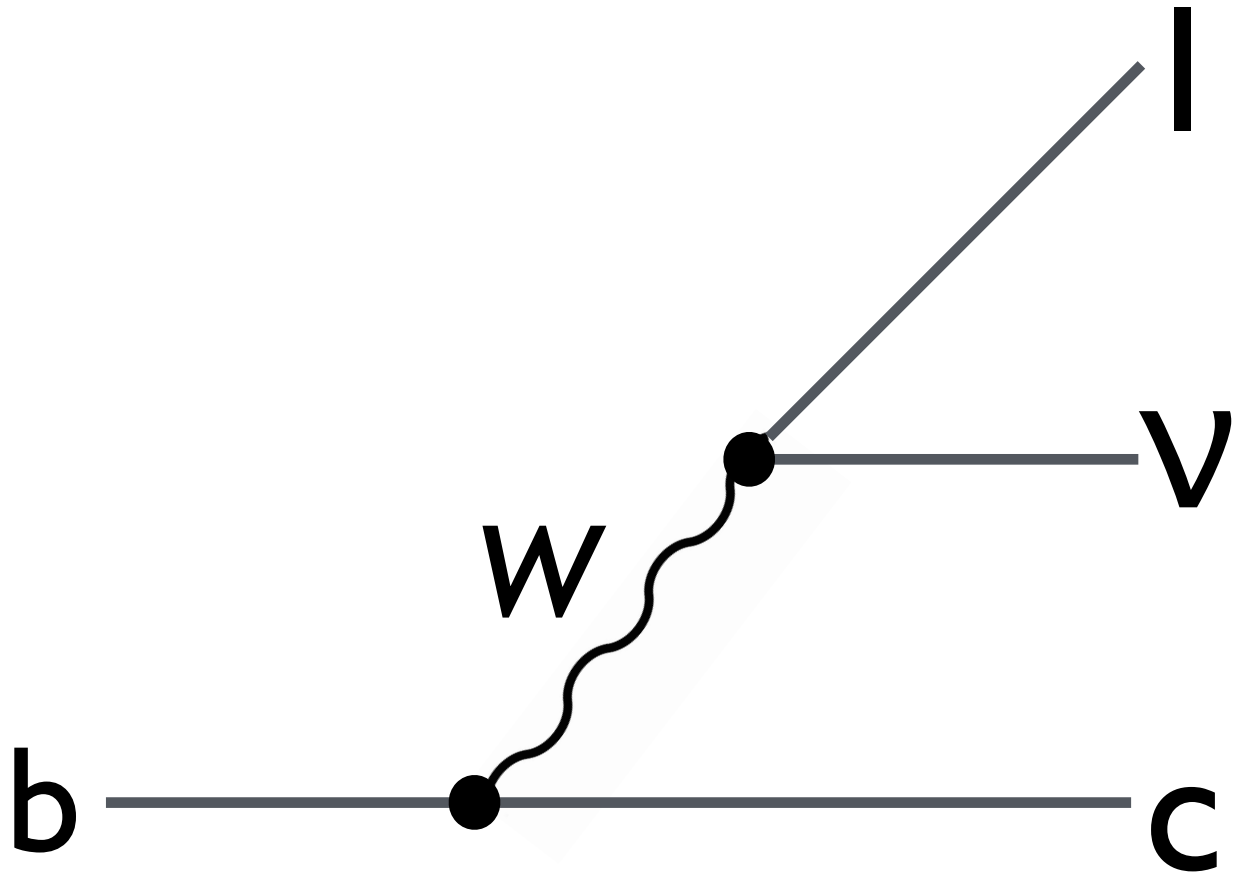
$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \mu \nu)}$$

SM predictions:

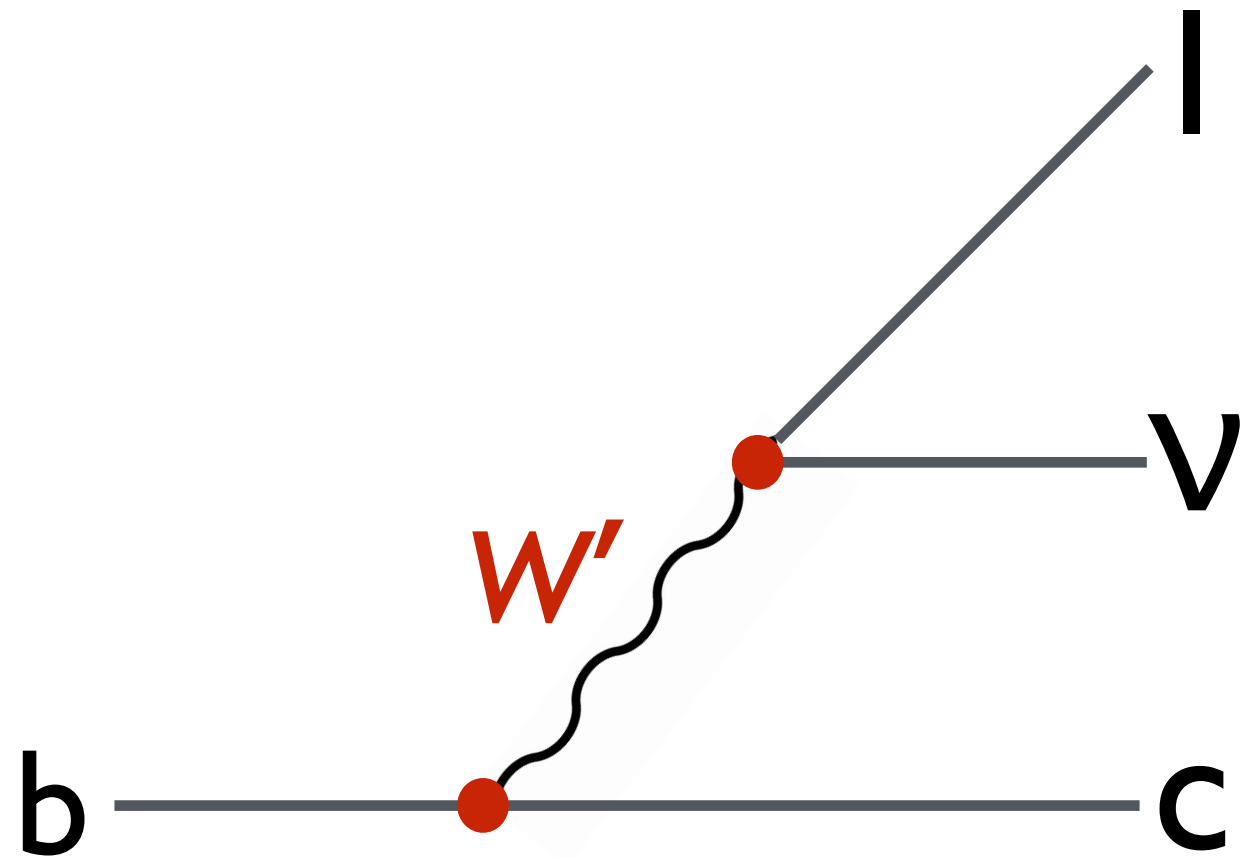
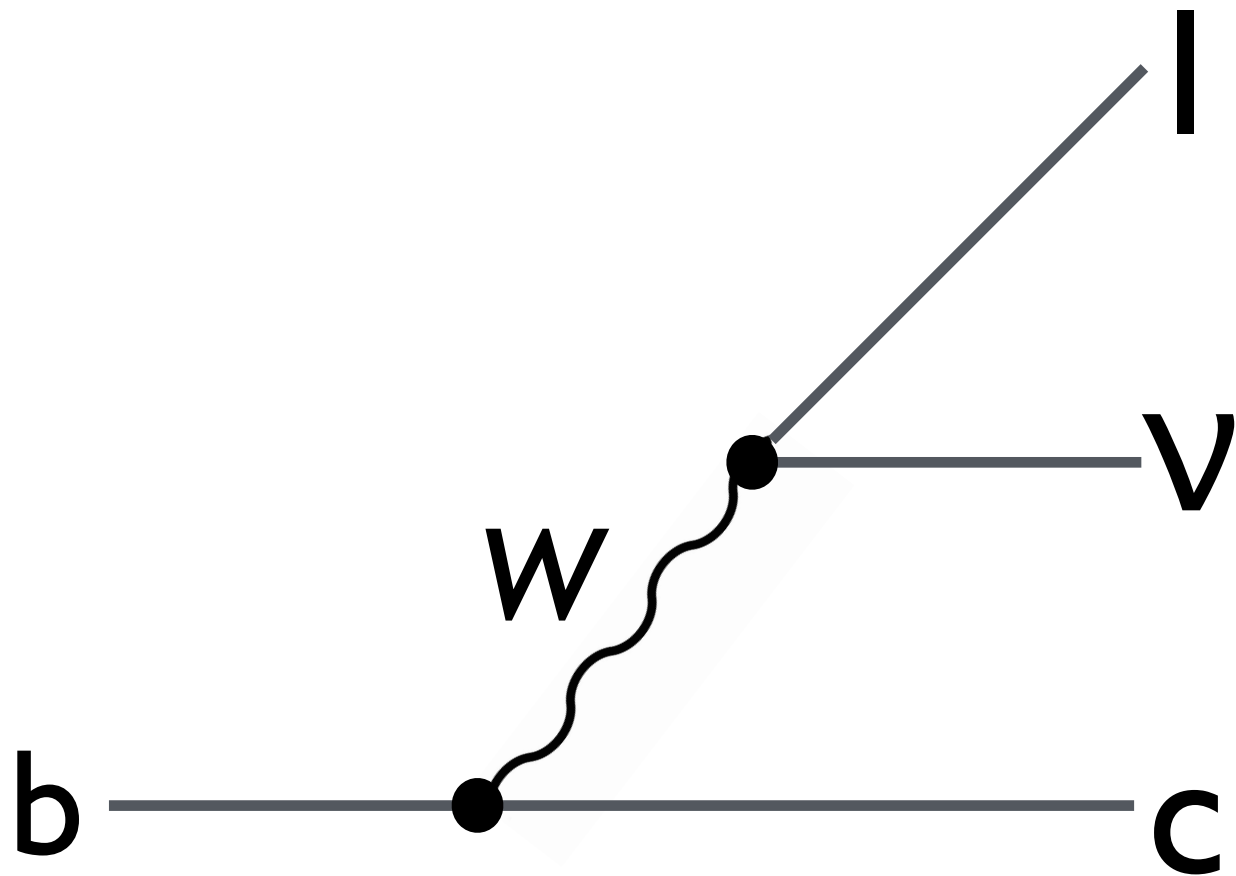
$$R(D) = 0.300 \pm 0.008$$

$$R(D^*) = 0.252 \pm 0.003$$

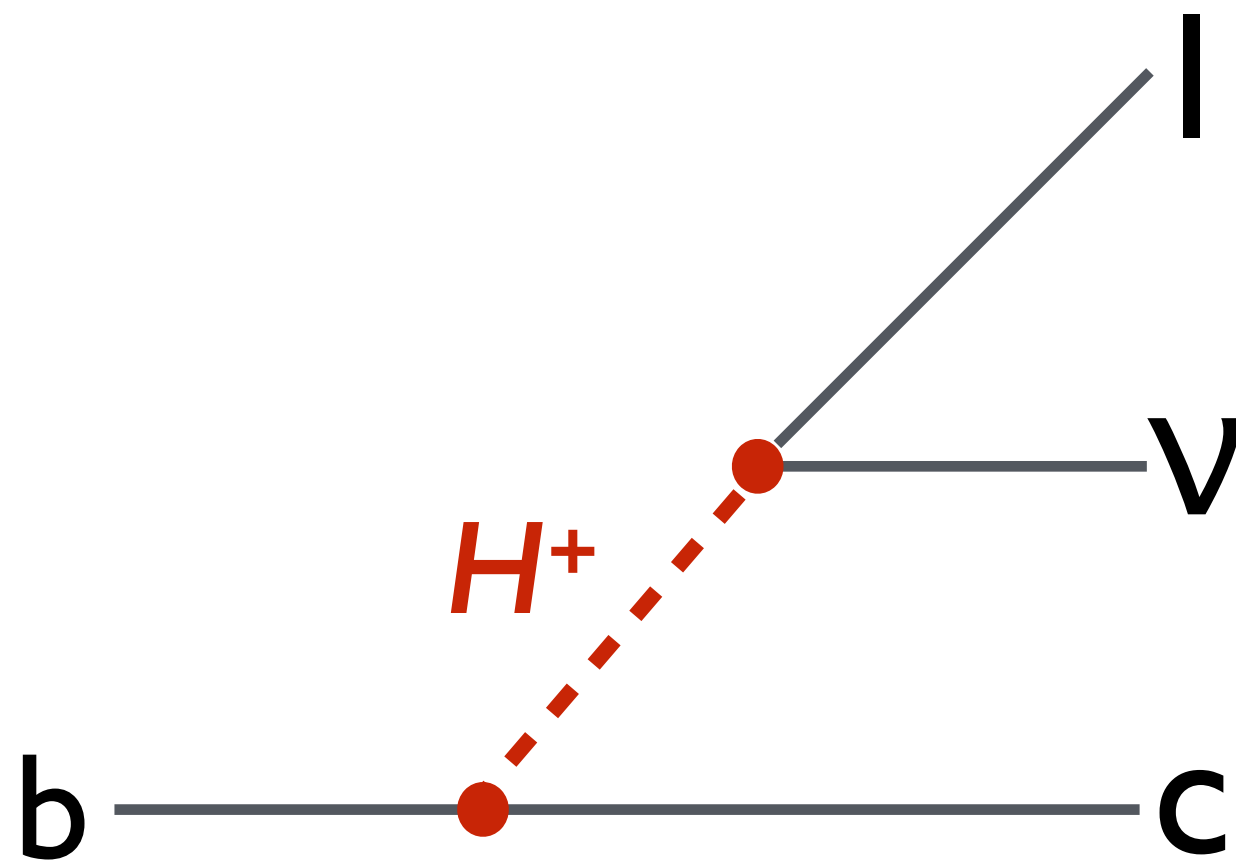
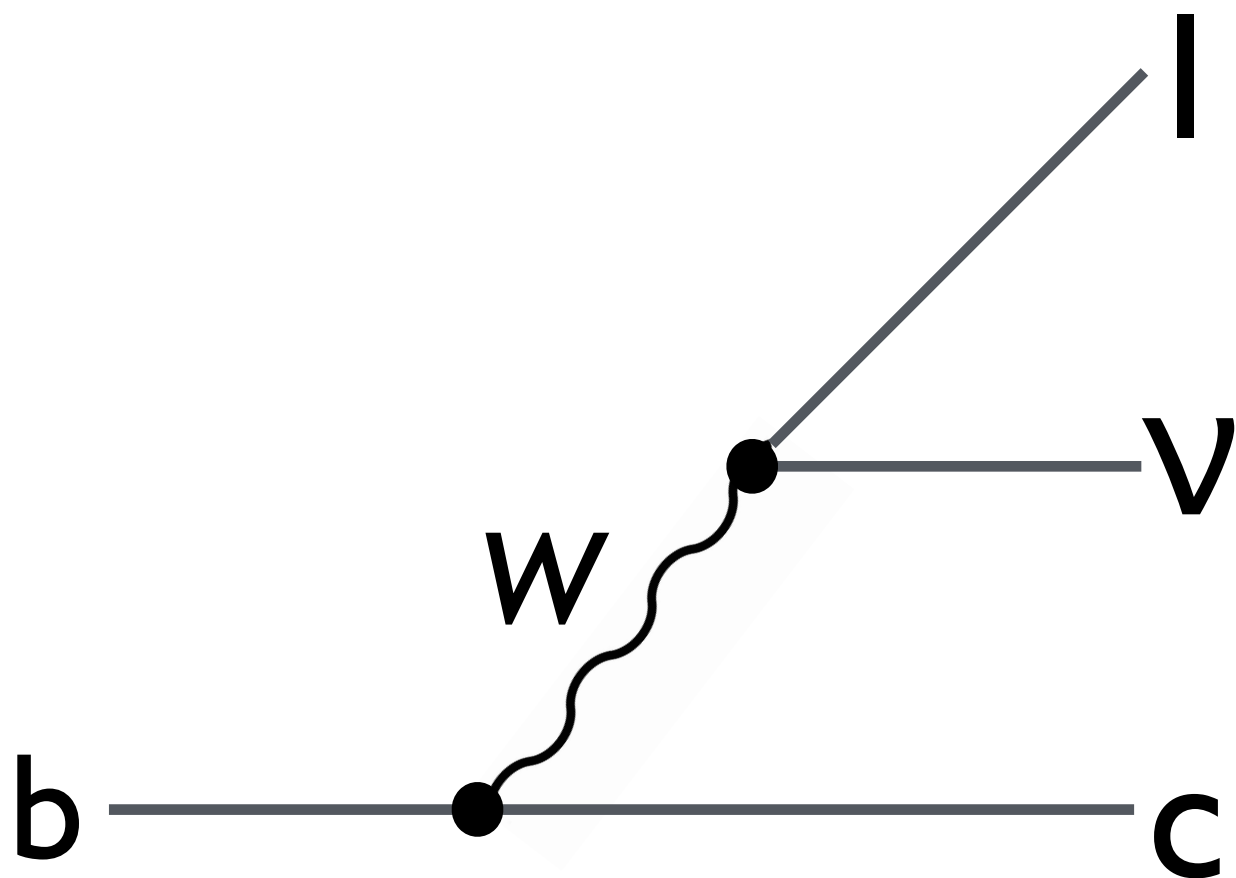
$R(D^{(*)})$ in SM and beyond



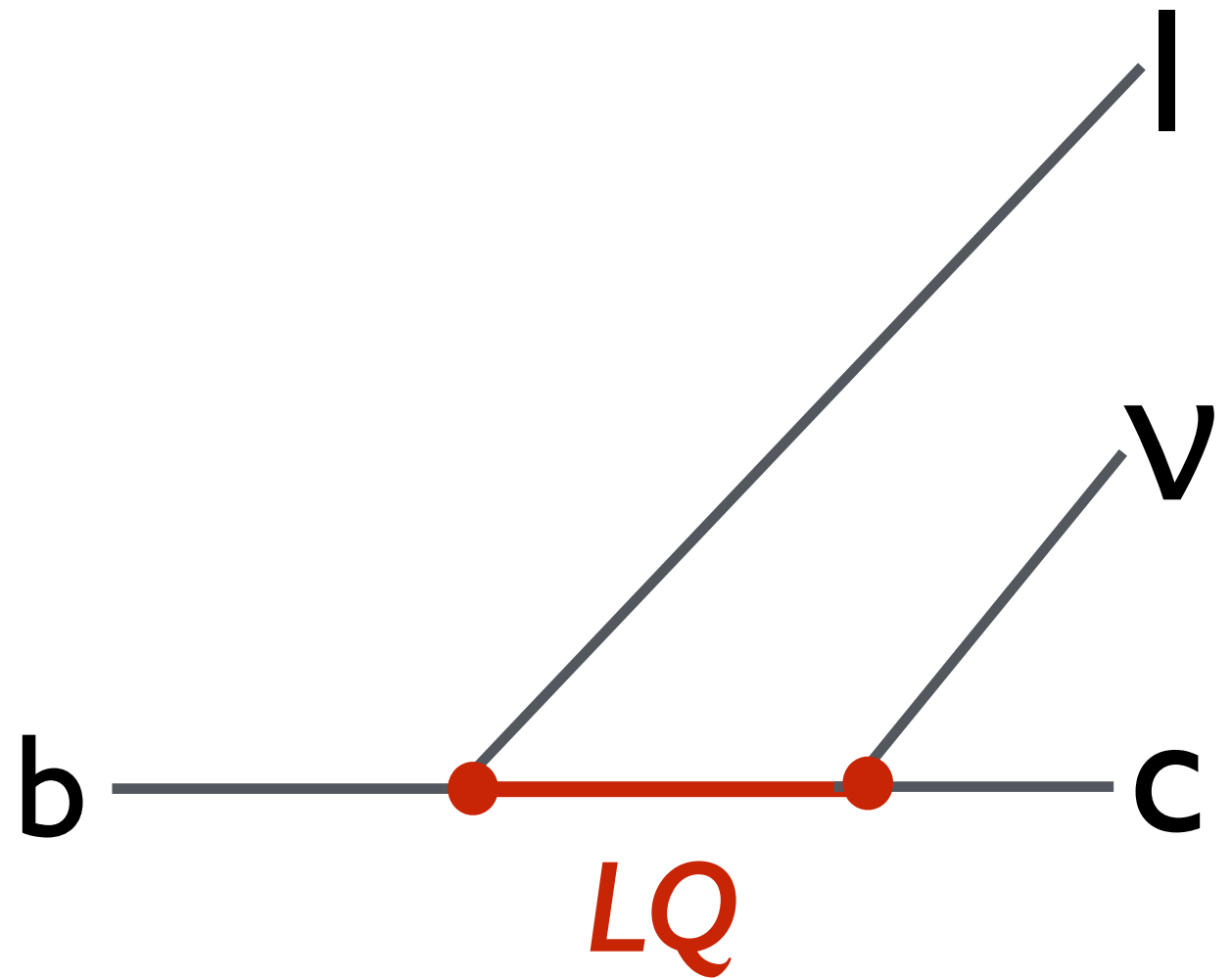
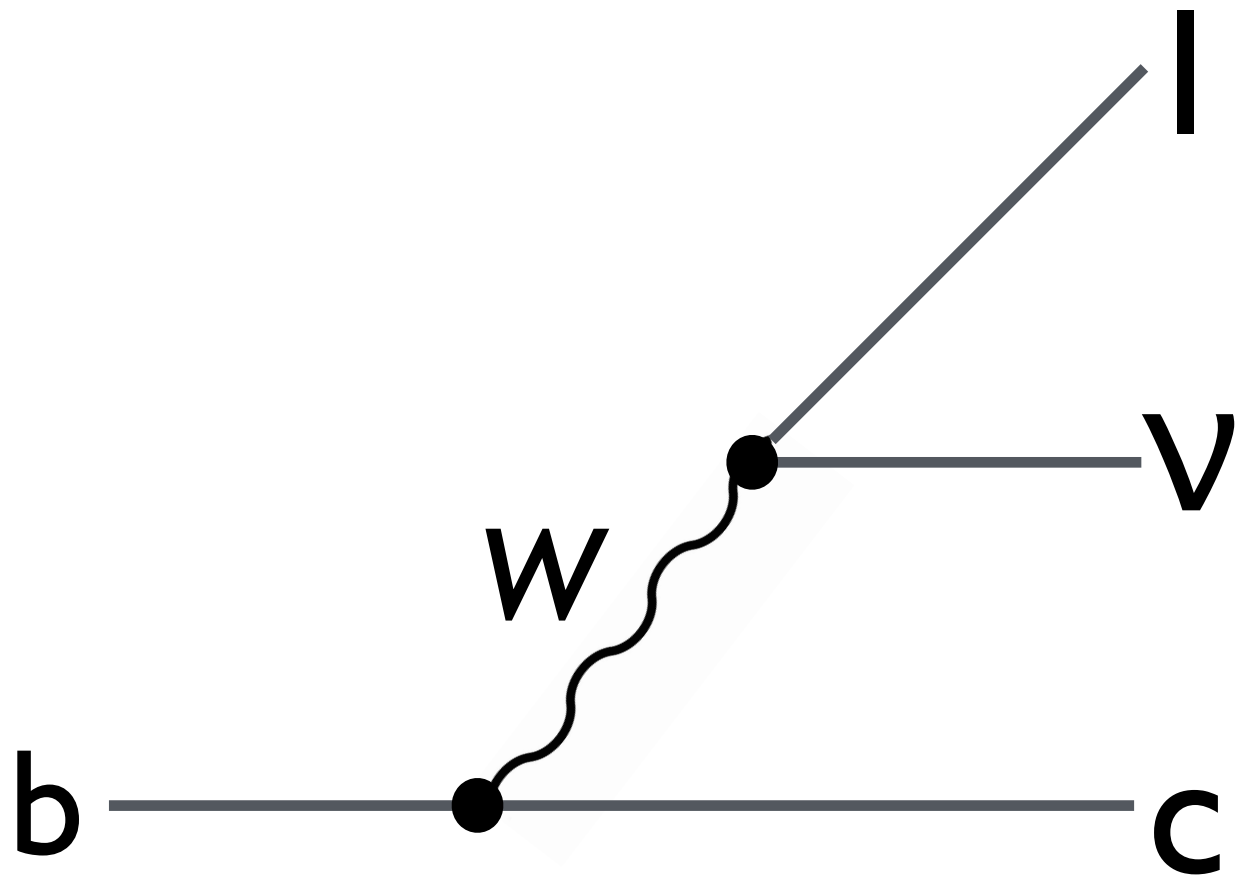
$R(D^{(*)})$ in SM and beyond



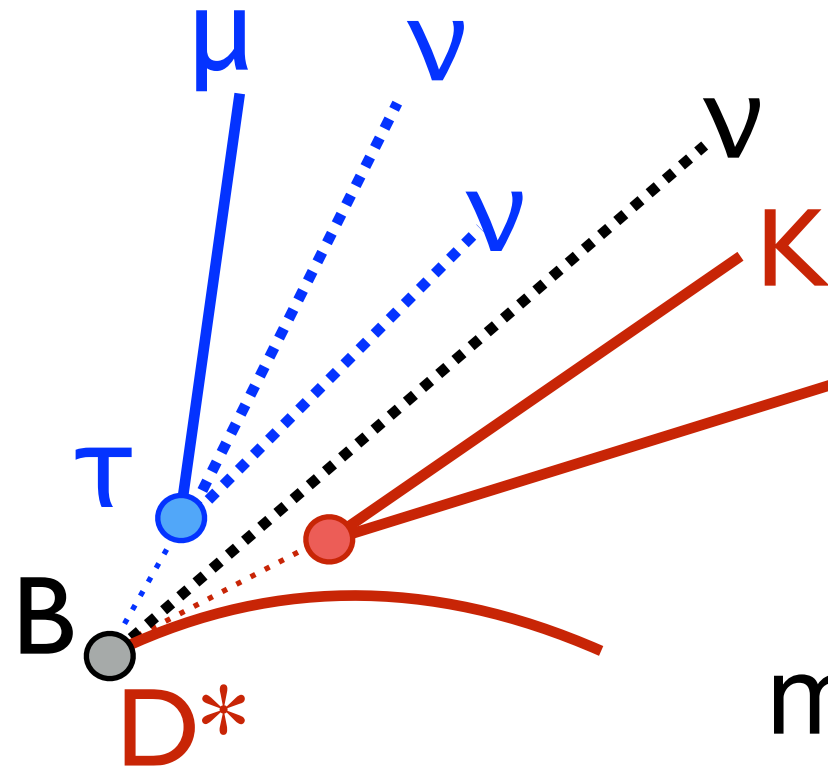
$R(D^{(*)})$ in SM and beyond



$R(D^{(*)})$ in SM and beyond



$R(D^{(*)})$ at B factories



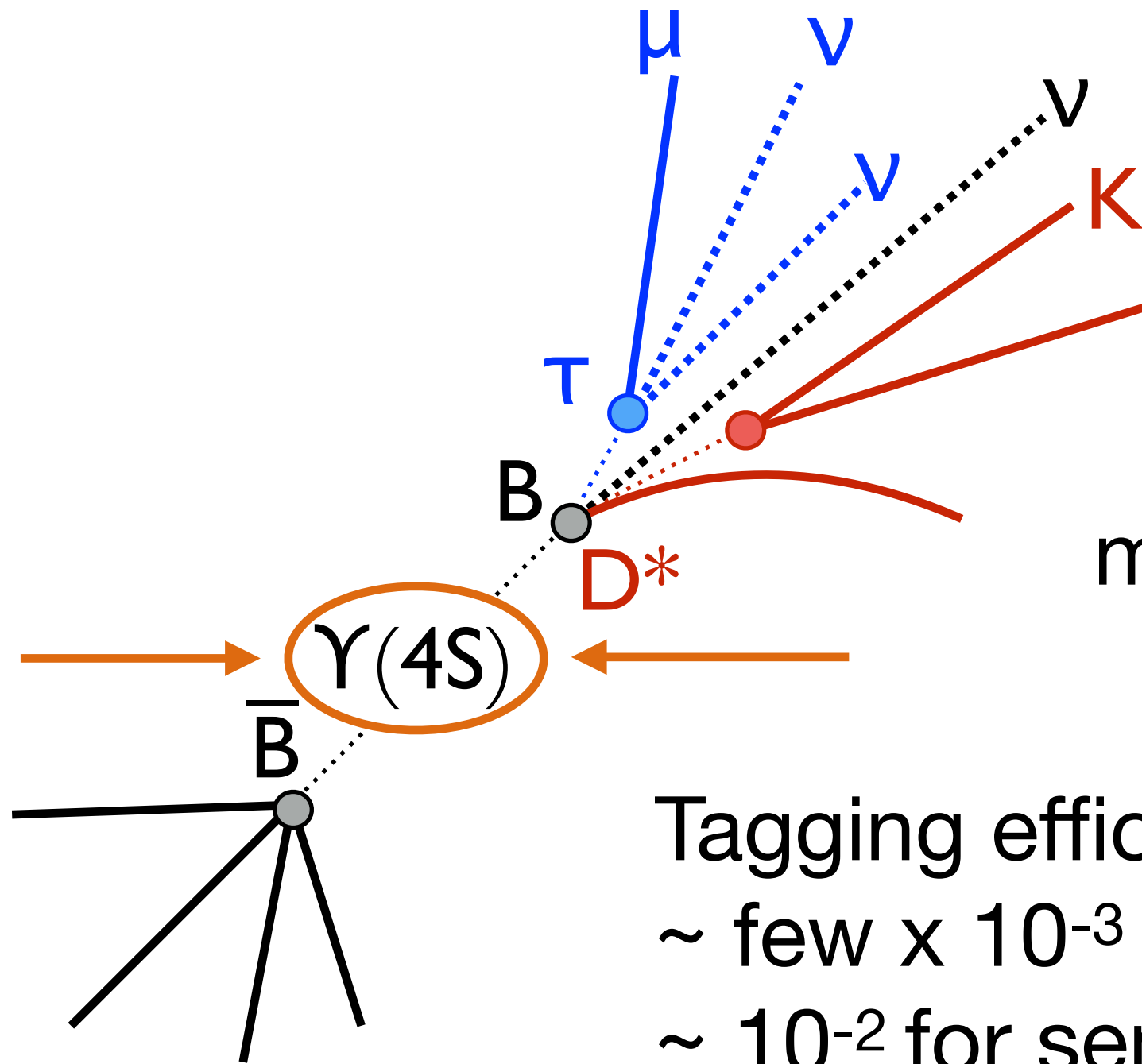
If only we knew the momentum of the B...

Not a rare decay

$BR(B \rightarrow D^* \tau \nu) \sim 1\%$

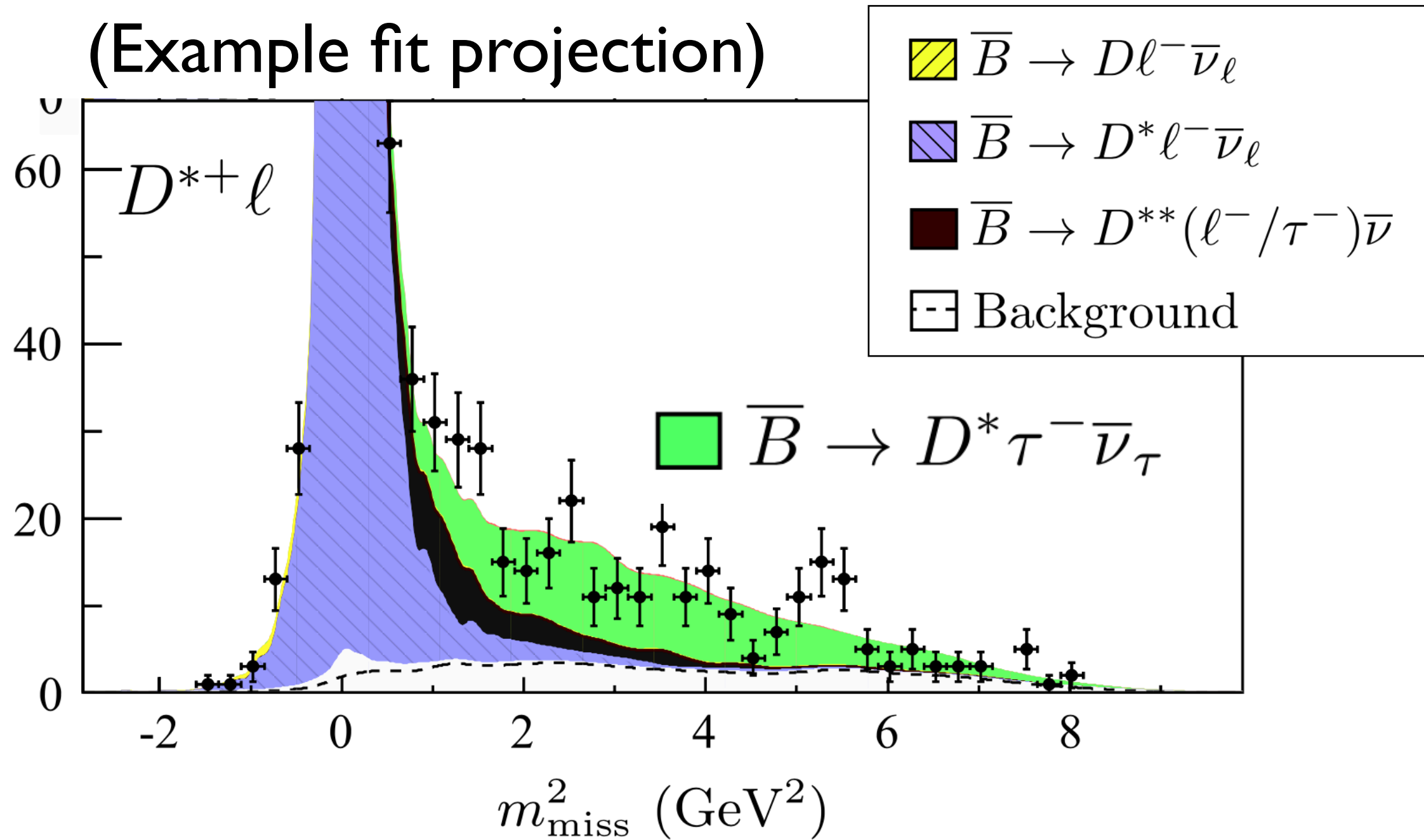
The problem is the background...

$R(D^{(*)})$ at B factories

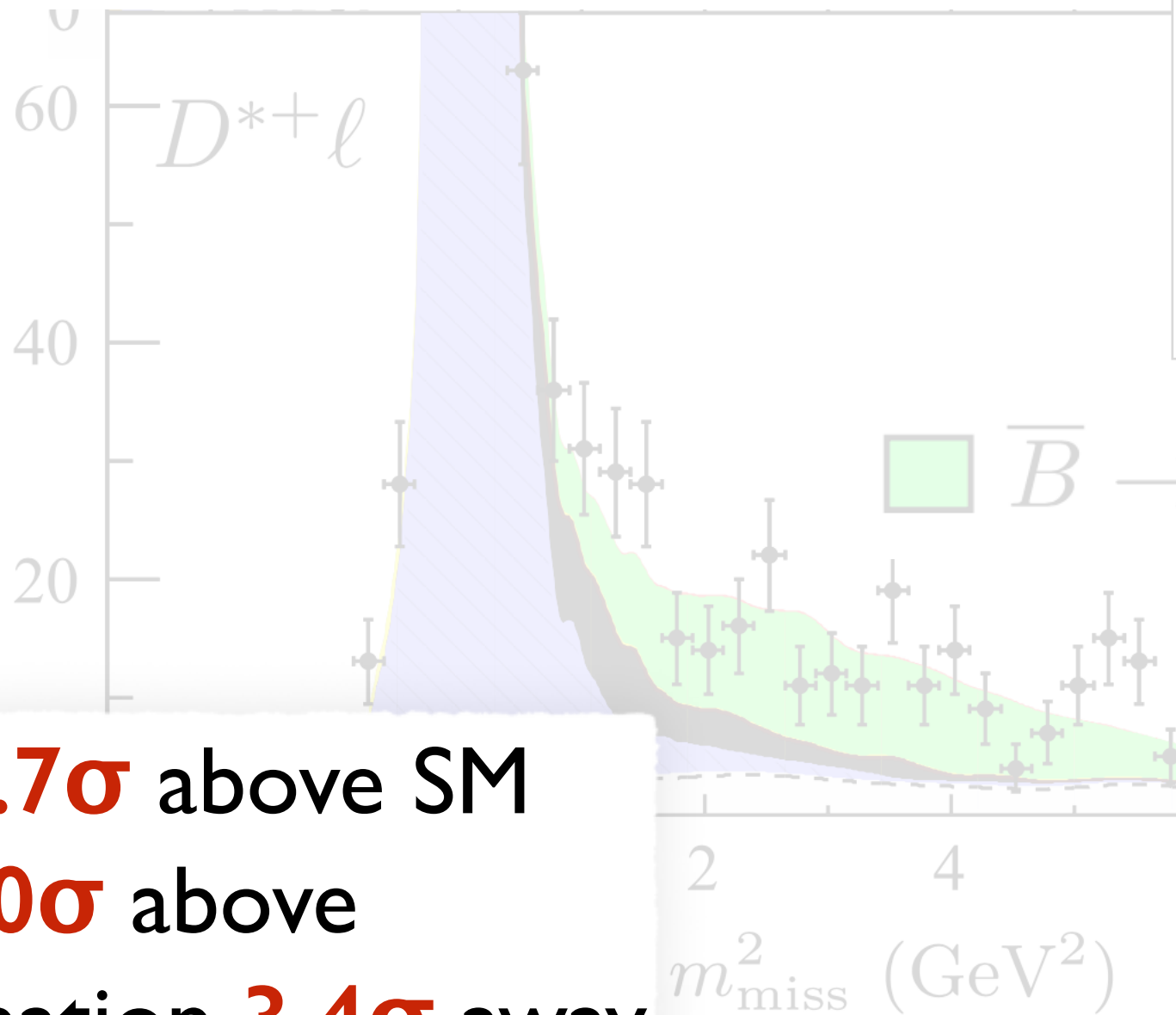


If only we knew the momentum of the B...

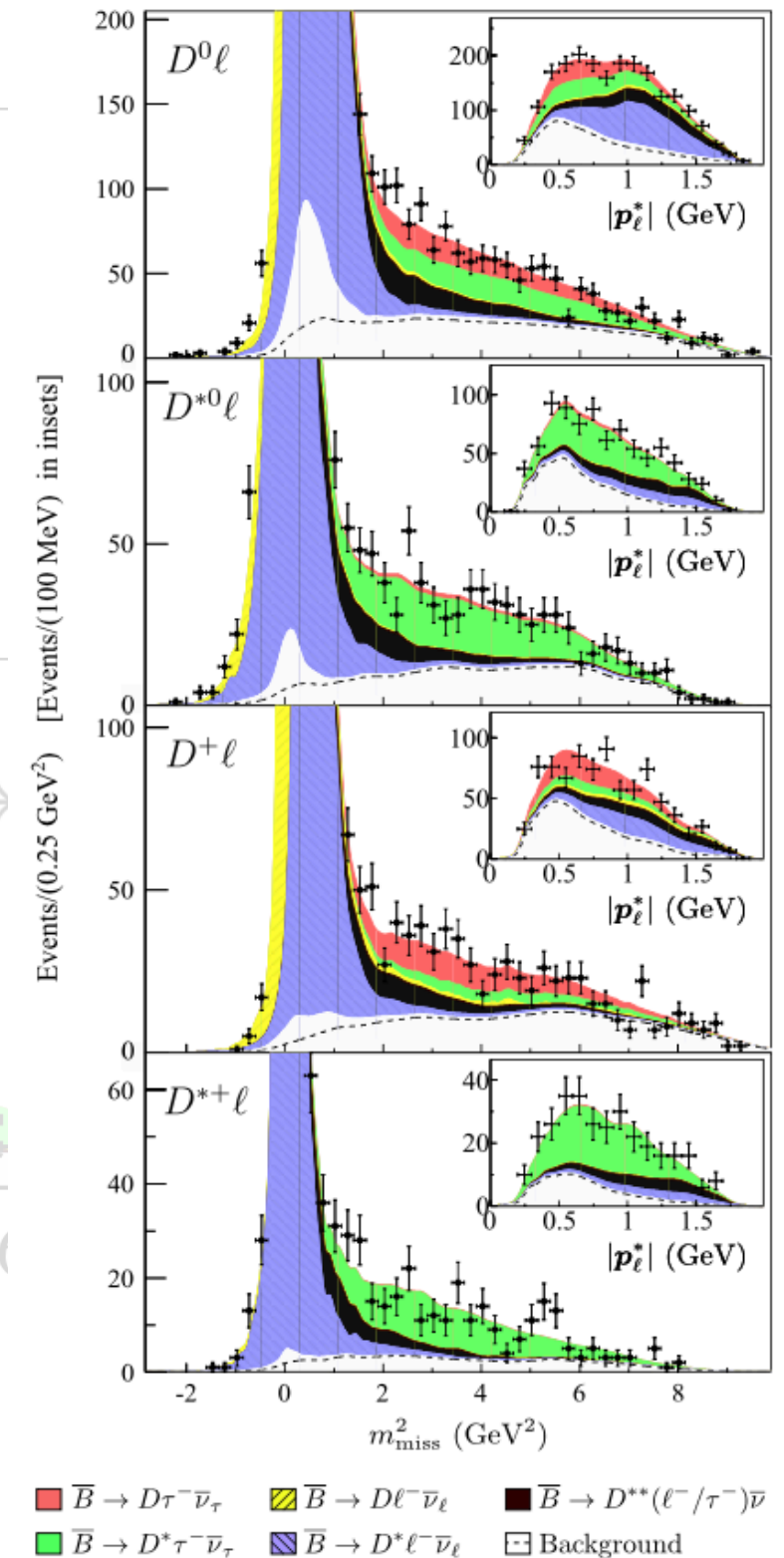
Tagging efficiency
 $\sim \text{few} \times 10^{-3}$ for full reconstruction
 $\sim 10^{-2}$ for semileptonic tag



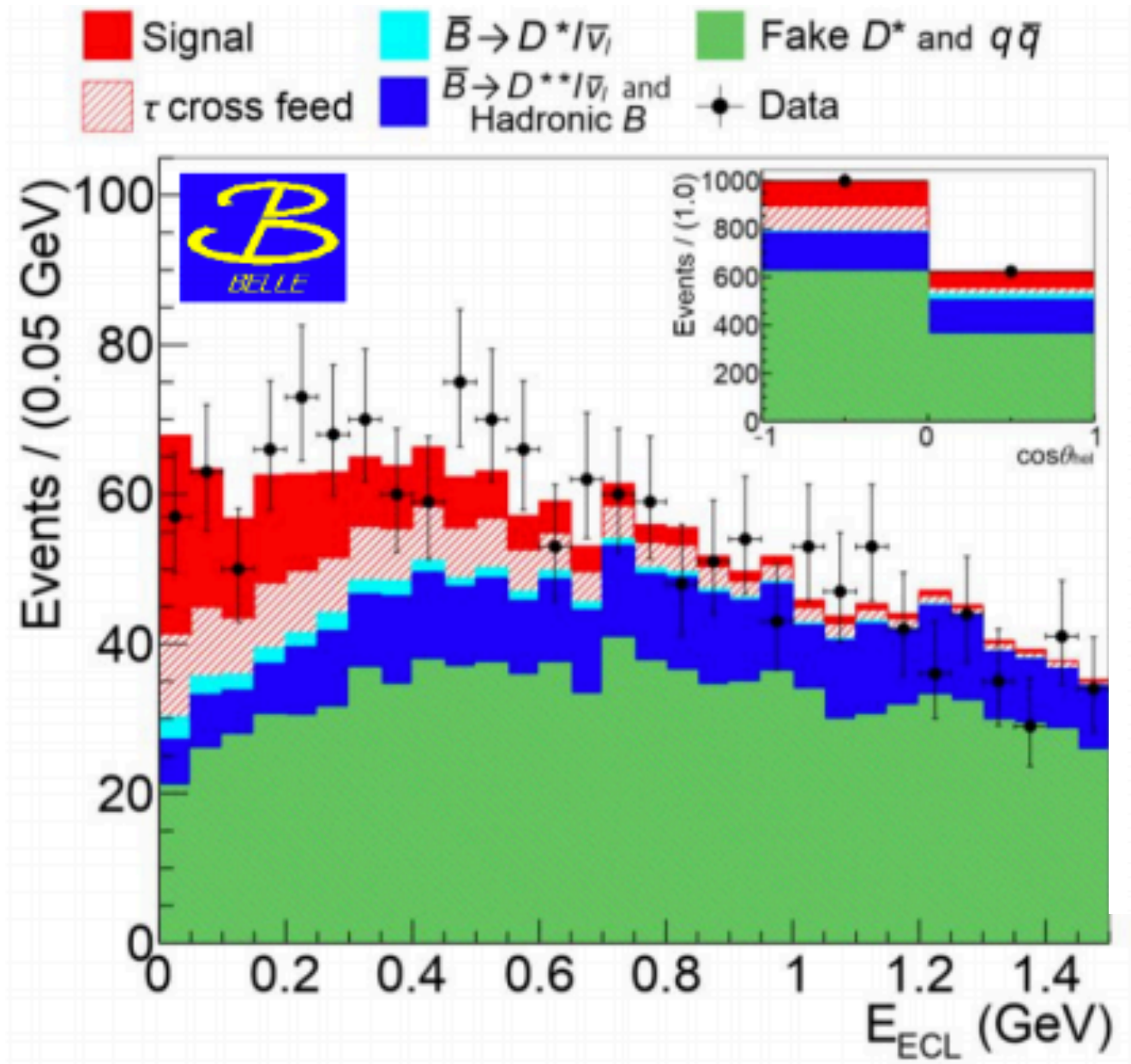
(Example fit projection)



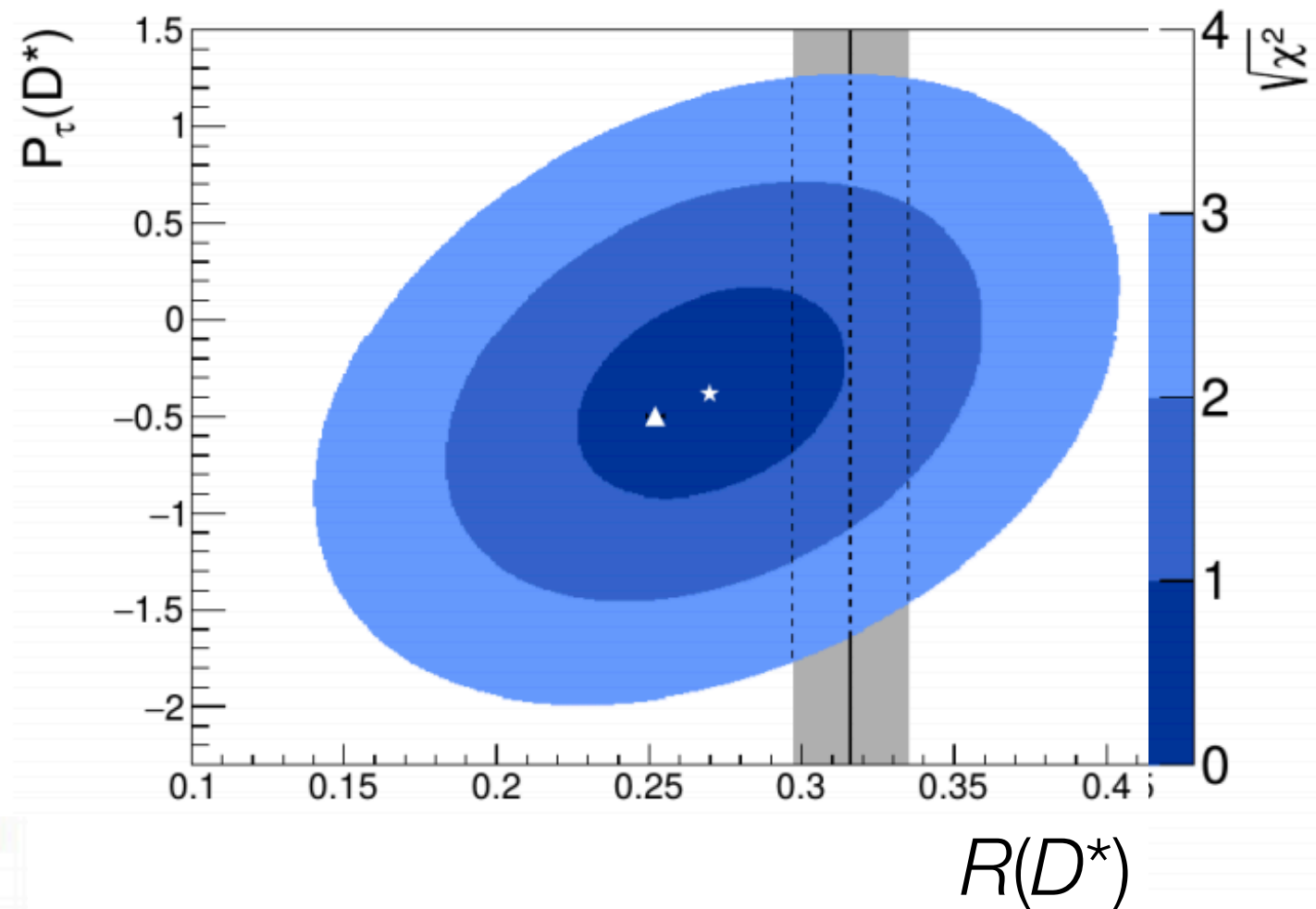
$R(D^*)$ **2.7 σ** above SM
 $R(D)$ **2.0 σ** above
 Combination **3.4 σ** away

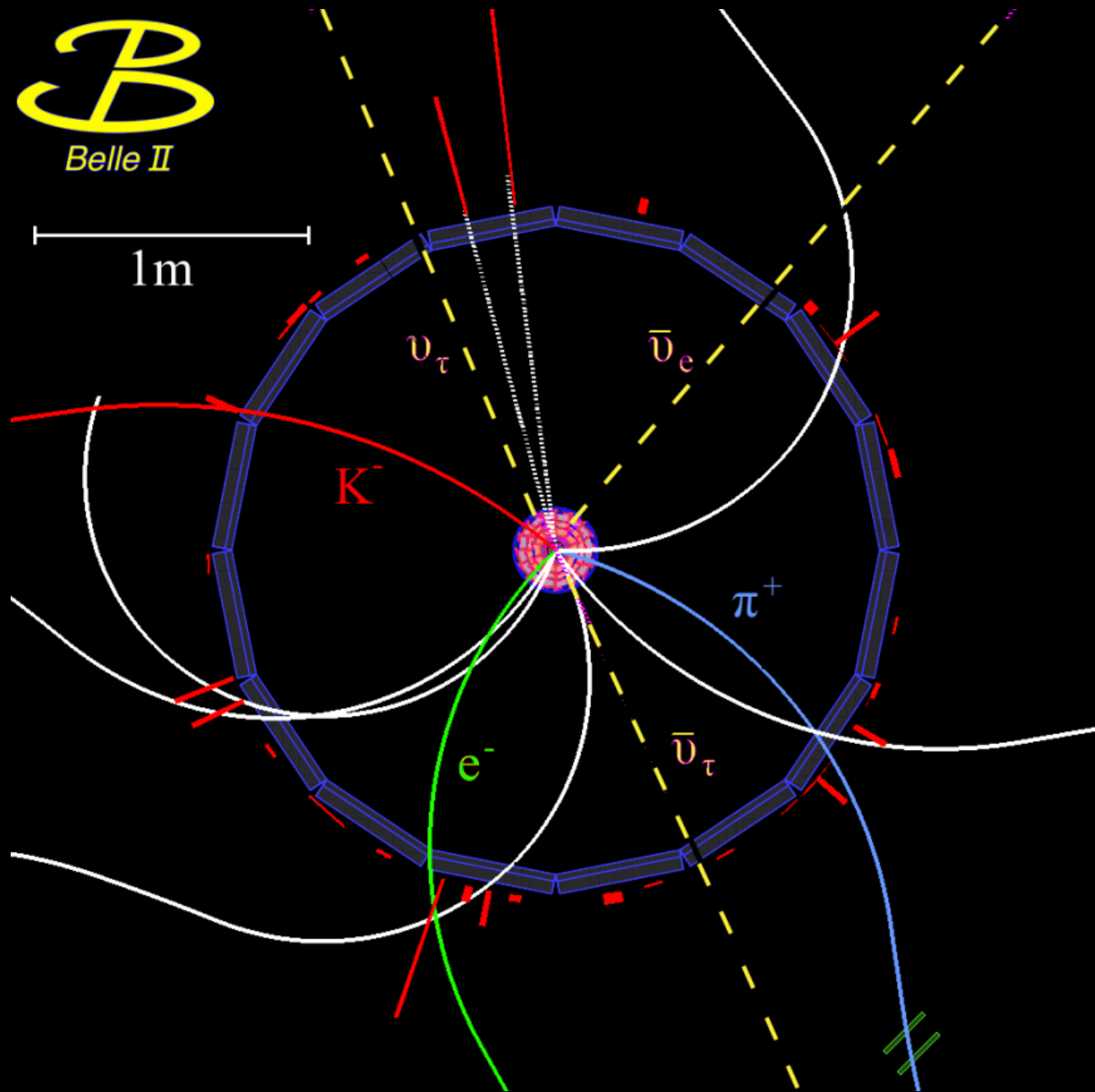


Recent Belle analysis with $\tau \rightarrow \pi(\pi^0)\nu$

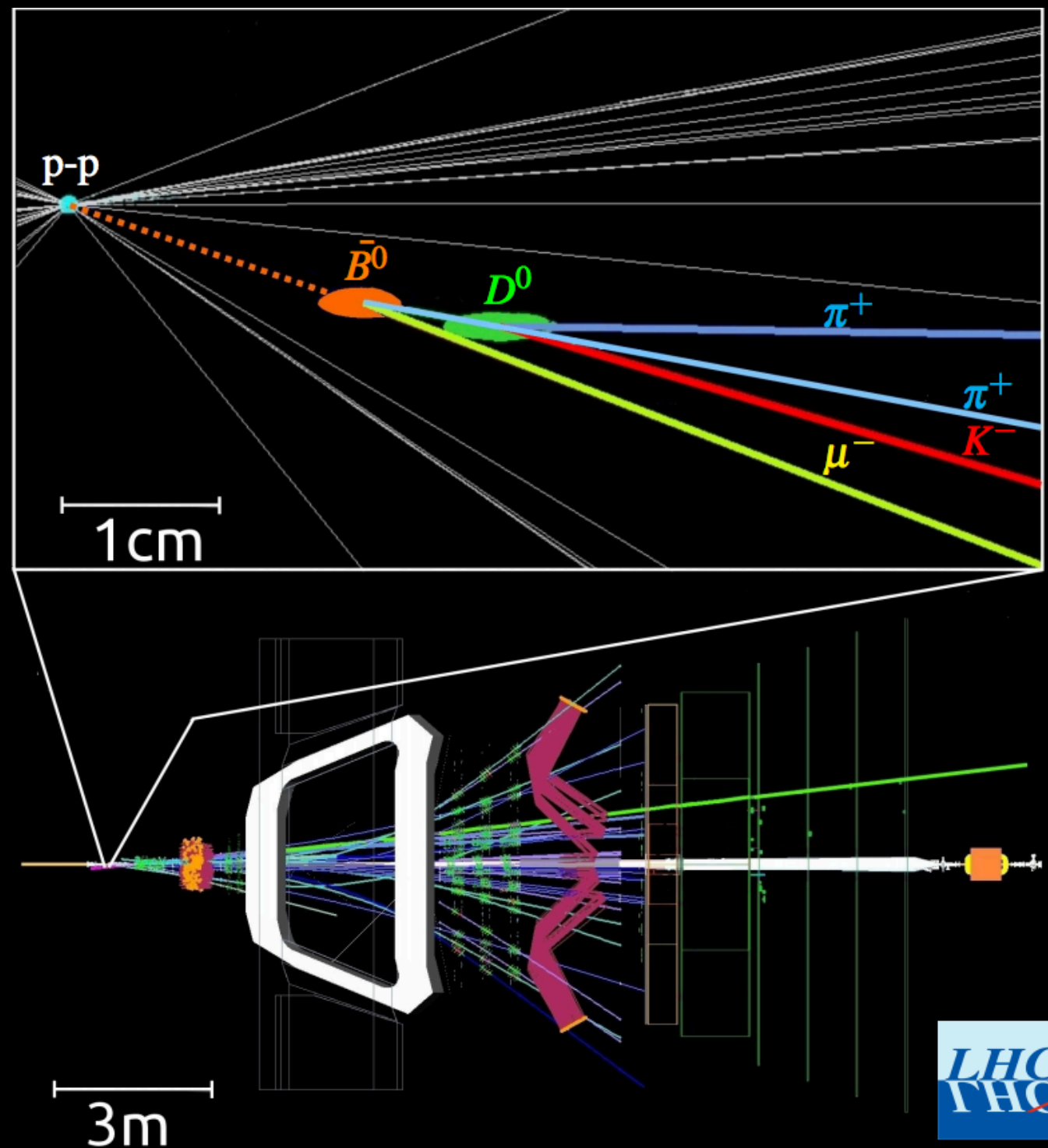
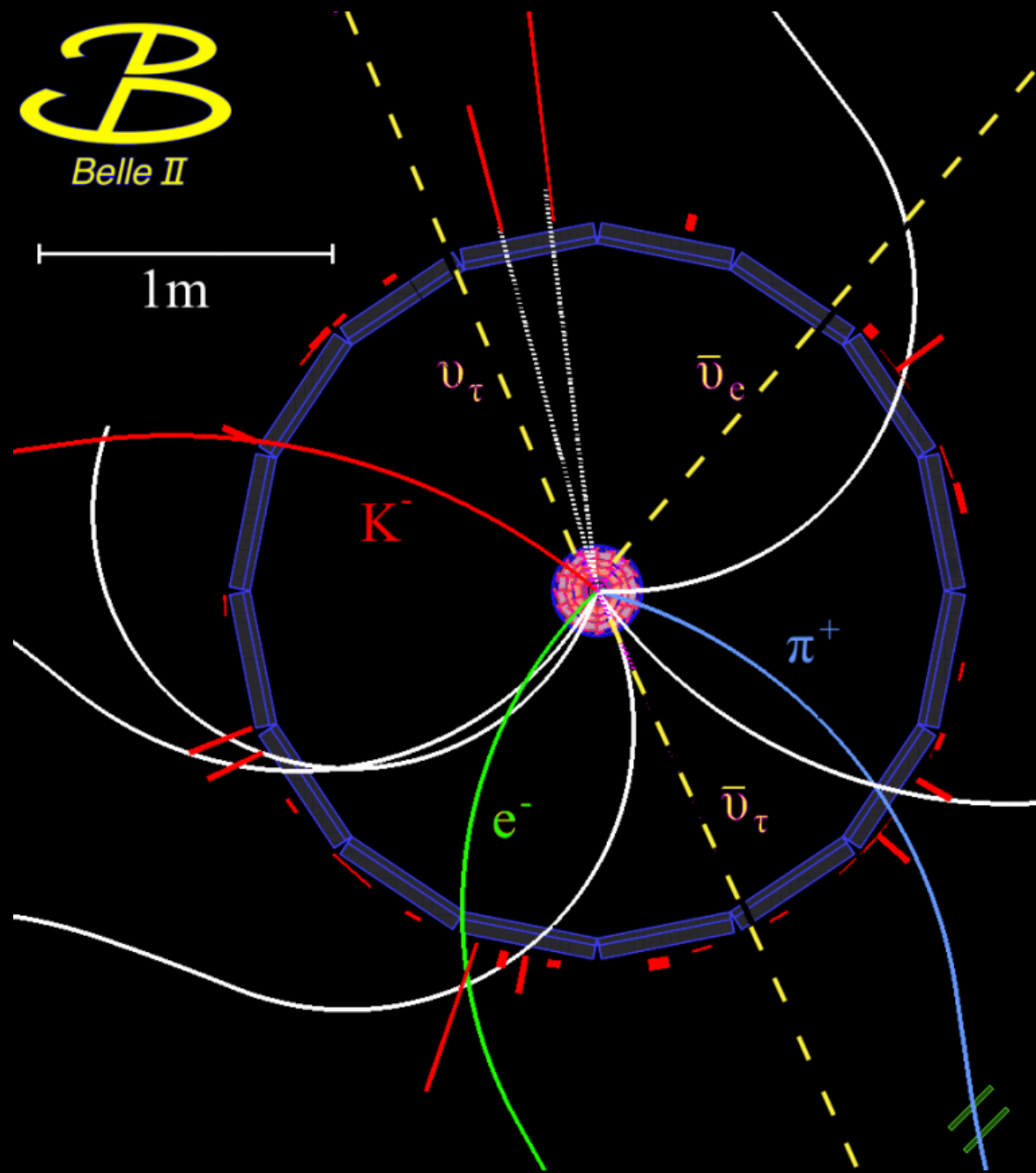


First τ polarisation measurement!





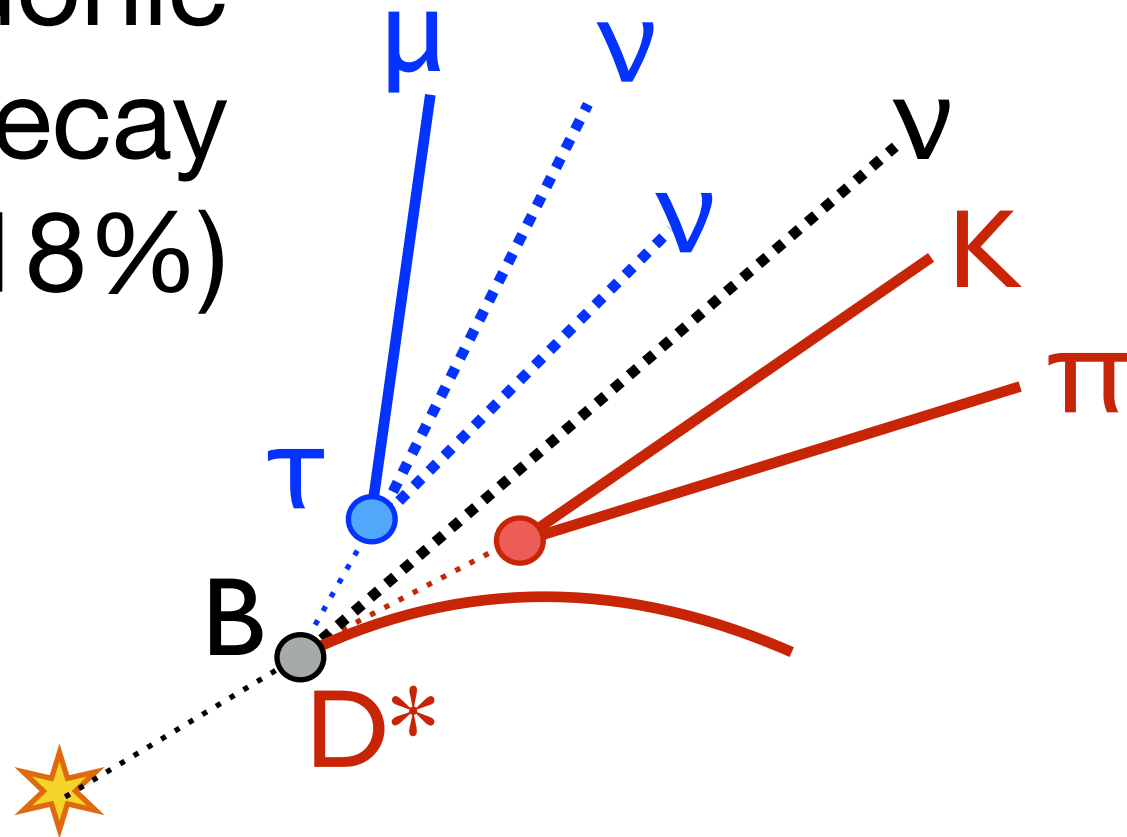
Possible
at LHCb?



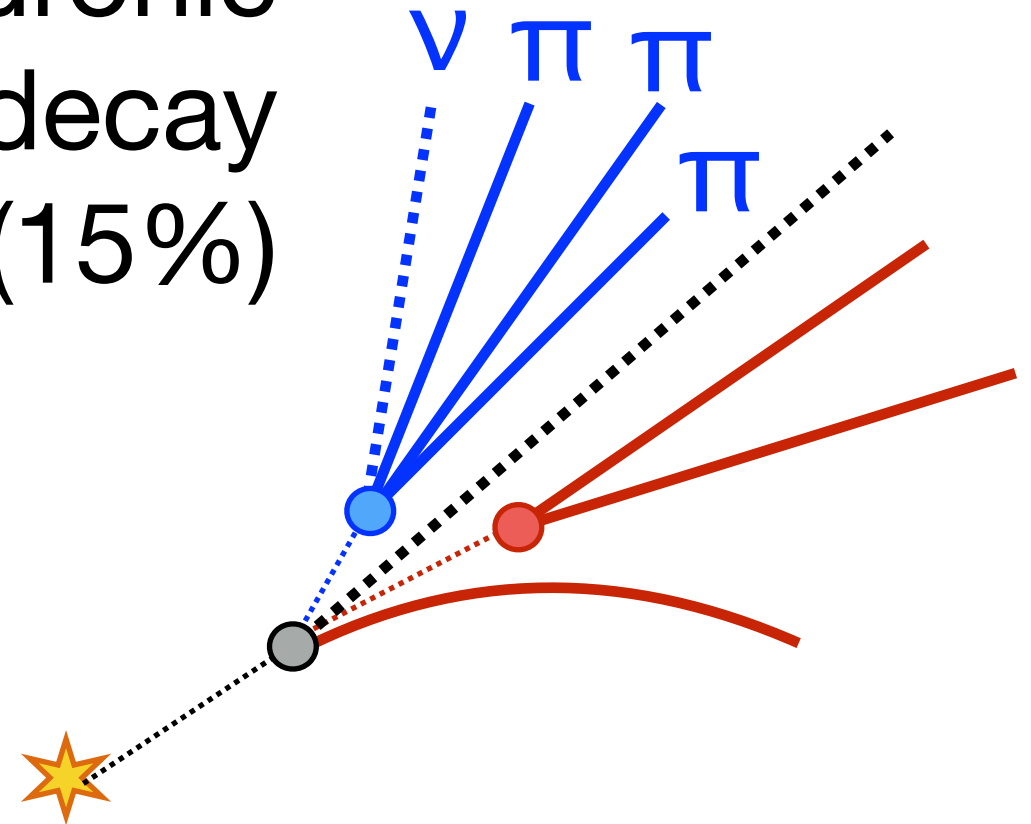
In pp collisions the rest of the event doesn't provide any useful kinematic constraint.
Different approaches required.

$R(D^*)$ from LHCb

Muonic
 τ decay
(18%)



Hadronic
 τ decay
(15%)



[PRL 115, 111803 \(2015\)](#)

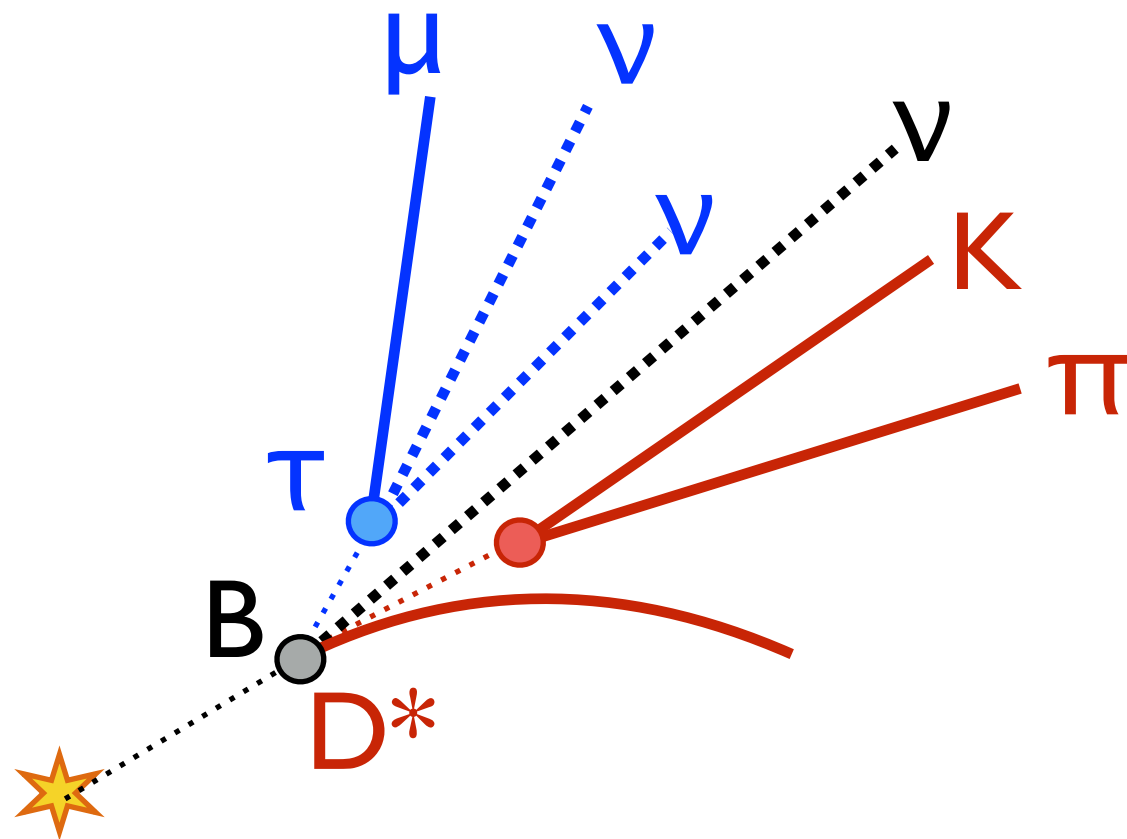
τ BR = $(17.39 \pm 0.04)\%$

[1708.08856v2 \(2017\)](#)

[1711.02505 \(2018\)](#)

τ BR* = $(14.55 \pm 0.06)\%$

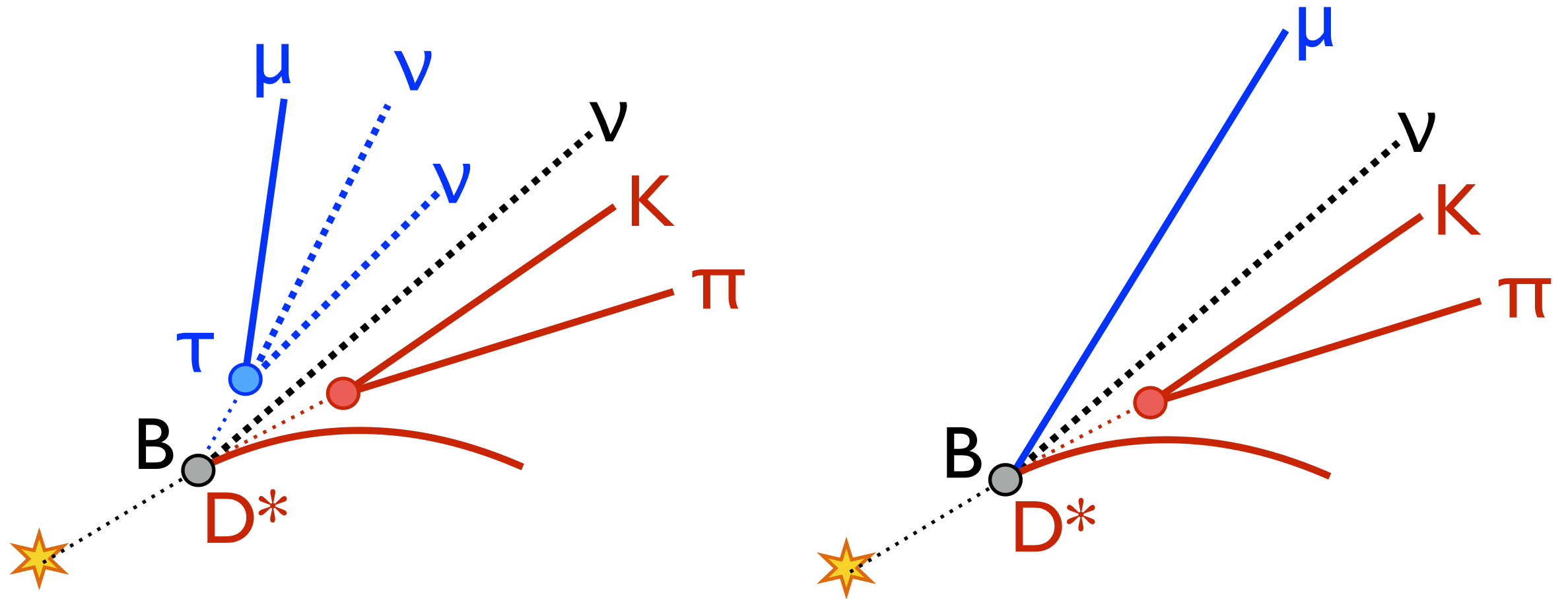
Muonic $R(D^*)$ from LHCb



$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \mu \nu)}$$

Muonic $R(D^*)$ from LHCb

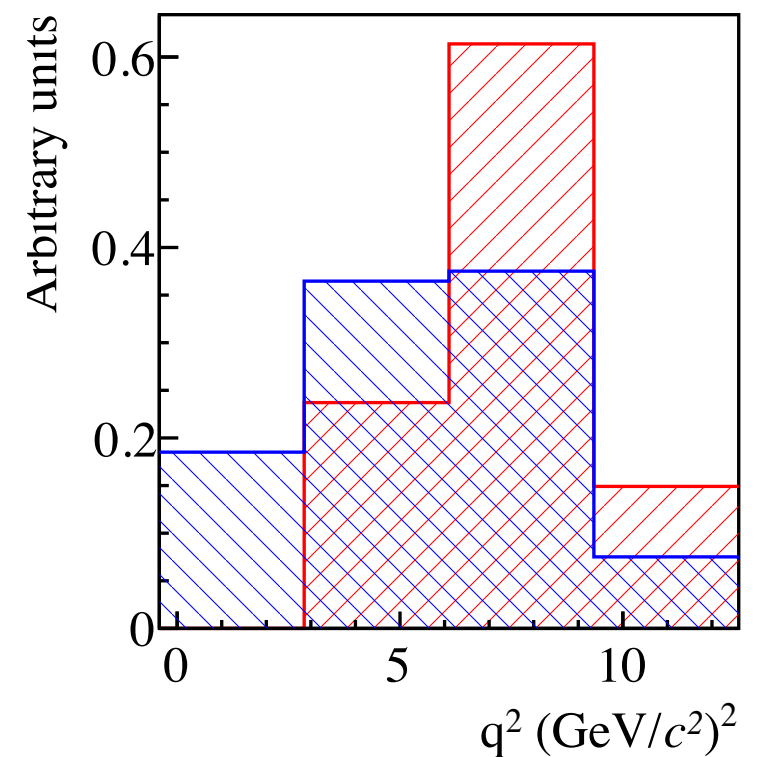
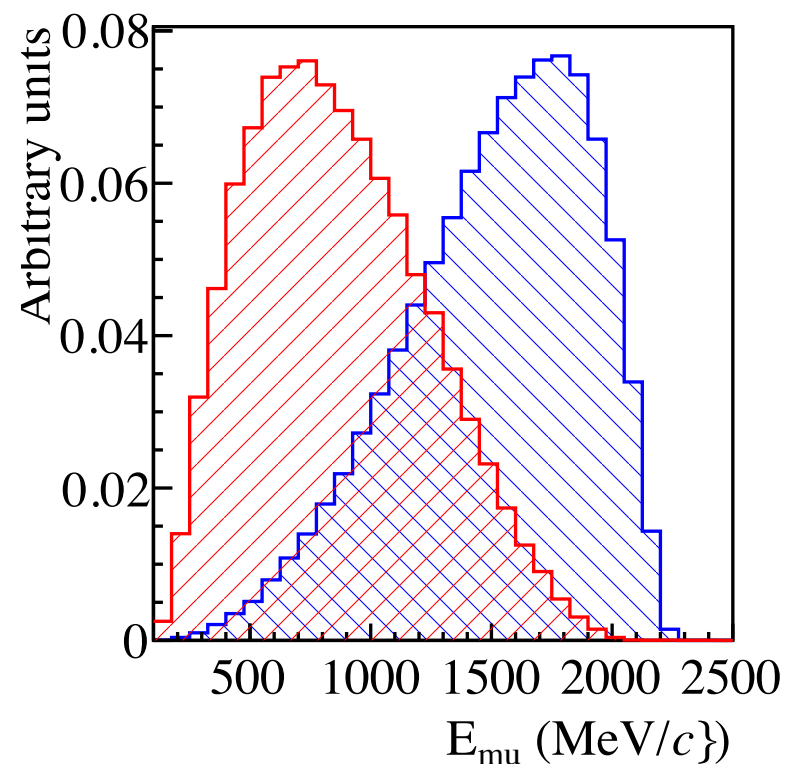
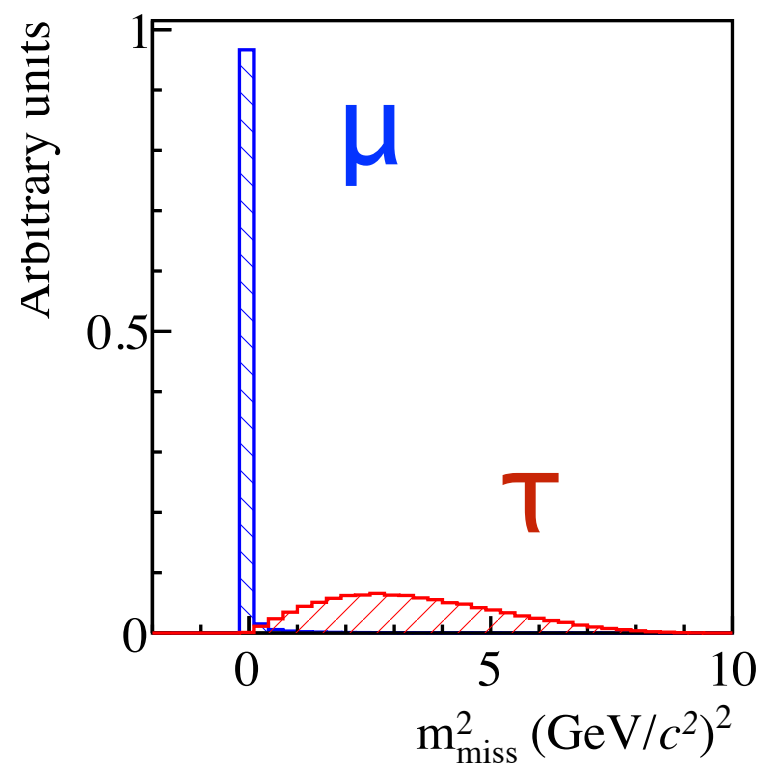
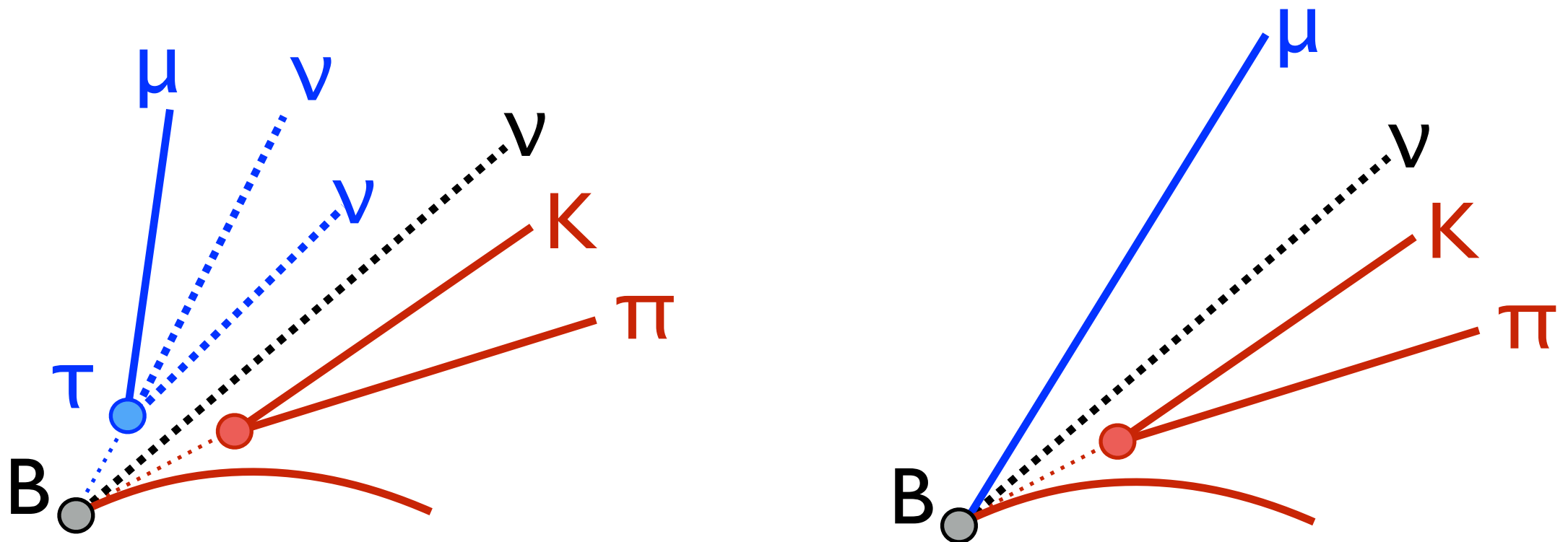
(Normalisation and background)



$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \mu \nu)}$$

Muonic $R(D^*)$ from LHCb

(Normalisation and background)

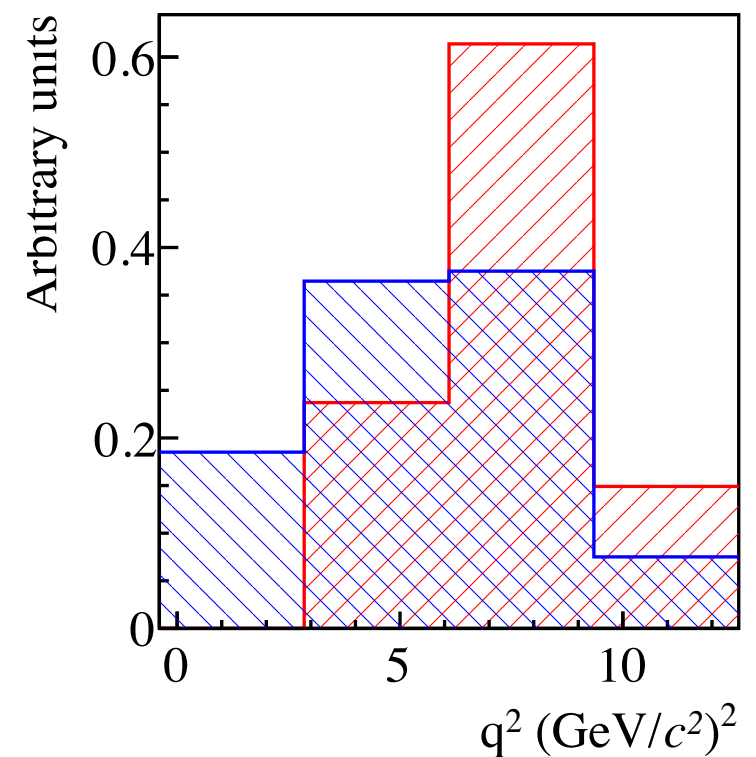
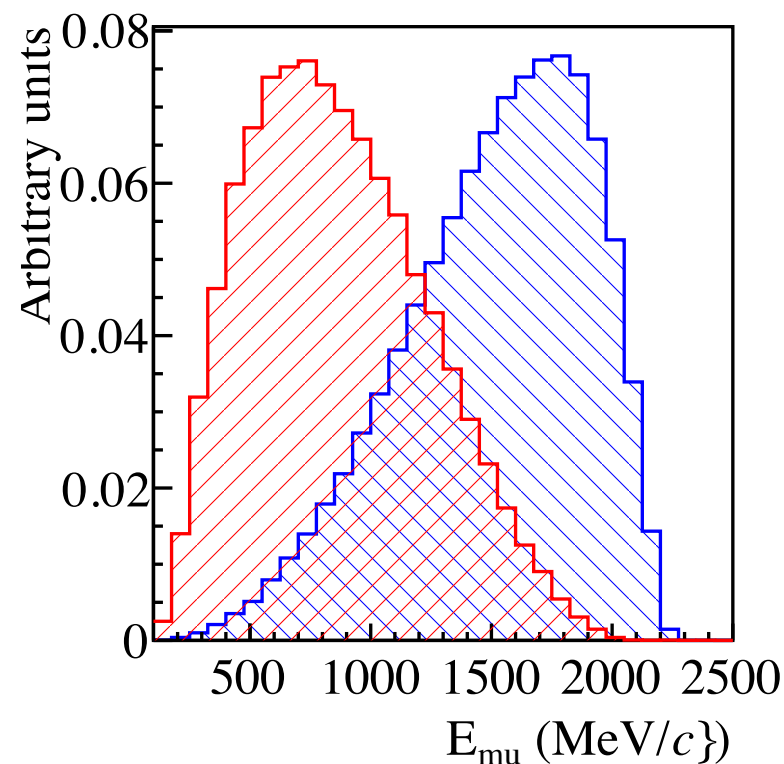
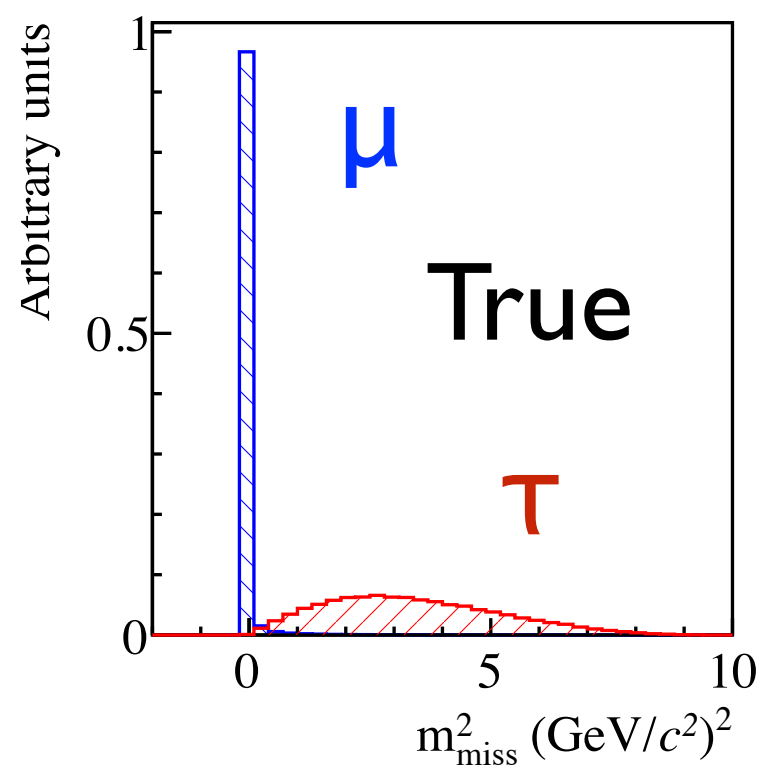


Muonic $R(D^*)$ from LHCb

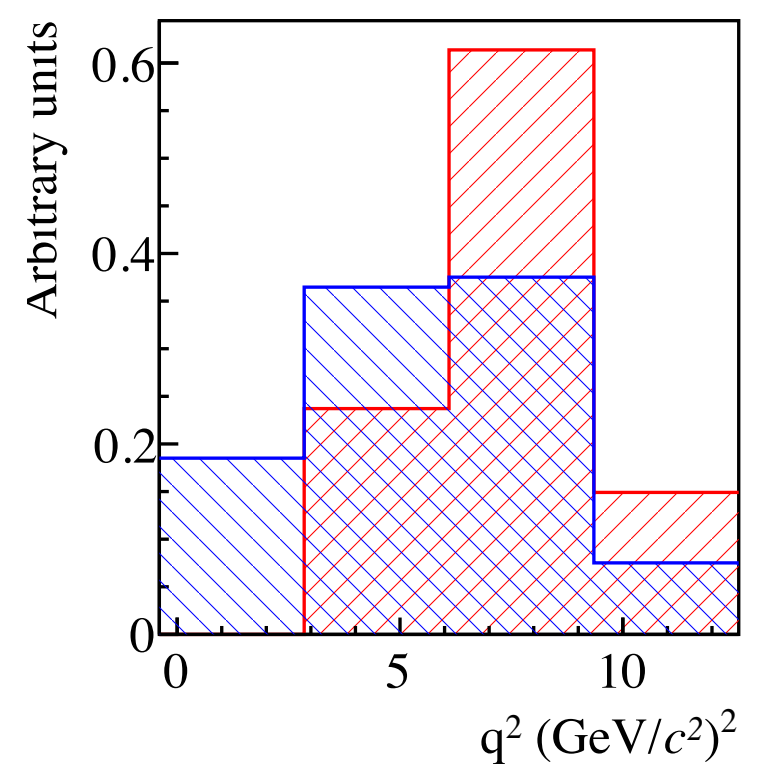
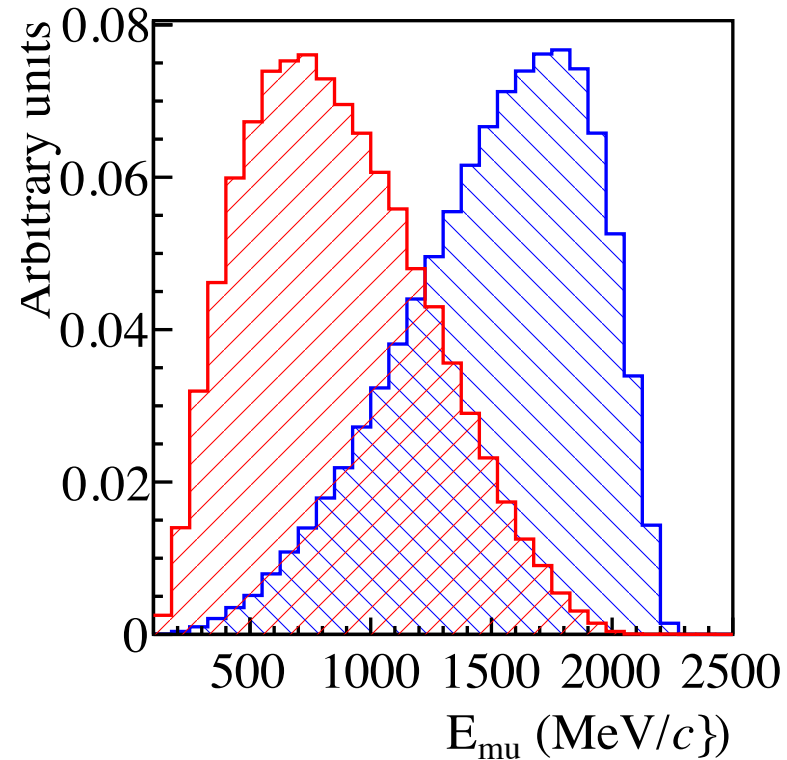
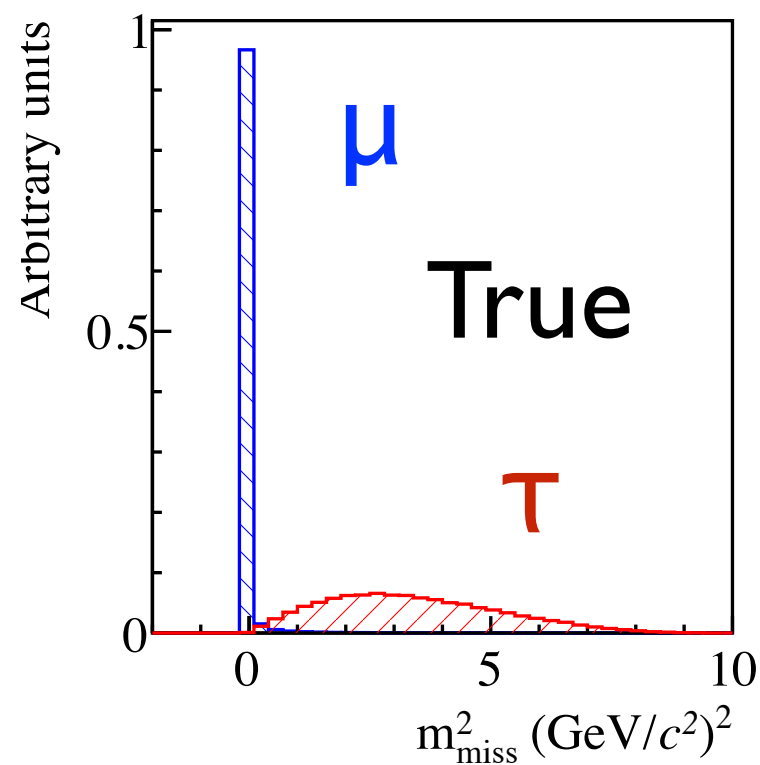
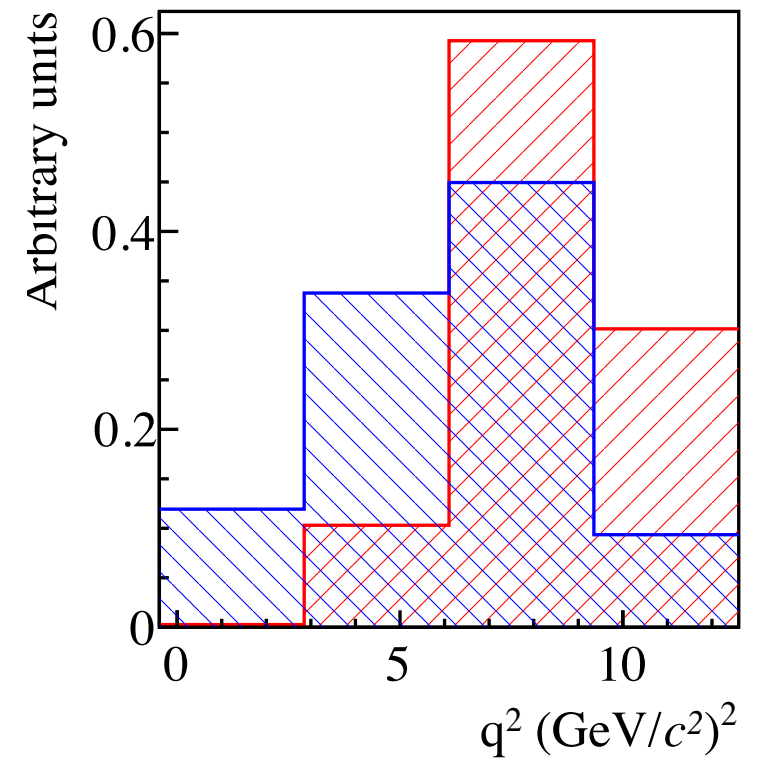
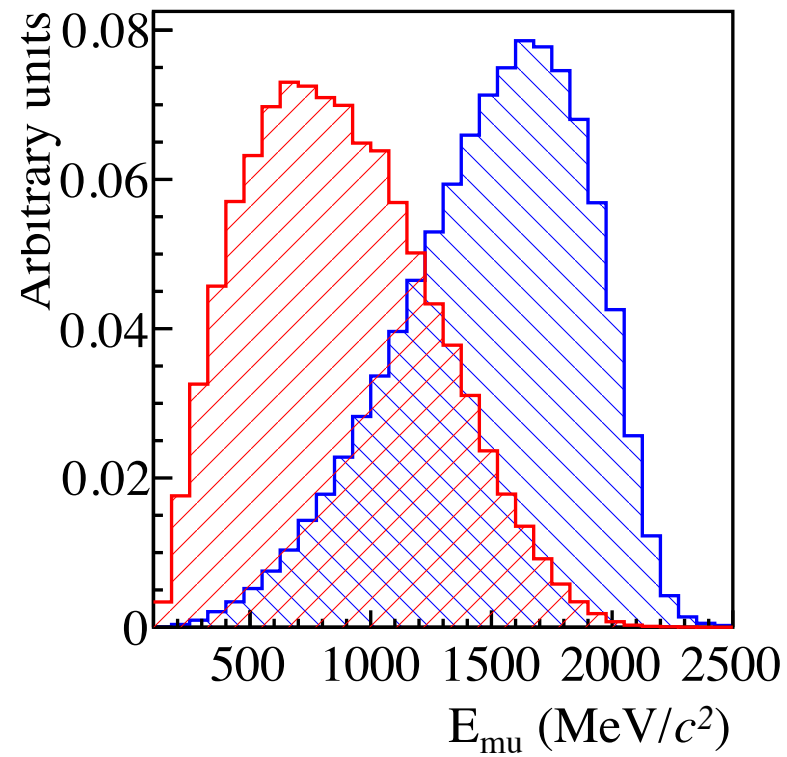
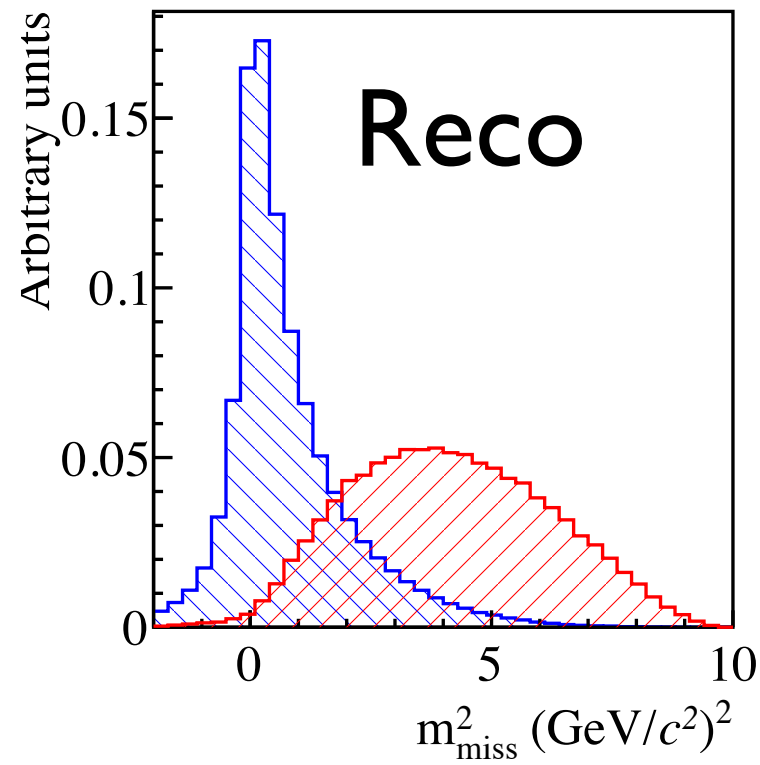
Below we assume knowledge of the b momentum!

Try the approximation $(\gamma\beta z)_B = (\gamma\beta z)_{\text{visible}}$?

And exploit the measured B flight trajectory.



Muonic $R(D^*)$ from LHCb



Other backgrounds

—●— Data



$B \rightarrow D^* \tau \nu$



$B \rightarrow D^* H_c (\rightarrow l \nu X') X$



$B \rightarrow D^{**} l \nu$



$B \rightarrow D^* \mu \nu$

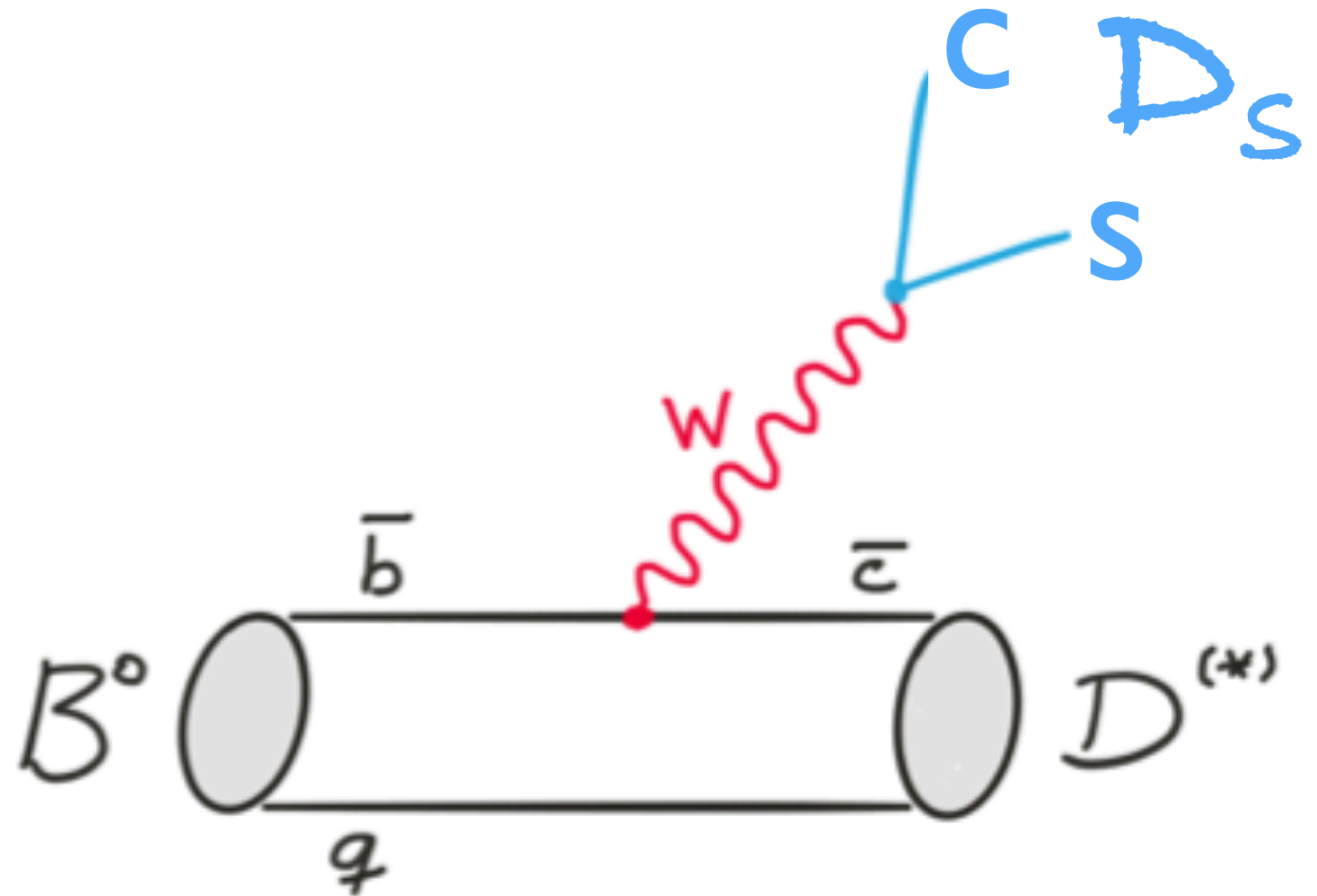
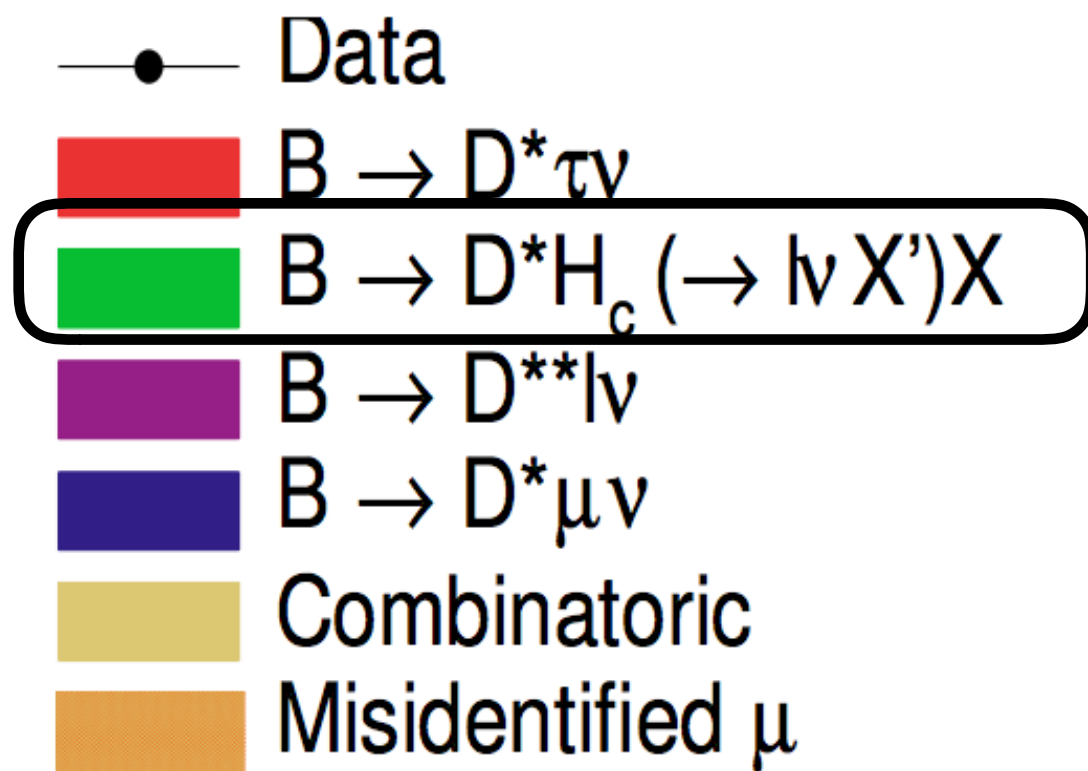


Combinatoric



Misidentified μ

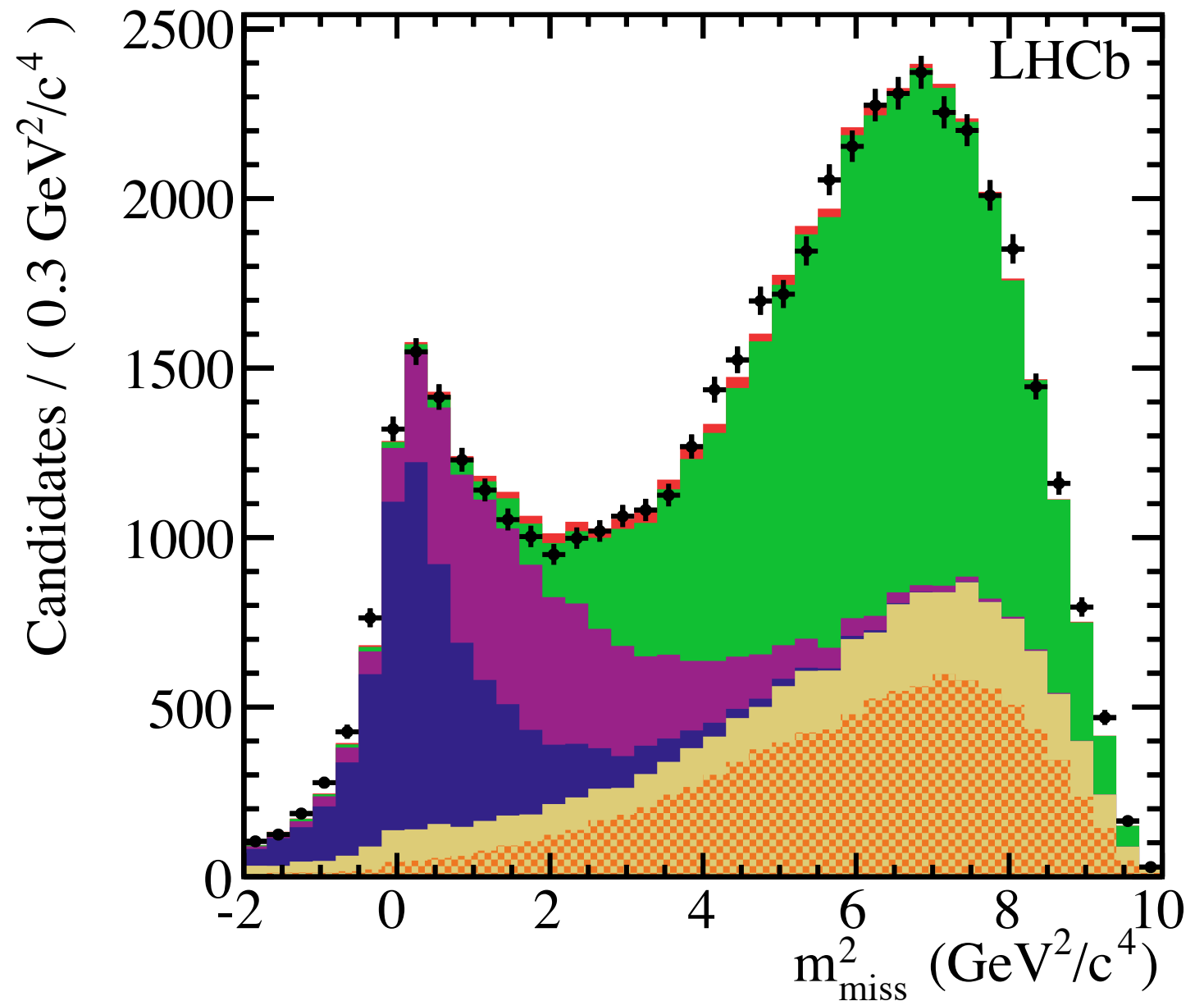
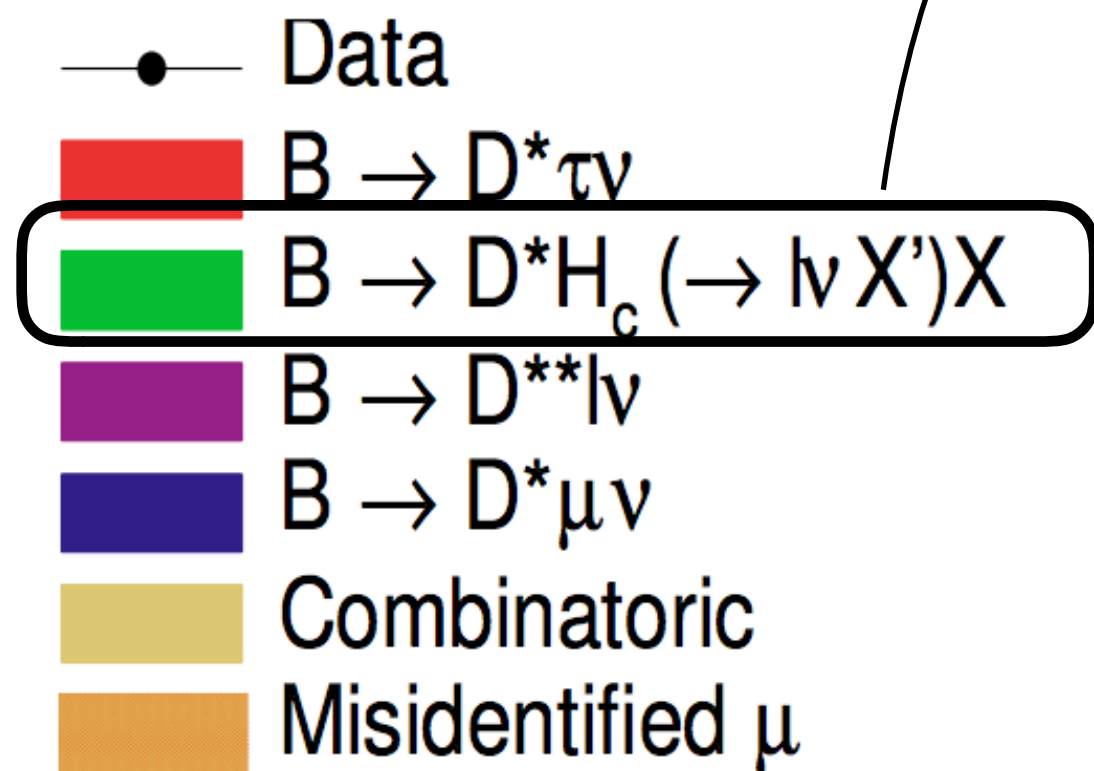
Double charm



Double charm

“Anti-isolation”
Control region

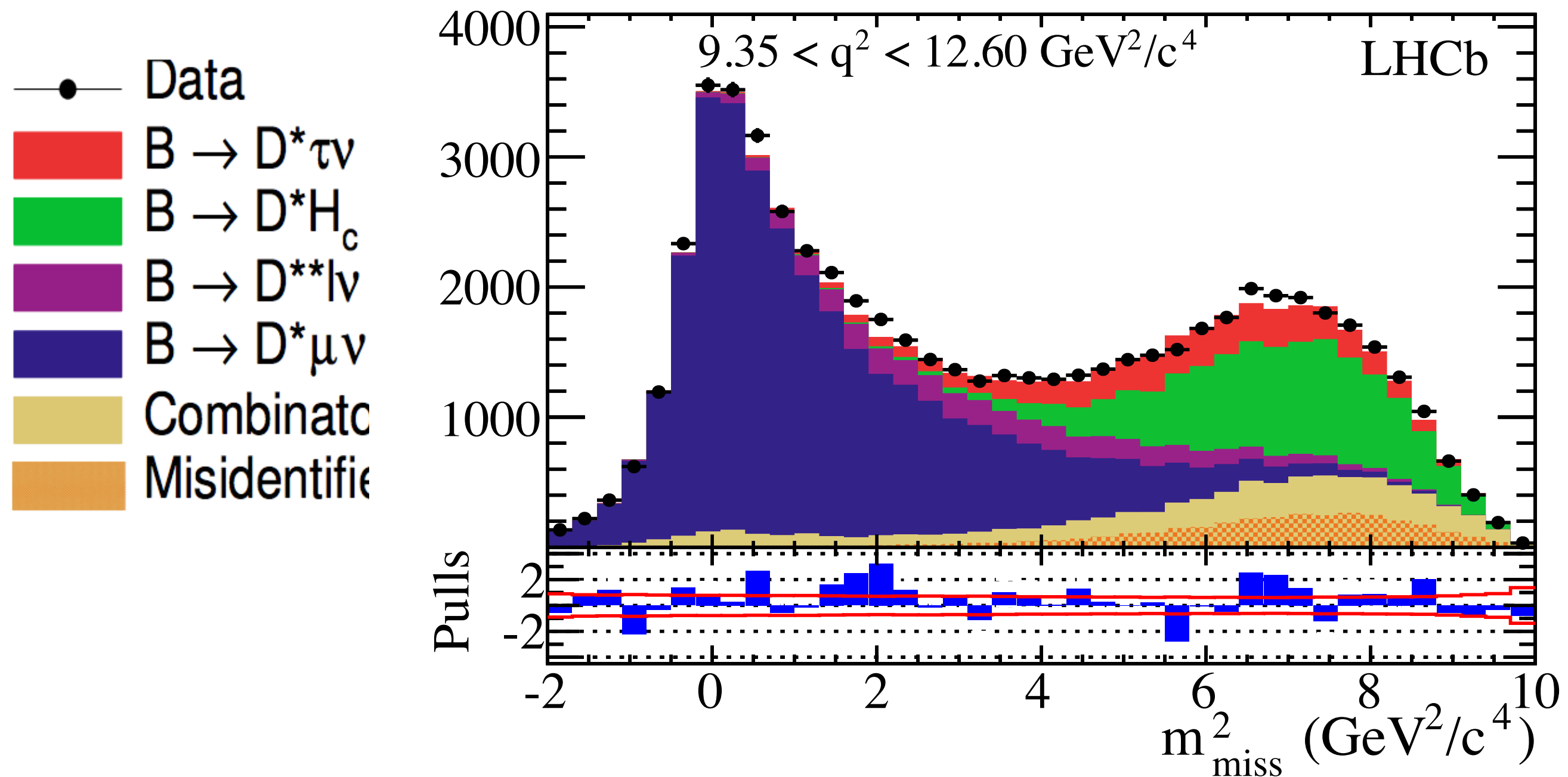
E.g. identified kaon



Fit in (isolated) signal region

4 coarse q^2 bins, and finer bins in m^2_{miss} , E_μ .

Projection of m^2_{miss} in the highest purity q^2 bin:



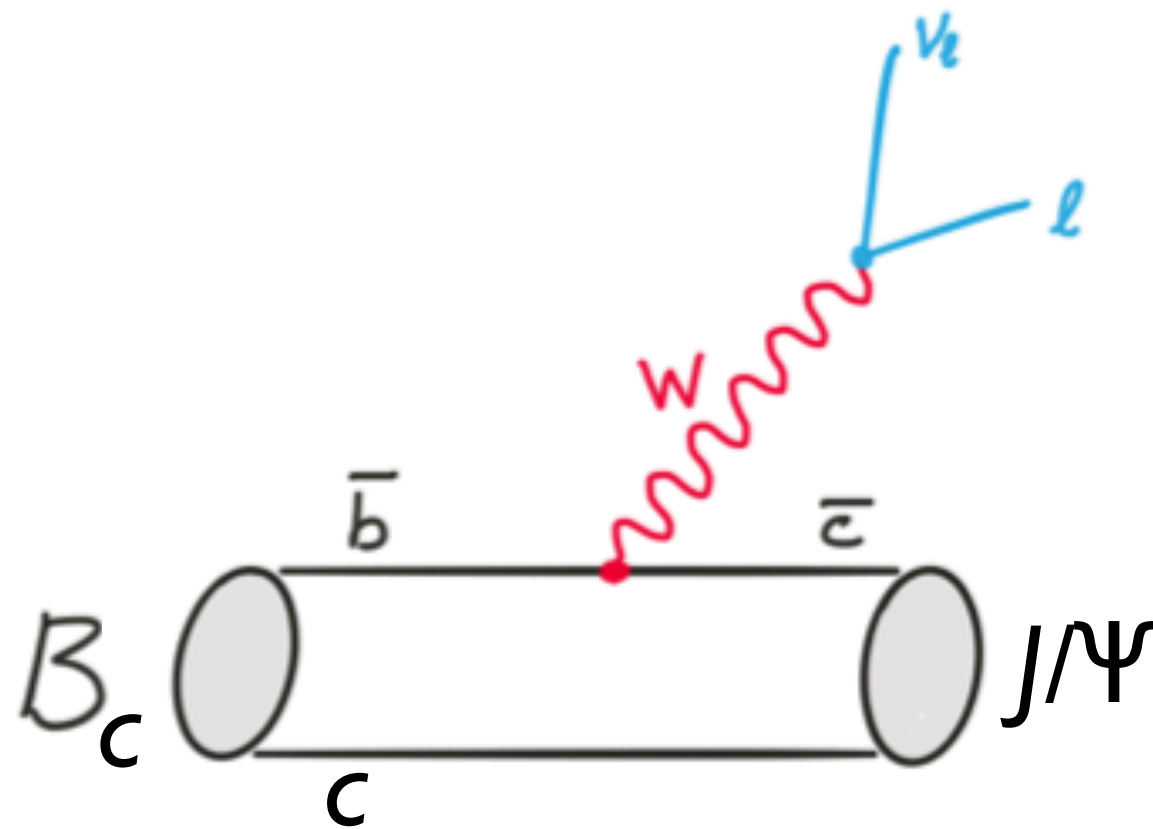
LHCb muonic $R(D^*)$ result

$$R(D^*) = 0.336 \pm 0.027_{\text{stat}} \pm 0.030_{\text{syst}}$$

Consistent with BaBar and Belle results

2.1σ above the SM prediction

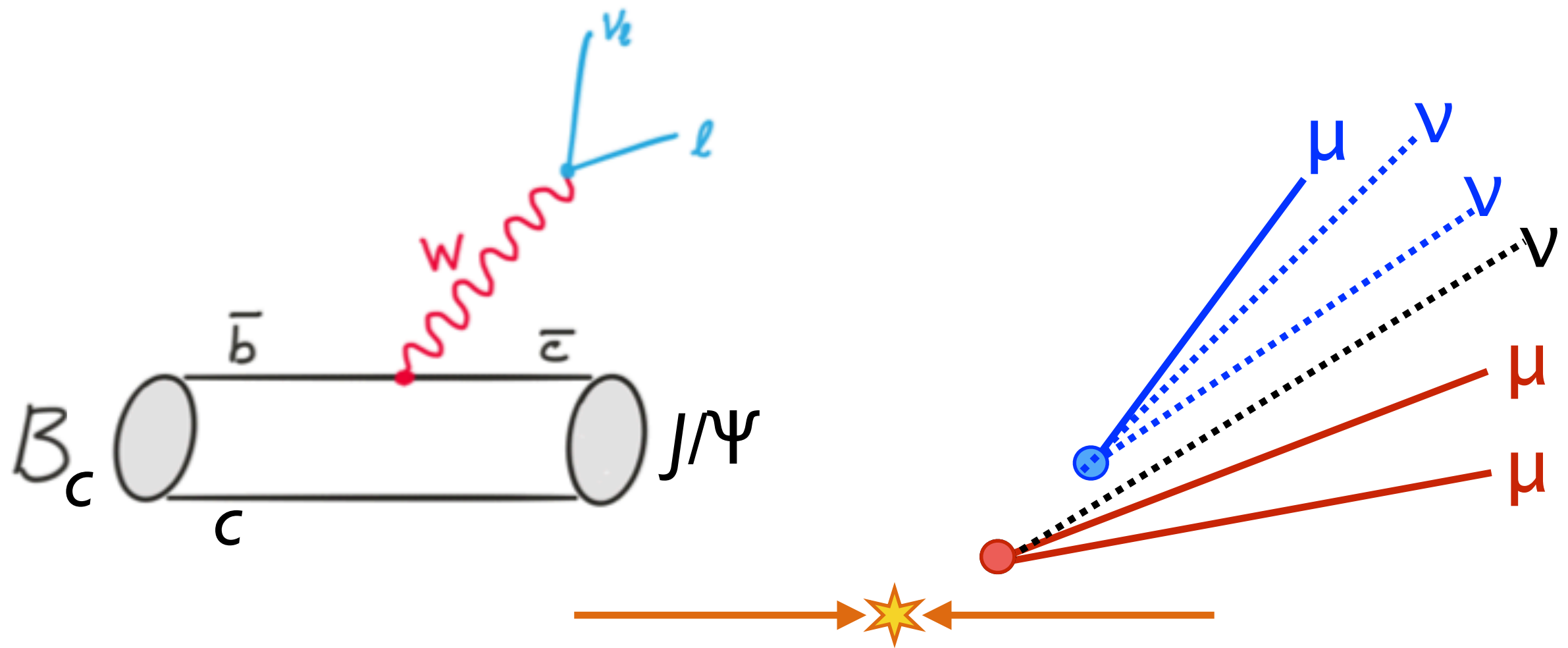
First LHCb analysis with B_c decays



$$\mathcal{R}(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)}$$

SM predictions for $R(J/\psi)$ lie in the range 0.25–0.28.

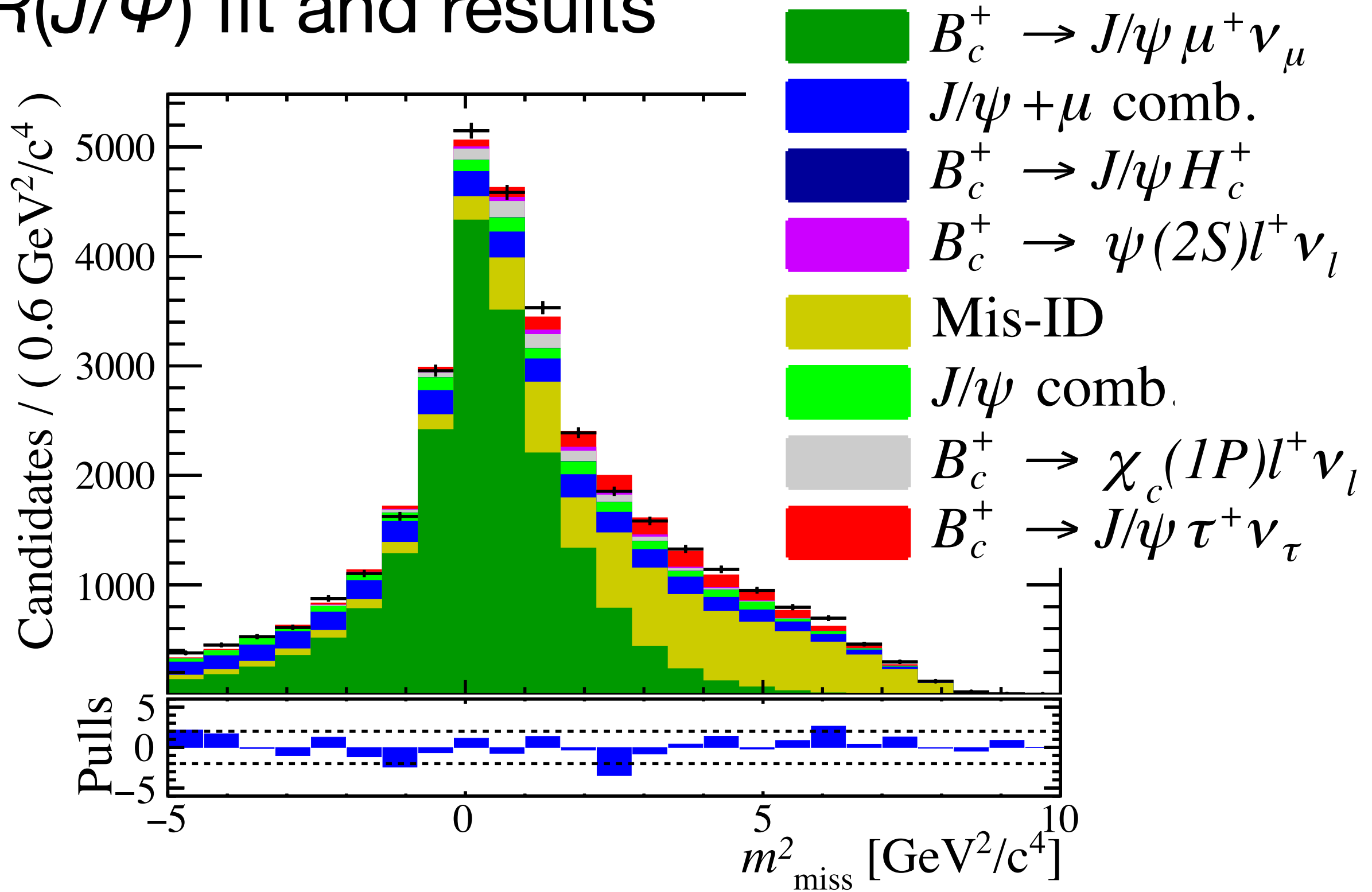
First LHCb analysis with B_c decays



👎 Short B_c lifetime

👍 No flying charm hadron

$R(J/\psi)$ fit and results

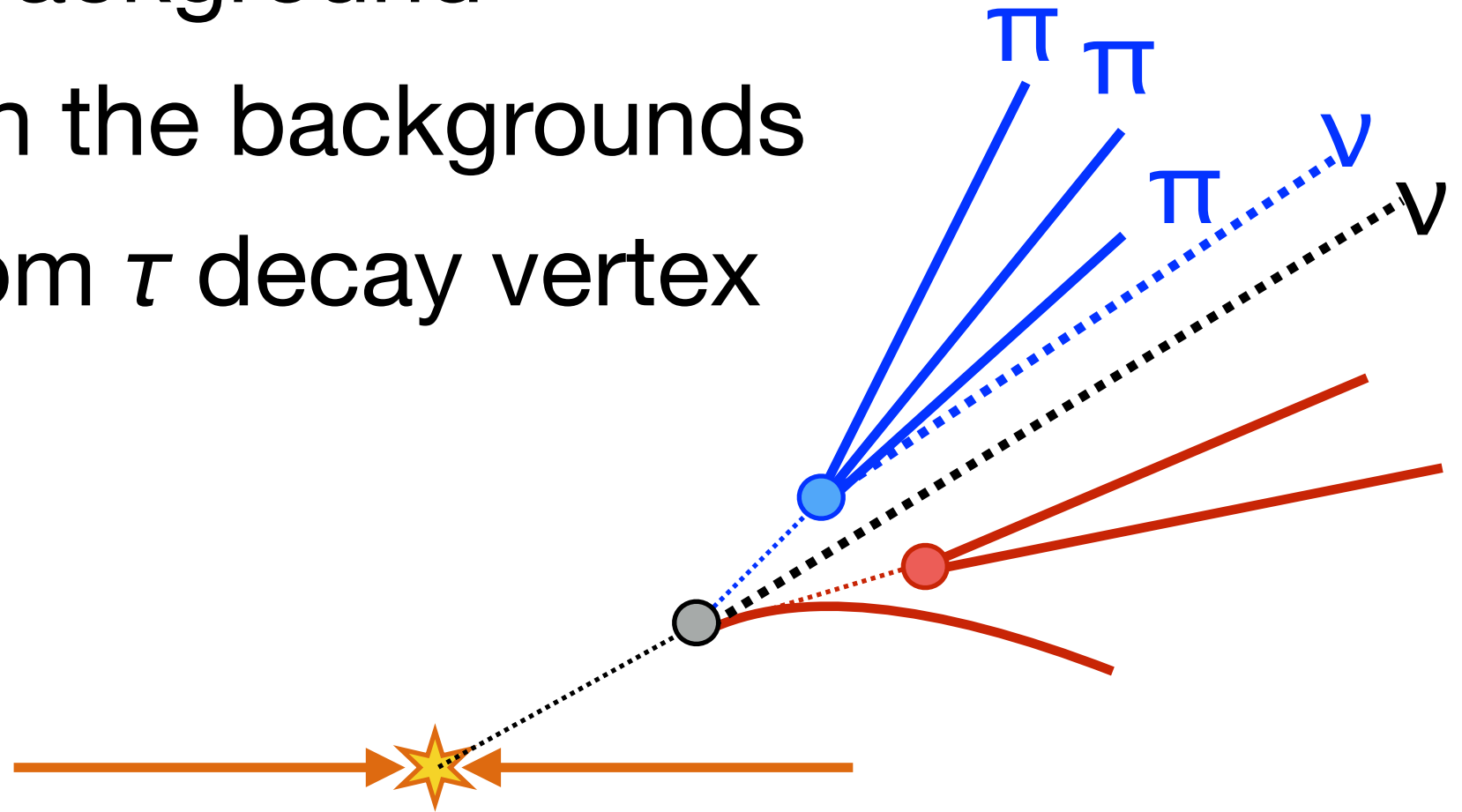


$$R(J/\psi) = 0.71 \pm 0.17_{\text{stat}} \pm 0.18_{\text{syst}}$$

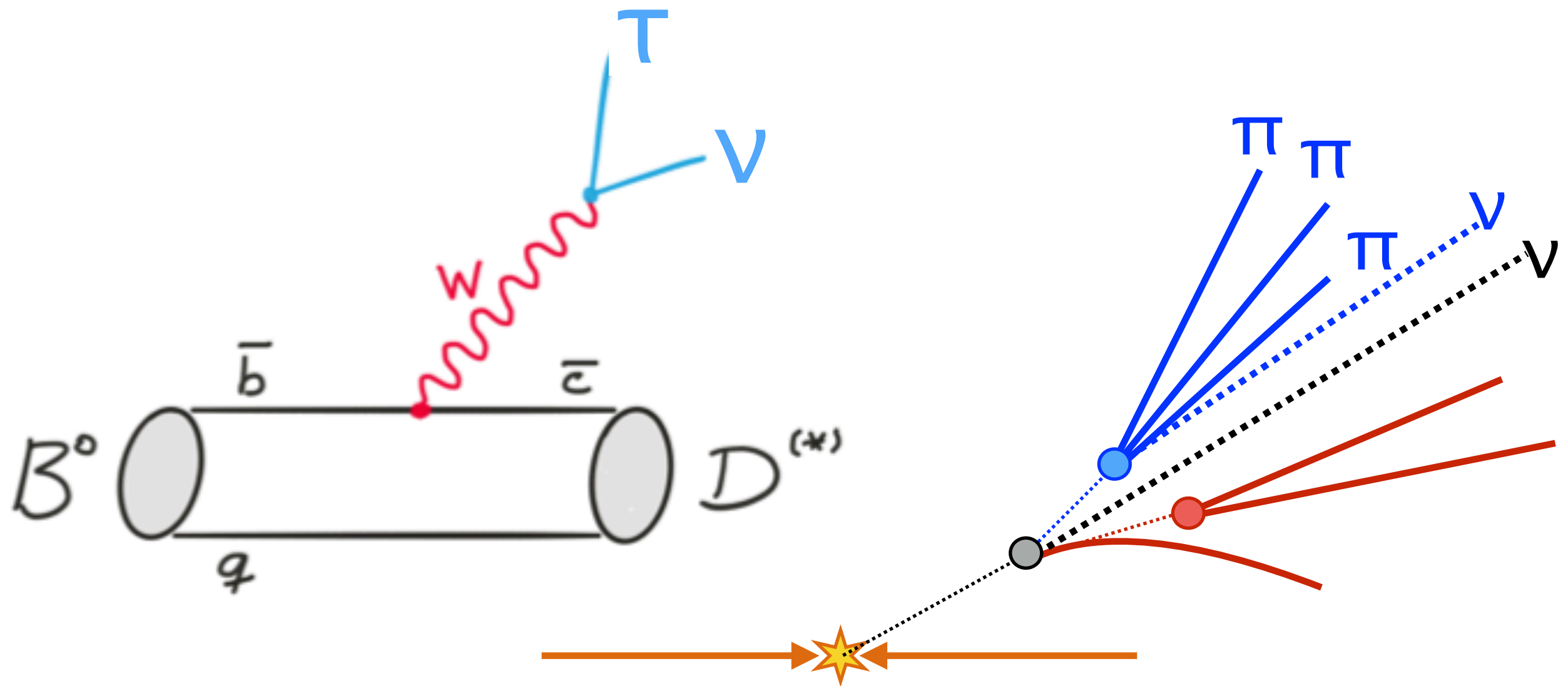
Higher than the predictions, but within 2σ

LHCb $R(D^*)$ with $\tau \rightarrow \pi\pi\pi(\pi^0)\nu$

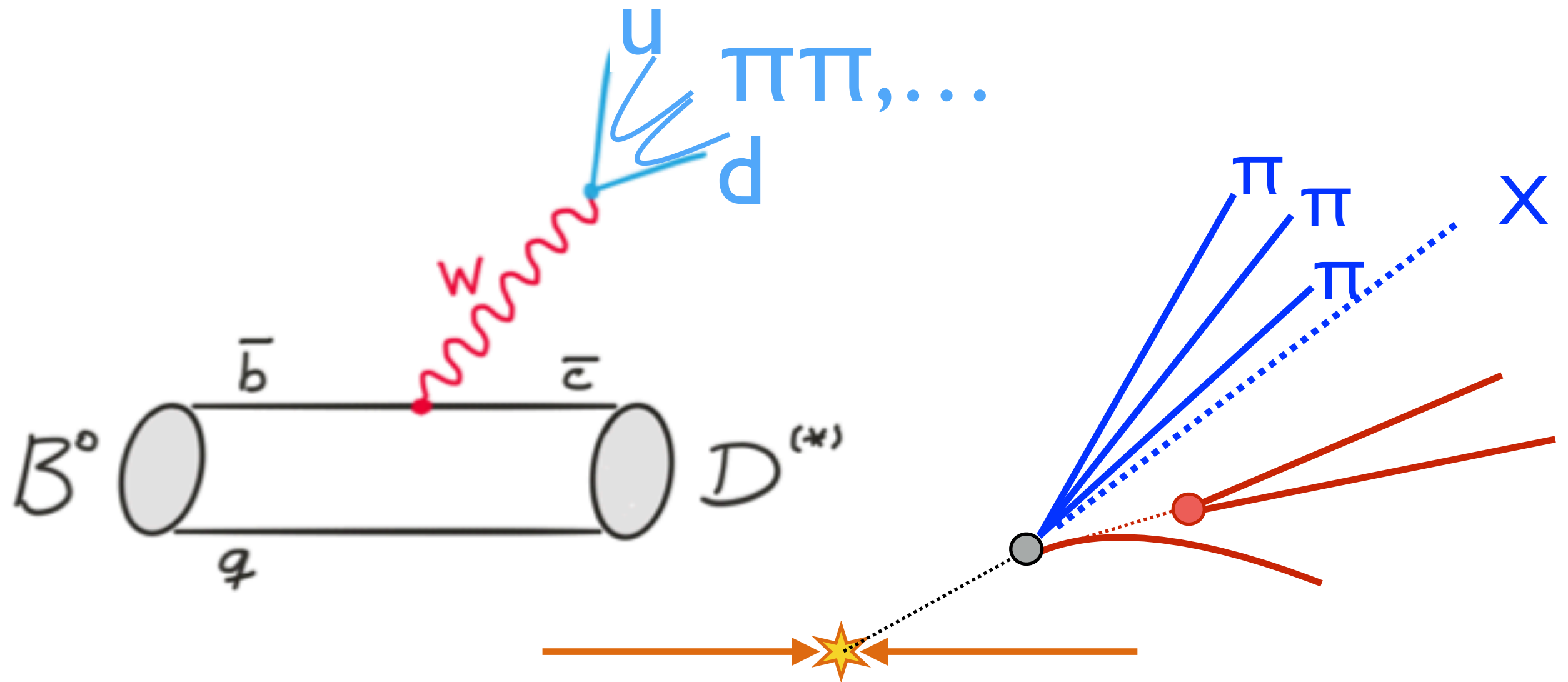
- 👍 No $b \rightarrow c\mu\nu X$ background
- 👍 Mass peaks in the backgrounds
- 👍 Only one ν from τ decay vertex



LHCb $R(D^*)$ with $\tau \rightarrow \pi\pi\pi(\pi^0)\nu$

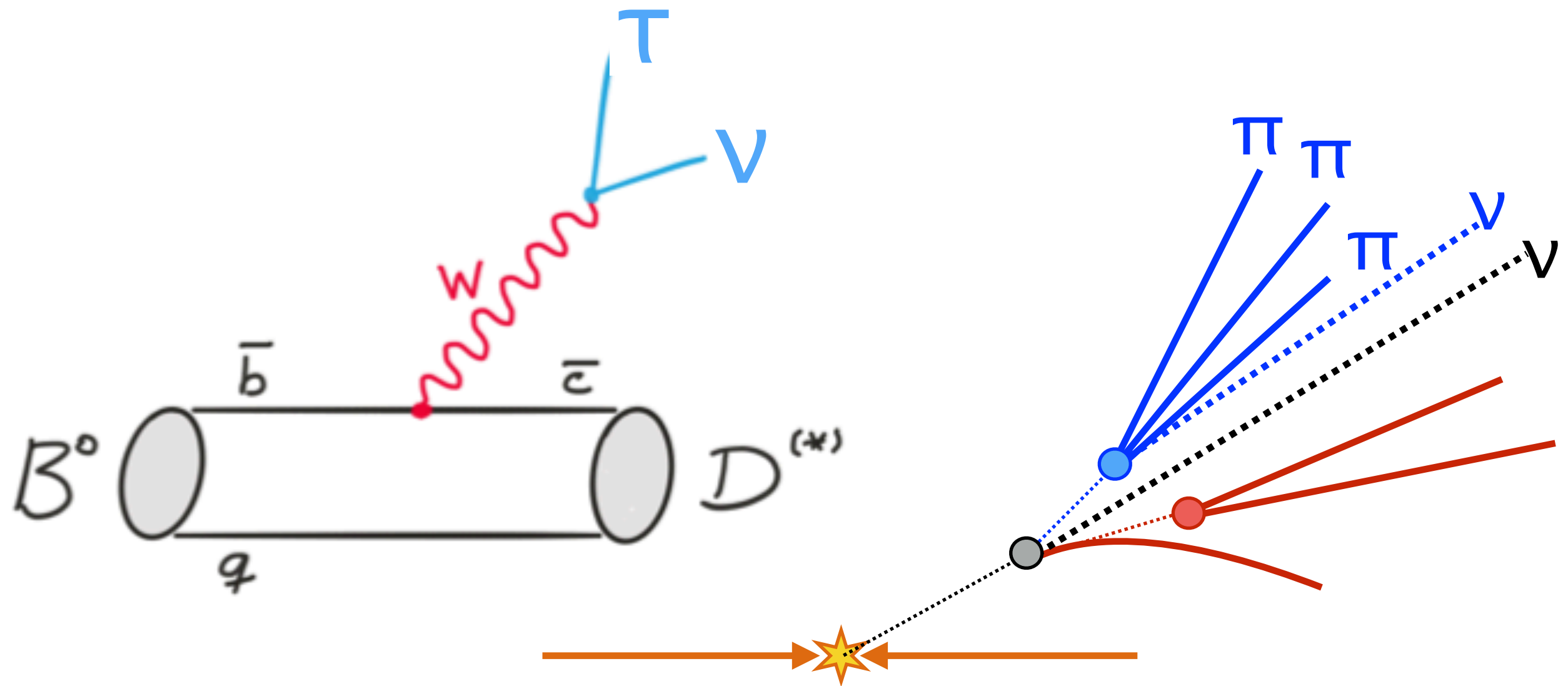


The *prompt* background



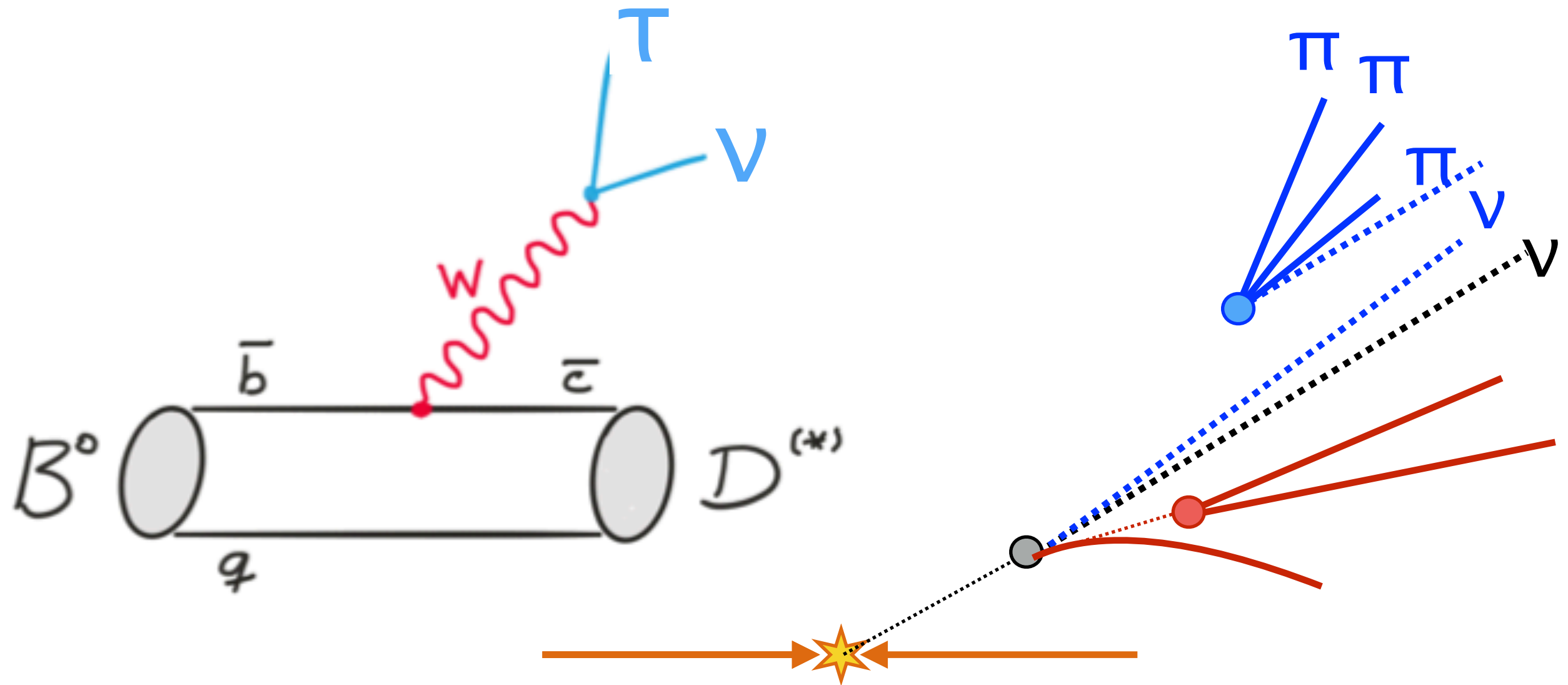
$$B/S \sim 10^2$$

The *prompt* background



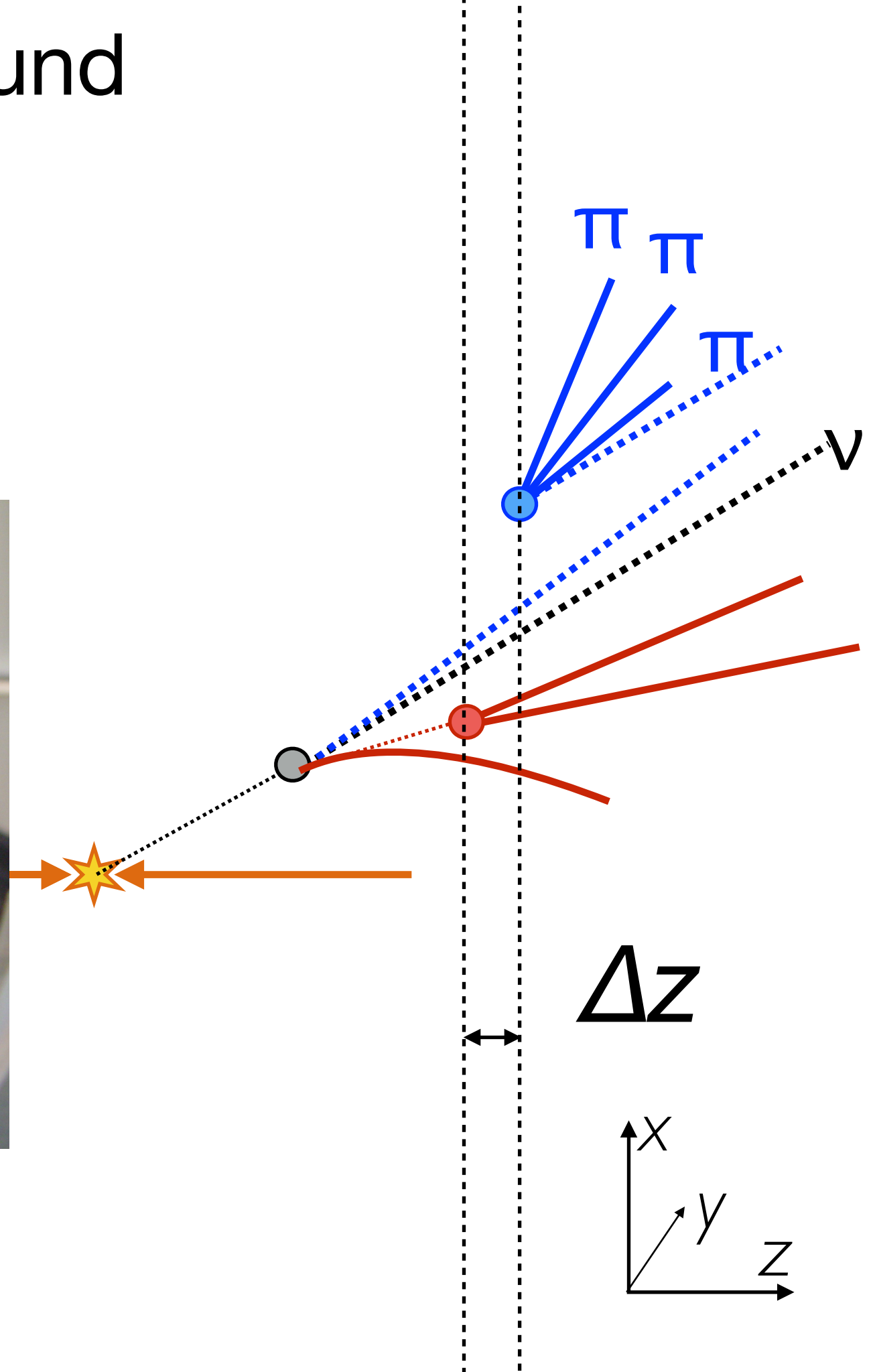
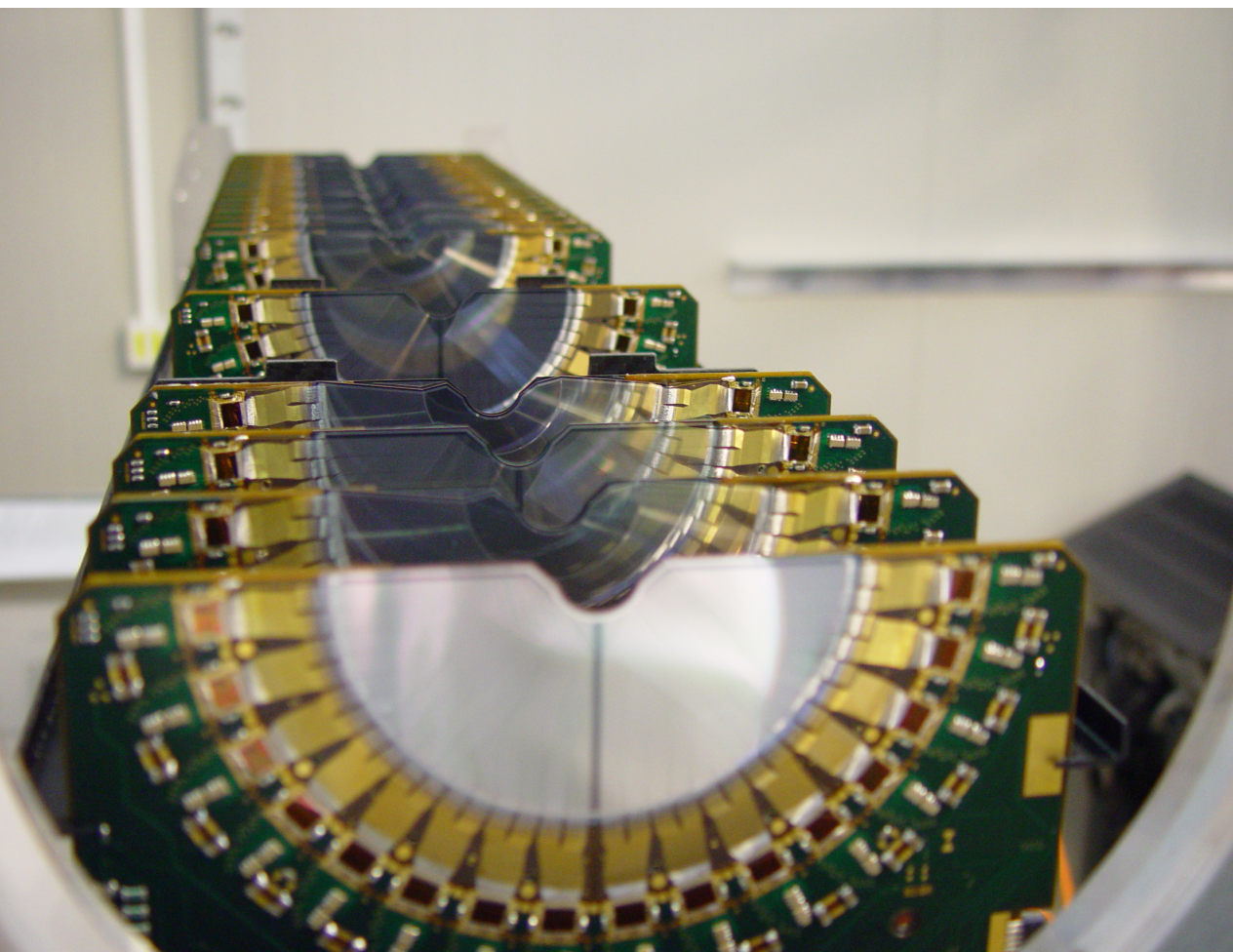
$$B/S \sim 10^2$$

The *prompt* background

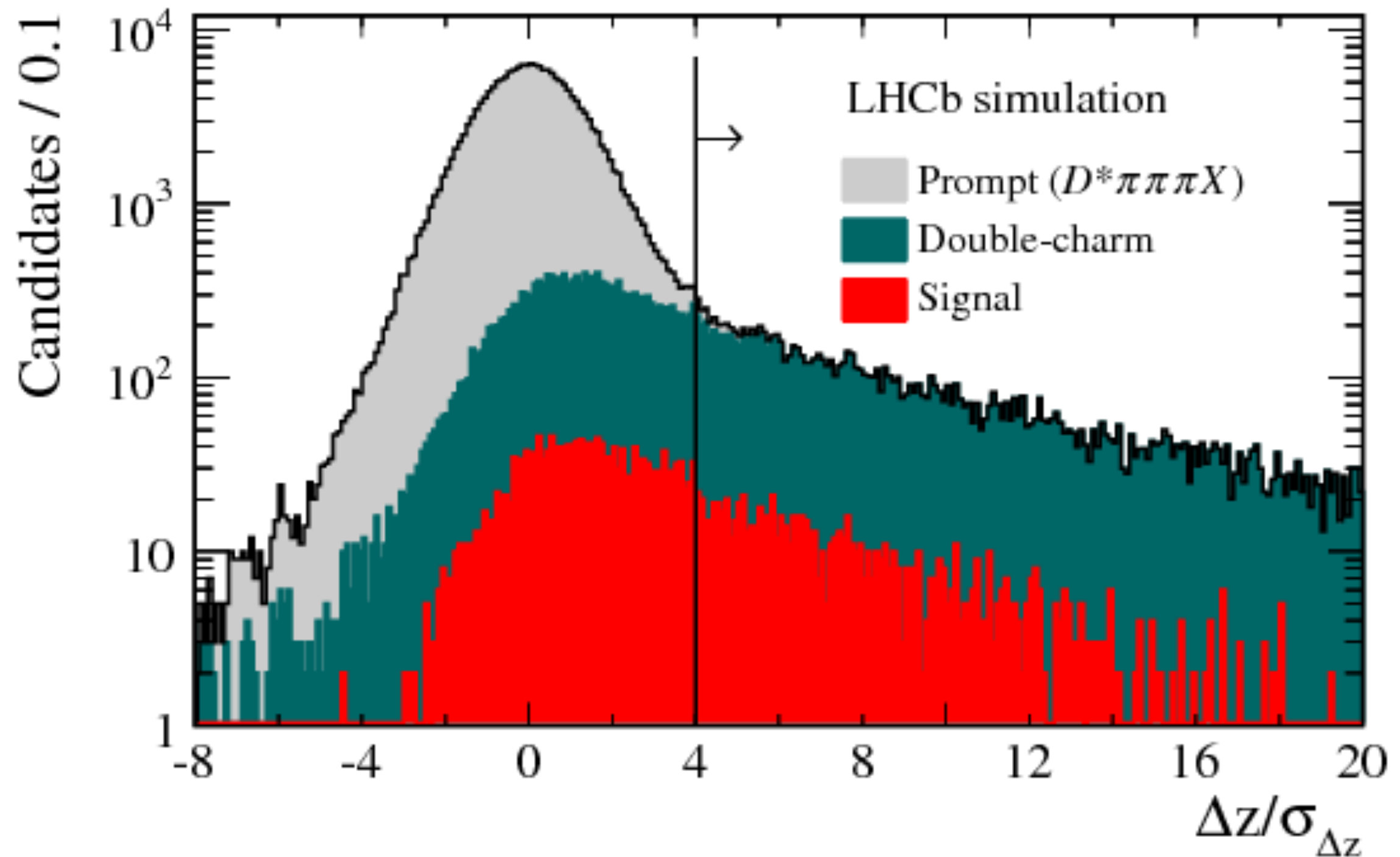


$$B/S \sim 10^2$$

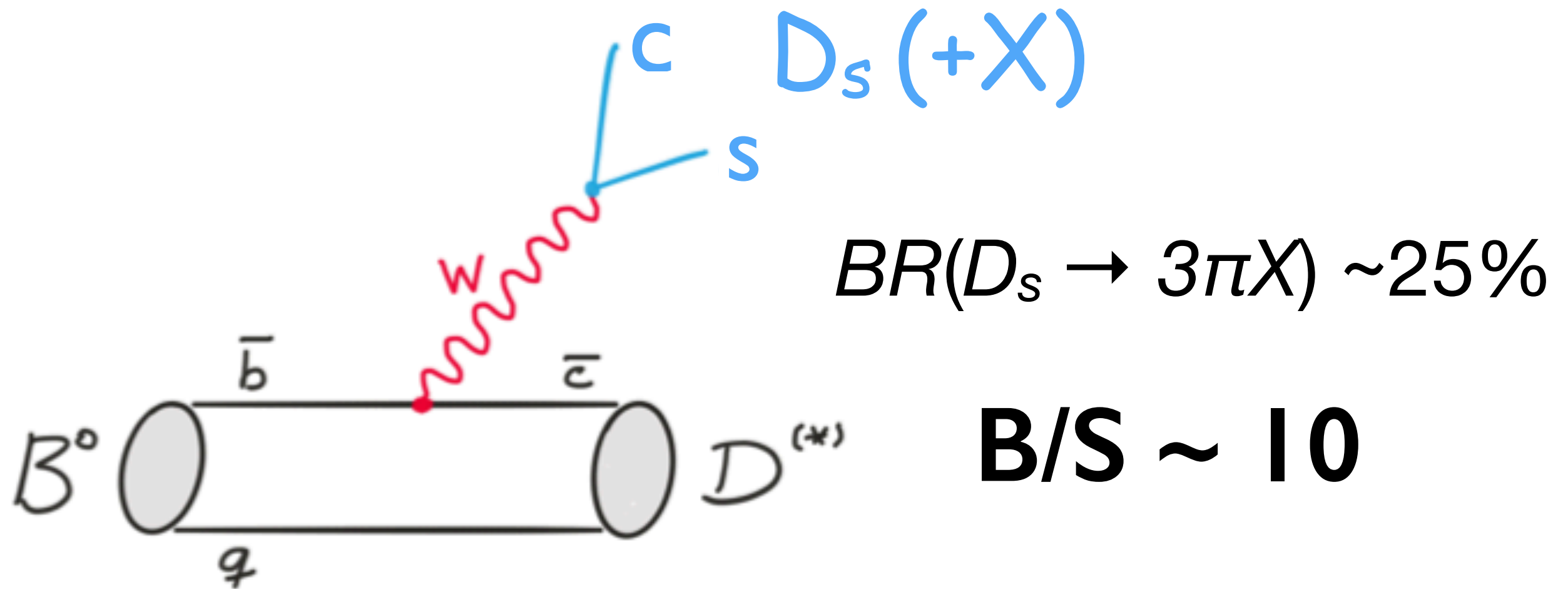
The *prompt* background



Detached vertex method



Double charm background



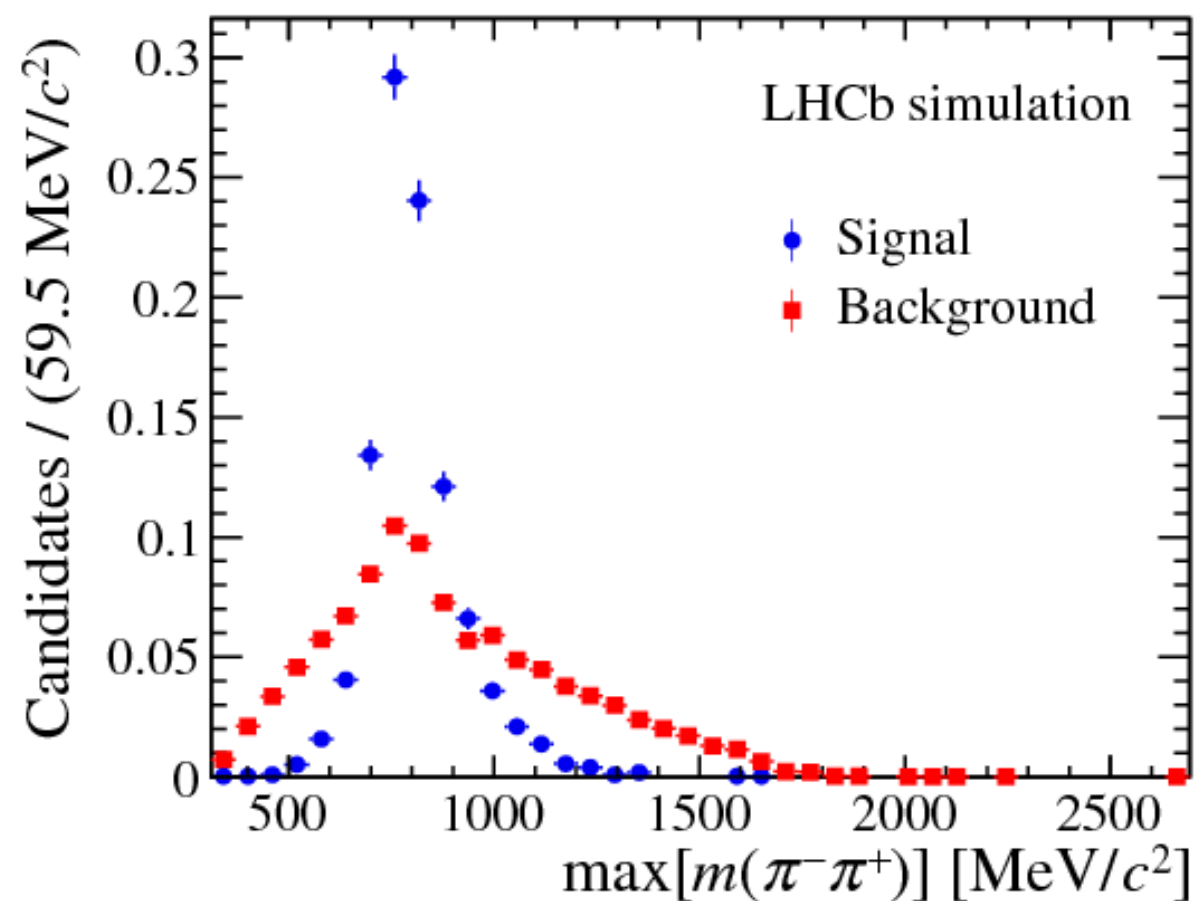
$D_s \rightarrow 3\pi X$ dominated by various modes with intermediate $\eta^{(\prime)}, \omega, \rho$, etc...

Some measured, some not...

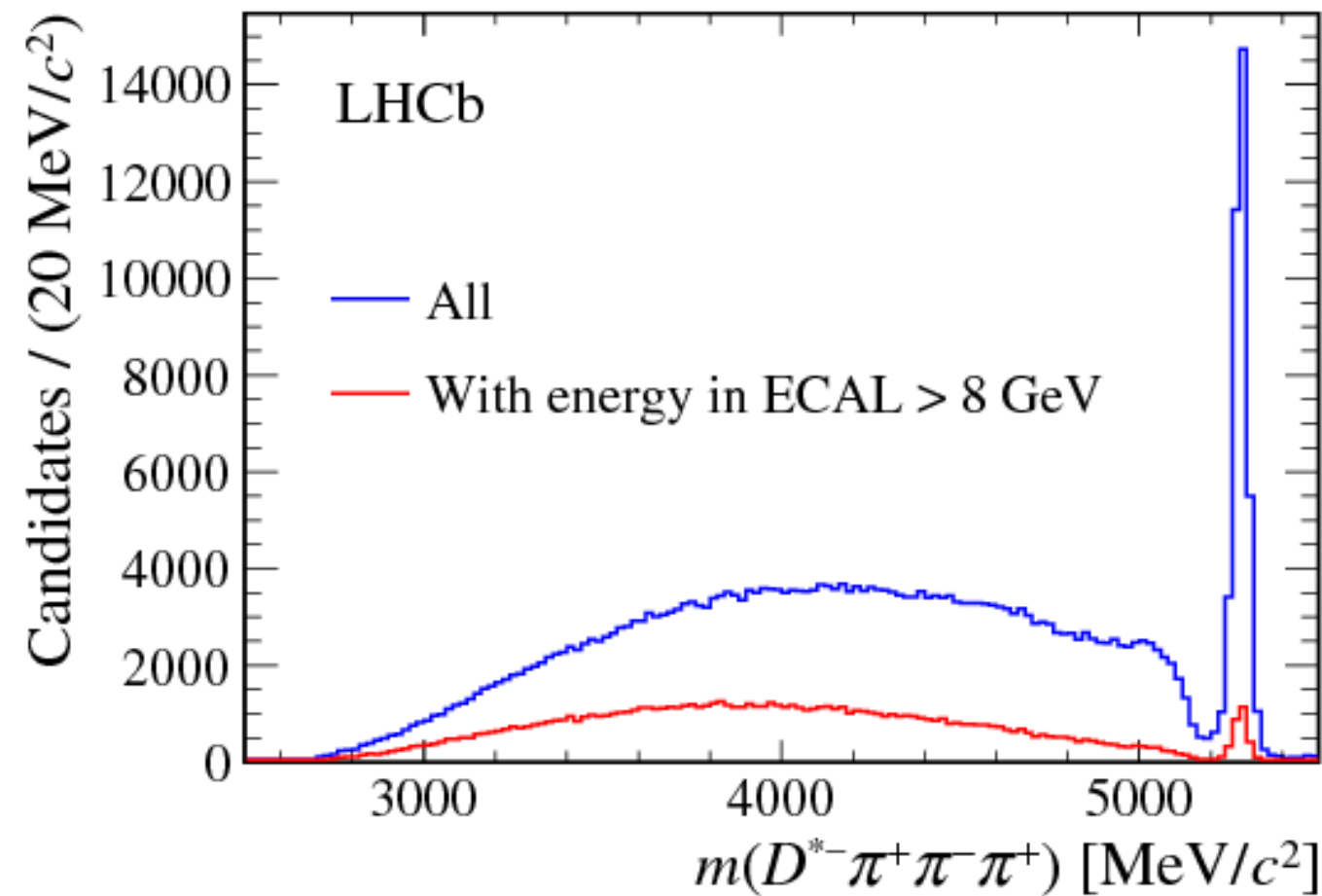
Also contributions with $D^* D^+ X$, $D^* D^0 X$...

The anti-double-charm BDT

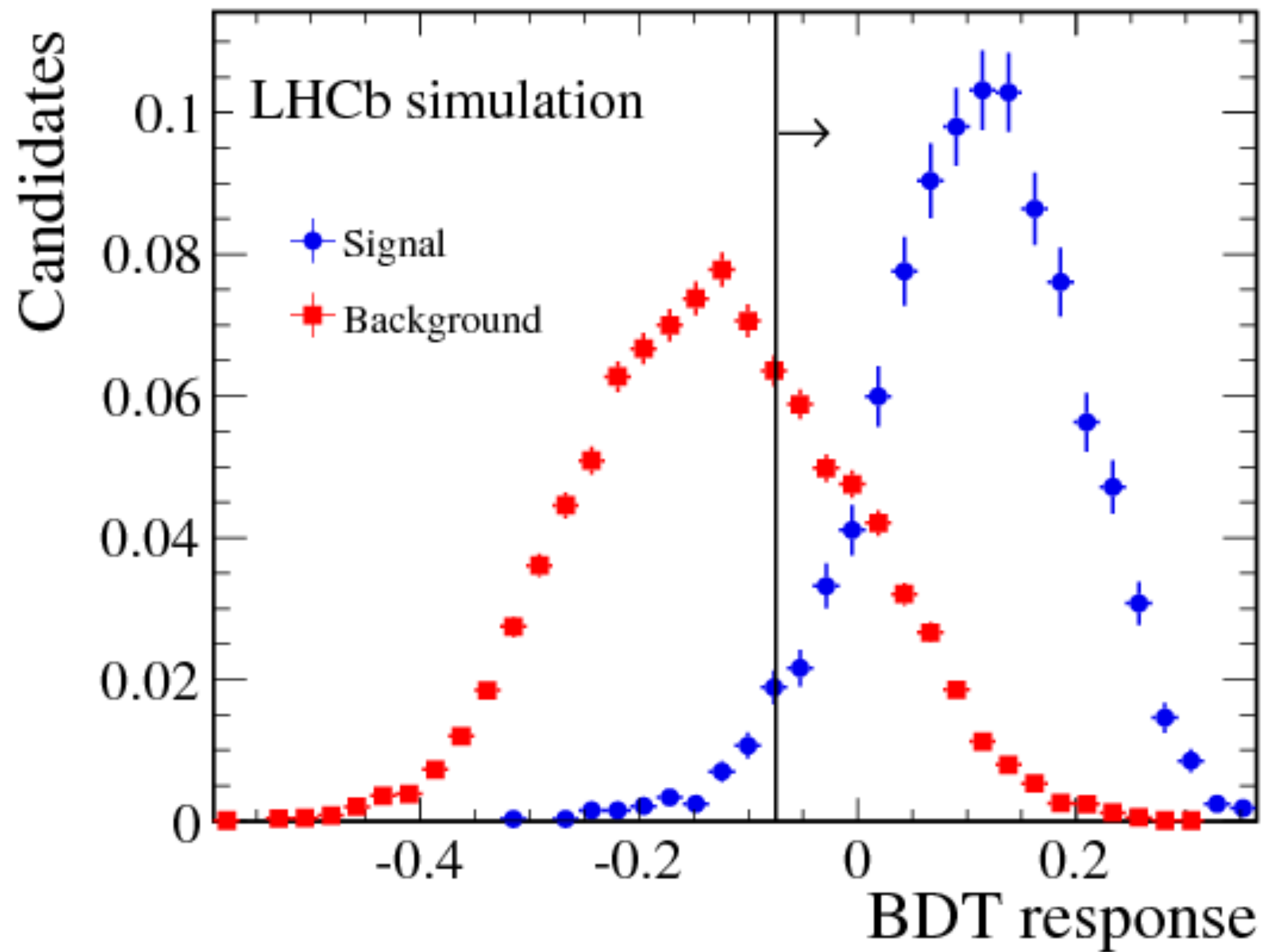
Kinematics, E.g.



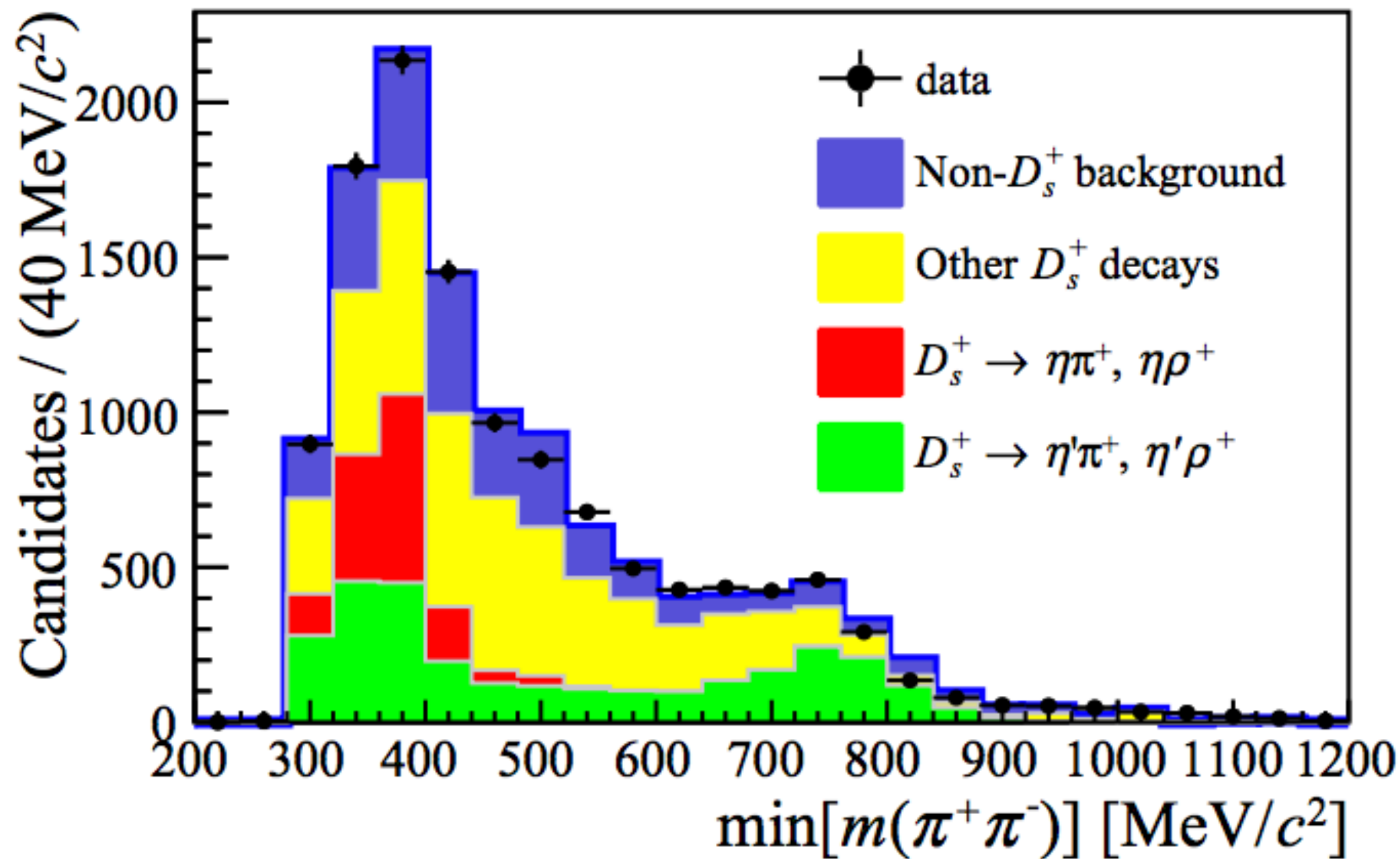
Neutral isolation



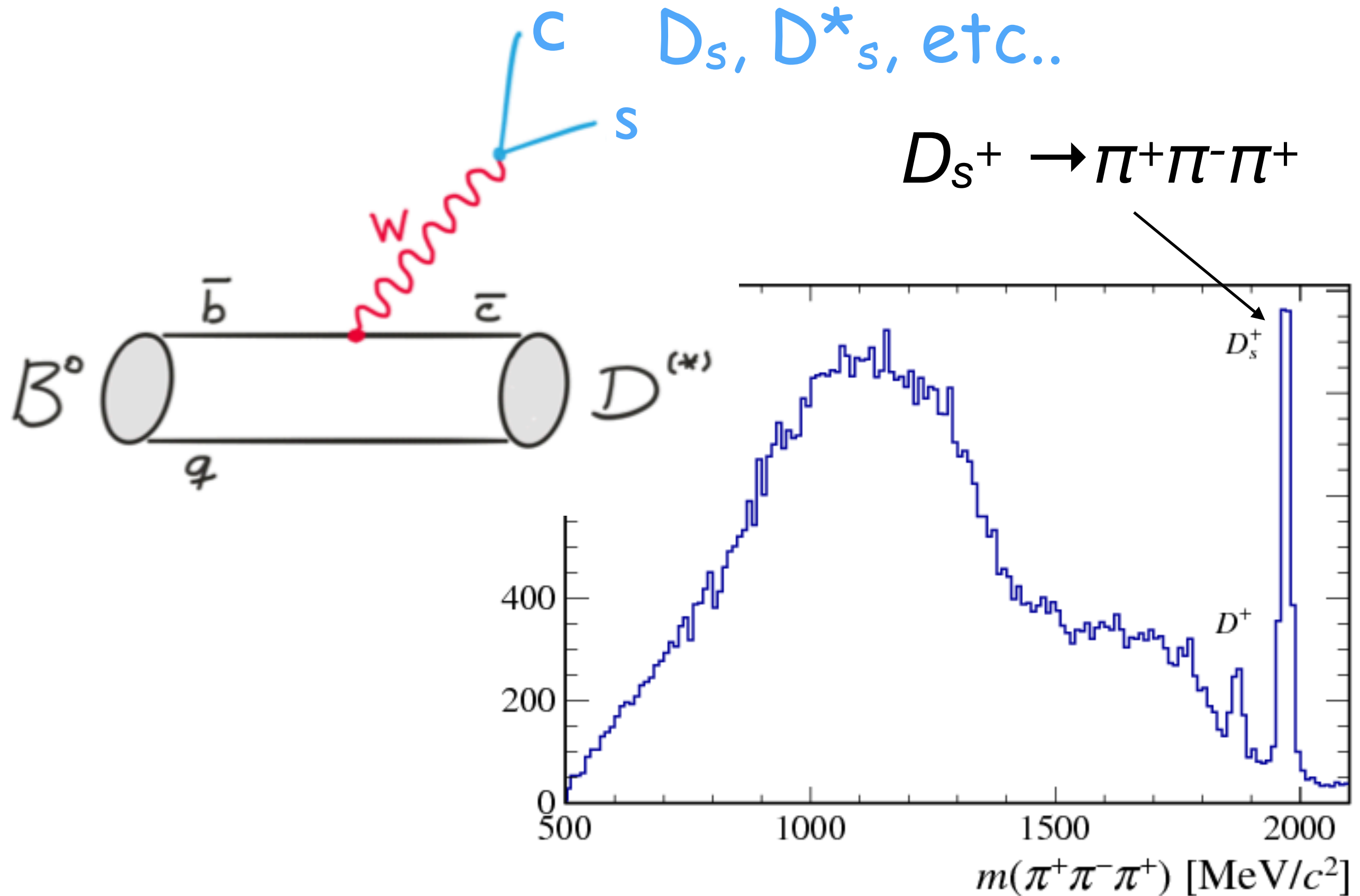
The anti-double-charm BDT



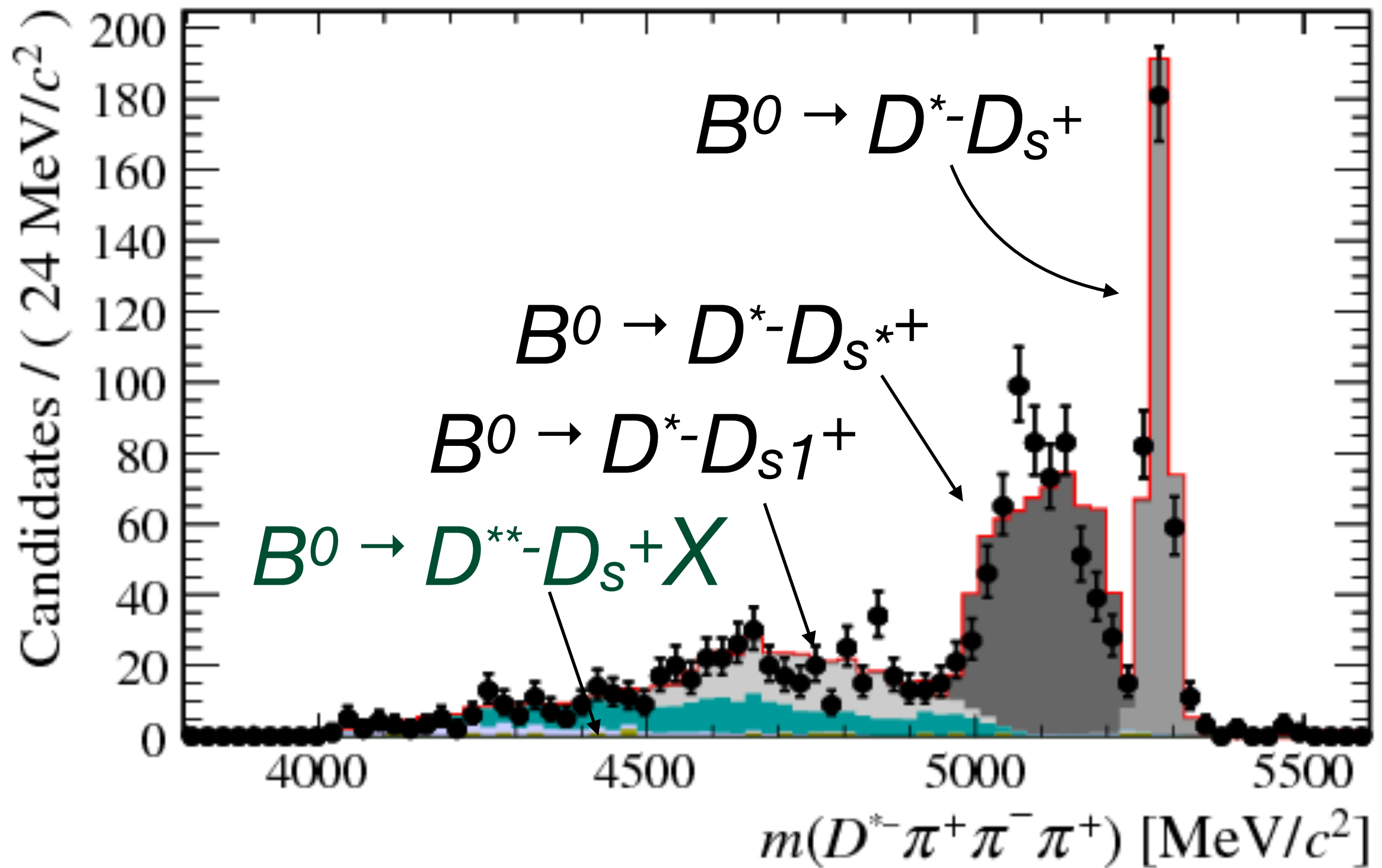
D_s enriched control region



Controlling the double-charm



Controlling the double-charm



Normalisation

Actually measure $\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi)}$

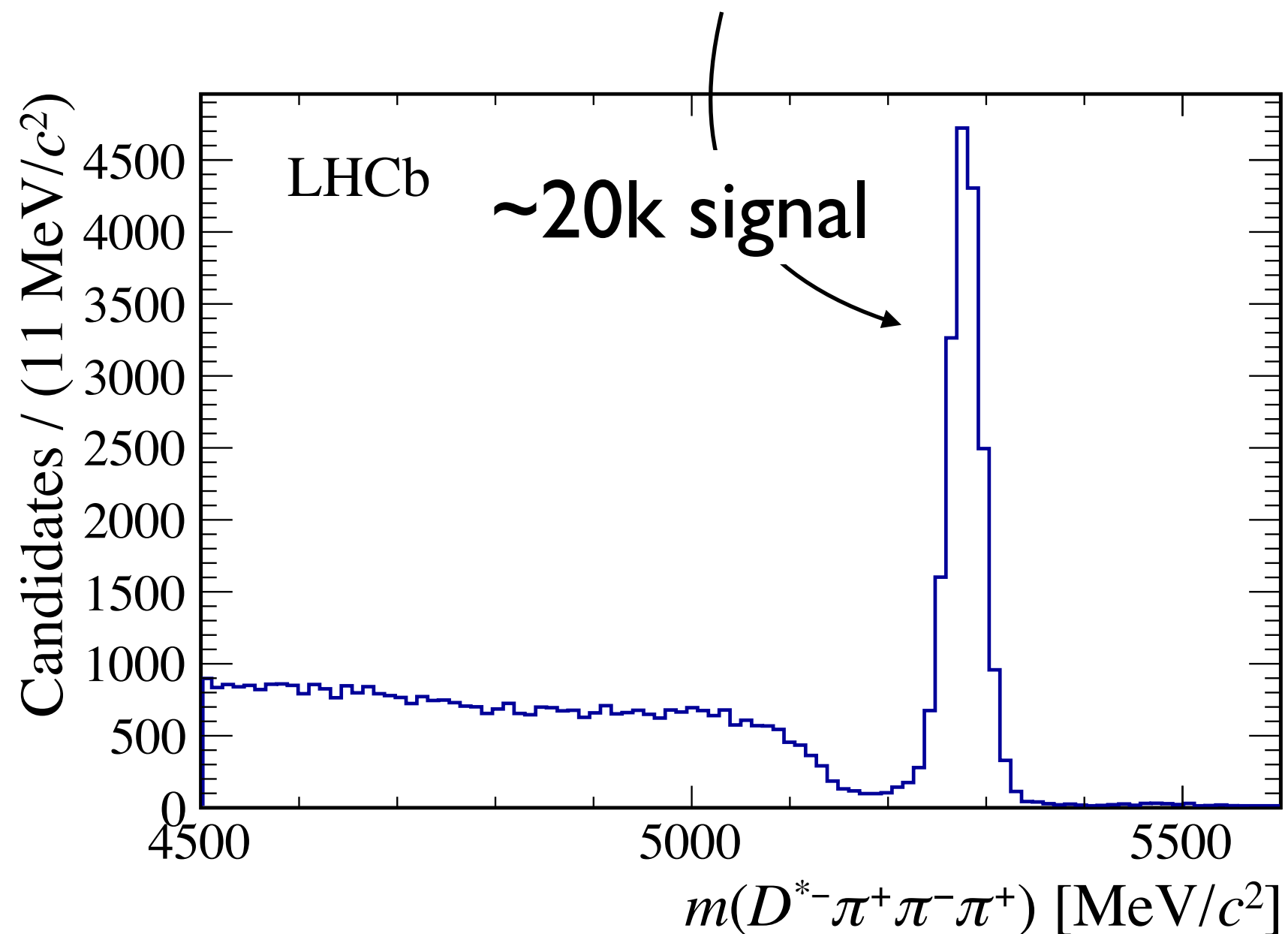
And use external measurements of $B(B \rightarrow D^* 3\pi)$ and $B(B \rightarrow D^* \mu \nu)$ to get $R(D^*)$.

Benefit from a new (2016) measurement of $B(B \rightarrow D^* 3\pi)$ from BaBar. [PRD 94 \(2016\) 091101](#)

$$B(B \rightarrow D^* 3\pi) = (0.726 \pm 0.011 \pm 0.031)\%$$

Normalisation

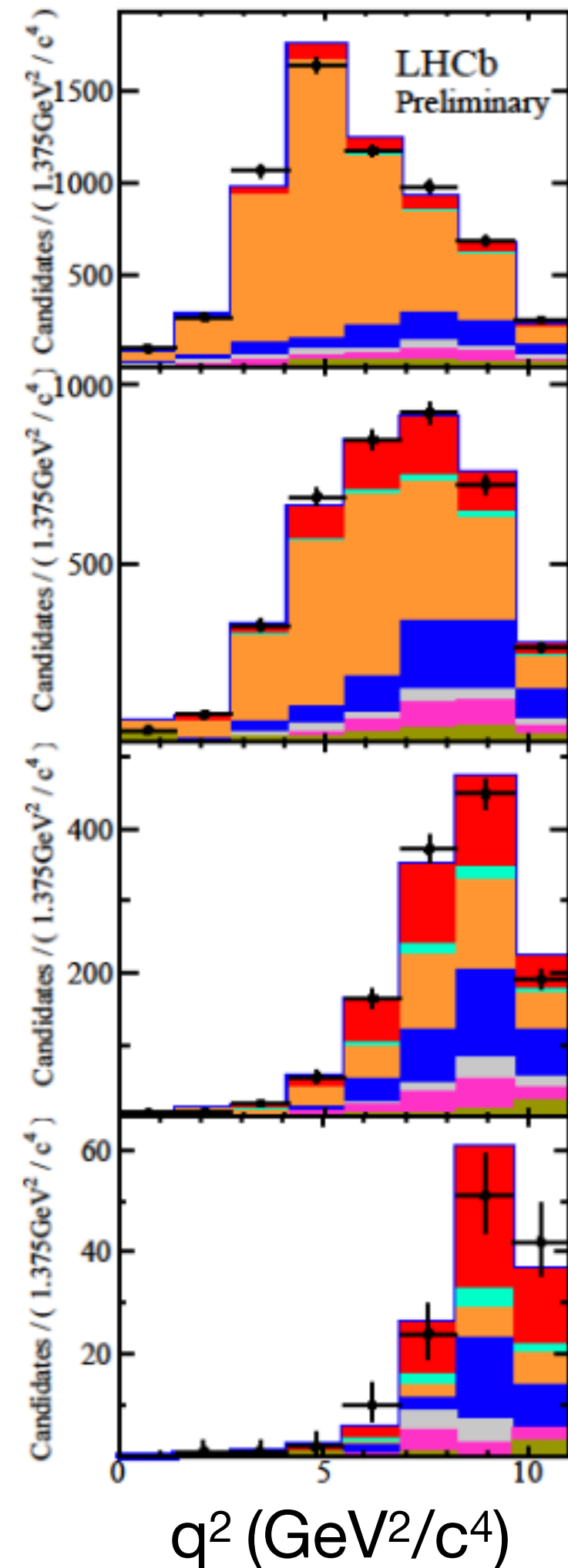
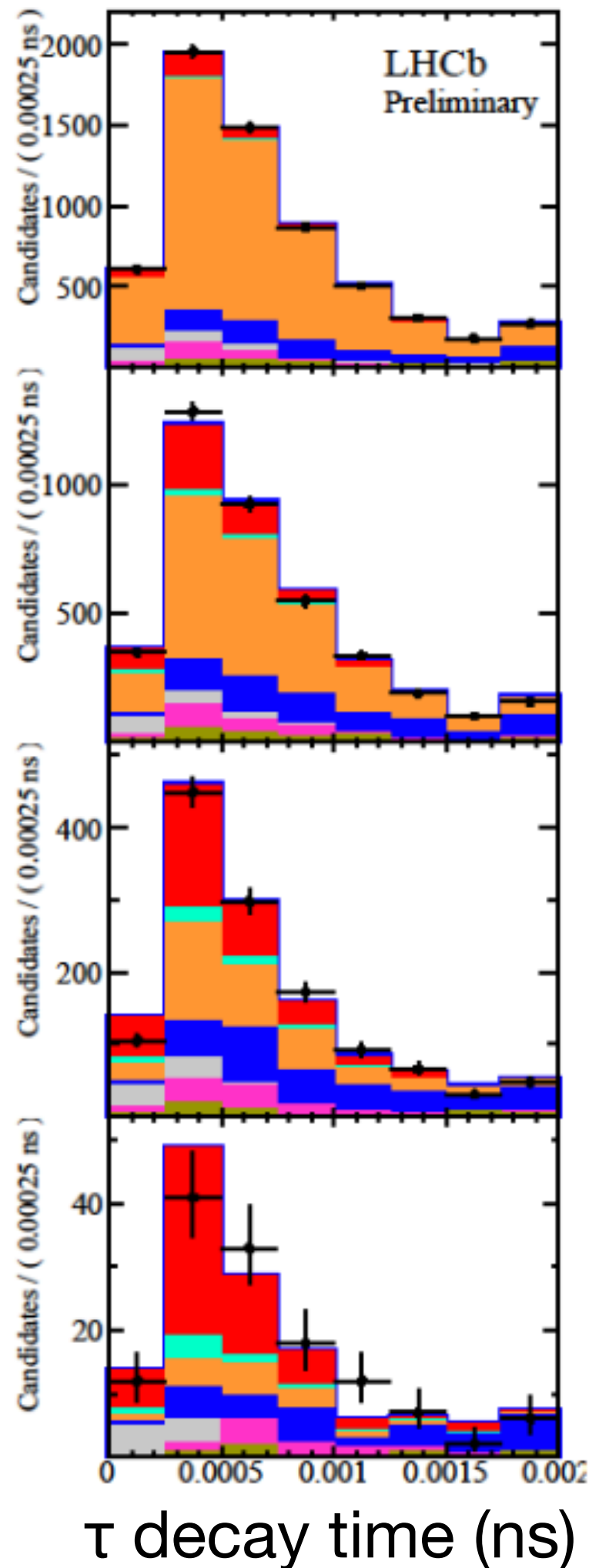
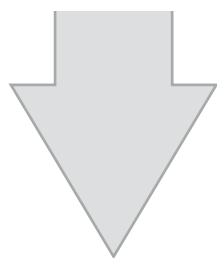
Actually measure $\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi)}$



The signal fit



Higher
BDT
score



The result

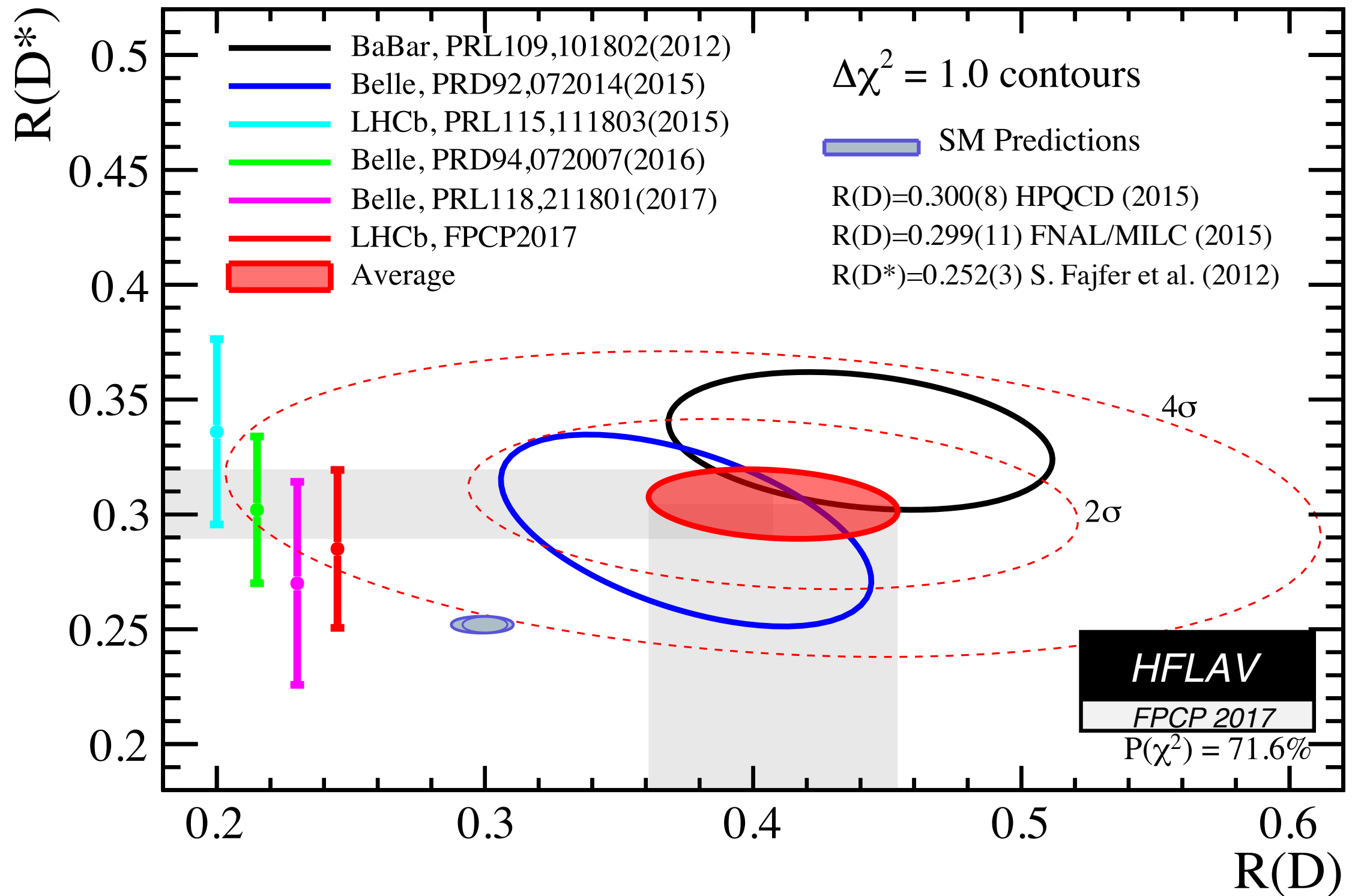
$$B(D^*\tau\nu)/B(3D^*\pi) = 1.93 \pm 0.13_{\text{stat}} \pm 0.17_{\text{syst}}$$

$$R(D^*) = 0.285 \pm 0.019_{\text{stat}} \pm 0.025_{\text{syst}} \pm 0.014_{\text{ext}}$$

Consistent with the SM and with the previous results

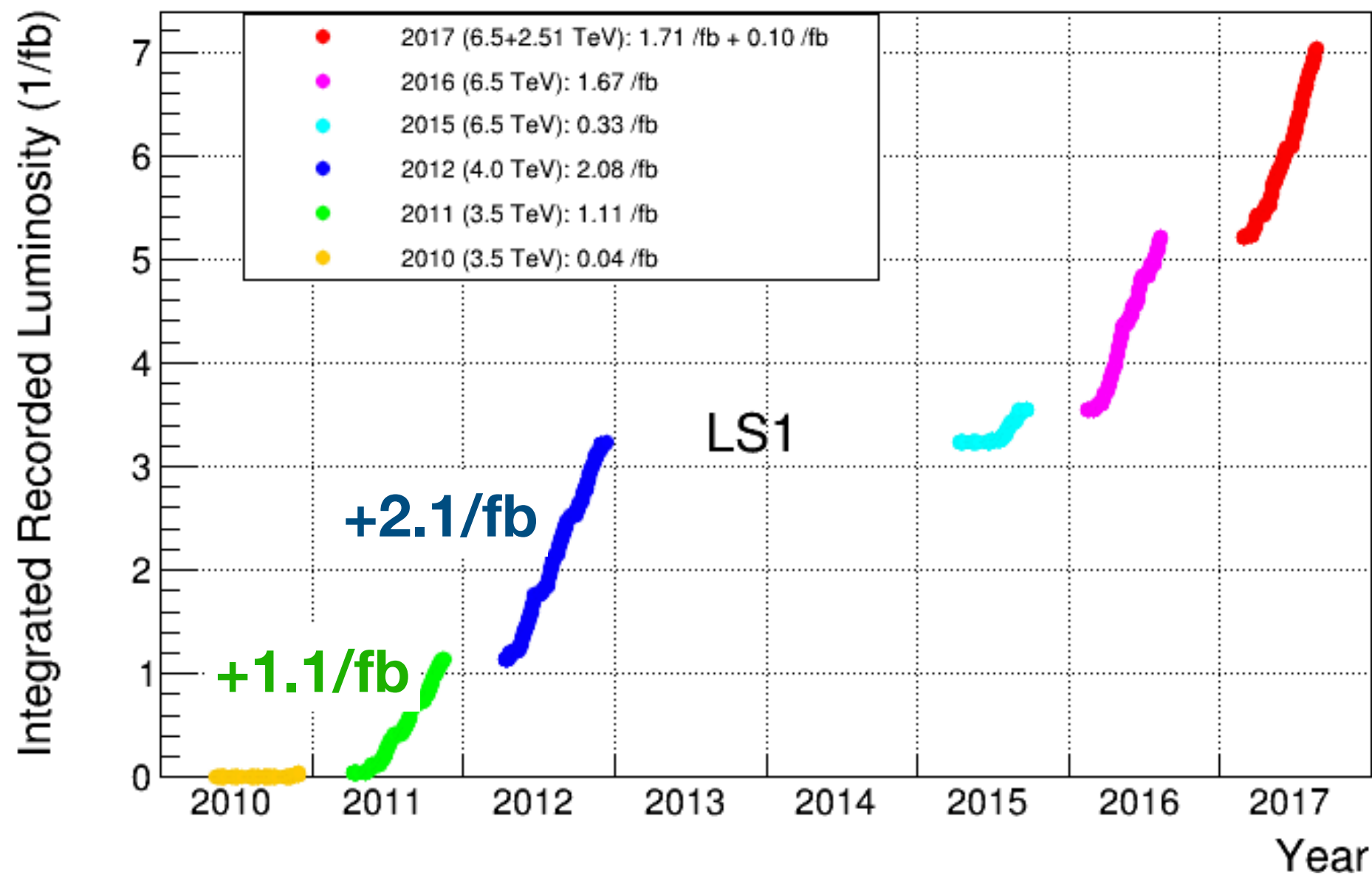
Contribution	Value %
Simulated sample size	4.7
Signal modeling	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feed-downs	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

The state-of-the-art



The tension is now at the level of 4.1σ

LHCb in the near future

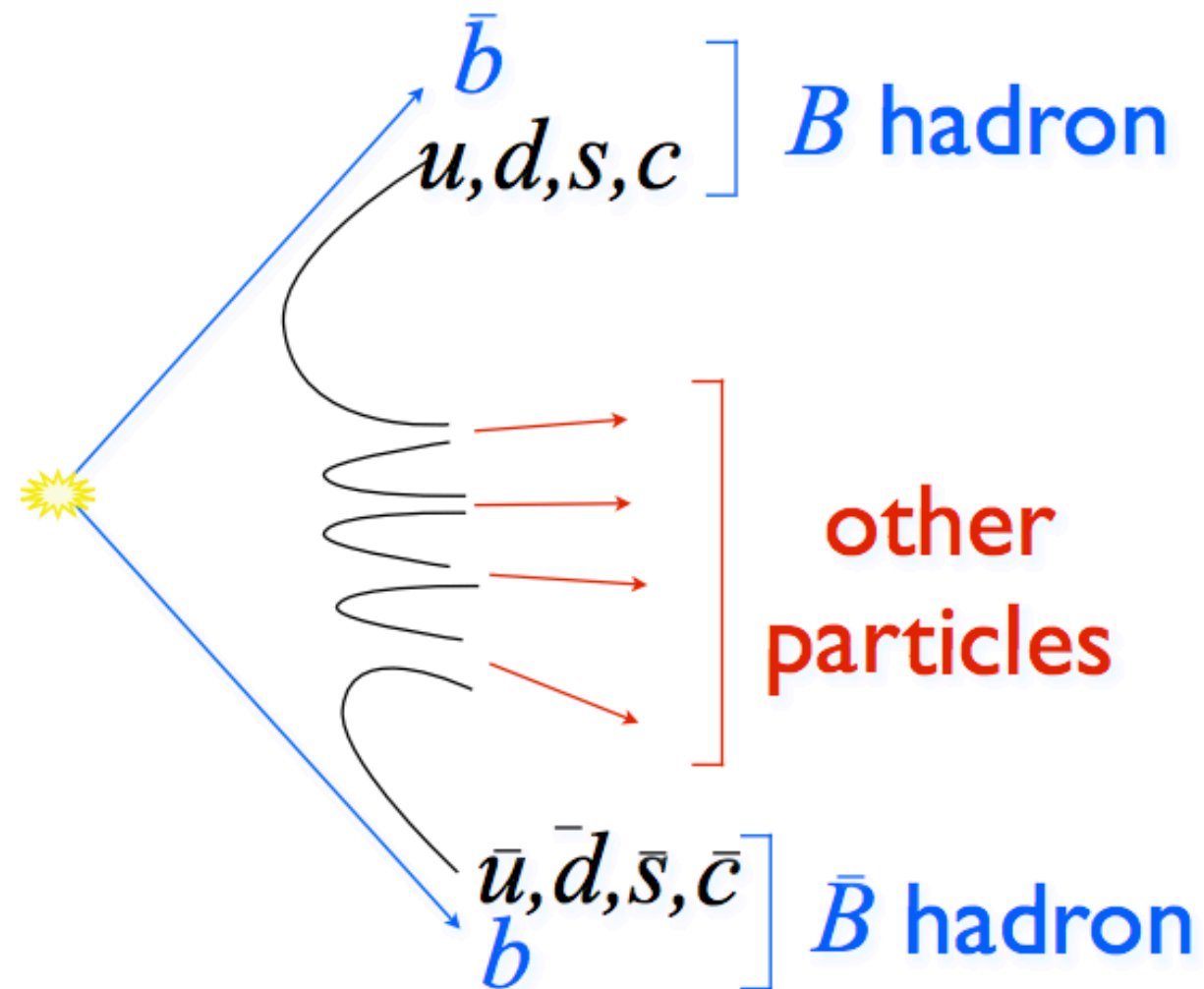


Further 2 fb^{-1} is anticipated in 2018.

Given higher cross sections, and trigger improvements, Run-II typically represents a 4-5x increase over Run-I!

LHCb in the near future

$R_{\tau\mu}$ measurements in progress with



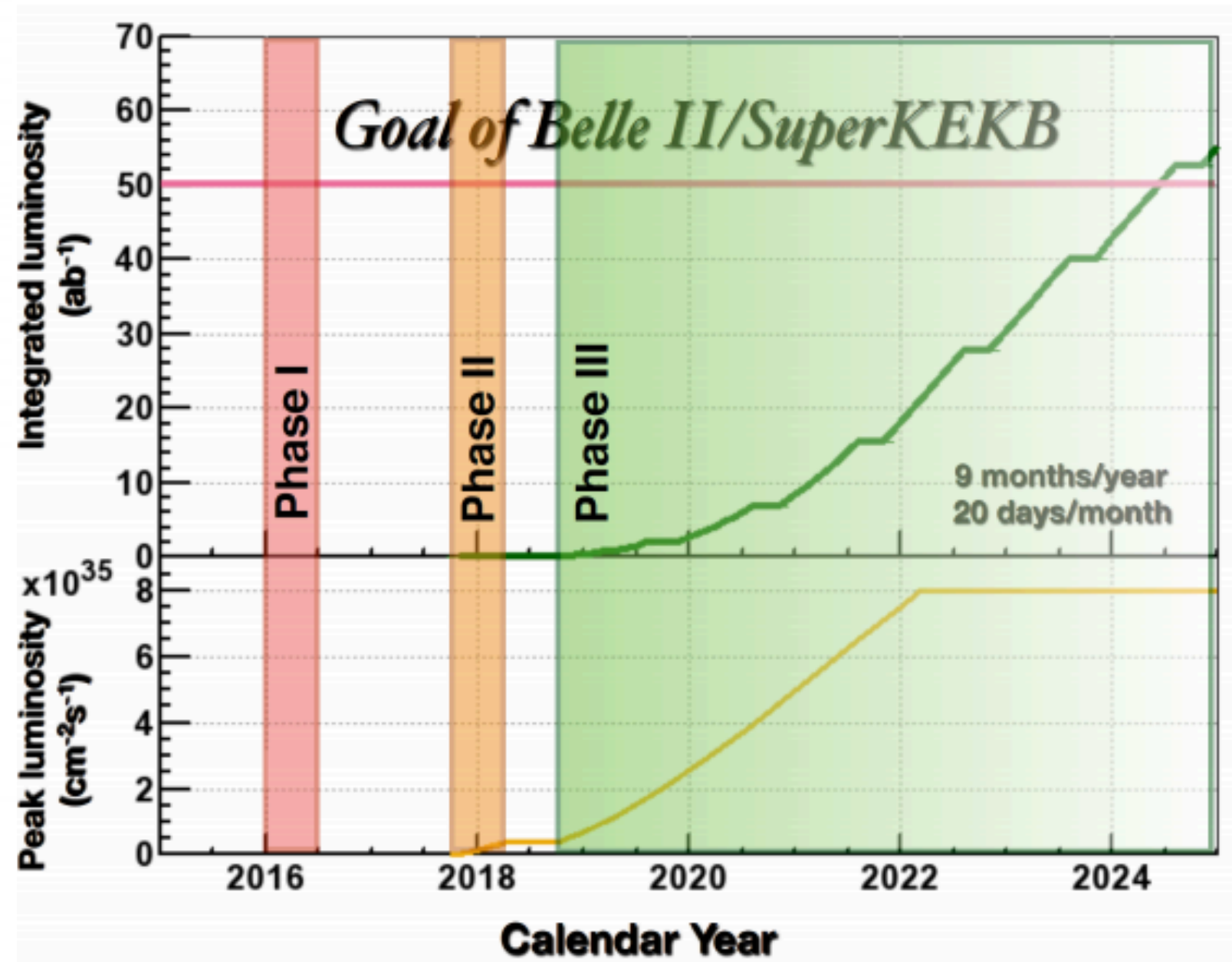
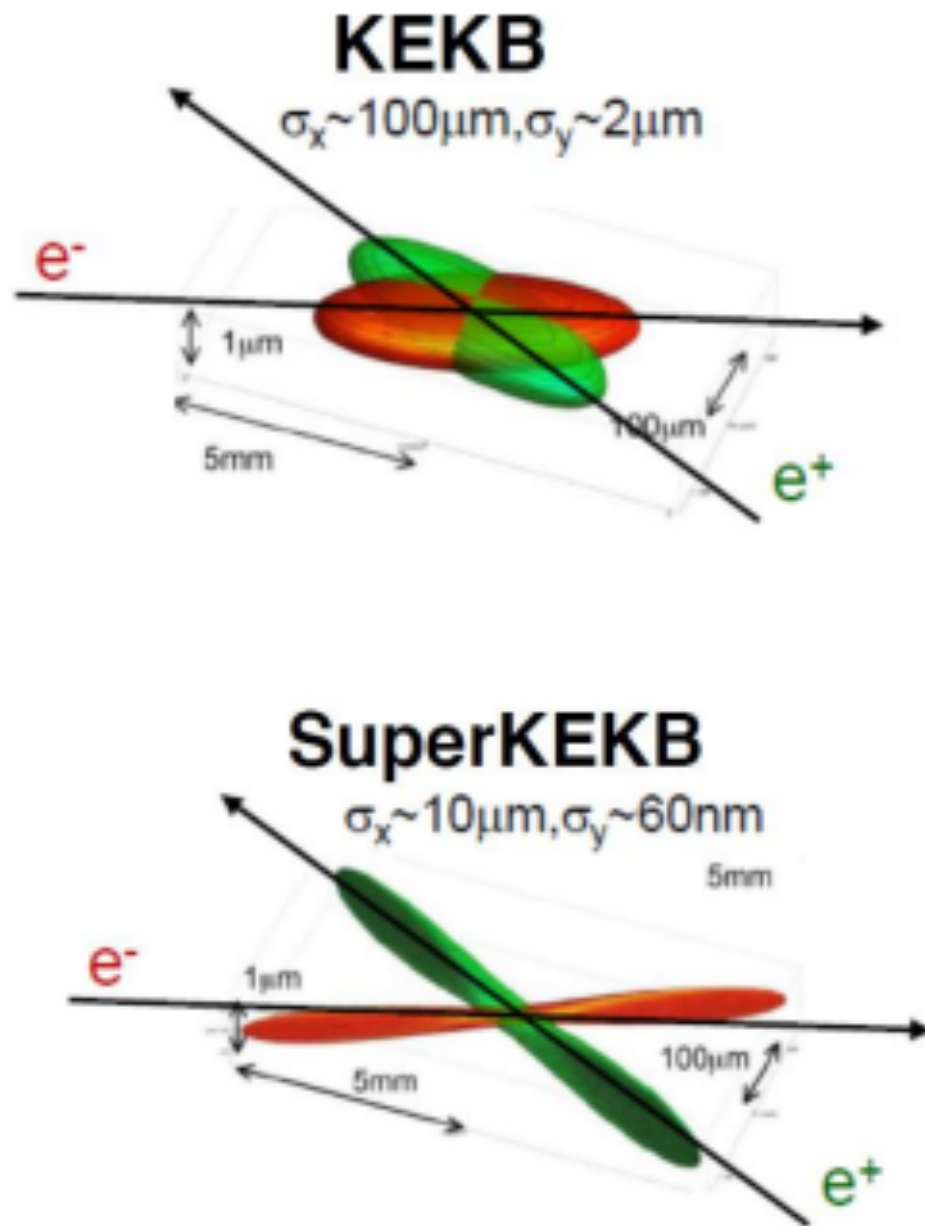
$b \rightarrow c$

$B \rightarrow D^{*+} \tau \nu$
 $B \rightarrow D^{+,0} \tau \nu$
 $B_s \rightarrow D_s \tau \nu$
 $\Lambda_b \rightarrow \Lambda^{(*)}_c \tau \nu$
 $B_c \rightarrow J/\psi \tau \nu$
 etc...

$b \rightarrow u$

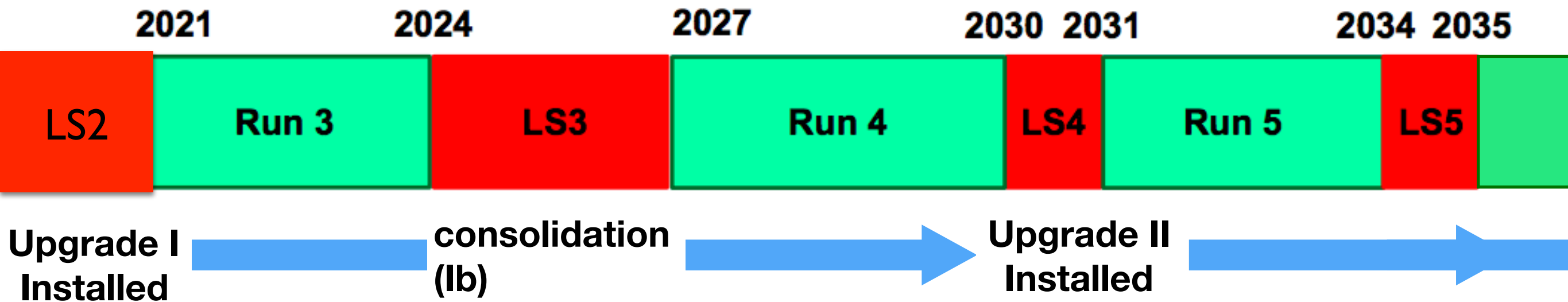
$\Lambda_b \rightarrow p \tau \nu$
 $B \rightarrow p \tau \nu$
 etc..

SuperKEKB and Belle II



Aim to record $\sim 50\times$ Belle dataset by 2025!

LHCb upgrades



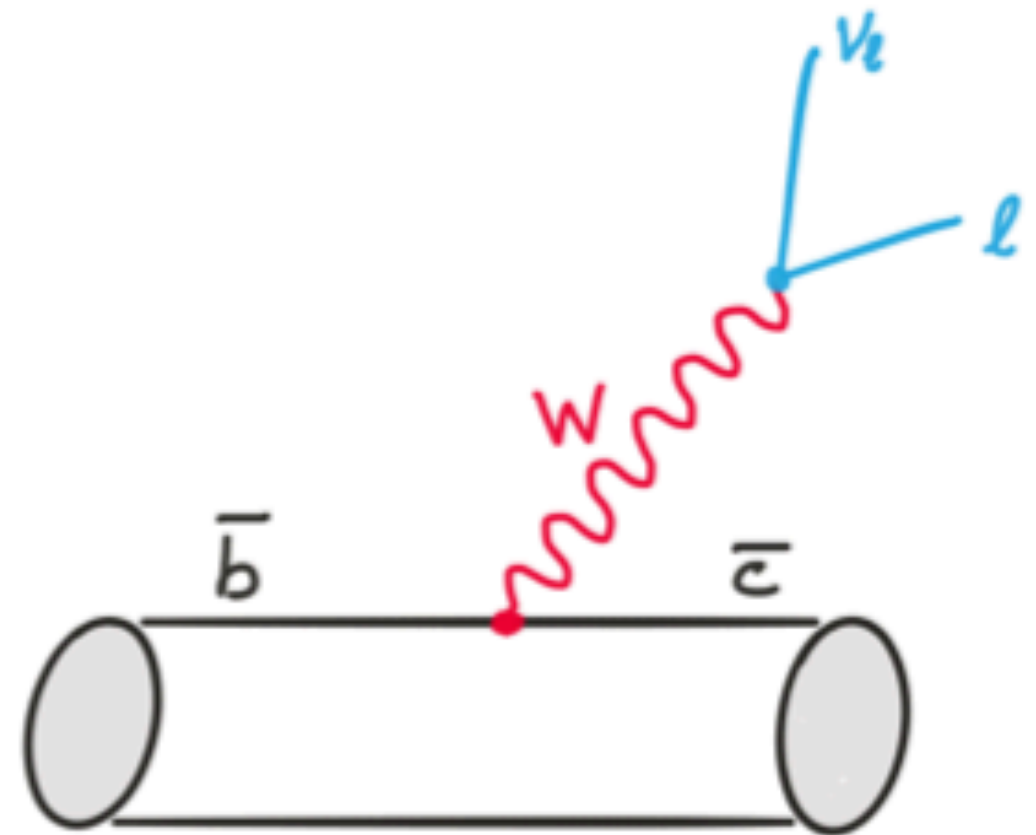
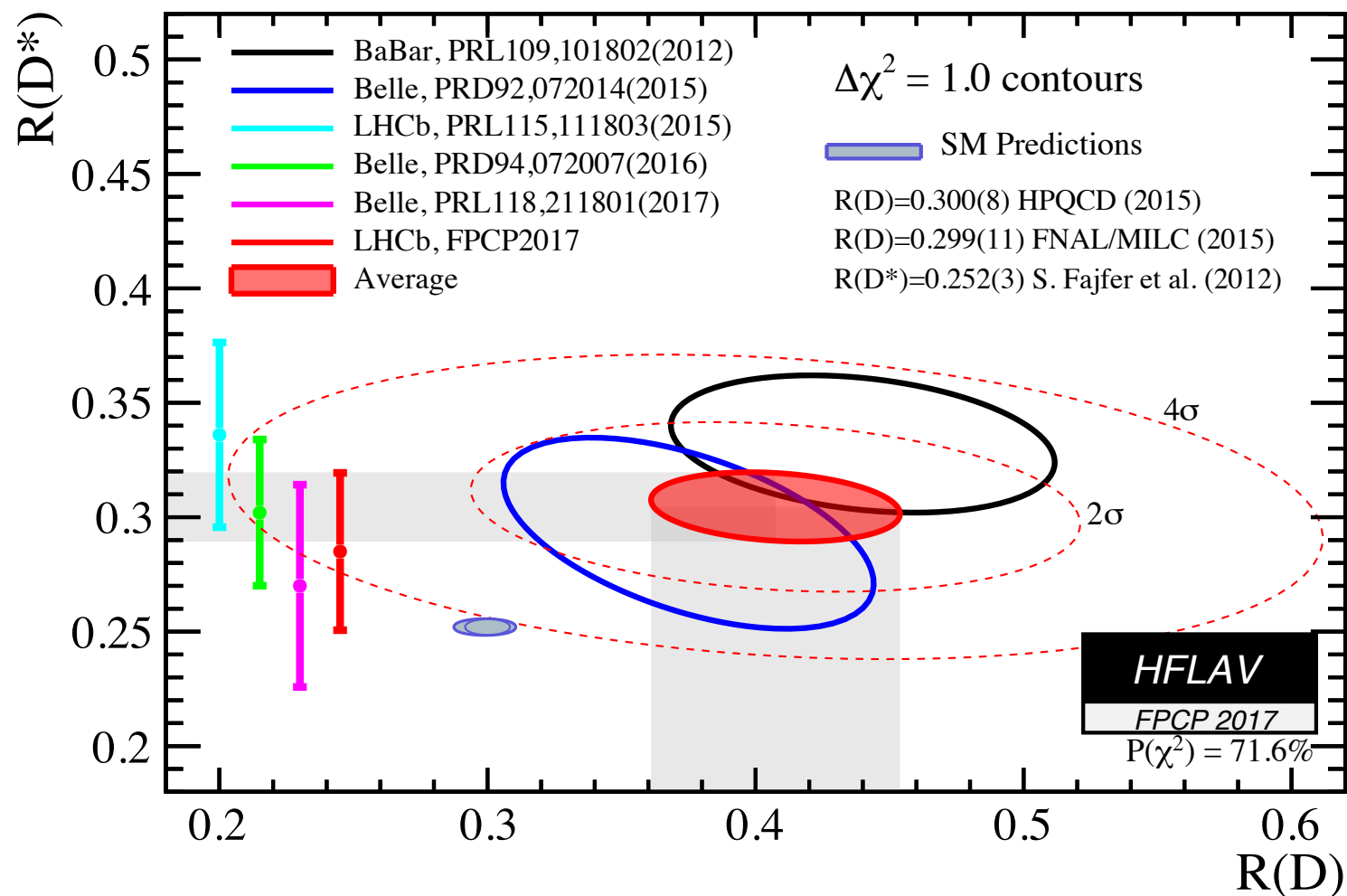
- **Upgrade I (50 fb^{-1})**
Full software trigger
(5x) increase in luminosity to $2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$.
- **Upgrade II ($300+ \text{ fb}^{-1}$)**
Increase luminosity to $1 - 2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$.
Fast-timing, higher granularity and rad-hardness.

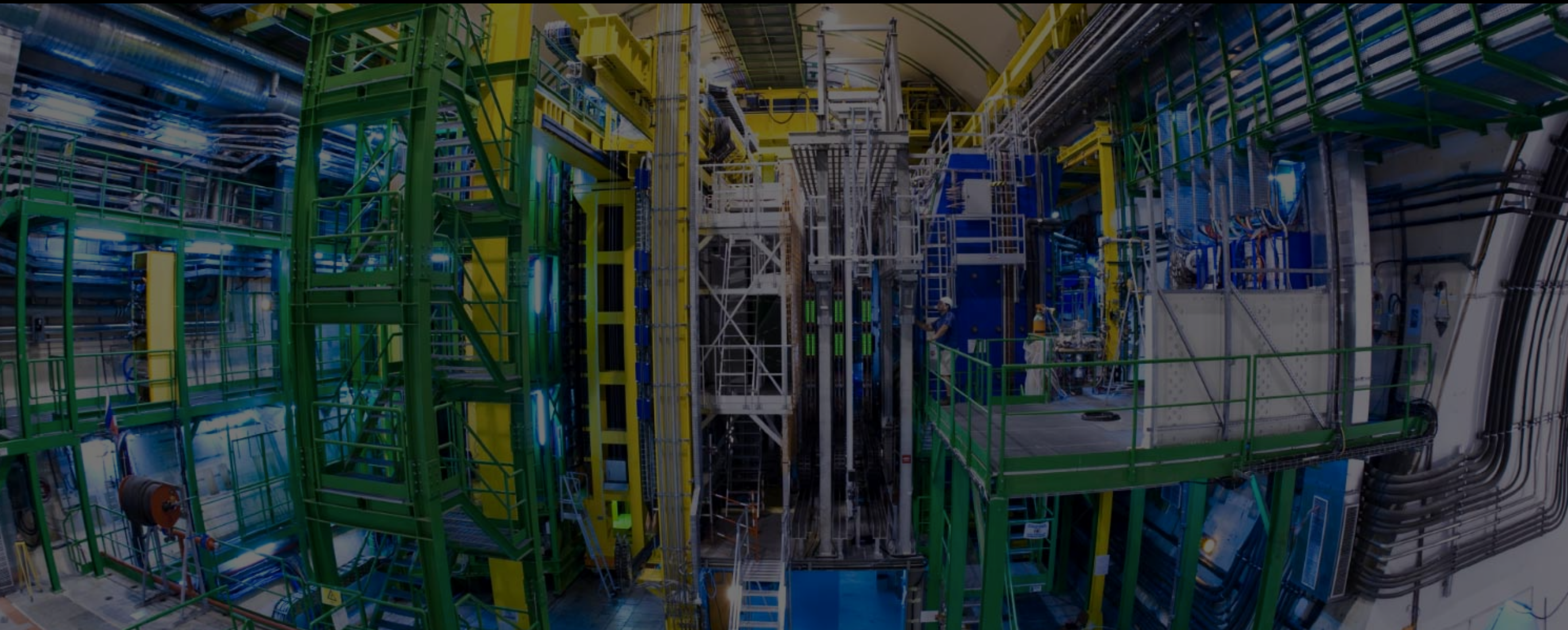


Summary

Do we see breaking of lepton universality in b decays?

Too early to claim any discovery, but exciting prospects from LHCb and Belle II!



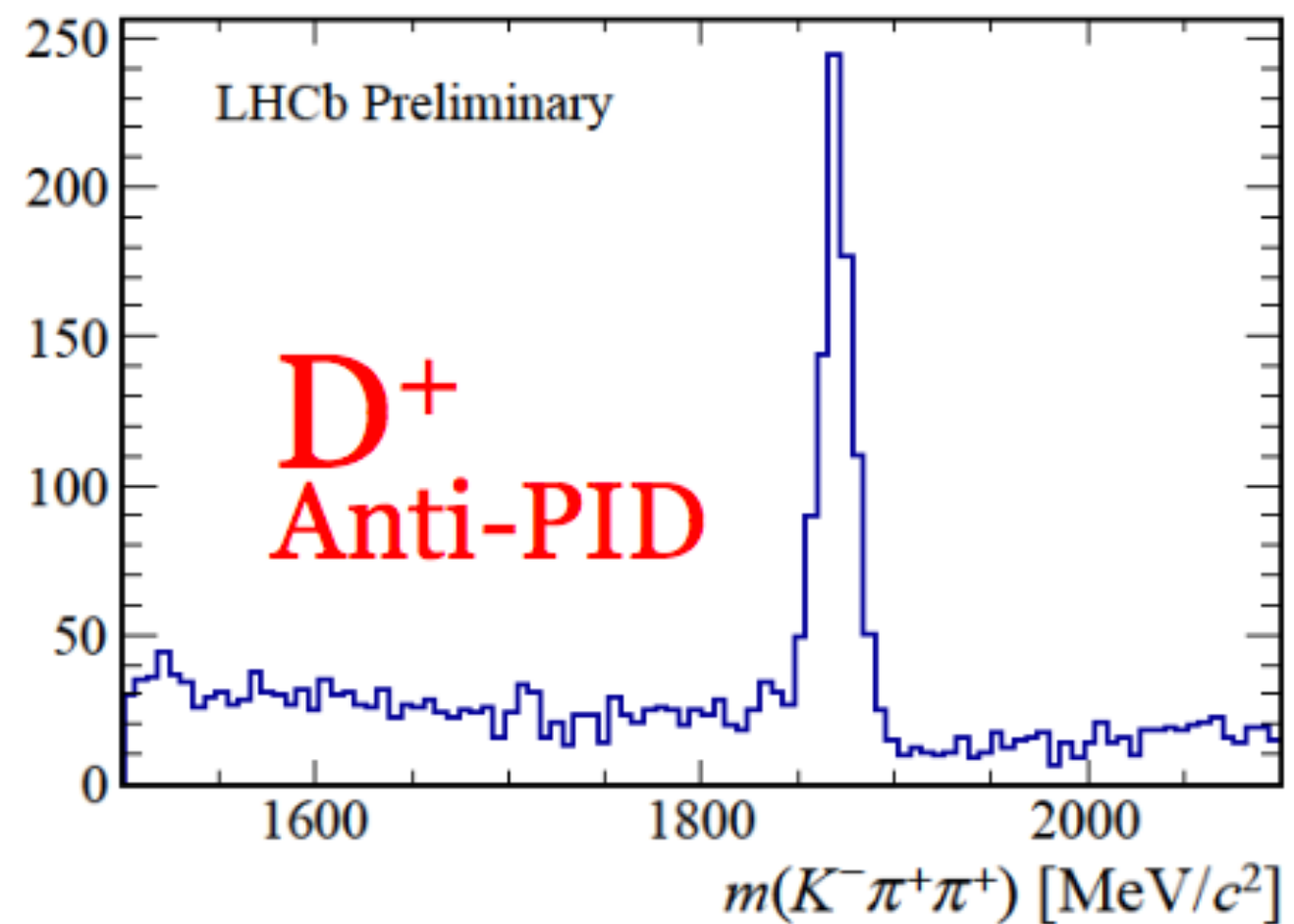
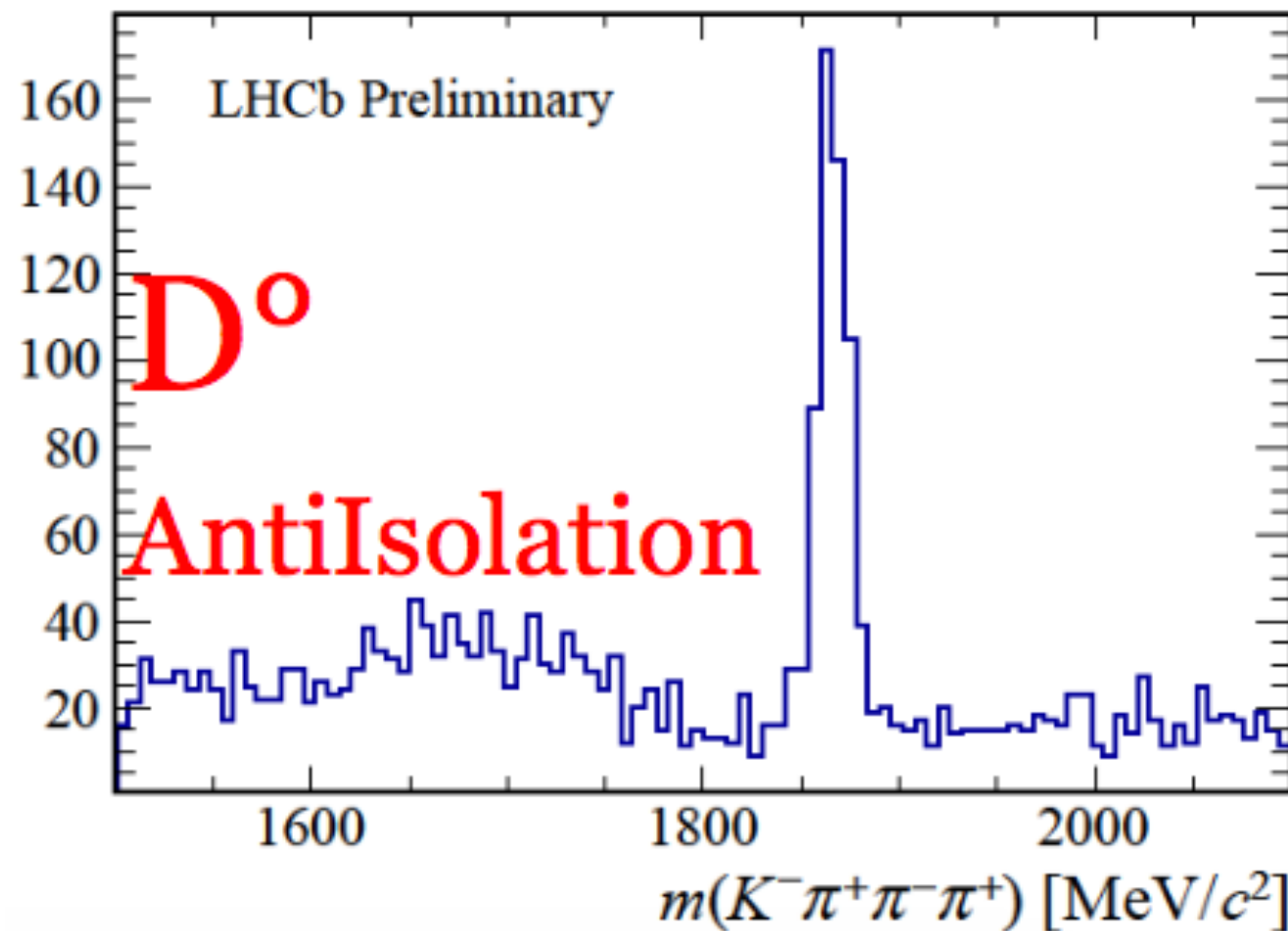


Backup slides start here

$R(D^*)$ muonic systematics

Source $\sim \delta R_D / R_D$	
Template stats	6%
Background muon mis-ID	5%
Form factors	3%
Relative efficiency	3%
Background (DD_s)	1.5%
Background (combinatoric)	1%
Total	9%

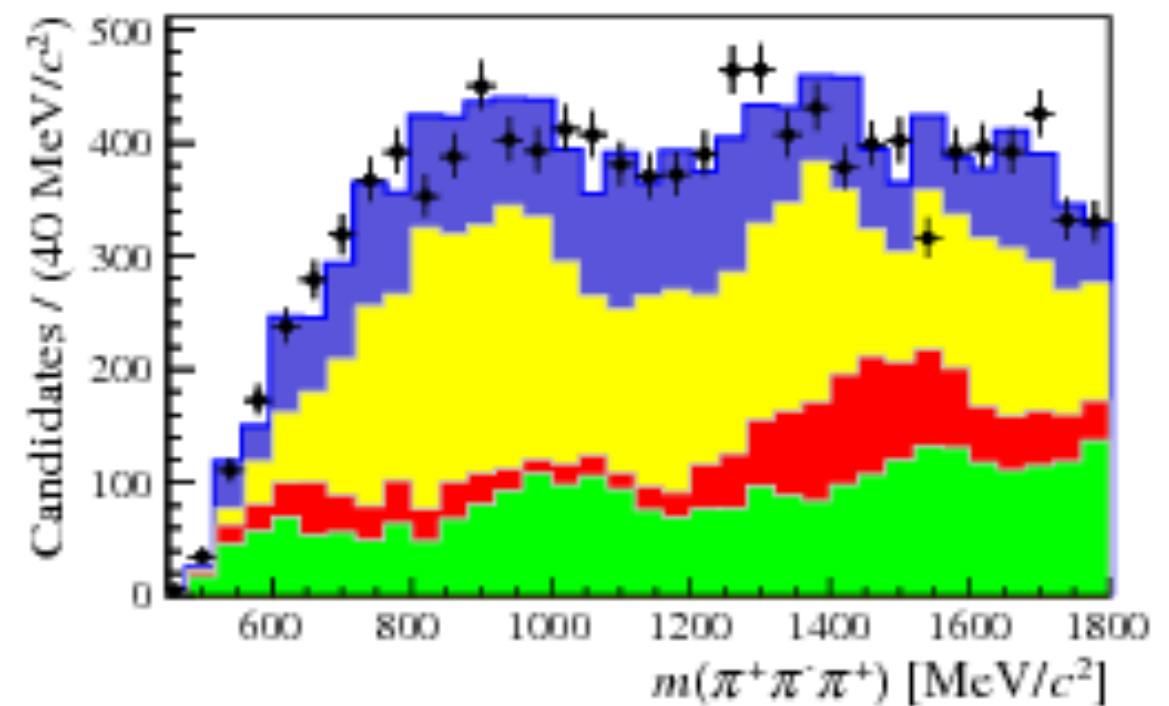
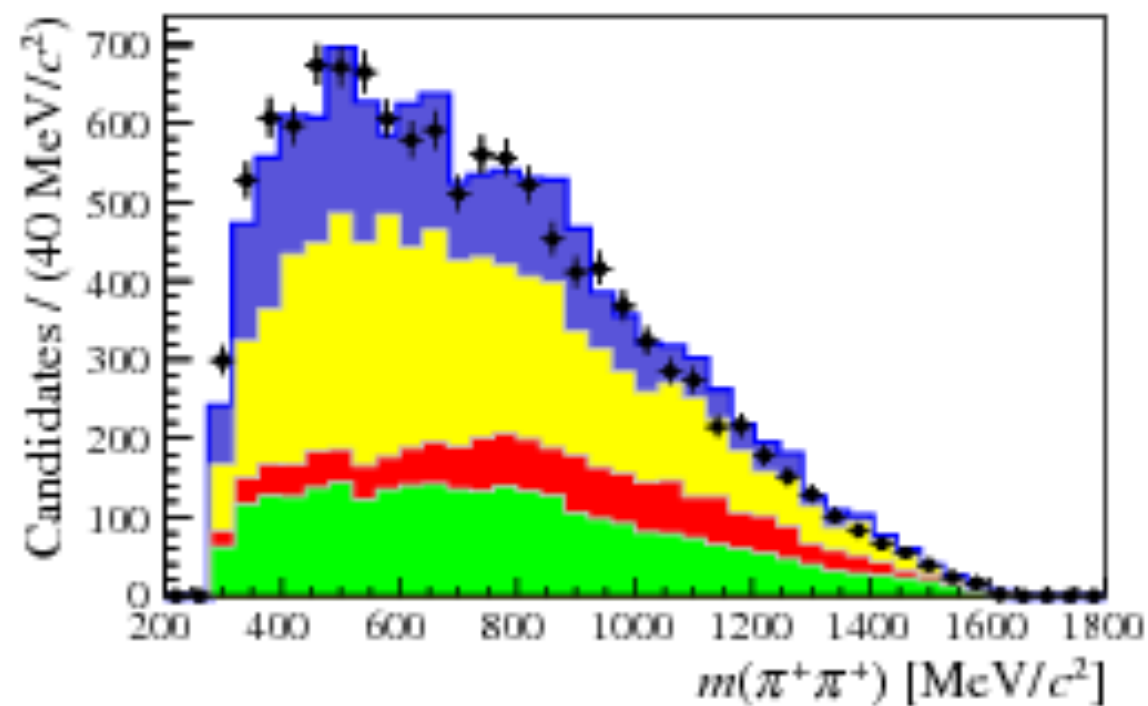
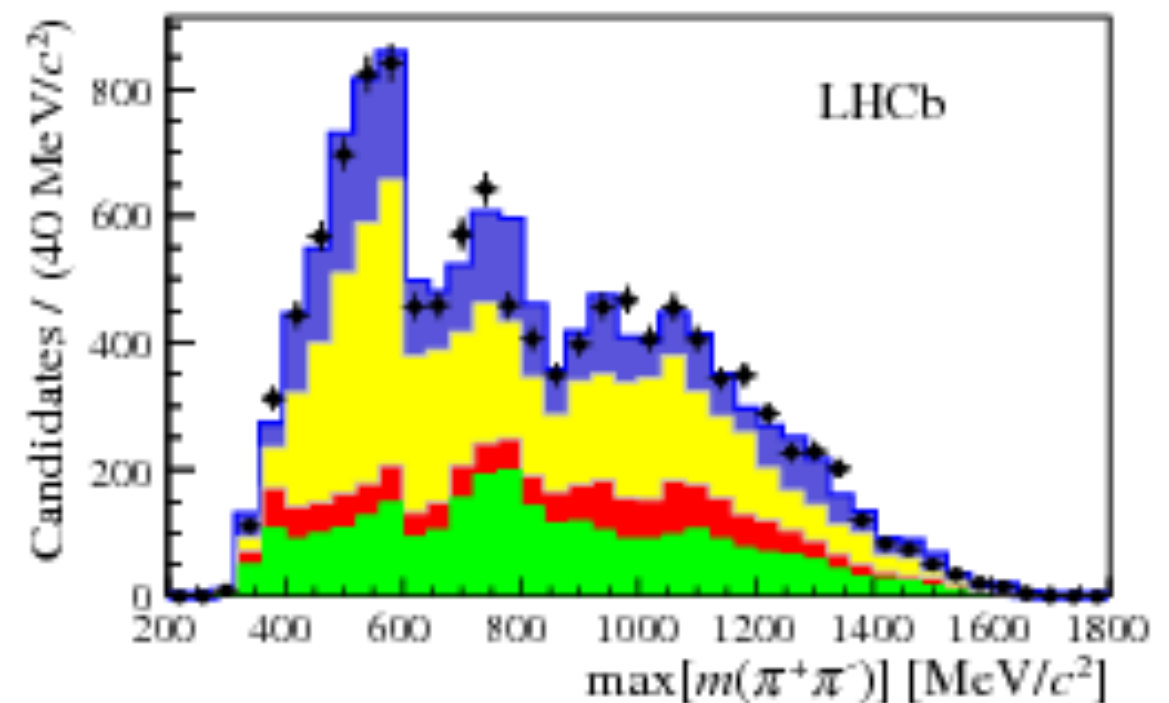
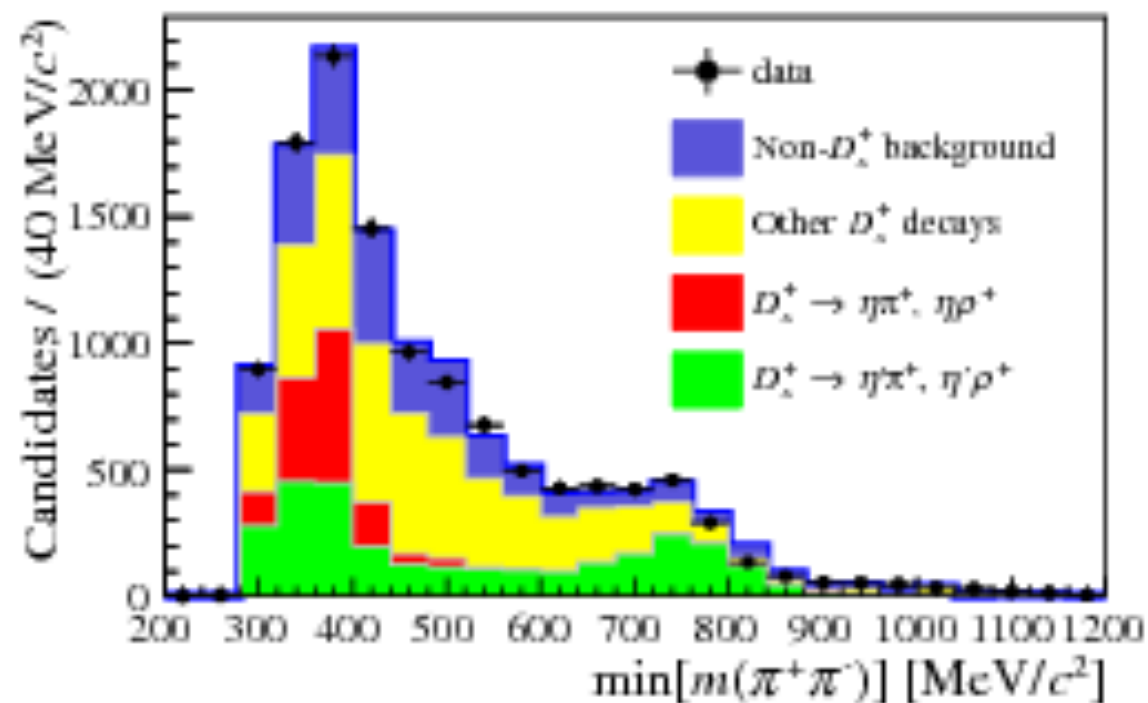
Other double-charm

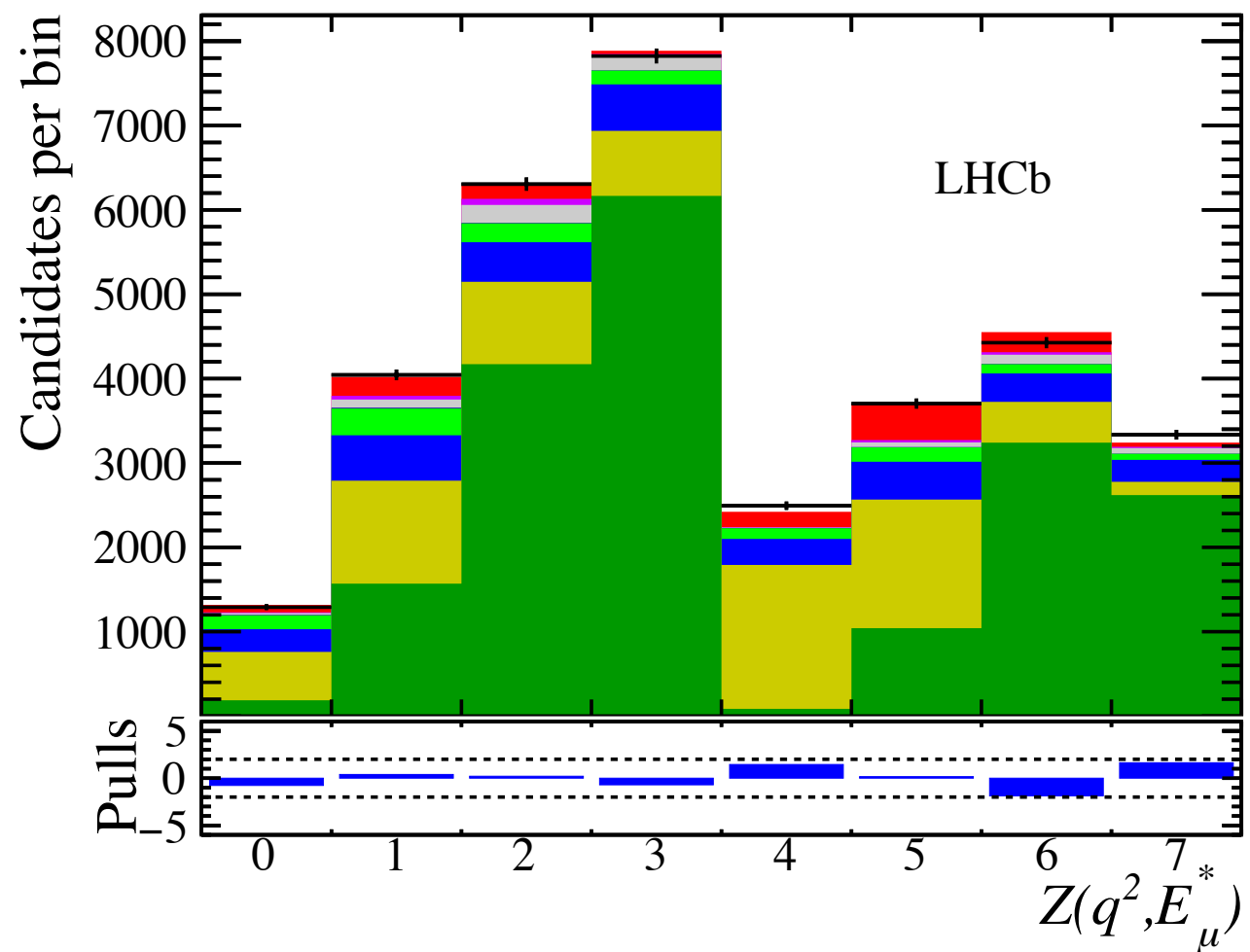
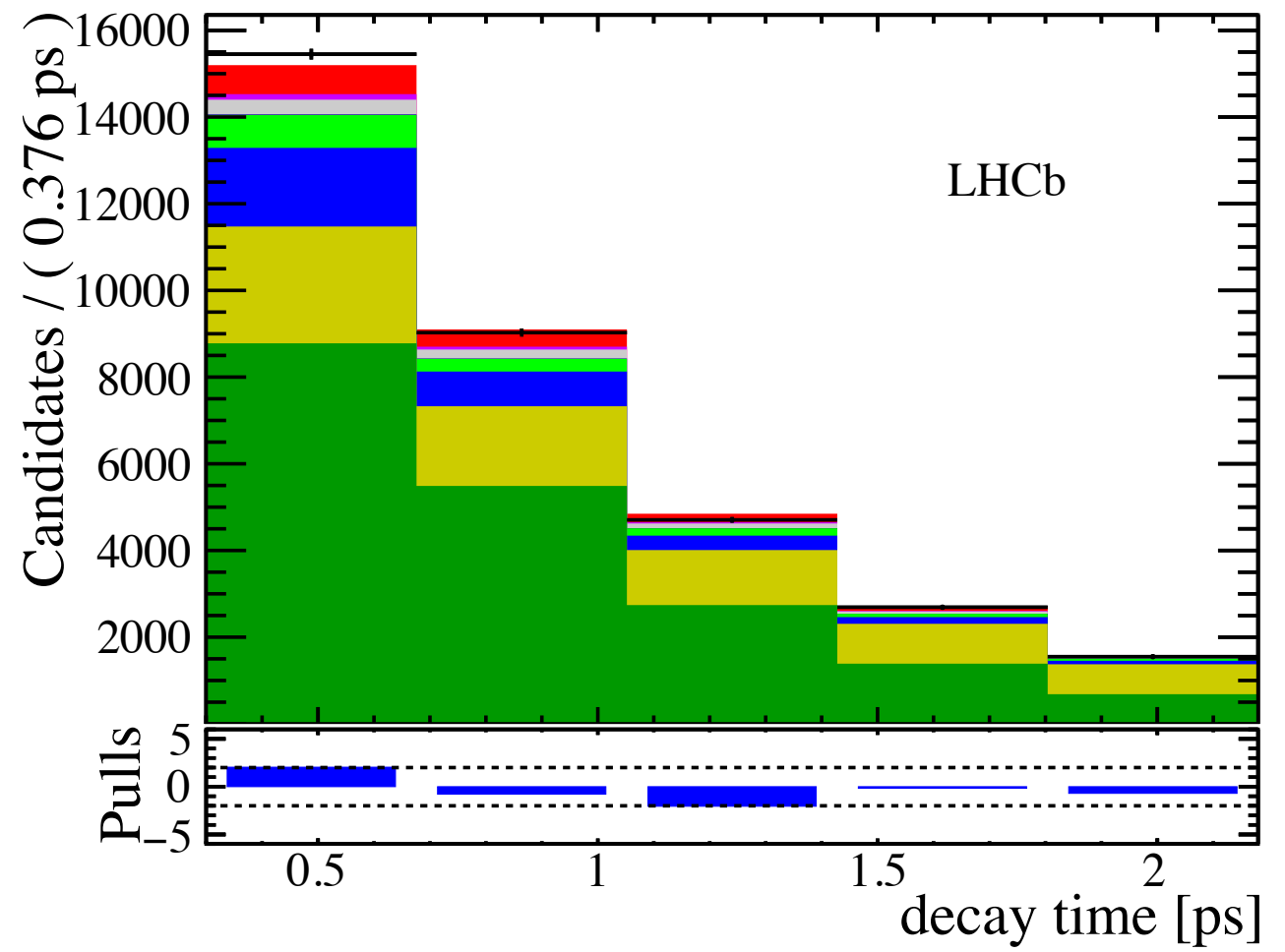
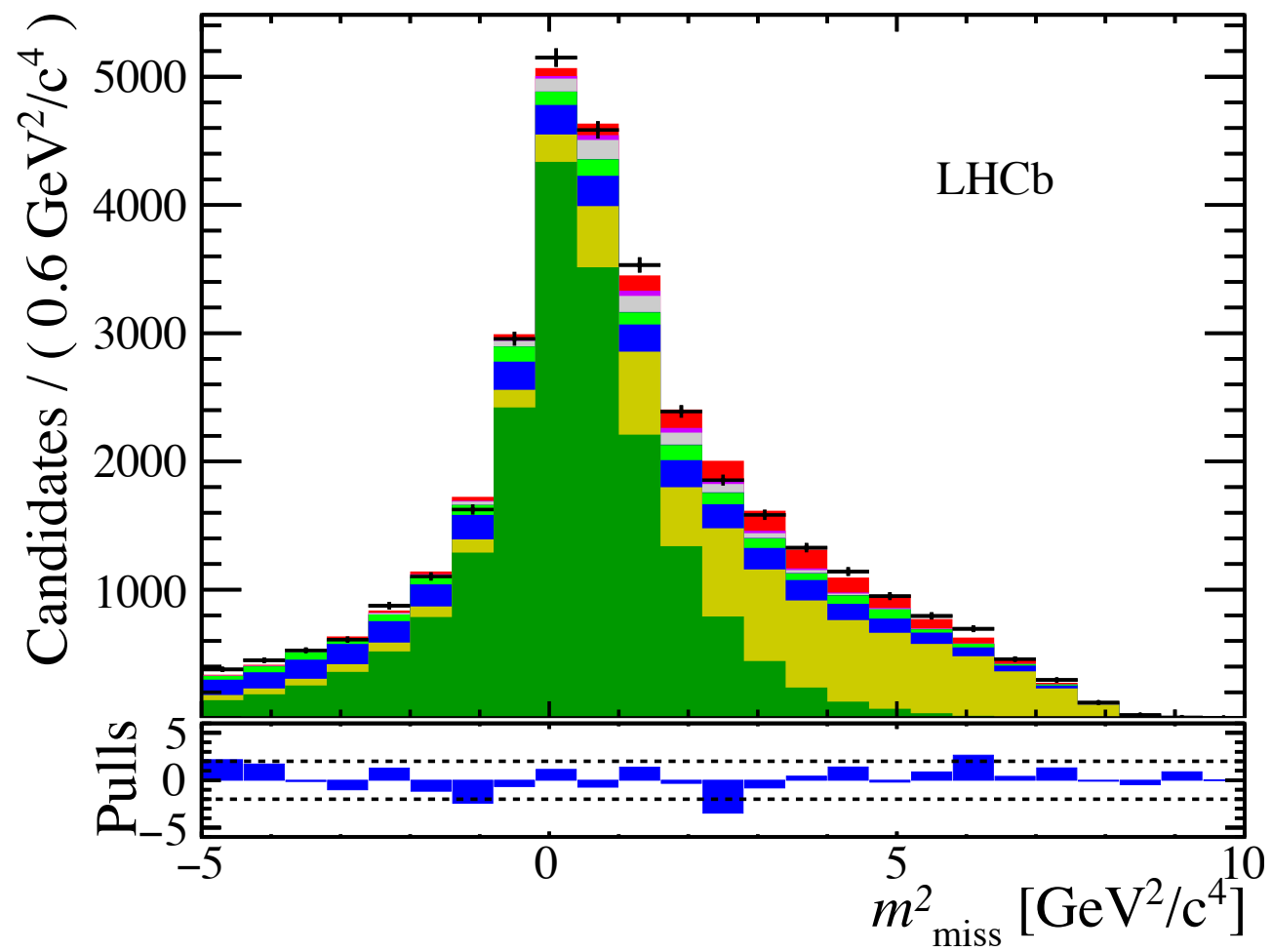


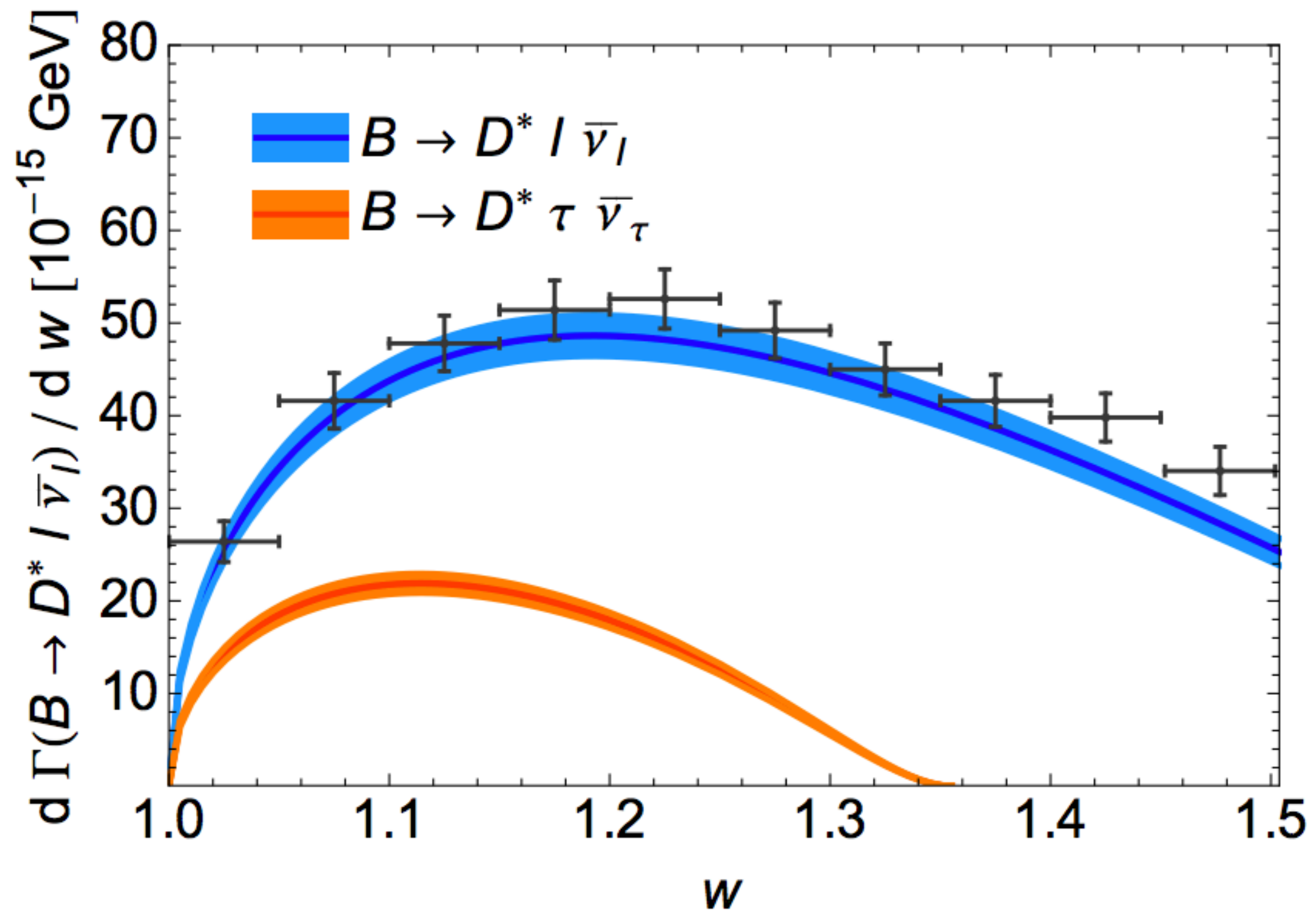
$D^0 \rightarrow 3\pi X$ rate constrained w.r.t.
Clean $D^0 \rightarrow K3\pi$ which has well
known BR.

Can't apply same approach
to D^+ since relevant BRs
aren't well known. More
freedom in our final fit.

D_s enriched region







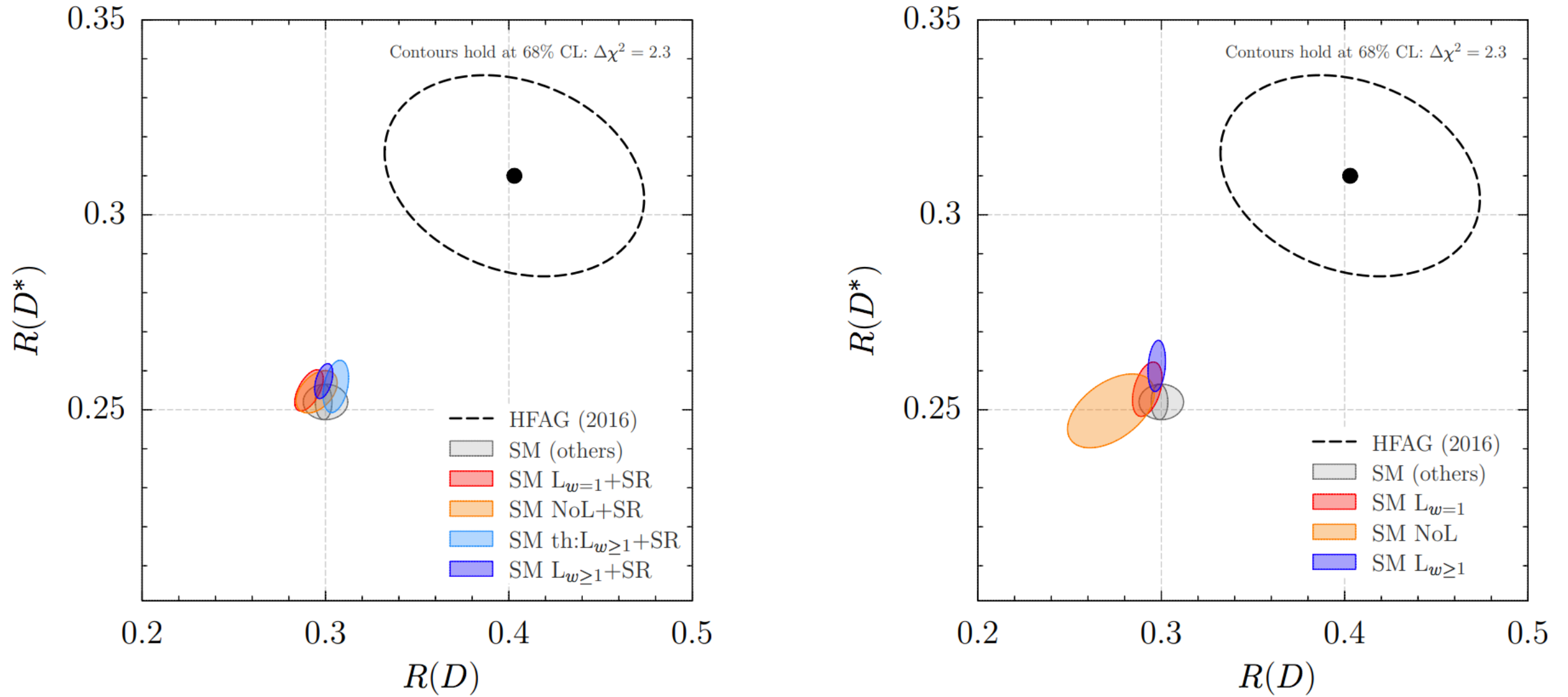
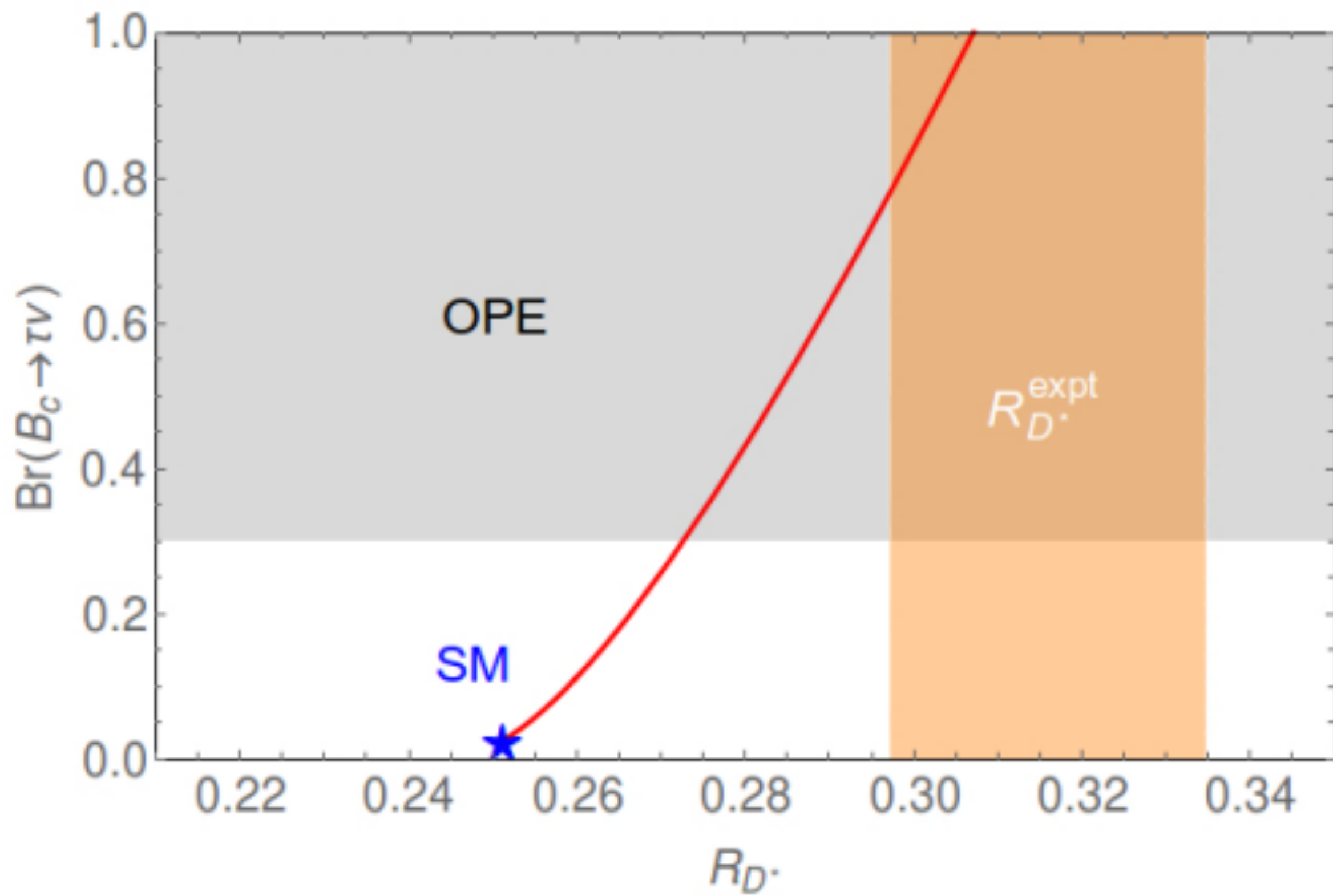


FIG. 4. The SM predictions for $R(D)$ and $R(D^*)$, imposing (left) or not imposing (right) the QCDSR constraints (see Table IV). Gray ellipses show other SM predictions (last three rows of Table IV). The black ellipse shows the world average of the data [9]. The contours are 68% CL ($\Delta\chi^2 = 2.3$), hence the nearly 4σ tension.



Experiment	Method	N evts $B^0 \rightarrow D^* \tau \nu$
BABAR	Leptonic_hadronic tag	245 ± 27
BELLE	Leptonic hadronic tag	$0,4 \times 500 = 200$
BELLE	Single pi hadronic tag	88 ± 11
LHCb	3π Hadronic	1273 ± 95

R(J/ψ) systematics

Table 1: Systematic uncertainties in the determination of $\mathcal{R}(J/\psi)$.

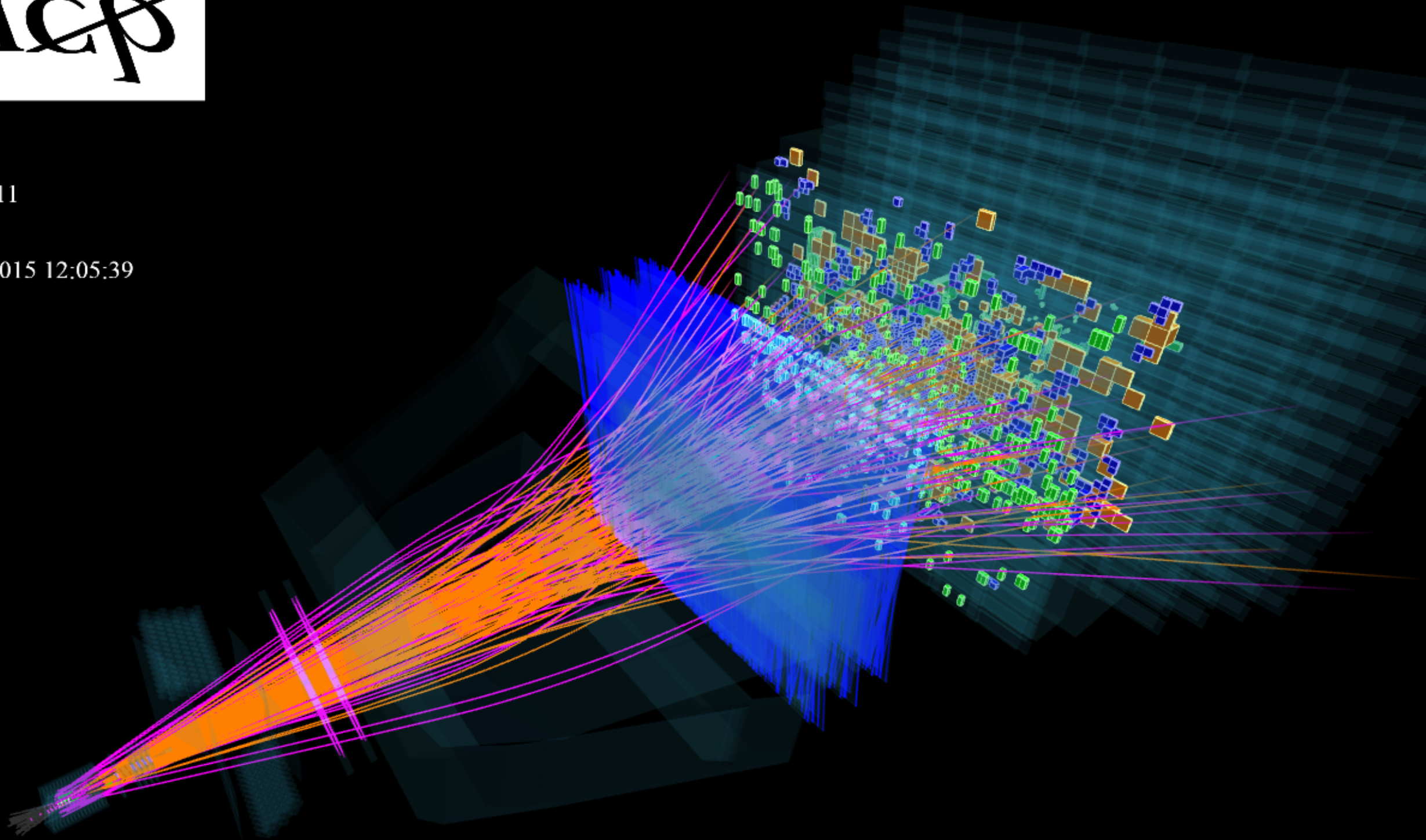
Source of uncertainty	Size ($\times 10^{-2}$)
Limited size of simulation samples	8.0
$B_c^+ \rightarrow J/\psi$ form factors	12.1
$B_c^+ \rightarrow \psi(2S)$ form factors	3.2
Fit bias correction	5.4
Z binning strategy	5.6
Misidentification background strategy	5.6
Combinatorial background cocktail	4.5
Combinatorial J/ψ sideband scaling	0.9
$B_c^+ \rightarrow J/\psi H_c X$ contribution	3.6
Semitaquonic $\psi(2S)$ and χ_c feed-down	0.9
Weighting of simulation samples	1.6
Efficiency ratio	0.6
$\mathcal{B}(\tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\tau)$	0.2
Total systematic uncertainty	17.7
Statistical uncertainty	17.3

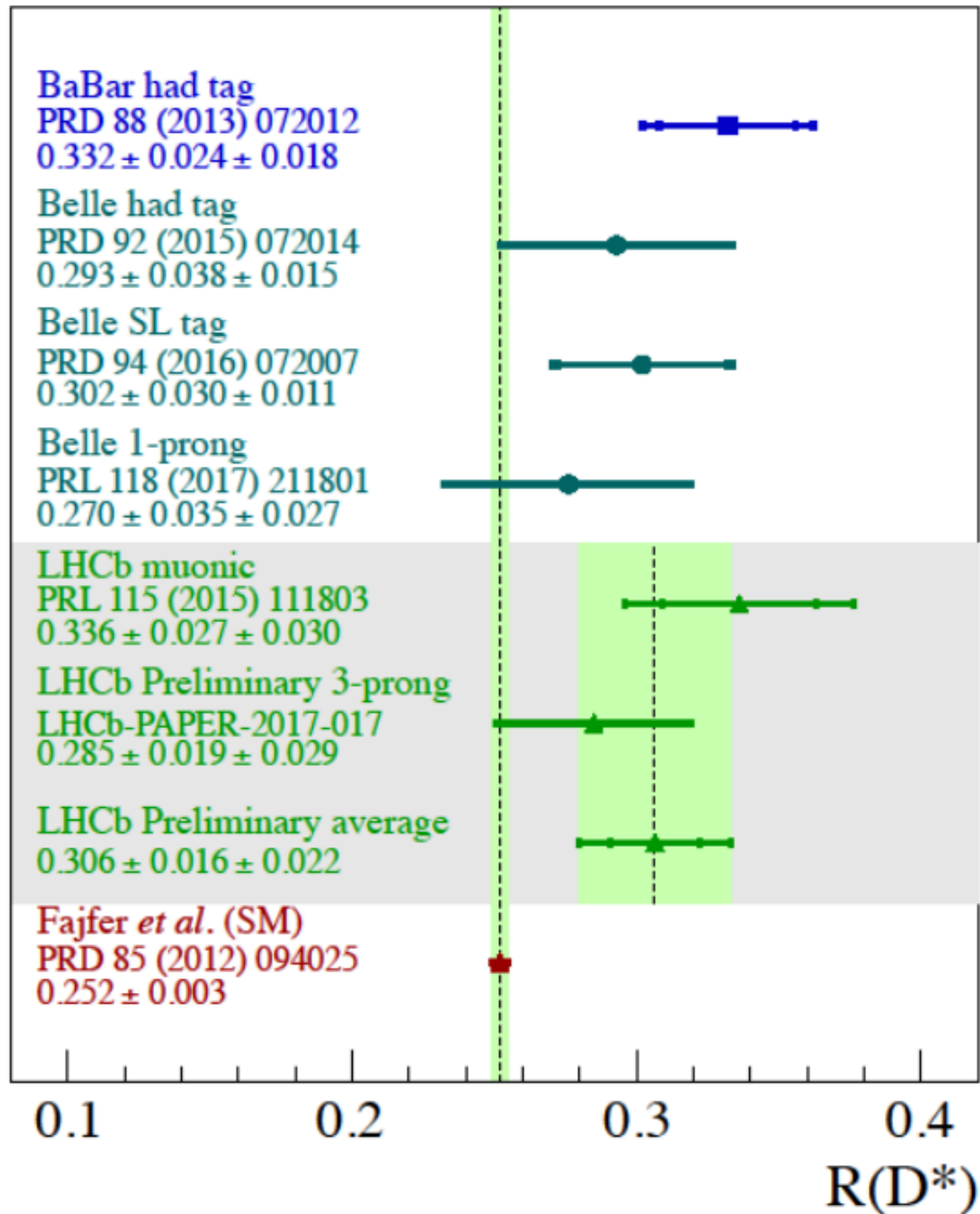


Event 58049711

Run 153460

Wed, 03 Jun 2015 12:05:39





New result has highest statistical precision.

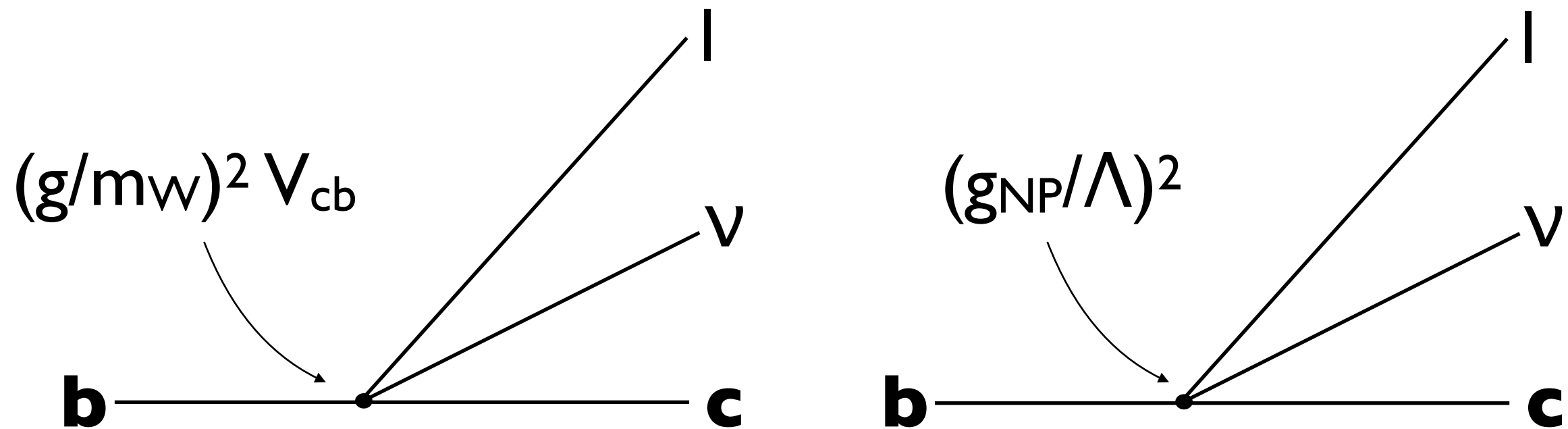
Naive $R(D^*)$ average is 3.4σ above SM.

Naive $R(D, D^*)$ average is 4.1σ above SM...

$$\begin{aligned}
\frac{d\Gamma^{SM}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}{dq^2} &= \underbrace{\frac{G_F^2 |V_{cb}|^2 |p_{D^{(*)}}^*| q^2}{96\pi^3 m_B^2} \left(1 - \frac{m_\ell^2}{q^2}\right)^2}_{\text{universal and phase space factors}} \\
&\times \underbrace{\left[(|H_+|^2 + |H_-|^2 + |H_0|^2) \left(1 + \frac{m_\ell^2}{2q^2}\right) + \frac{3m_\ell^2}{2q^2} |H_s|^2 \right]}_{\text{hadronic effects}}.
\end{aligned} \tag{3}$$

Naive NP scale

~30% effect in τ/μ versus SM



$$\left(\frac{g_{NP}}{\Lambda}\right)^2 \sim \sqrt{30\%} \left(\frac{g}{m_W}\right)^2 |V_{cb}|^2$$

$$\sim \left(\frac{g_{NP}}{3 \text{ TeV}}\right)^2$$