

# LHC and Detector Upgrades

8<sup>th</sup> Annual Meeting of the Helmholtz  
Alliance “Physics at the Terascale”  
2.12.2014

8th Annual Workshop  
1-3 December 2014  
DESY, Hamburg



Susanne Kühn, Albert-Ludwigs-University Freiburg

Albert-Ludwigs-Universität Freiburg

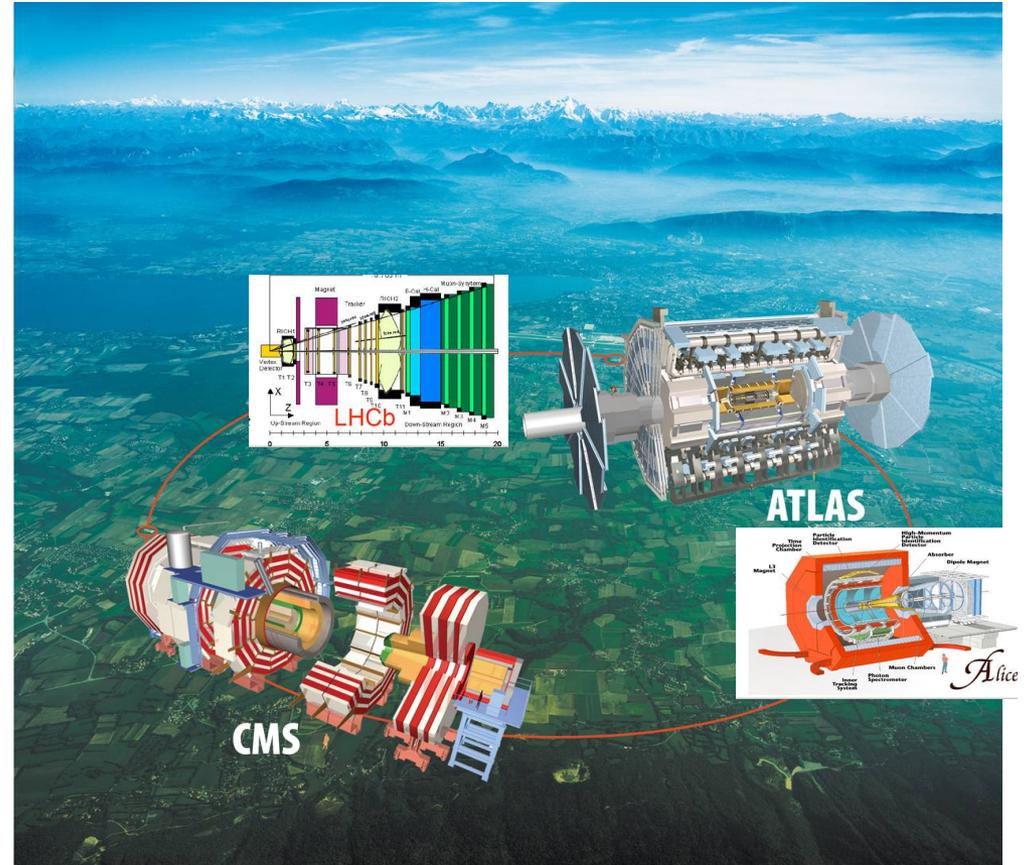


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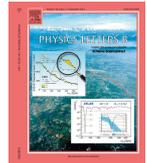


- Introduction and Motivation for Upgrades
- Schedule and different phases of the Upgrade of the LHC
- Detector Upgrades Phases 0,1,2
- Summary

Selection from broad variety of ongoing developments



- Successful Run 1: Higgs discovery and plenty of results
- LHC and experiments have an excellent performance
- Recorded data of  $5.3 \text{ fb}^{-1}$  (7 TeV) and  $21.7 \text{ fb}^{-1}$  (8 TeV) (CMS, ATLAS), LHCb  $3.4 \text{ fb}^{-1}$  and ALICE  $175 \mu\text{b}^{-1}$
- But there is more...



## → Exploit the physics potential of the LHC and HL-LHC

- Probing the Higgs sector
- Extending the reach for new physics beyond the Standard Model

### High-priority large-scale scientific

After careful analysis of the LHC and HL-LHC scientific activities requiring significant resources, sizeable and sustained commitment, the following four activities have been identified as high-priority.

The discovery of the Higgs boson is the start of a major programme of work to measure this with the highest possible precision for testing the validity of the Standard Model and search for further new physics at the energy frontier. The LHC is in a unique position to do this programme. *Europe's top priority should be the exploitation of the full potential of the LHC, including the high-luminosity upgrade of the machine and detectors with a view to collecting ten times more data than in the initial design, by around 2030. This upgrade programme will also provide further exciting opportunities for the study of flavour physics and the quark-gluon plasma.*



Report of the Particle Physics Project Prioritization Panel  
May 2014

Recommendation 10: Complete the LHC phase-1 upgrades and continue the strong collaboration in the LHC with the phase-2 (HL-LHC) upgrades of the accelerator and both general-purpose experiments (ATLAS and CMS). The LHC upgrades constitute our highest-priority near-term large project.

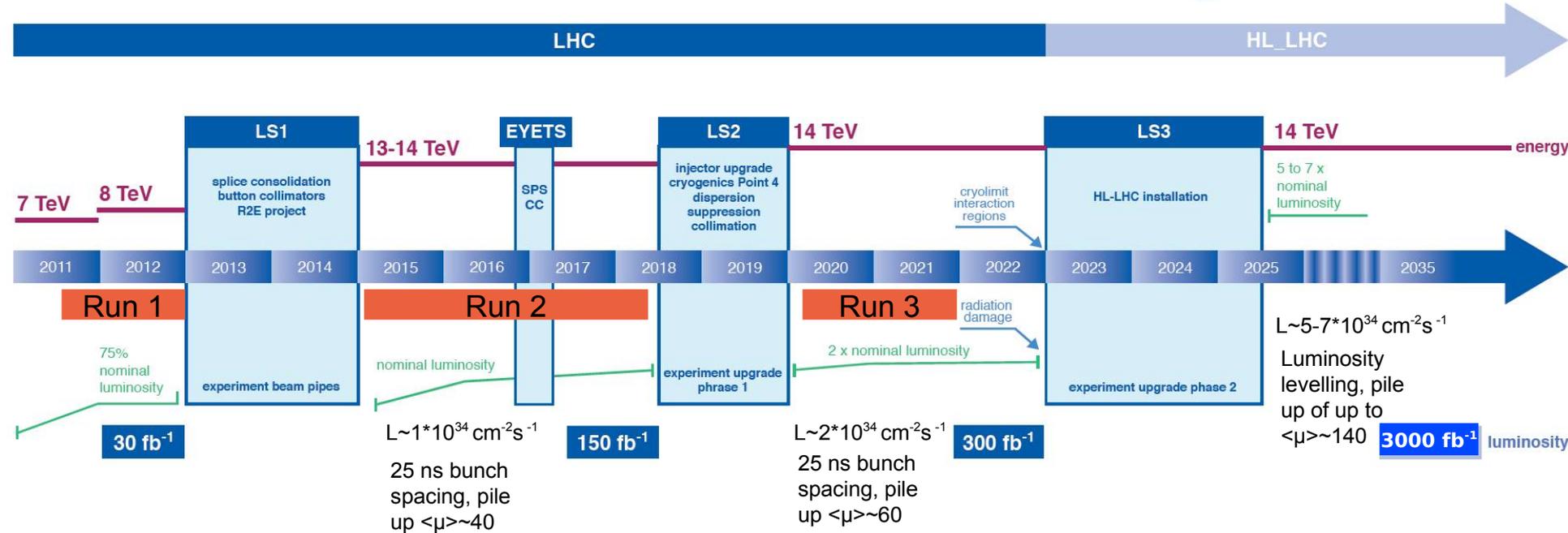
# From LHC to HL-LHC

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LHC/ HL-LHC Plan (last update 24.09.2014)



## From LHC to HL-LHC

Instantaneous luminosity x5 (for ATLAS, CMS, LHCb) → Particle densities x5-10

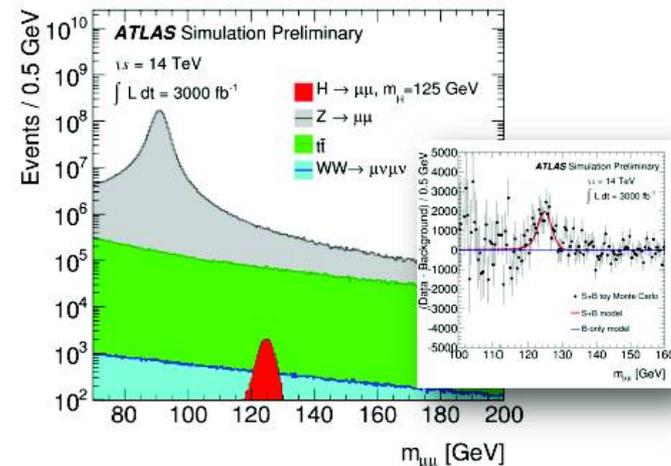
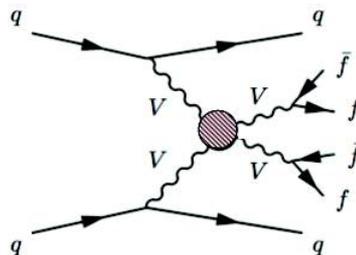
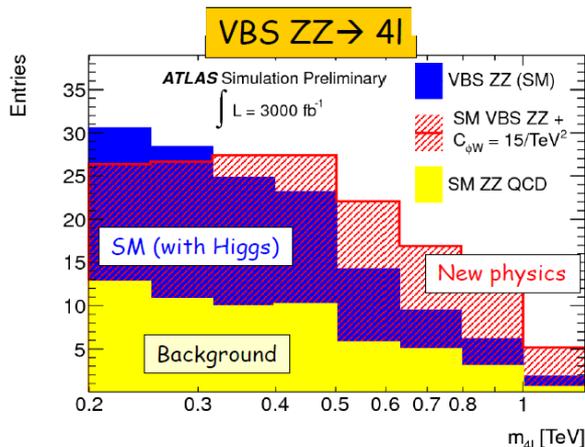
Integrated luminosity x10 (for ATLAS, CMS, LHCb) → Radiation damage x10

Increase of overlap of pp events (pile up x3-5)

# Physics of the Higgs Boson

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- **Measure as many Higgs couplings** to fermions and bosons as precisely as possible, with  $3000 \text{ fb}^{-1}$  typical precision 2-10% per experiment (except rare modes),  $\sim 1.5\text{-}2\text{x}$  better than with  $300 \text{ fb}^{-1}$
- **Di-Higgs production** with  $3000 \text{ fb}^{-1}$  about  $1.3 \sigma$  significance per experiment (ATLAS, CMS)
- **Measure Higgs self-coupling** (give access to  $\lambda$ )
- **Study vector boson scattering (VBS)** with W and Z scattering at high energy (e.g.  $pp \rightarrow ZZjj \rightarrow 4ljj$ )  
Some BSM modules predict enhanced VBS cross-section



With  $3000 \text{ fb}^{-1}$ :

- $H \rightarrow \mu\mu$ :  $> 7 \sigma$  significance expected
- Gives access to direct coupling to top quark (mainly  $ttH \rightarrow tt\gamma\gamma$ )

arXiv: 1307.7135  
arXiv: 1307.7292

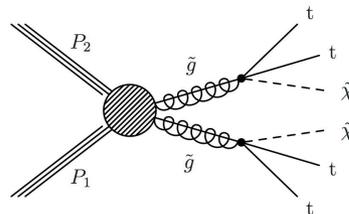
# Searches for New Physics

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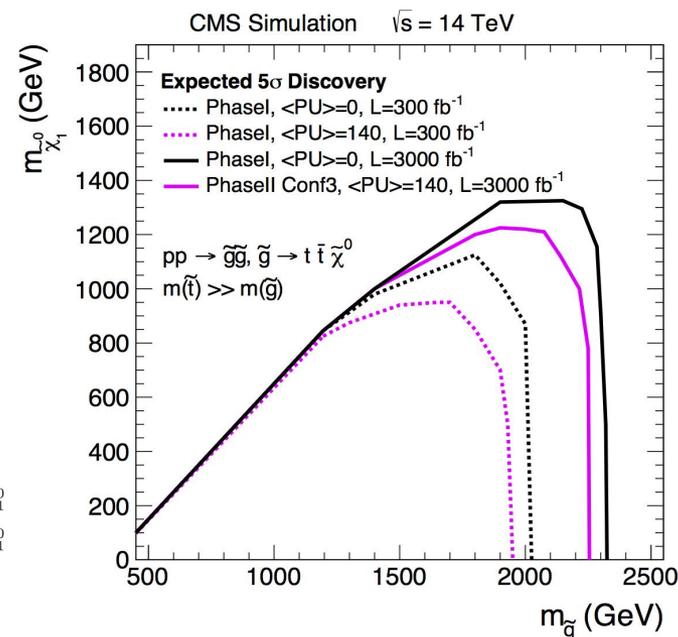


- **Sensitivity to physics beyond the Standard Model:**  
With  $3000 \text{ fb}^{-1}$  mass reach can be extended compared to  $300 \text{ fb}^{-1}$ , depending on scenario.
- **Stop mass:** Mass reach extends by  $\sim 200 \text{ GeV}$   
→ most of interesting stop mass range will be covered
- **Search for heavy gauge bosons:**  $W', Z'$ : Discovery up to  $\sim 6.4 \text{ TeV}$  ( $300 \text{ fb}^{-1}$ ) and  $\sim 7.8 \text{ TeV}$  ( $3000 \text{ fb}^{-1}$ )  
arXiv:1307.7135, ATL-PHYS-PUB-2013-003

<b><math>5\sigma</math> discovery</b> <b>Simplified model</b>	<b>Run 3 @ 14 TeV</b> <b>(<math>300 \text{ fb}^{-1}</math>)</b>	<b>HL-LHC @ 14 TeV</b> <b>(<math>3000 \text{ fb}^{-1}</math>)</b>
<b>stop mass</b> from direct production [ATLAS]	Up to 1.0 TeV	Up to 1.2 TeV
<b>gluino mass</b> with decay to stop [CMS]	Up to 1.9 TeV	Up to 2.2 TeV



Search for gluinos decaying to top quarks and neutralinos in the single lepton final state  
CMS PAS FTR-13-2014

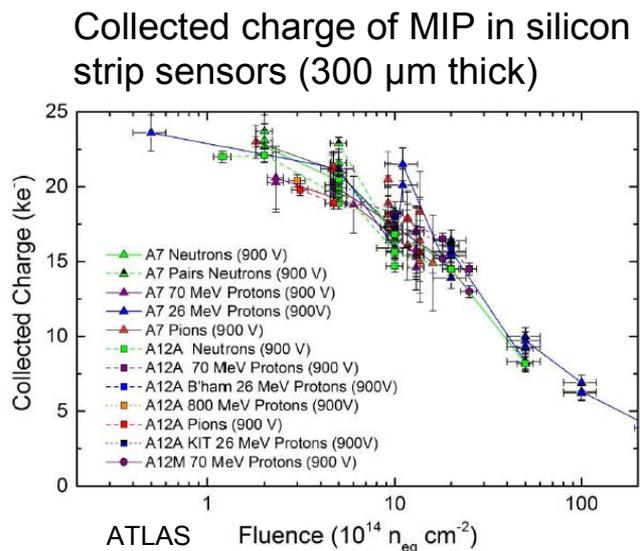


CMS PAS FTR-13-2014

S. Willocq, ECFA HL-LHC Aix-les-Bains Oct. 2014

## Physics aims and HL-LHC environment **challenging for detectors**

- Precise measurement of physics objects: leptons (e,  $\mu$ ,  $\tau$ -lepton), photons, missing transverse energy, jets, b-(c-)quarks over full  $p_T$  range
- Accurate reconstruction of complex event topologies: W/Z, top, VBF
- Keep low- $p_T$  lepton triggers despite high rate
- Radiation damage and high occupancy and pile up



→ Improve, at least maintain performance of present detectors at LHC and HL-LHC

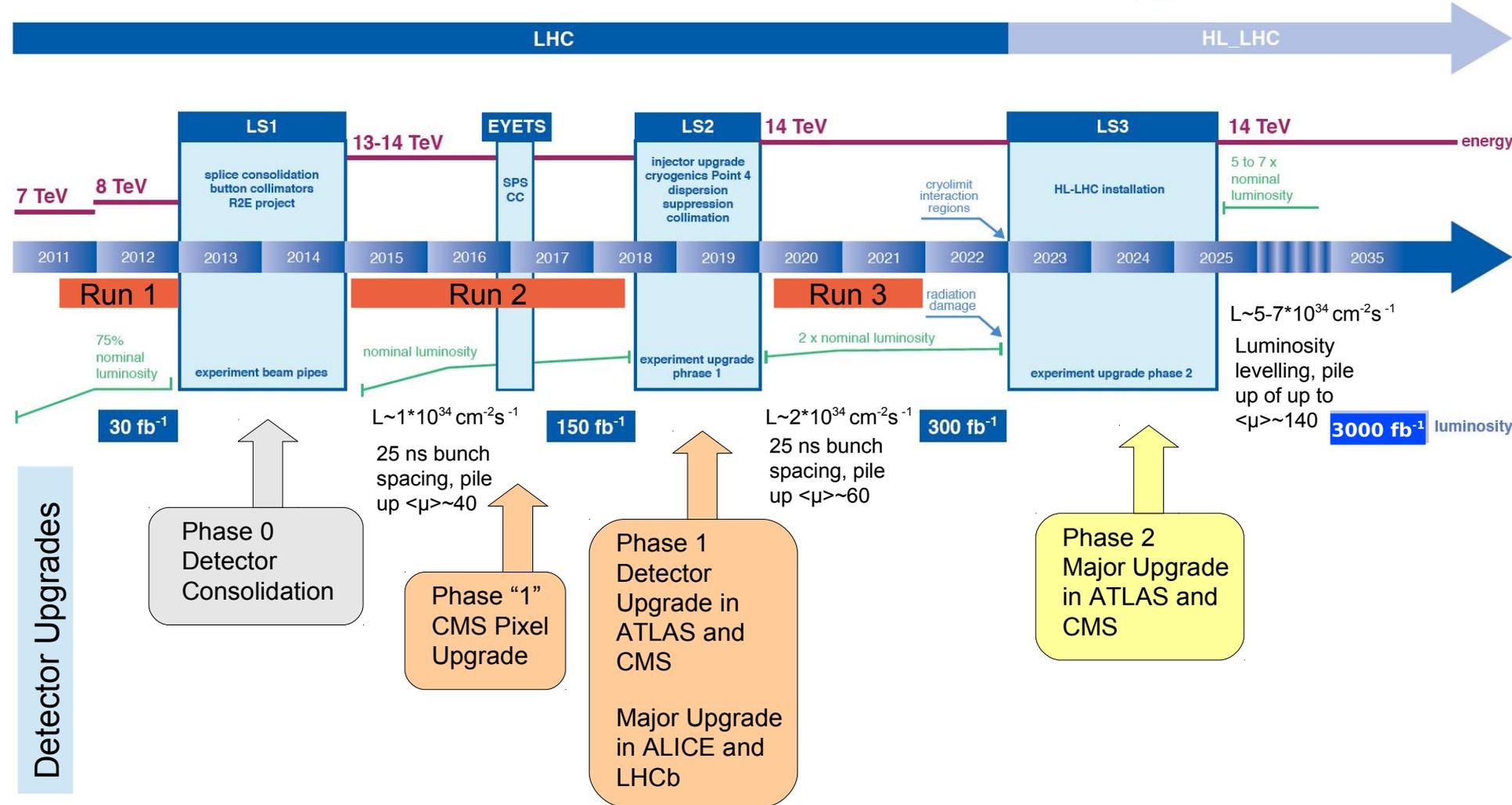
# From LHC to HL-LHC

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LHC/ HL-LHC Plan (last update 24.09.2014)



Requires right balance between revolutionary approaches and technology evolution, based on physics potential and cost-effectiveness.

# LHC Preparation for Run 2

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- Preparing for  $\sqrt{s} = 13$  TeV (after 2015 14 TeV) and nominal luminosity ( $L \sim 1 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ) with average pile up  $\langle \mu \rangle \sim 40$
- Several optimizations, e.g. consolidation of splices (magnet interconnects), ...
  - Aim for  $10 \text{ fb}^{-1}$  in 2015
  - PS already running and commissioning with first LHC beam in March 2015

Repair of splices



Copper Stabilizer Continuity Measurement (CSCM) to qualify magnet bypass  
Status of cooling and test as of 19.11.14

Sector 12	1.9 K	CSCM OK
Sector 23	5 K	CSCM OK
Sector 34	26 K	
Sector 45	20 K	CSCM OK
Sector 56	1.9 K	CSCM OK
Sector 67	1.9 K	CSCM OK
Sector 78	5 K	CSCM OK
Sector 81	1.9 K	CSCM OK

M. Lamont

# ATLAS Insettable b-layer for Run 2

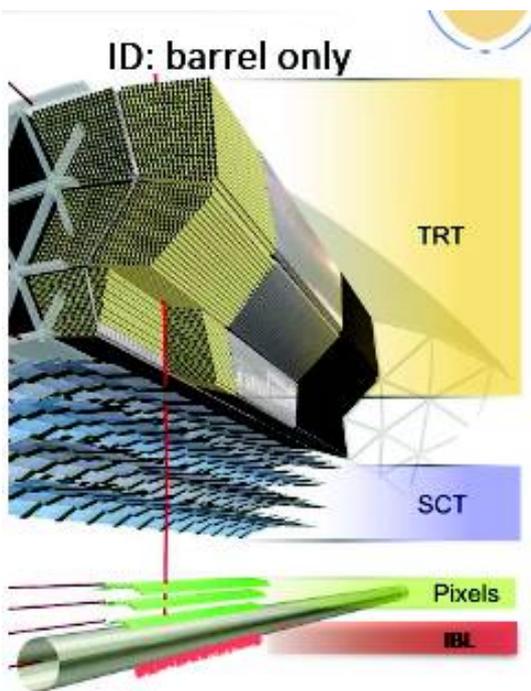
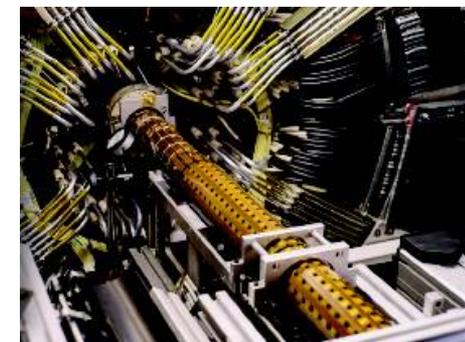
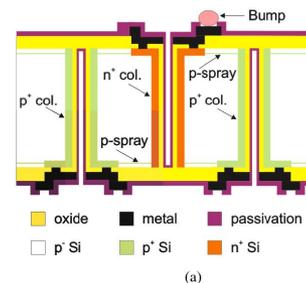
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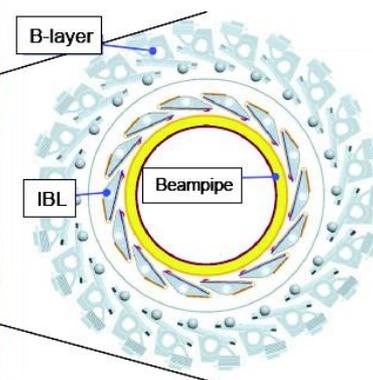
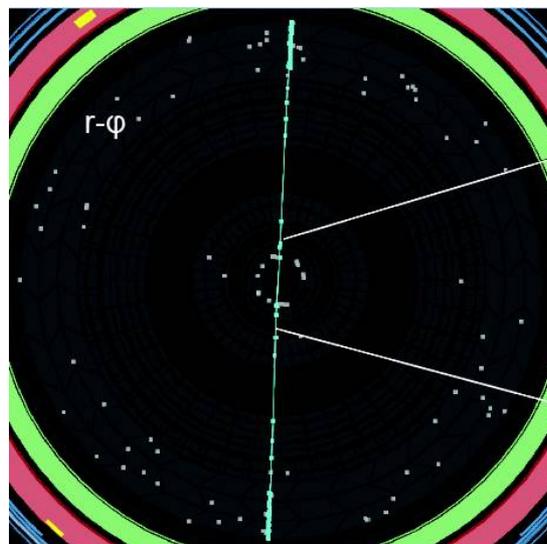
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- Additional 4<sup>th</sup> pixel layer
- Close to interaction point (33 mm)
- 75% planar n-in-n sensors and 25% 3D sensors, radiation tolerance  $\Phi \sim 5 \cdot 10^{15} \text{ Neq cm}^{-2}$
- Significant improvement of tracking, impact parameter resolution, vertexing object-ID



Cosmics data taking shows track reconstruction working



Commissioning ongoing

CERN-LHCC-2010-013

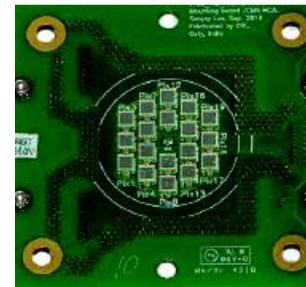
# CMS Detector Preparation for Run 2

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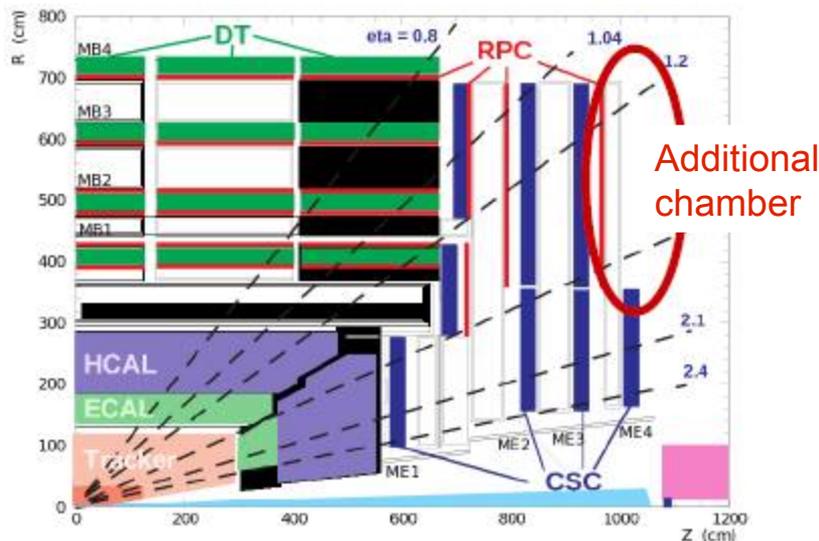
- Started to replace calorimeter readout, planned to finish in 2016
  - Photo-detectors in HCAL
  - New PMTs in forward calorimeter
  - Change HPD with SiPMs in outer calo.



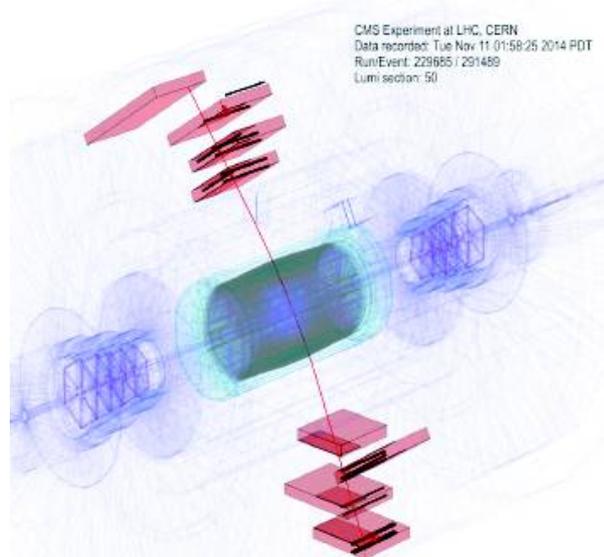
SiPM board with 3x3 mm<sup>2</sup> SiPMs



- Completion of forward muon system → 2% increase in ID efficiency



Successful system test with magnet on in Nov. '14



Phase 0 Upgrade – Run 2

# Triggering in ATLAS and CMS in Run 2

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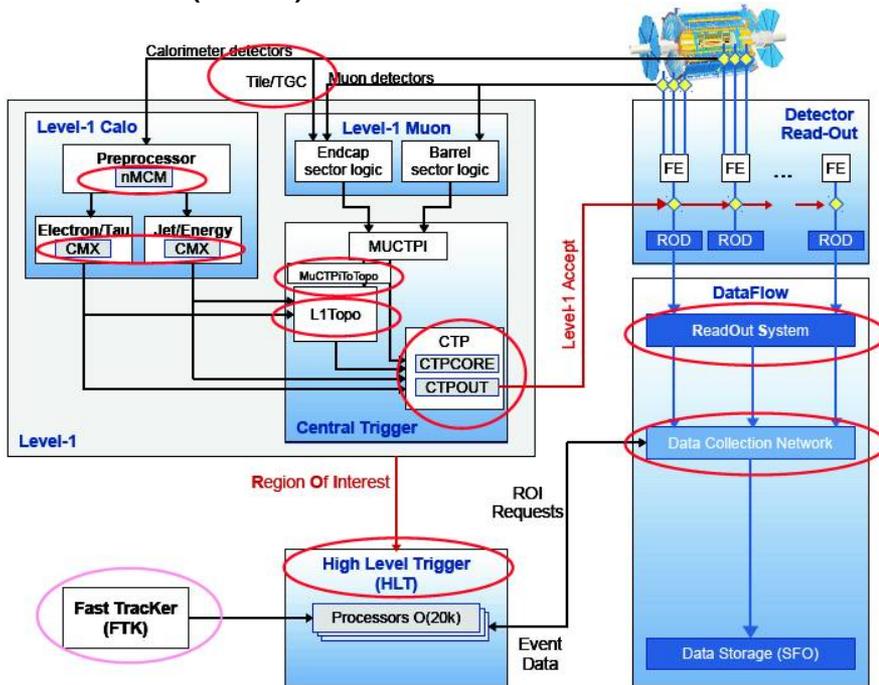
Triggering is modified in ATLAS and CMS for Run 2

- Additional flexibility and more selectivity

## ATLAS



- Many hardware and software improvements
- Rates increased to 100 kHz (L1), 1 kHz (HLT)

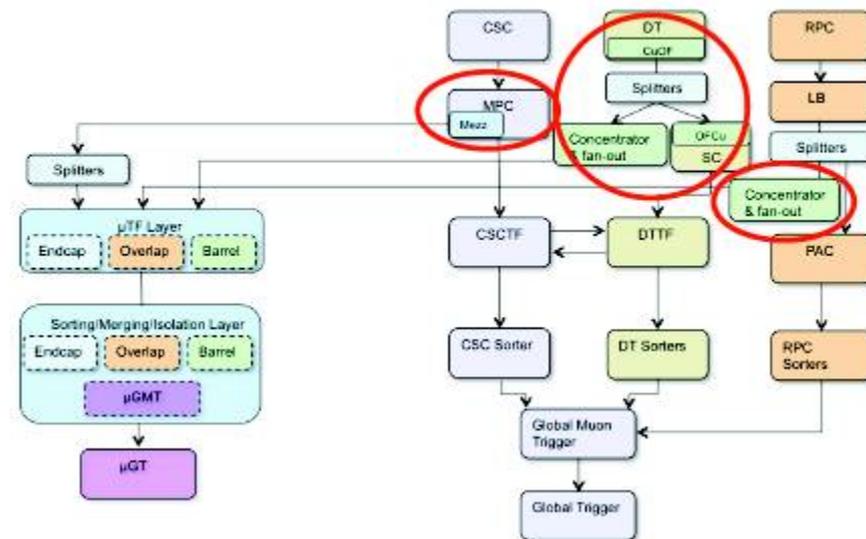


## CMS

- New structure for L1 calo and L1 muon, replacement until 2016
- HLT new iterative tracking, rate 1 kHz
- Build and commissioning in parallel with current system

### New system

### Legacy system



O. Igonkina 120th LHCC meeting

S. Goy Lopez 120th LHCC meeting

# LHCb Detector Preparation for Run 2

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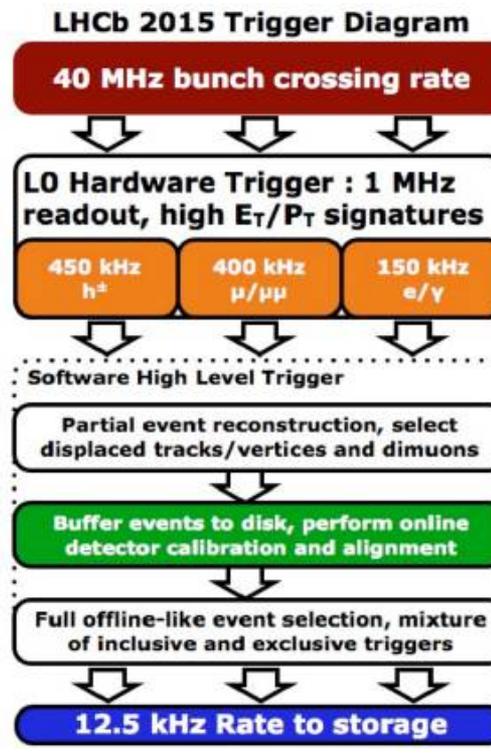
## Physics:

- Study CP violation and rare decays in b- and c-quark sector
- Search for deviations of SM due to virtual contributions of new heavy particles

- Complementary approach to search for New Physics
- Requires high precision measurements and high statistics

In Run 2 many more results expected:  $L \sim 4 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$   
about 4 times design lumi,  
collect  $\sim 8 \text{ fb}^{-1}$

- New control room
- Maintenance of photo-detectors of RICH
- Trigger upgrade: defer trigger to HLT instead of L0



HLT1

“real time”  
calibrations

HLT2

No offline  
processing

# ALICE Detector Preparation for Run 2

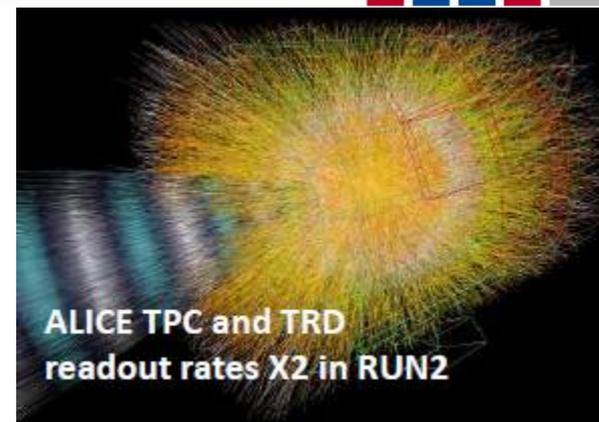
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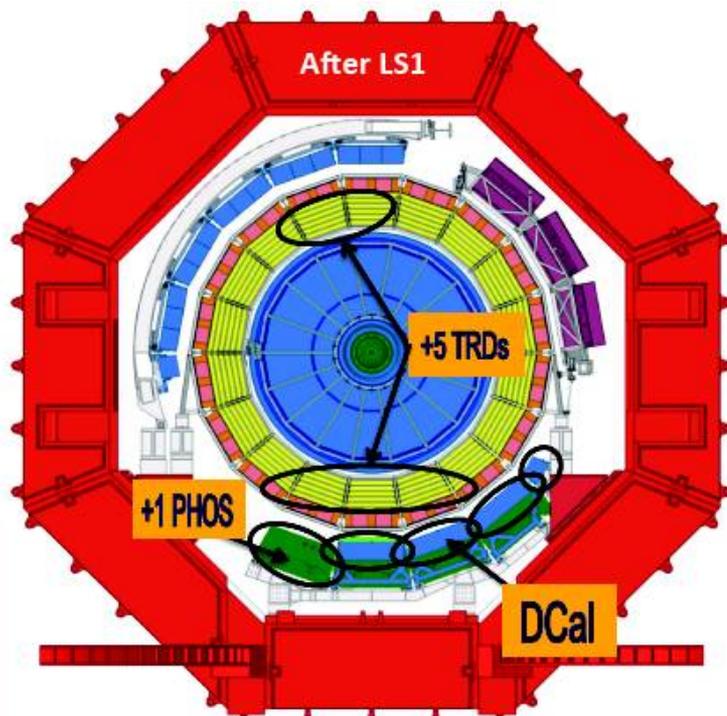
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## Physics:

- Heavy ion collisions produce a complex system of strongly interacting matter  
→ Quark Gluon Plasma
- Study its properties using light hadrons, heavy-flavor, jets, photons, ...



- Increase of trigger capability: upgrade detectors' readout firmware and software
- Di-Jet Calorimeter (DCAL) installed to allow back-to-back calorimetry



In Run 2:  
 $\sqrt{s} = 5.1 \text{ TeV}$   
 $L \sim 10^{29} - 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$   
collect  $\sim 0.5 \text{ nb}^{-1}$

Phase 0 Upgrade – Run 2

## Phase 0 – Run 2

All experiments in LS 1:

- Detector consolidation performed
- Last installations and re-commissioning ongoing
- Preparing for challenge of triggering all interesting events in Run 2

**Looking forward to new results at  $\sqrt{s} = 13$  TeV (14 TeV)**

In parallel preparation for Phase 1 and Phase 2 also in full swing

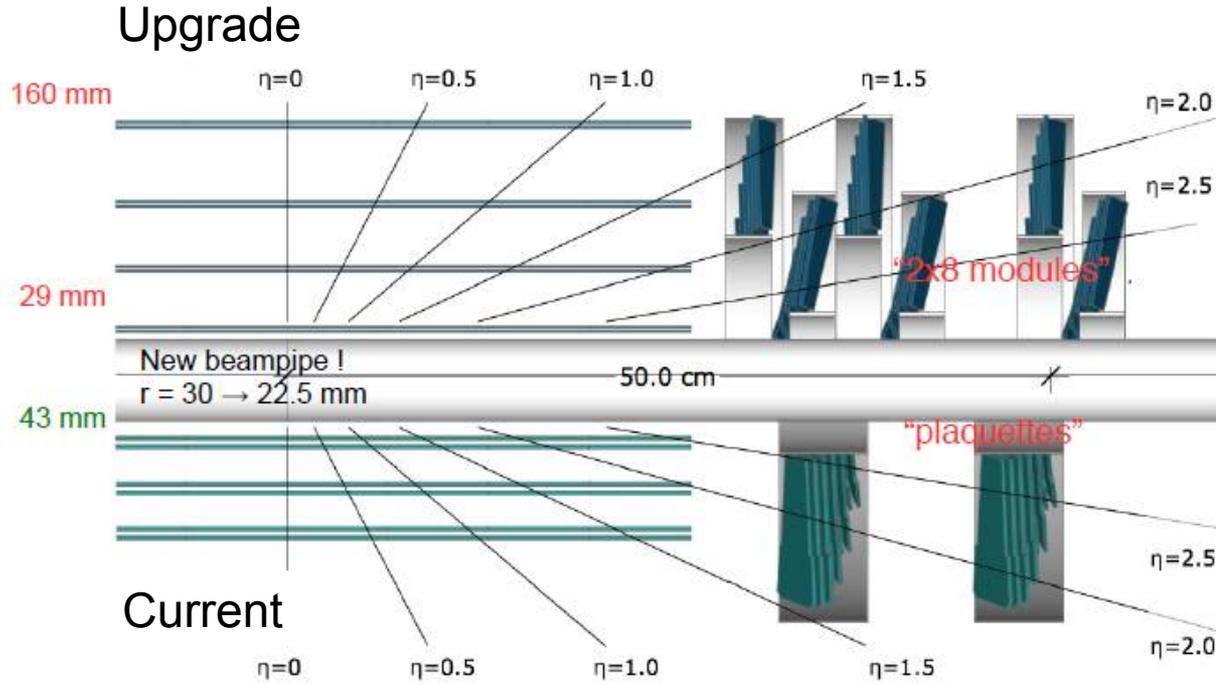
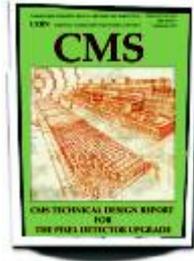
# CMS Upgrade in Run 2

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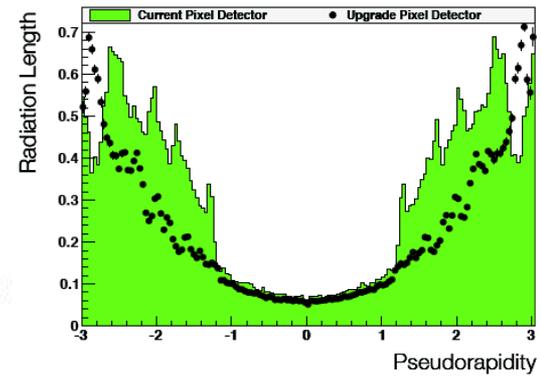
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- New **pixel detector** in extended end-of-year technical stop 2016



- 4 layers, 3 disks
- N<sup>+</sup>-in-n 100x150 μm<sup>2</sup> pixel
- New readout chip
- DC-DC powering
- To survive L<sub>int</sub> = 500 fb<sup>-1</sup>
- <math>\langle \mu \rangle \sim 100</math>
- CO<sub>2</sub> cooling

Expected material



16 ROCs, 66'560 pixel, 10 cm<sup>2</sup>, 0.7% X<sub>0</sub>  
 BPIX: 1184 Modules  
 FPIX: 672  
 PIX 1856 = 1.95 m<sup>2</sup>

CERN-LHCC-2012-015

During Run 2

# From LHC to HL-LHC

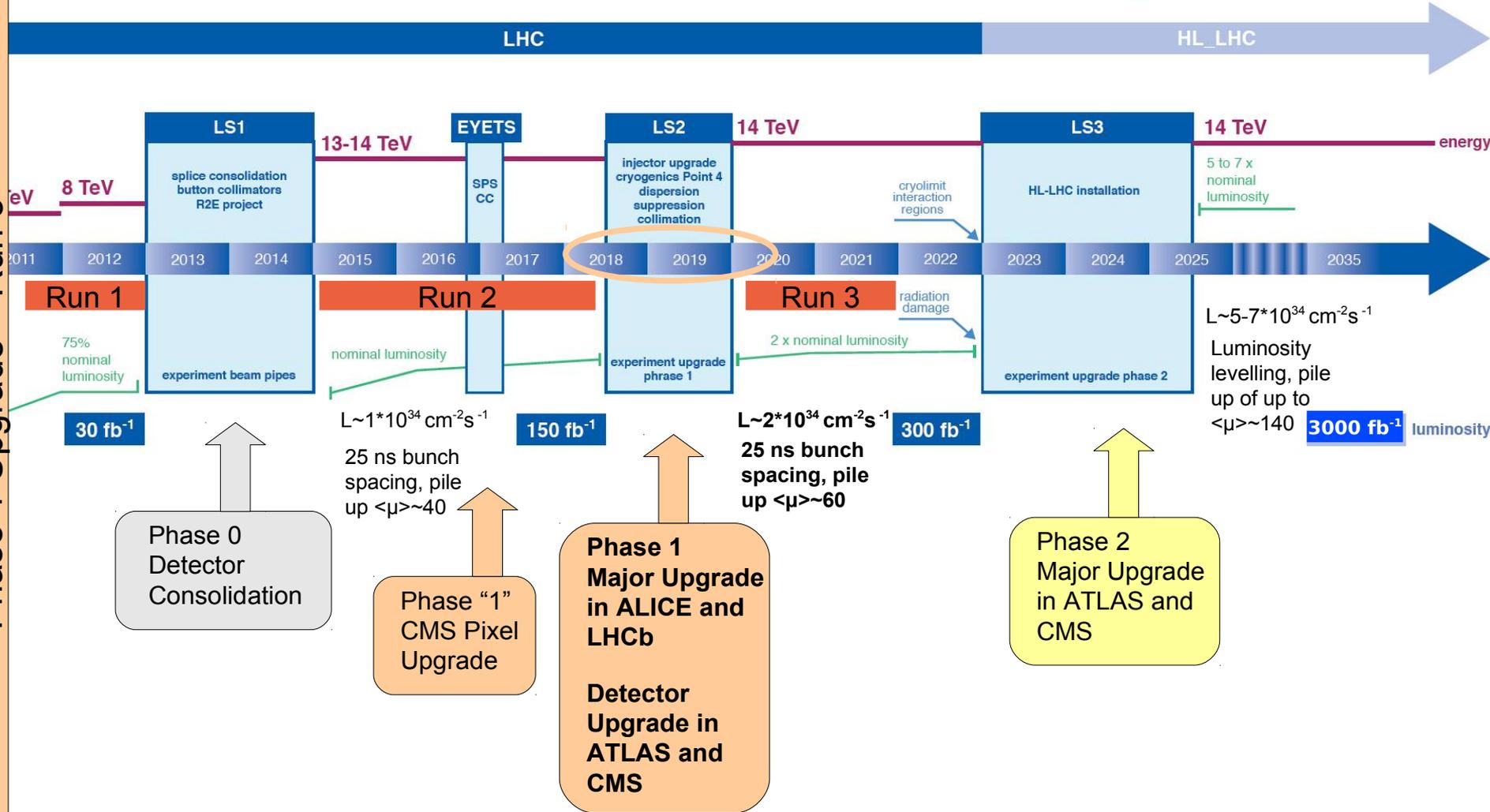
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LHC/ HL-LHC Plan (last update 24.09.2014)

Phase 1 Upgrade – Run 3



# LHC Phase 1 Upgrade for Run 3

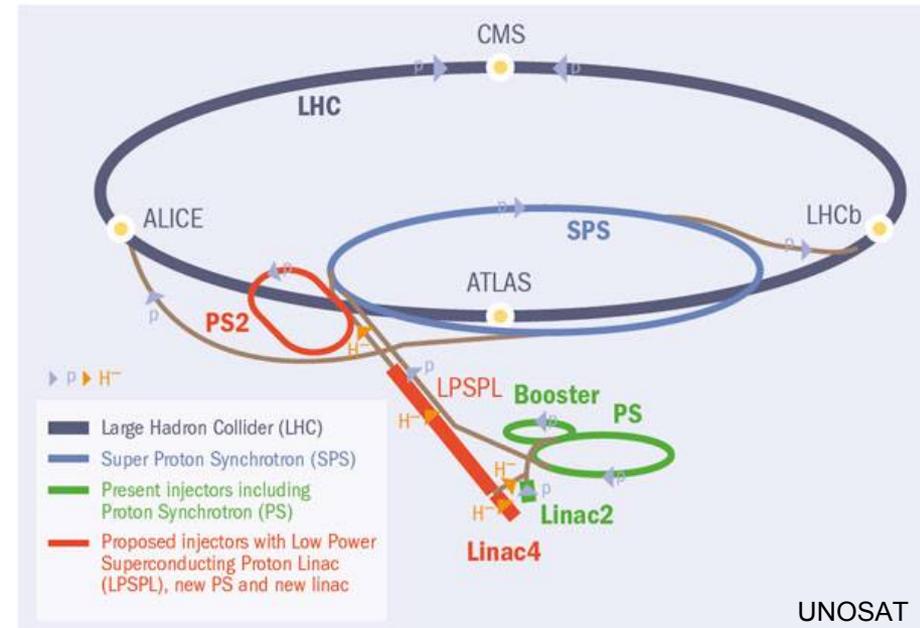
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Phase 1 Upgrade – Run 3

- Shutdown for 18 months
- $L \sim 2 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ , 25 ns bunch spacing, pile up  $\langle \mu \rangle \sim 60$
- LHC Injector Upgrade (LIU) Project
  - LINAC4  $H^-$  injection
  - Increase of PS Booster injection energy from 50 MeV to 160 MeV by new power converters and new cavities
  - Increase of PS injection energy from 1.4 GeV to 2 GeV and new RF collimation and system
  - SPS: modification to main RF system, electron cloud reduction



# ALICE Phase 1 Upgrade

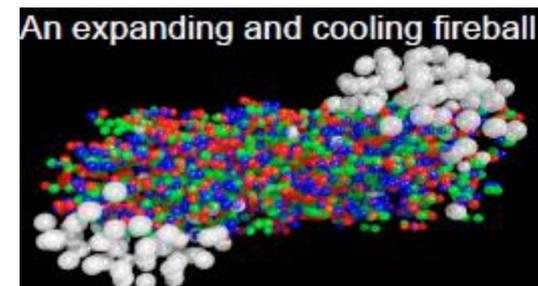
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## LHC heavy ion programme extended to Run 3 and 4

- Gain factor 100 over statistics of approved programme, target  $L \geq 10 \text{ nb}^{-1}$  Pb-Pb minimum bias + pp data
- Several processes can't be triggered, a lot of data needed
- Operate ALICE at a high rate: from 3 kHz → 50 kHz Pb-Pb continuous
- New pixel detector, new readout for TPC, TOF, TRD, new muon forward detectors, DAQ/HLT



Phase 1 Upgrade – Run 3



TPC, TRD, HLT



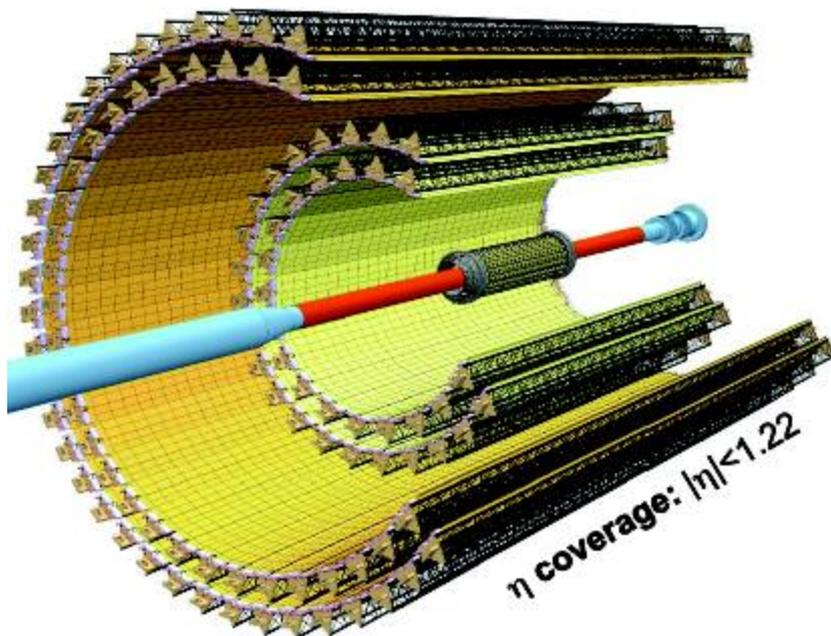
# ALICE Phase 1 Upgrade: ITS

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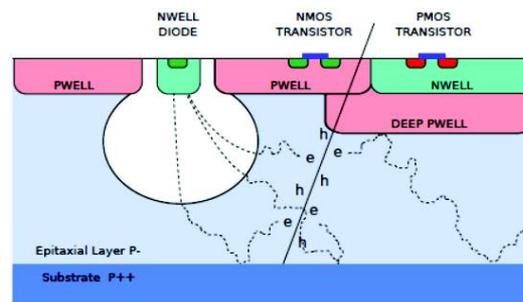
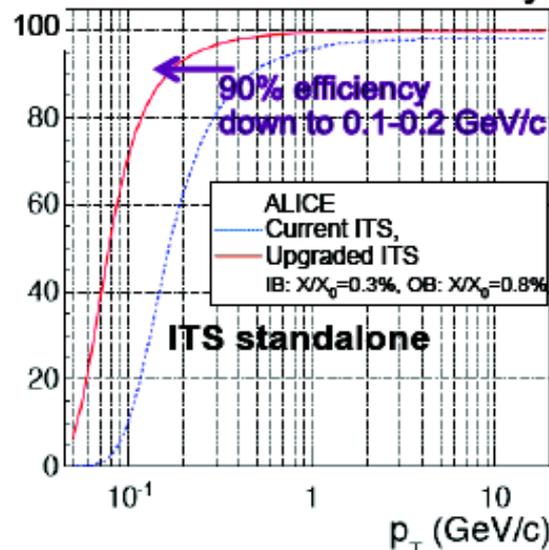


Phase 1 Upgrade – Run 3

- 3 inner ( $0.3\%X_0$ ), 4 outer layers ( $0.8\%X_0$ )
- Use  $50\ \mu\text{m}$  thick monolithic pixel sensors (MAPS)
- Faster readout (x50)
- Increased granularity  $\rightarrow$  improved impact parameter resolution by a factor  $\sim 3$  (5) in  $r\phi$  (z)



## Track reconstruction efficiency



Schematic cross-section of CMOS pixel sensor (ALICE ITS Upgrade TDR)

Large CMOS detector

Same technology for muon tracker upgrade

MAPS: integrated sensor & electronics using standard CMOS process (180nm 6-layer TowerJazz),  $10.3\ \text{m}^2$  and 25 G-pixel  $25 \times 25\ \mu\text{m}^2$  size,  $50\ \mu\text{m}$  thick, max. dose  $\Phi \sim 1 \times 10^{13}\ \text{Neq cm}^{-2}$

# ALICE Phase 1 Upgrade: TPC

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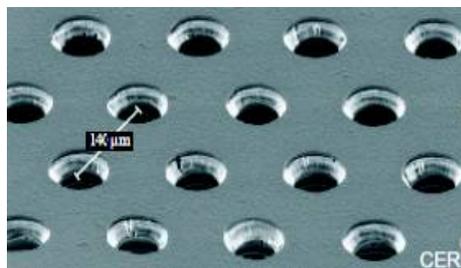
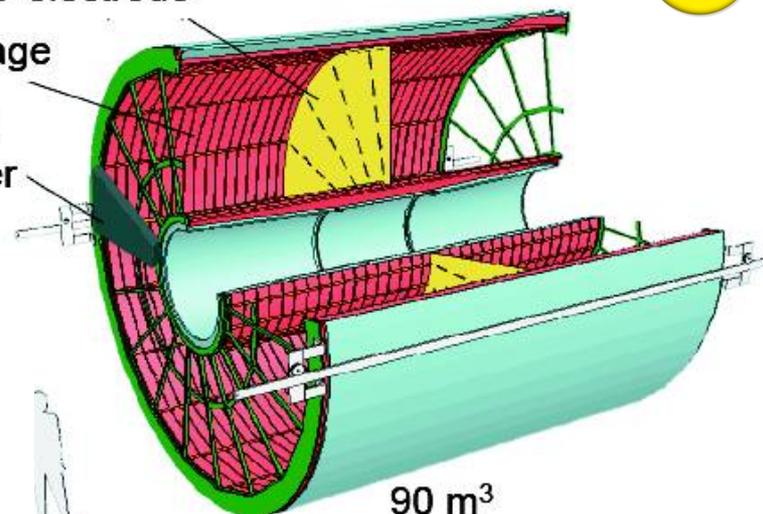


Current readout (MWPC) limit rate to 3.5 kHz

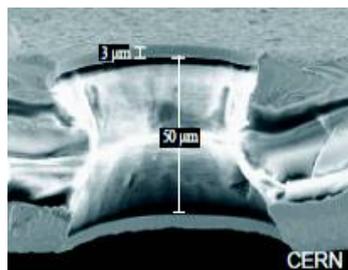
(ungated readout leads to ion cumulation and distortion of electron drift)

→ Replace readout with **GEMs Gas Electron Multipliers** and new electronics, same field cage

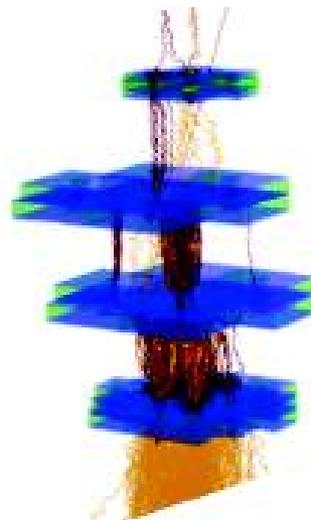
HV electrode  
Field cage  
readout chamber



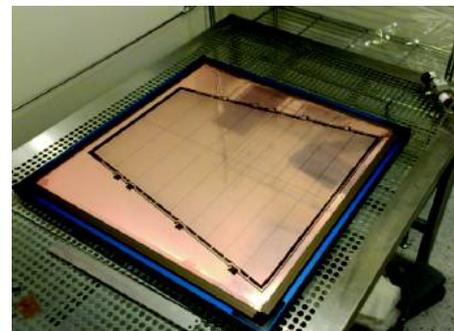
GEM holes:  
Diam: 70 μm  
Distance:  
90-280 μm



GEM foil



Stack of 4 foils



Foil prototype

First time large area foils

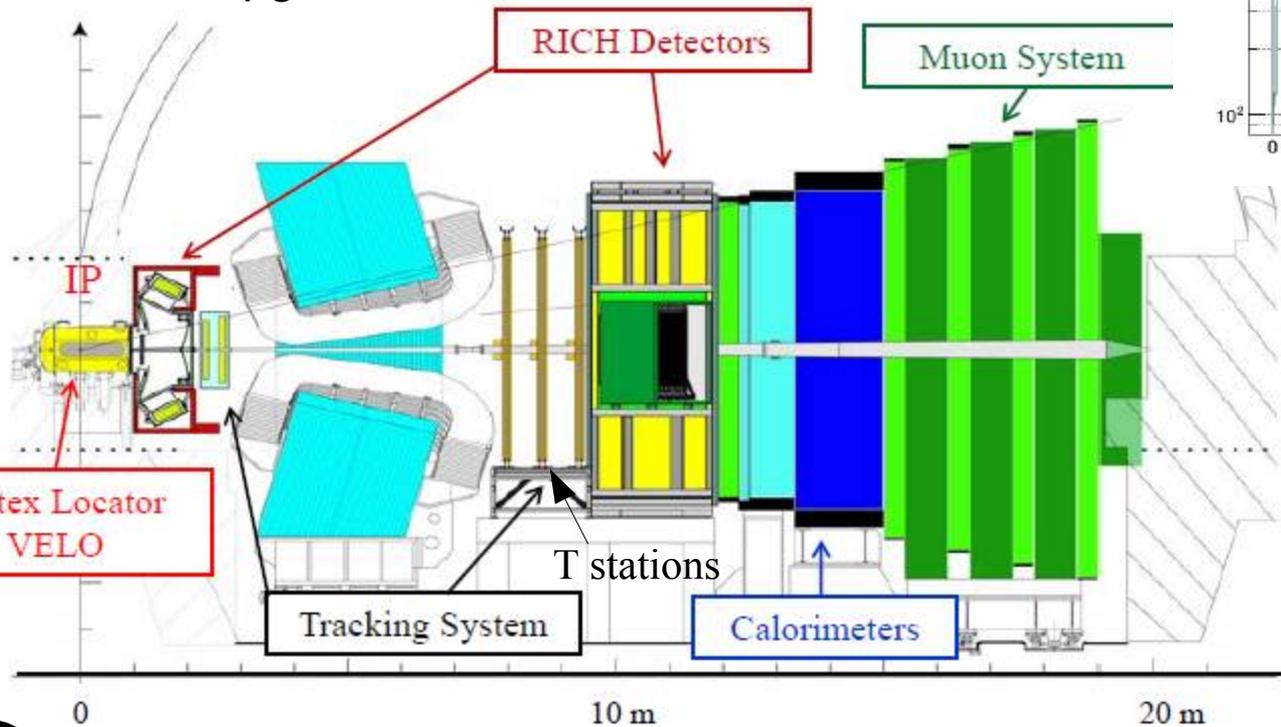
Phase 1 Upgrade – Run 3

# LHCb Phase 1 Upgrade

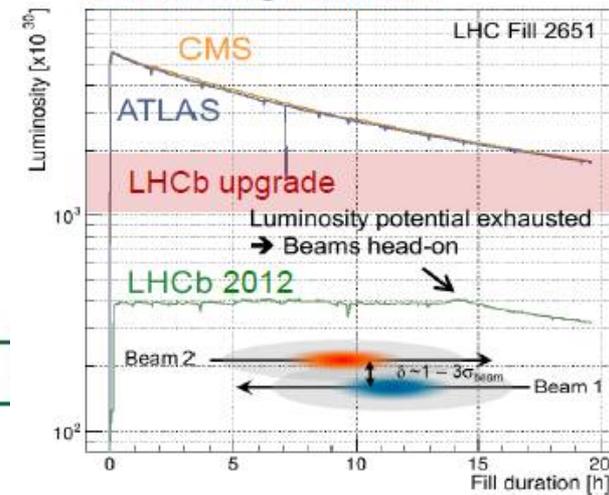
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- Increase levelled luminosity up to  $L \sim 2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$  to reach  $8 \text{ fb}^{-1}/\text{year}$
- Trigger up to 40 MHz, record 20-100 kHz  
→ full software trigger, upgrade TDAQ and replacement of all front-end electronics and tracker upgrade



2012 running conditions



→ general purpose detector in forward region



Fibre Tracker

CERN-LHCC-2011-001, CERN-LHCC-2012-007,  
CERN-LHCC-2013-021, CERN-LHCC-2014-001

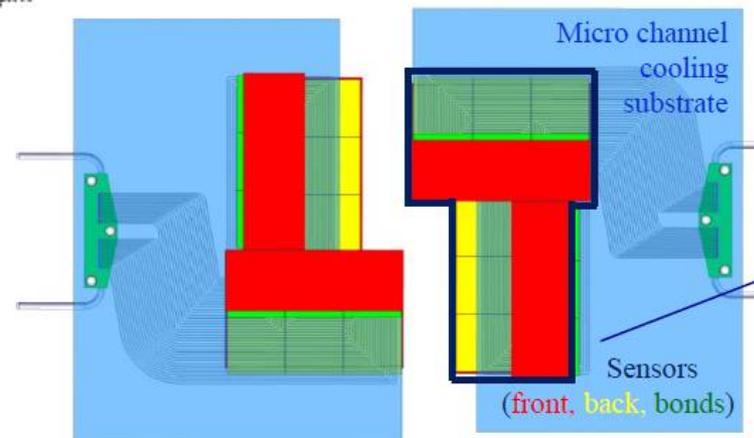
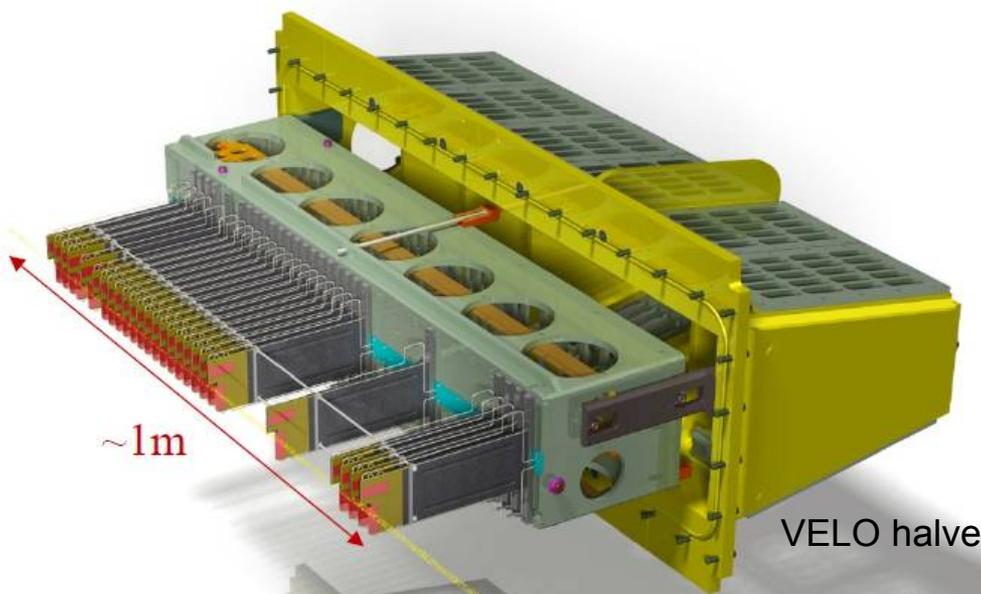
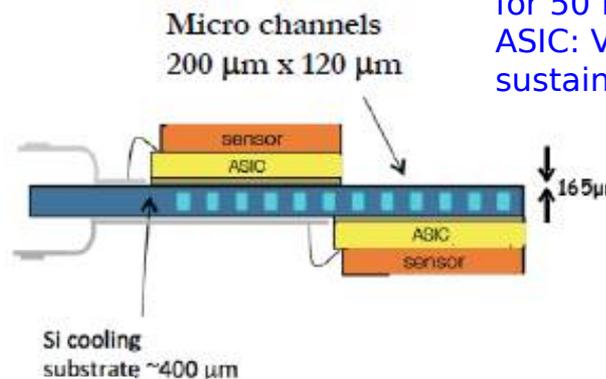
# LHCb Phase 1 Upgrade: VELO

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- From strips → L-shaped modules of hybrid silicon pixel detectors
- Micro channel CO<sub>2</sub> cooling
- Thinner RF-foil between sensor and LHC vacuum
- Closer to beam (35 mm)

PIXELS: 50x50 μm<sup>2</sup>  
max. dose  $\Phi \sim 8 \cdot 10^{15}$  Neq cm<sup>-2</sup>  
for 50 fb<sup>-1</sup>  
ASIC: VELOPIX 130 nm  
sustain ~400 Mrad



Sketch of two modules

First use of micro channel cooling in experiment

Phase 1 Upgrade – Run 3

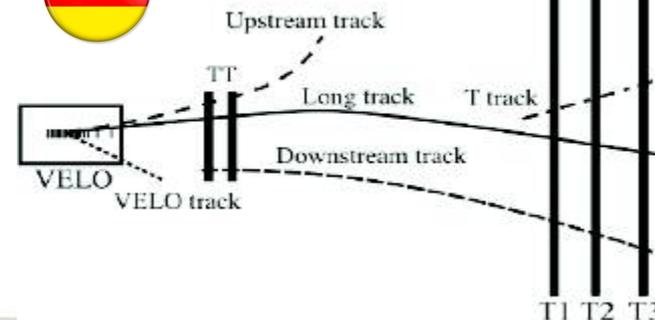
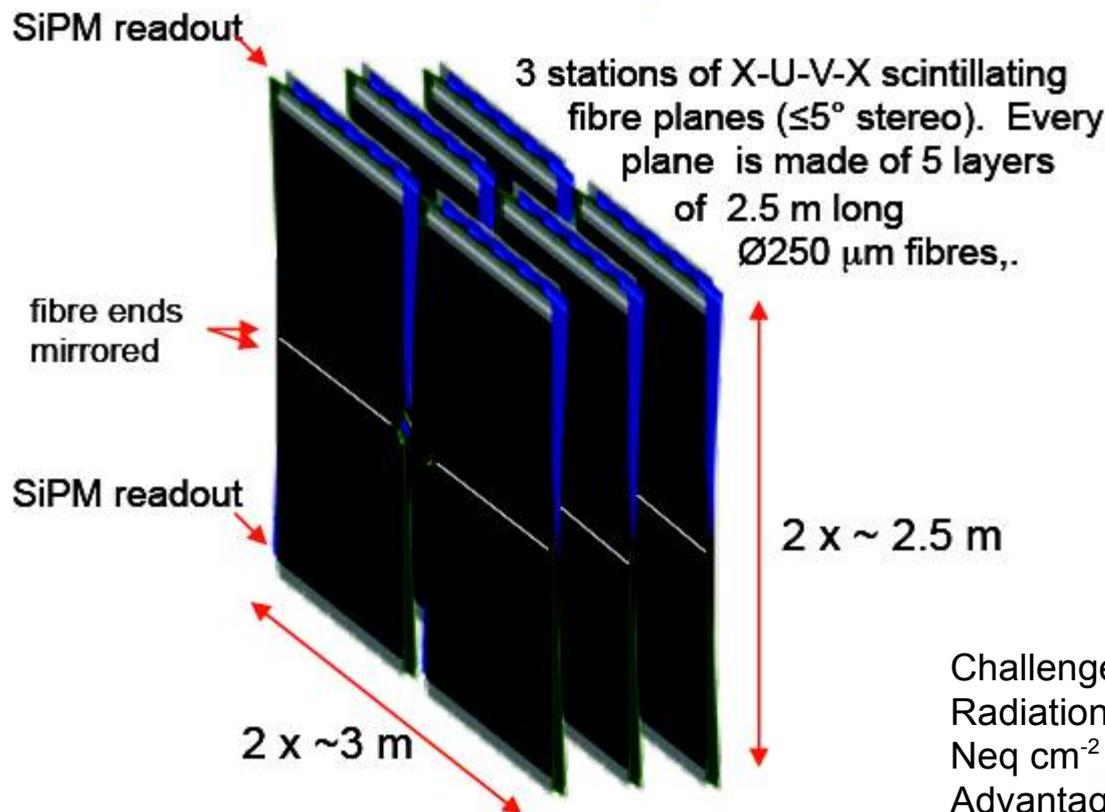
# LHCb Phase 1 Upgrade: Tracker

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Replacement of downstream tracker (T stations):  
straw drift tubes → scintillation fibre tracker



Sci. fibre mat (5 layers),  
 $\text{O}(8000 \text{ km})$  fibres

Challenge:

Radiation damage to SiPMs up to dose of  $1 \cdot 10^{11} \text{ Neq cm}^{-2}$  → operated at  $-40^\circ \text{C}$

Advantages:

- fast, uniform material budget
- fine granularity ( $50\text{-}75 \mu\text{m}$  resolution in x)

Phase 1 Upgrade – Run 3

# ATLAS Phase 1 Upgrade: Muon System

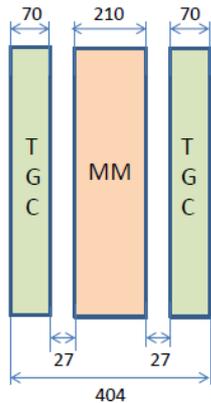
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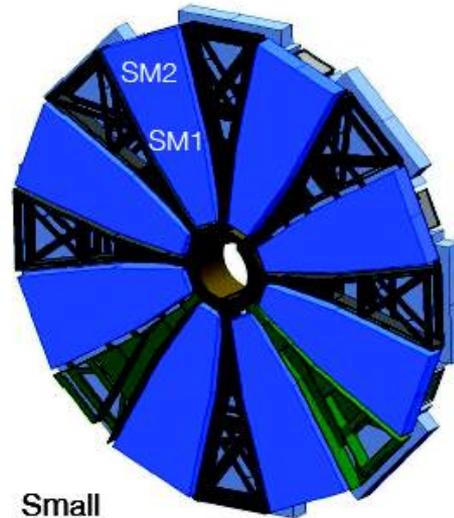
CERN-LHCC-  
2013-006



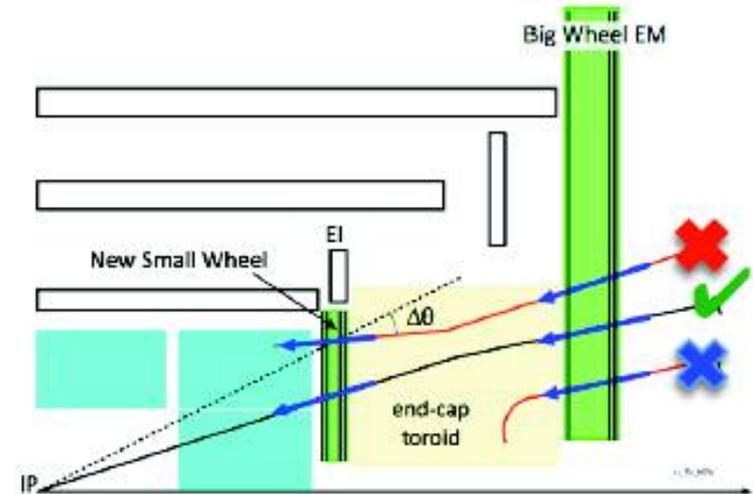
- Improved muon tracking for  $|\eta| > 1.3$
  - Reduce fake rates and keep precision at high rates
- New Small Wheels



Sketch of chamber



Small Modules



- Micromegas:  
~1200 m<sup>2</sup> for precision tracking, high rate capable
- Small-strip thin gap chambers:  
~1200 m<sup>2</sup> for triggering, bunch ID will give good timing, proven technology
- Space resolution < 100 μm

Phase 1 Upgrade – Run 3

# ATLAS Phase 1 Upgrade: FTK

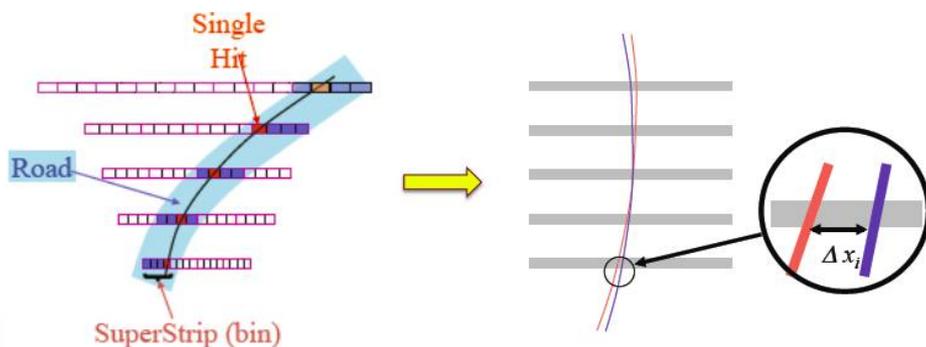
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CERN-LHCC-2013-007

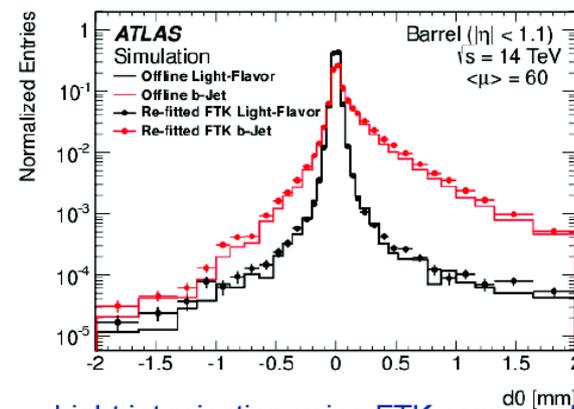
- Fast Track trigger at L1.5
  - Hardware based trigger to allow rapid pattern recognition using inner tracker (FPGA fitting: 1 fit/ns)
  - Input to HLT
  - Installation started, to finish in 2018

Using associative memory ASIC



Pattern recognition in coarse resolution (superstrip → road)

Track fit in full resolution (hits in a road)  
 $F(x_1, x_2, x_3, \dots) \sim a_0 + a_1 \Delta x_1 + a_2 \Delta x_2 + a_3 \Delta x_3 + \dots = 0$

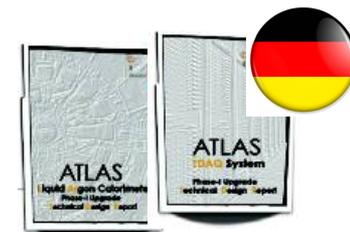


Light jet rejection using FTK compared to offline reconstruction

c-tagging: offline ~ online precision

- Upgrade of trigger back-end electronics & TDAQ
- New front-end in LAr calorimeter + possibly forward physics (AFP)

CERN-LHCC-2013-017, CERN-LHCC-2013-018



## Phase 1 – Run 3

### Experiments in LS 2:

- Major upgrade of LHCb and ALICE: new tracking systems (+ CMS Pixel tracker)
- ATLAS + CMS (all upgrades compatible with Phase 2 upgrades)
  - Increase granularity for calorimeters: new electronics
  - Partial upgrade of muon systems
- All experiments improve bandwidth and processing for triggering
  - Data handling and computing not to forget!

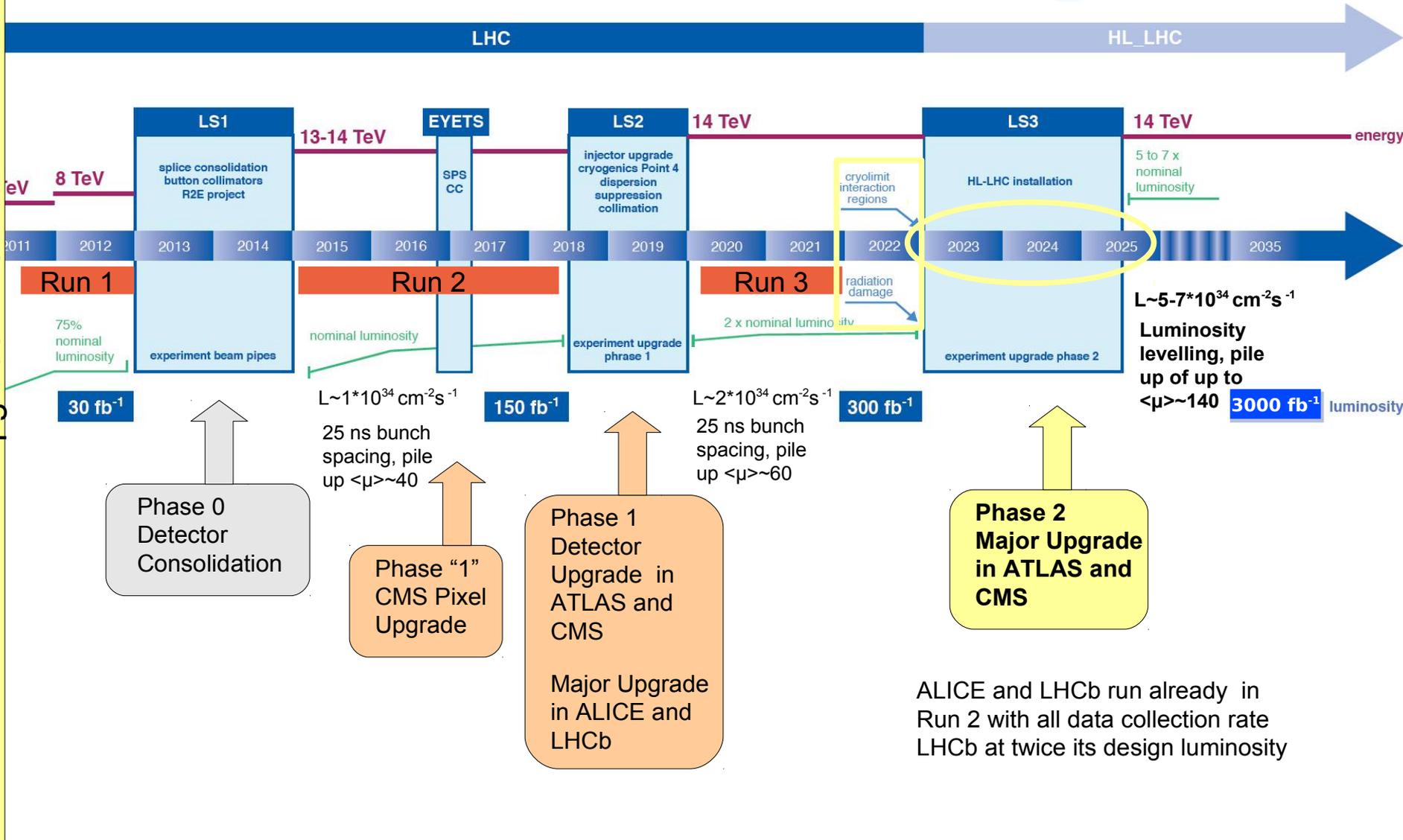
# From LHC to HL-LHC

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LHC/ HL-LHC Plan (last update 24.09.2014)

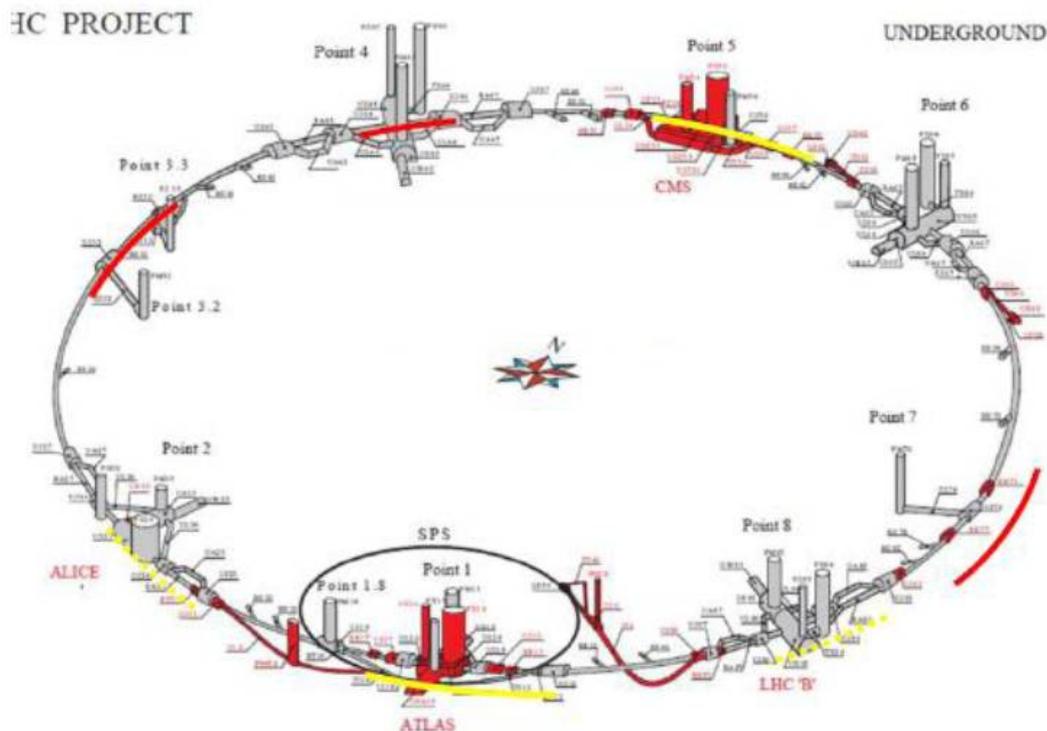
Phase 2 Upgrade – Run 4+



ALICE and LHCb run already in Run 2 with all data collection rate LHCb at twice its design luminosity

- Shutdown of 30 months
- Aim for maximal luminosity of 3000 fb<sup>-1</sup>

$$L = \frac{N_b^2 n_b f_{\text{rev}} \gamma r}{4\pi \epsilon_n \beta^*} F \quad F = 1 / \sqrt{1 + \left( \frac{\theta_c \sigma_z}{2\sigma^*} \right)^2}$$



- Cryogenic system upgrade
- New large aperture triplet magnets (Nb<sub>3</sub>Sn)
- New 11 T insertion magnets (NbTi)
- Crab Cavities
- Collimation upgrade
- Machine protection
- ...

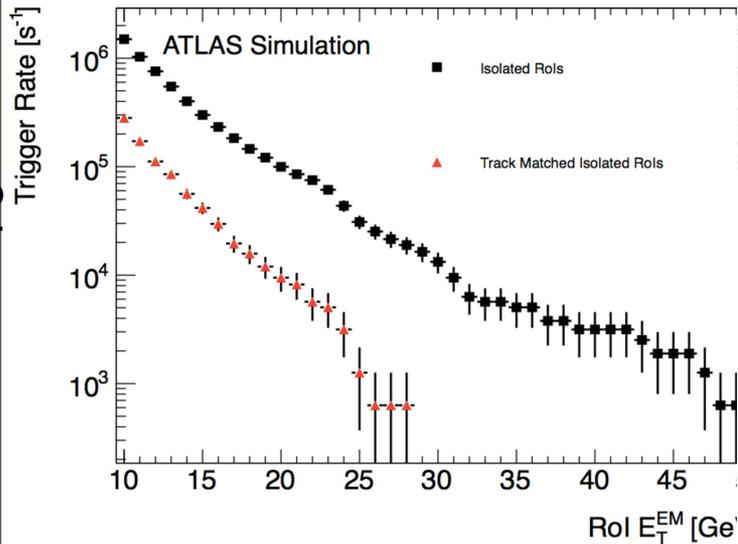
Major intervention on more than 1.2 km of the LHC

# Triggering at the HL-LHC

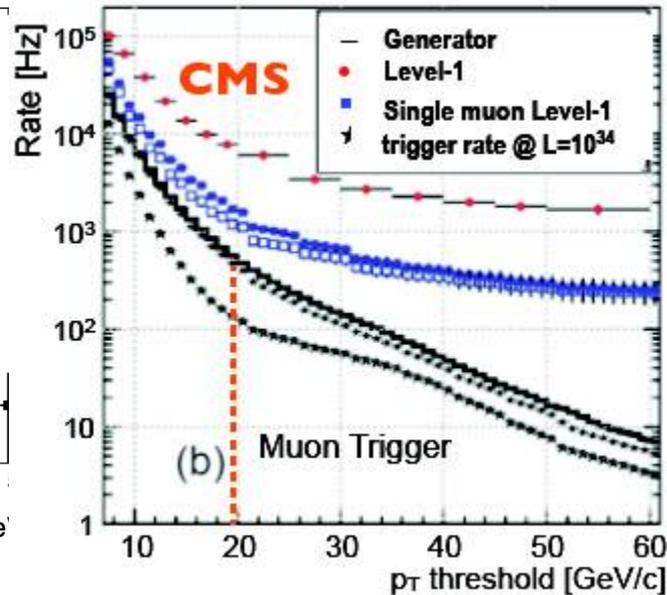
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- High luminosity → many interesting events
- High pile up and occupancy lead to decrease in resolution at trigger level
- Aim to keep low- $p_T$  lepton triggers ( $\sim 20$ - $25$  GeV)
  - Matching tracking information to muon and calorimeter information
  - Exploiting isolation for electron and tau identification and veto for photons

Phase 2 Upgrade – Run 4+



Single electron trigger rate @  $1E34$  for  $\langle \mu \rangle \sim 140$



Single muon trigger rate @  $1E34$  for  $\langle \mu \rangle \sim 140$

No track trigger  
With track trigger

## Tracking detector:

New all silicon tracking detectors

ATLAS: Possibly extended coverage in forward region

CMS: Extended coverage to  $|\eta| < 4$

## Calorimetry:

ATLAS: New FE electronics for Tile and LAr calorimeter and potential replacement of forward calorimeter

CMS: Replace endcaps and replace electronics

## Muon system:

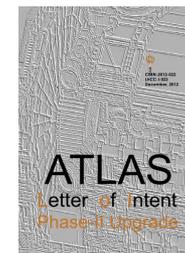
ATLAS: New FE electronics and possible upgrade of muon spectrometer

CMS: Extend forward chambers and replace electronics

## Trigger/DAQ:

Upgrades, add tracking at L1, partially new electronics

CMS: Shielding/beampipe for higher aperture



ATLAS: LHCC-I-023, CERN-LHCC-2012-022

CMS: Technical preparation in preparation, LHCC-2011-006, LHCC-P-004

# Upgrade Phase 2 Tracker

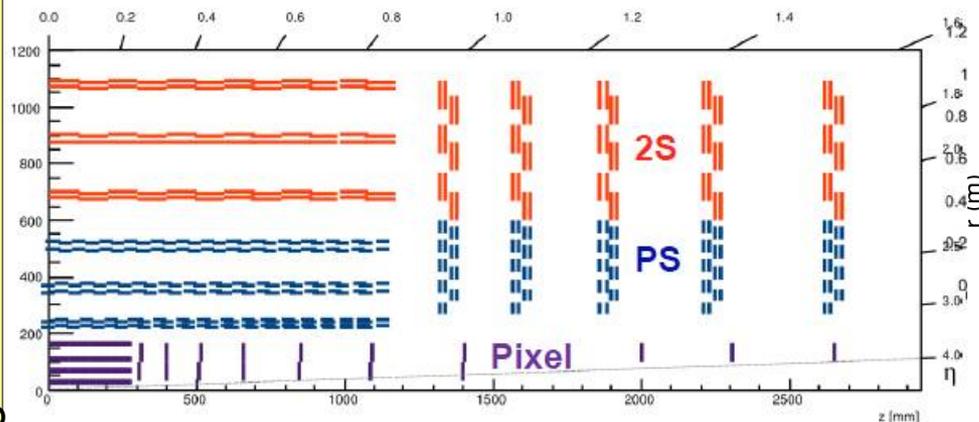
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## Installation of new trackers due to radiation damage of current ones

Fluences of up to  $2 \cdot 10^{16}$  Neq/cm<sup>2</sup>

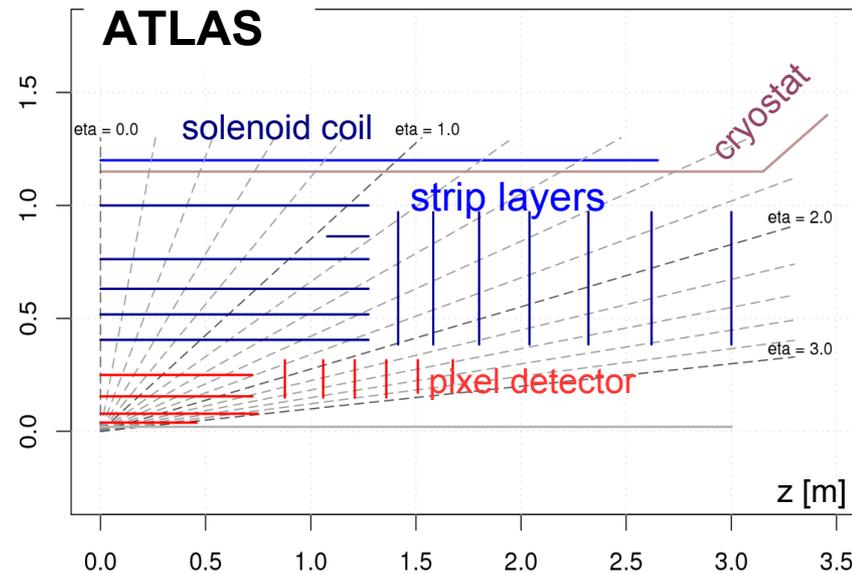
### CMS



Detector	Area [m <sup>2</sup> ]	Layers (B+EC)
CMS Pixel	4.6	4 + 10
CMS Outer	218	6 + 5

- Keep occupancy at %-level and resolve vertices  
→ high granularity, many channels, power consumption to concern
- Improve performance at high  $p_T$  → smaller pitch
- Improve low- $p_T$  tracking → reduce material budget

### ATLAS



Detector	Area [m <sup>2</sup> ]	Layers (B+EC)
ATLAS Pixel	8.2	4 + 6
ATLAS Strip	193	5.1 + 7

### Deploy

- Fast data transmission with low power giga-bit transmitter
- CO<sub>2</sub> cooling (thinner pipes)

Phase 2 Upgrade – Run 4+

# Phase 2 Outer Tracker CMS

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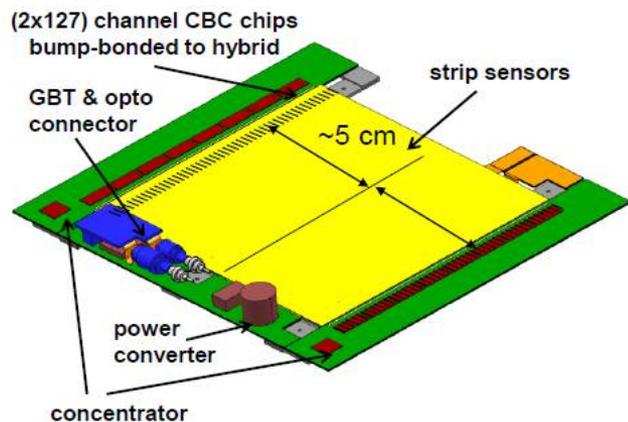
IBURG



- 2 types of 200  $\mu\text{m}$  thick n-in-p silicon modules,  $p_T$ -discriminating

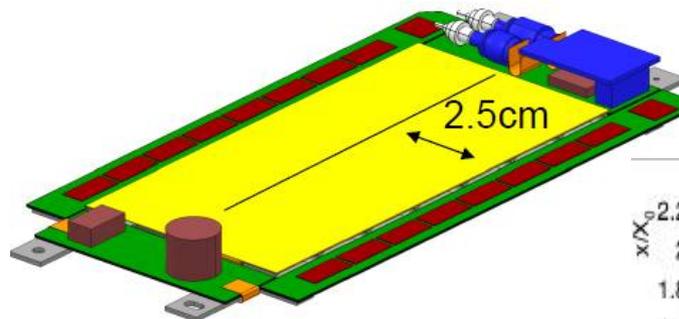
## 2S modules

- 2 strip sensor mounted back-to-back
- Sensors wire-bonded to hybrid from top and bottom
- Strip dimensions: 5 cm x 90  $\mu\text{m}$

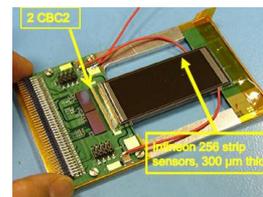


## PS modules

- Measures  $z$
- 1 strip sensor (2.5 cm x 100  $\mu\text{m}$ )
- 1 pixel sensor (1.5 mm x 100  $\mu\text{m}$ ) mounted back-to-back with a separating bridge

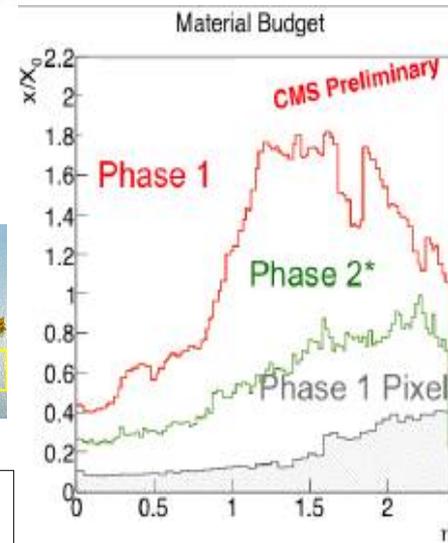


- Hybrid with binary readout electronics connecting data from two sides
- Module single entity, uses DC-DC powering
- Modules mounted on support structure



Small prototype

TDR in 2016



Phase 2 Upgrade – Run 4+

# Phase 2 Strip Tracker in ATLAS

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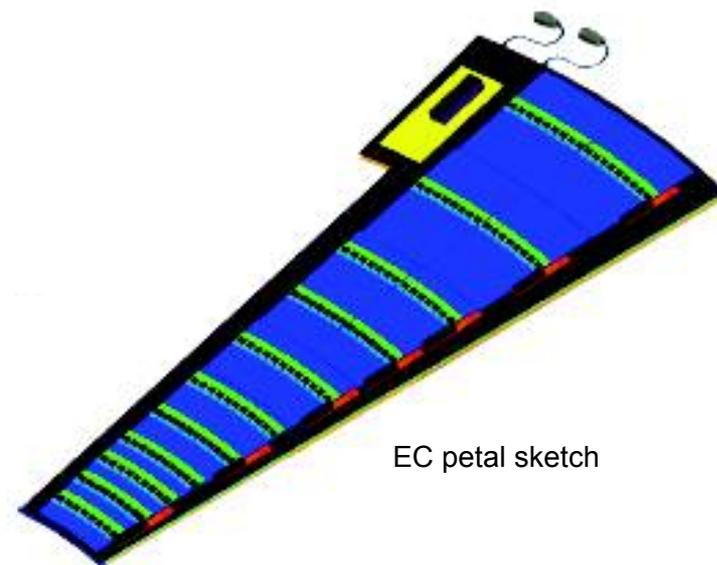
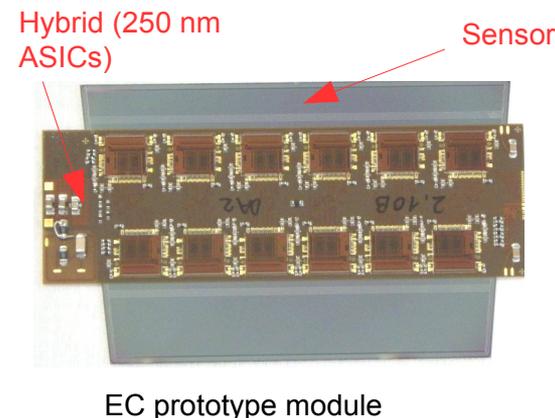


IBURG



- Silicon strip detector consists of n-in-p FZ sensors 320  $\mu\text{m}$  thick
  - Strip length 1.8 – 4.8 cm and  $\sim 74.5 \mu\text{m}$  pitch
- Modularity for easier final assembly, multiple site production, early system tests
- Modules: Sensors with binary readout electronics (130 nm CMOS) glued on top
- Stave/Petals: Modules glued double-sided with 40 mrad stereo on carbon and cooling structure
- Stave/Petals mounted on support structure including services in panels integrated  $\rightarrow$  Mass reduction
- DC-DC conversion or serial powering  $\rightarrow$  Increase power efficiency

TDR in 2016

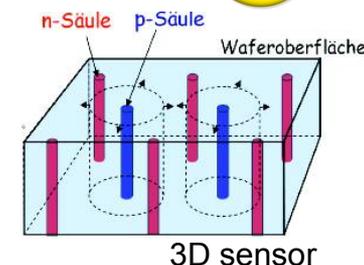


# Upgrade Phase 2 Pixel Detectors

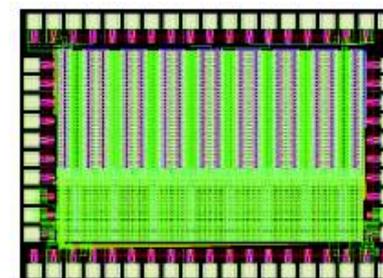
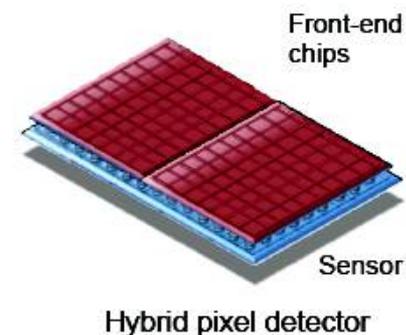
Albert-Ludwigs-Universität Freiburg



- Requirements: Radiation tolerance up to  $2 \cdot 10^{16}$  Neq/cm<sup>2</sup>  
Very high particle rates (1-2 GHz/cm<sup>2</sup>)  
Need for higher resolution than current ones
- For CMS and ATLAS new 4 layer pixel detector
  - For CMS coverage up to  $|\eta| \sim 4$ , in ATLAS under investigation



- Sensor type options:
  - Hybrid pixel detectors with planar or 3D sensors
  - Thin sensors with active edges and both n-in-n and n-in-p under study
  - CMOS monolithic technologies emerging (for larger radii?)
- Fast readout needed: R&D in RD53 Collaboration aiming for
  - Readout in 65nm technology
  - With low operating voltage
  - Small sizes like 25  $\mu\text{m}$  x 100  $\mu\text{m}$
- Several more years for R&D (ATLAS TDR planned for 2017)



RD53: Test chip in 65nm CMOS technology

# Upgrade Phase 2: ATLAS high $\eta$ extension

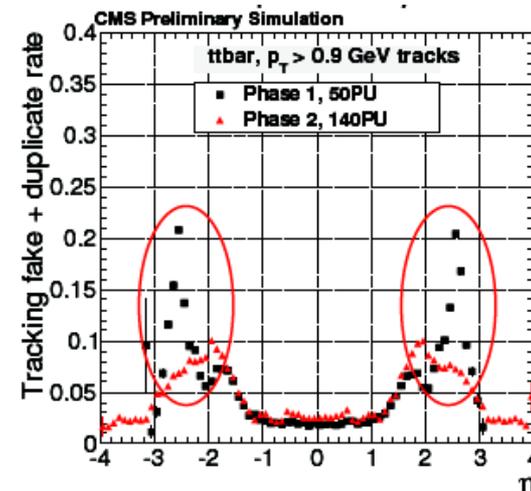
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- Acceptance increase to  $|\eta| \sim 4$  under evaluation to
  - Better associate jets to tracks
  - Reduce fake rate of jets from pile up in VBF and VBS channels
- Possible extension of tracker, FCAL, muon spectrometer
- Different pixel detector layouts  $\rightarrow$  physics impact under evaluation (e.g. for  $H \rightarrow ZZ \rightarrow 4\mu$ : + 35 % acceptance)



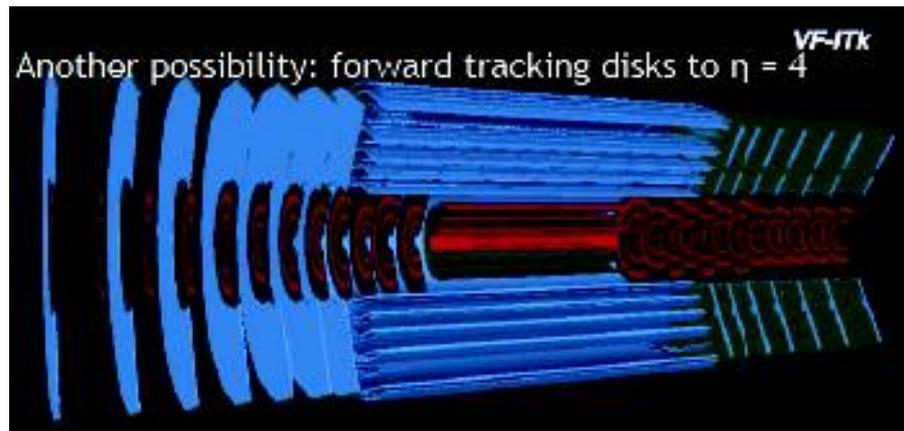
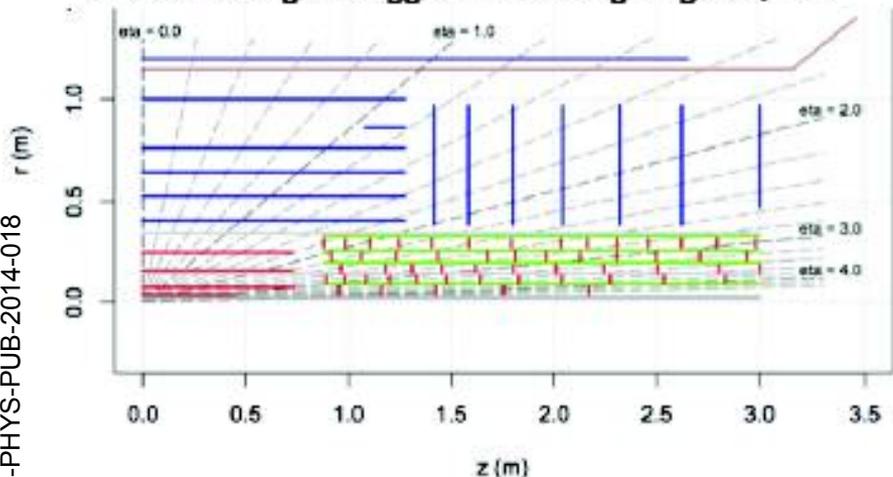
L. Gray, ECFA HL-LHC  
Aix-les-Bains Oct. 2014



Phase 2 Upgrade - Run 4+

ATL-PHYS-PUB-2014-018

Possible design: staggered tracking ring to  $\eta = 4$



Recommendation in March 2015

# Upgrade Trigger Scheme in ATLAS

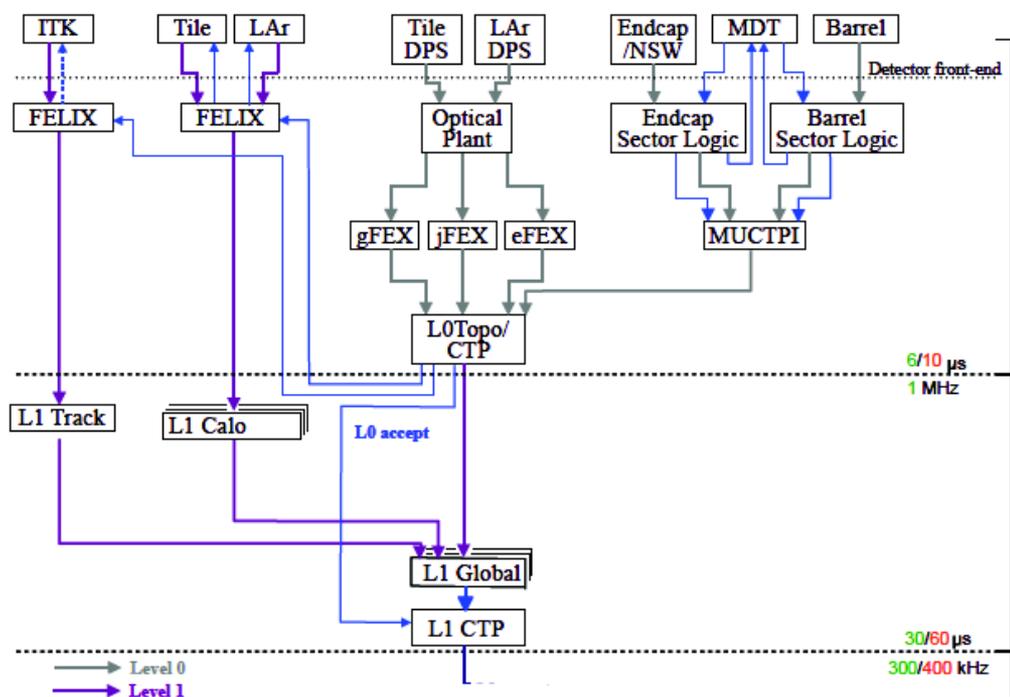
Albert-Ludwigs-Universität Freiburg



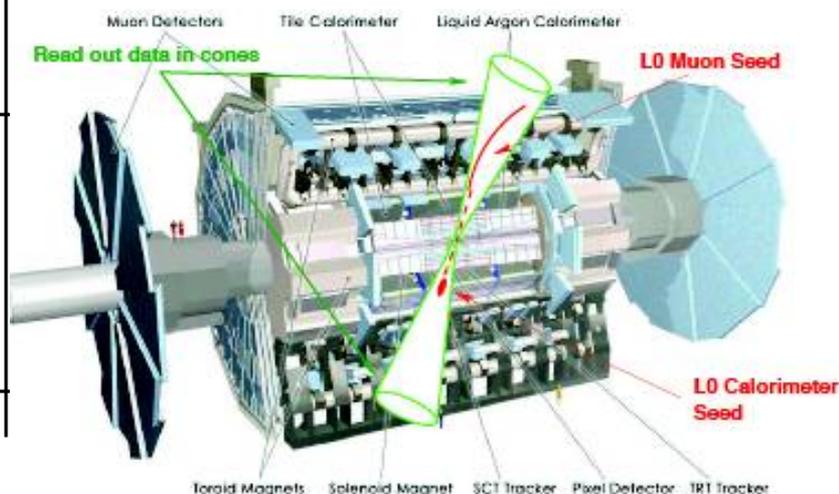
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- New design foresees Phase1 Level 1 → in Phase2 Level 0
- Precision calo, muon and inner tracker information in L1 (with new electronics)



**Triggering sequence:** L0 trigger (calo/muon) reduces rate within  $\sim 6 \mu\text{s}$  to 1 MHz and defines ROI → L1 track trigger extracts info in ROIs from readout electronics



**Level 0** Rate  $\sim 1$  MHz, latency  $\leq 6 \mu\text{s}$ , muon + calo

**Level 1** Rate 300-400 kHz, latency  $\leq 30 \mu\text{s}$ , muon + calo + tracks

**HLT** Rate 5-10 kHz

- Event building ROI driven

# Upgrade Trigger Scheme in CMS

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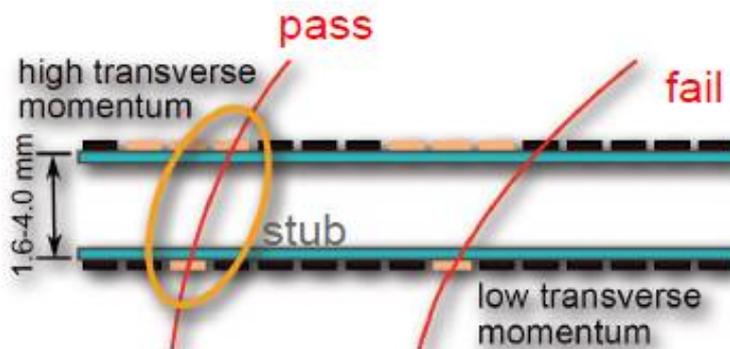
- L1 latency increase ( $3.2 \mu\text{s} \rightarrow 12.5 \mu\text{s}$ ) allows integration of tracking in L1 trigger objects
- L1 track trigger + finer segmented L1 calo, muon and global triggers
- Event building at full L1 rate

**Level 1** Rate  $\sim 1$  MHz,  
muon + calo + tracks  
**HLT** Rate 10 kHz

Phase 2 Upgrade – Run 4+

Track trigger: silicon strip modules provide time and  $p_T$  discrimination  $\rightarrow$  Rate reduction due to sharp thresholds (leptons) and isolation (multijet background reduction)

**$p_T$  discrimination with stacked modules:** exploit bending in magnetic field two closely spaced sensors read out by a single readout chip



Sensor spacing optimized  
Same geometrical cut corresponds to different  $p_T$

$\rightarrow$  Correlation on module level to form stubs is sent out if within  $p_T$  cut (down to 2 GeV)

# Phase 2 Upgrade: Calorimetry CMS

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- Replacement of endcap EM calorimeter and hadronic calorimeter due to radiation induced loss of transparency

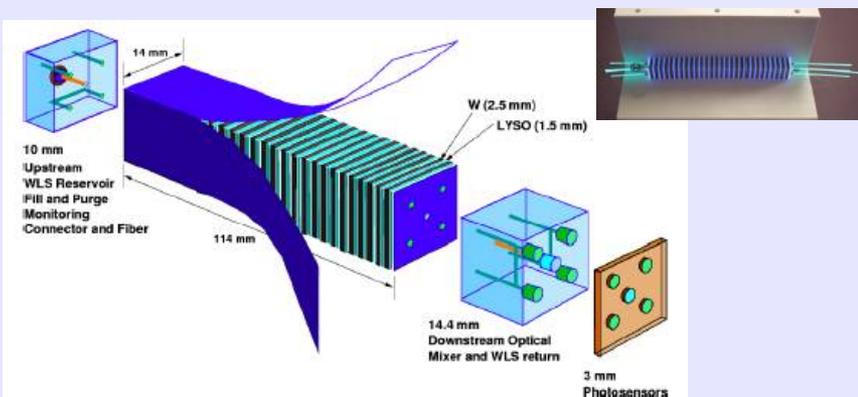
Possible concepts:

- Replacement of barrel electromagnetic calorimeter electronics (for track trigger latency)

Phase 2 Upgrade – Run 4+

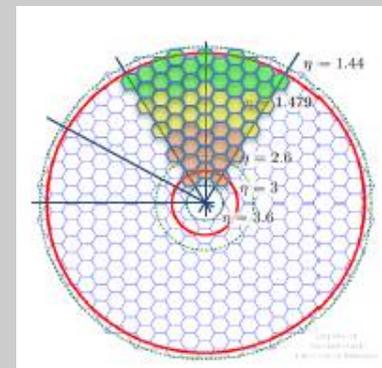
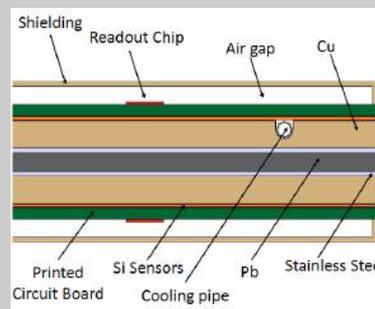
## Crystal LYSO Shashlik + Scintillator HE

- EM: W/LYSO Shashlik using WLS and SiPM readout
- Hadr: Scintillator-based with 30% of volume tiles + 10% higher rad. tolerance



## Silicon + Scintillator backing calorimeter

- EM: Silicon-lead/copper
- Hadr: Silicon-brass
- Scintillator-brass backing calorimeter
- 700 m<sup>2</sup> silicon pads 0.5-1 cm<sup>2</sup>



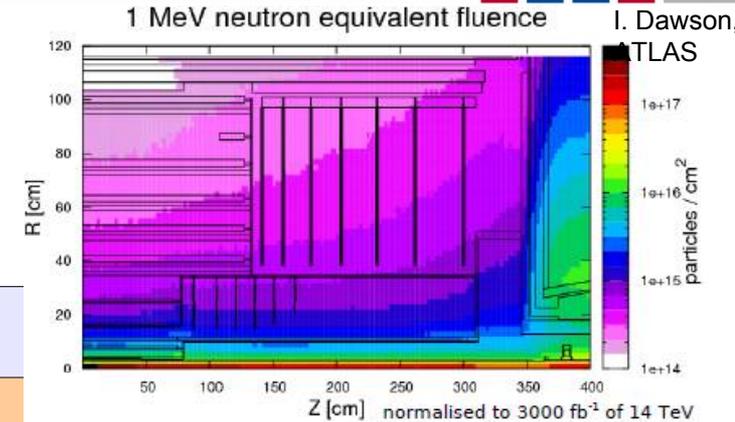
# Summary: Silicon detectors

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- Several new silicon detectors foreseen in upgrades have to withstand doses of up to  $\Phi \sim 2 \cdot 10^{16}$  Neq/cm<sup>2</sup>,  $\sim 1.5$  GRad (ATLAS, CMS),  $\Phi \sim 8 \cdot 10^{15}$  Neq/cm<sup>2</sup> (LHCb)

Experiment	Type	Speciality
ATLAS Phase 0	IBL	Planar and 3D (NEW) pixels
CMS Phase 1	Tracker pixels	Planar: n+-in-n
ALICE Phase 1	ITS + Muon forward tracker	NEW: MAPS/CMOS
LHCb Phase 1	Pixel tracker + upstream tracker	NEW: Micro channel cooling, Pixel sensors
ATLAS Phase 2	Tracker strips and pixels	Strip n-in-p, 300 $\mu$ m, 207 m <sup>2</sup> + possible high $\eta$ extension
CMS Phase 2	Tracker strips and pixels	Strip: n-in-p, 200 $\mu$ m thick 220 m <sup>2</sup> Pixel: open + high $\eta$ extension
CMS Phase 2 + Run 2	SiPM or silicon for calorimeter	Si sensors used in calorimetry, 700 m <sup>2</sup>



- New technologies:
  - CMOS
  - Micro channel cooling
  - Powering
  - Si in calorimetry
- Challenge:
  - Low material
  - Fine granularity
  - Integration on large areas
- Common R&D in RD50 Collaboration

*HGF Alliance Project (ATLAS+CMS groups): "Enabling Technologies for Silicon Tracking detectors at HL-LHC" (PETTL)*

# Summary: Gaseous Detectors

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Main use as muon detectors, work very well in all Phases of LHC

## 1) Upgrade without changing detectors

- Modify electronics (DT CMS, ALICE RPC)
- Continue (largest part in ATLAS, CMS, LHCb)

## 2) Upgrade by scaling standard geometries

- Increased acceptance (ATLAS MDTs, TGCs in new small wheel)
- Increased granularity (CSC CMS)

## 3) Upgrade by introducing novel gas detectors

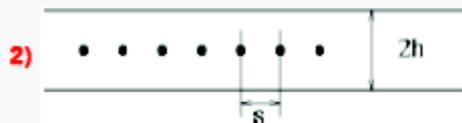
- Micromegas (ATLAS new small wheel, 1200 m<sup>2</sup>)
- GEMs (TPC ALICE, forward muon system CMS, LHCb 50 kHz readout)

Common R&D in RD51 Collaboration

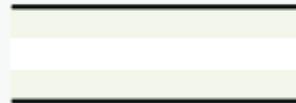
Geiger- Müller (1908), 1928  
Drift Tube (1968)



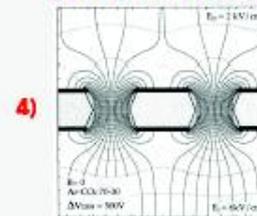
G. Charpak, 1968  
Multi Wire Proportional Chamber



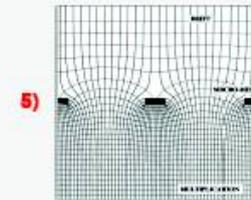
R. Santonico, 1980  
Resistive Plate Chamber



F. Sauli (1997)  
Gas Electron Multiplier



I. Giomataris et al. (1996)  
Micro-mesh gaseous chamber (Micromegas)



- **Large part of upgrades covers replacement**

- Read-out electronics
- Power supplies
- Front-end electronics
- Trigger electronics

ATLAS (Calo LV, trackers, Lar FE, MDT),  
CMS (tracker, FE HCAL, trigger),  
LHCb (VELO), ALICE (Readout)

- **Common issue**

- Fast, radiation hard (up to 1MGy), low power readout electronics for tracking detectors  
→ R&D effort in RD53 Collaboration

- **Common development**

- Fast data transmission required: common R&D on Gbps optical link
- Radiation hard and magnetic hard: DC-DC converters
- Frame contracts for IC technologies  
(65 nm and 130nm TSMC and in use IBM 130 nm, Techno de On-Semi 350 nm)

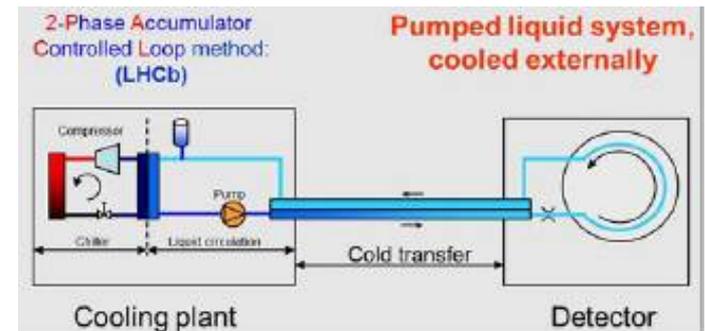
*HGF project on silicon photonics: "Enabling Technologies for Next-Generation Detectors"*

# Summary: Calorimetry, Activation, Cooling

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- Calorimetry
  - Upgrade of readout and electronics in several calorimeter parts required
  - Part of CMS calorimeter (to be upgraded due to radiation dose in Phase 2)
- Radiation doses in Phase 2 and activation of material to be considered  
→ effect shielding and handling
- Detector cooling with evaporative CO<sub>2</sub> cooling:
  - 15...100 bar
  - 200...300 J/g instead of ~2 J/g in mono-phase cooling system
  - Allows thinner and longer pipes
  - New cooling plants LHCb 2\*7 kW,  
ATLAS 6\*30 kW  
CMS 9\*45 kW

ALARA

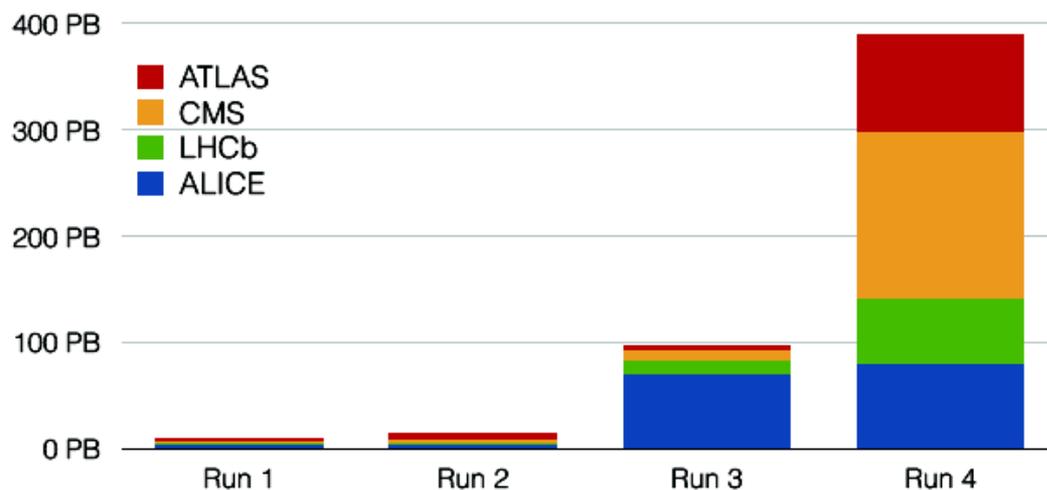


# Summary: Triggering

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## • Triggering

- ALICE and LHCb plan to read out all data with upgraded electronics
- ATLAS and CMS include tracking → control Level 1 rate  
With different approaches similar HLT rate of 5-10 kHz in Phase 2
- Upgrades by hardware and software modifications (new electronics on various systems, TDAQ infrastructure, Track Trigger)
- Collecting a lot of data → Imposes challenge for further offline processing



New  
physics  
results

M. Krzewicki, ECFA HL-LHC  
Aix-les-Bains Oct. 2014

Very crude estimate of data per year

- Exciting physics program with  $300\text{fb}^{-1}$  and  $3000\text{fb}^{-1}$  possible
- Search for new particles and measurements of Higgs properties in reach
- Technical challenges ahead
  - High radiation environment
  - High rate of pile up and occupancy
  - High trigger rates
- LHC and all 4 experiments have coherent plans to perform upgrade of systems
  - Main issues: Silicon tracking detectors, electronics and trigger strategies
  - Several TDRs for Phase 0 and Phase 1 approved
  - More to be written for Phase 2 in staged upgrade planning
- Collaboration between experiments for upgrades in the context of
  - RD50, RD51 and RD53 R&D Collaborations, Helmholtz Alliance projects
  - More potential overlaps e.g. trigger and software techniques

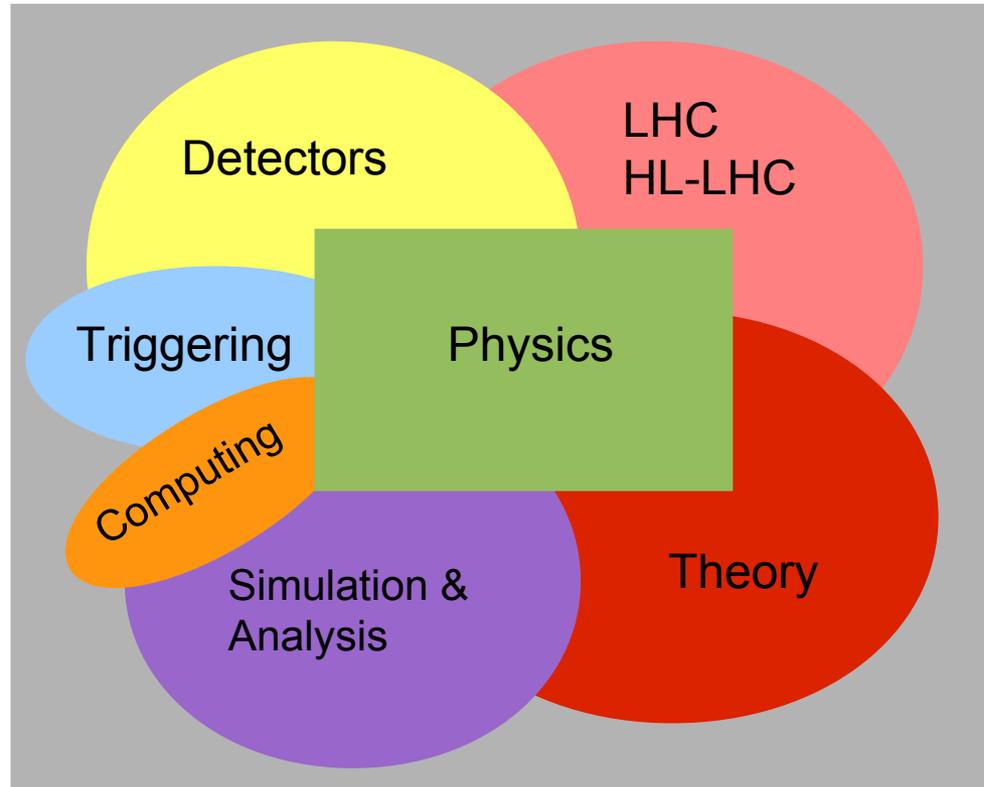
Exciting program for detector development in parallel with  
data analysis and data taking

# Thank you

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Much more on ALICE in:

CERN-LHCC-2012-012, LHCC-I-022  
CERN-LHCC-2013-014, LHCC-I-022-AOD-1  
CERN-LHCC-2012-005, LHCC-G-159, 2012  
CERN-LHCC-2013-024, ALICE-TDR-017  
CERN-LHCC-2013-020, ALICE-TDR-018  
CERN-LHCC-2013-018, ALICE-TDR-015

LHCb

LHCC-2011-001  
LHCC-2012-007 CERN-LHCC-2011-001  
CERN-LHCC-2012-007  
CERN-LHCC-2013-021  
CERN-LHCC-2014-001

ATLAS

Phase 1 CERN-LHCC-2011-012, LHC-I-020  
CERN-LHCC-2013-006  
CERN-LHCC-2013-007  
CERN-LHCC-2013-017  
CERN-LHCC-2013-018  
Phase 2 ATLAS: LHCC-I-023, CERN-LHCC-2012-022

CMS

CERN-LHCC-2012-015  
LHCC-2011-006, LHCC-P-004

# Acknowledgment

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Hans-Christian Schulz-Coulon, Ingrid Maria Gregor, Karl Jakobs, Ulrich Parzefall, Lutz Feld, Peter Jenni, Anadi Canepa, Olga Igonkina, Mike Lamont, Frederic Bordry, Letizia Lungaro Mendez, M. Cagliari, Phil Allport, Francesco Dattori, Jay Hauser, Quy Nohne, Claudia Gemme, Marcello Mannelli, Andreas Schopper, A. Rossi, Isabel Bejar Alonso, Gaelle Boudoul, Alexander Dierlamm, Georg Steinbrueck, Wei-Ming Yao, Benedikt Hegner, Benedetto Gorini, Christian Lippmann, Paula Collins, Mirco Dorigo, Mikolaj Krezwicki

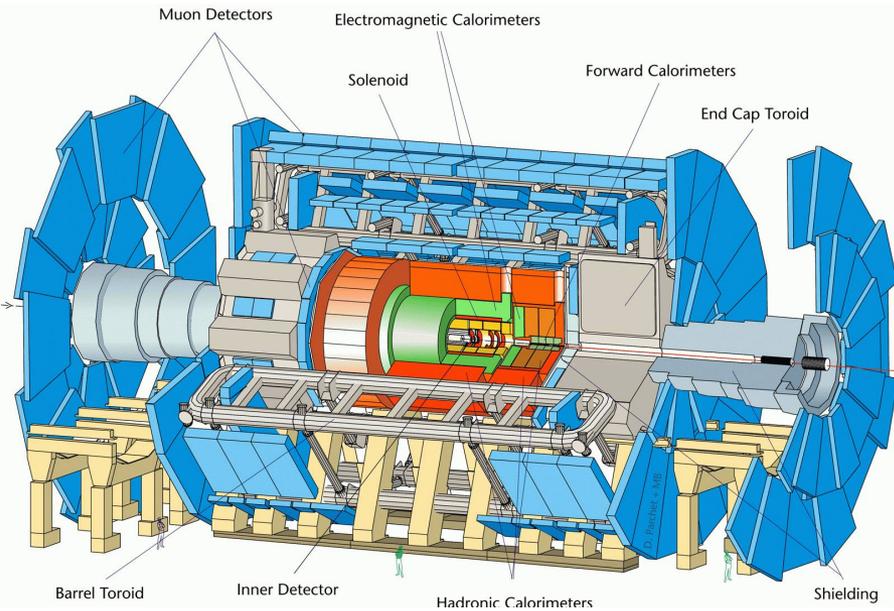


# Experiments

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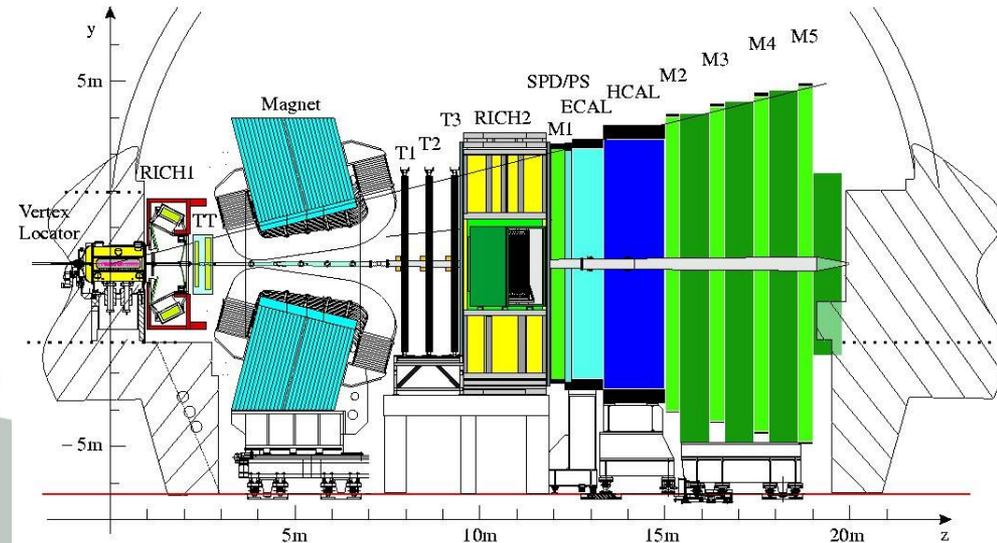
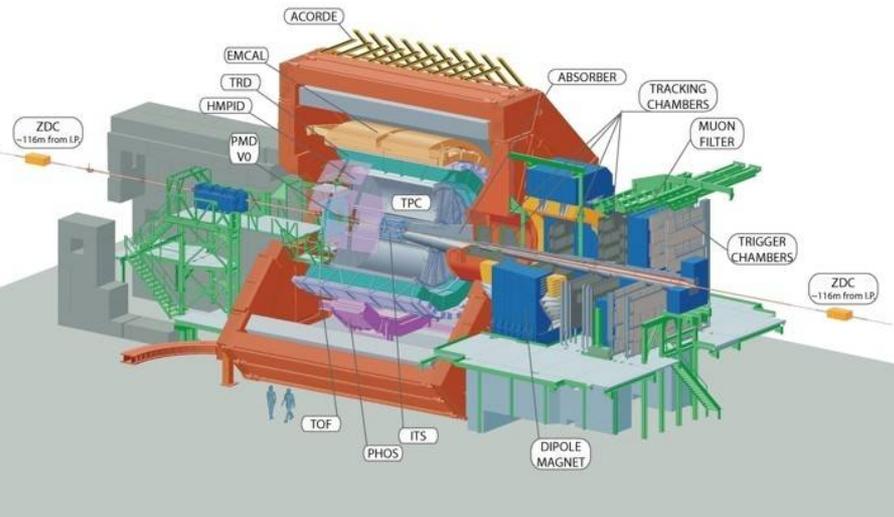
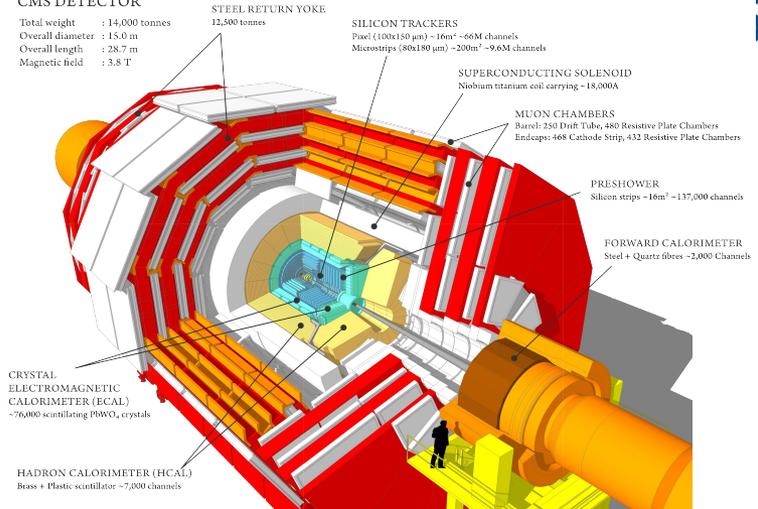


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FREIBURG**



## CMS DETECTOR

Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T



## The main 2013-14 LHC consolidations

**Opening: 100%**

1695 Openings and final reclosures of the interconnections

**100 % done**

Complete reconstruction of 3000 of these splices

**100 % done**

Consolidation of the 10170 13kA splices, installing 27 000 shunts

**100 % done**

Installation of 5000 consolidated electrical insulation systems

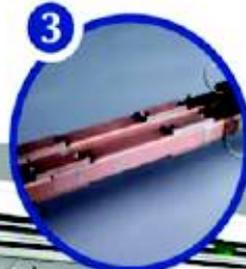
**100 % done**

300 000 electrical resistance measurements

**100 % done**

10170 orbital welding of stainless steel lines

**Closure: 100%**



**100 % done**  
18 000 electrical  
Quality Assurance tests

**100 % done**  
10170 leak tightness tests

3 quadrupole magnets  
to be replaced

**Done**

15 dipole magnets to be  
replaced

**Done**

**100 % done**  
Installation of 612  
pressure relief devices to  
bring the total to  
1344

**100 % done**  
Consolidation of the  
13 kA circuits in the 16  
main electrical feed-  
boxes

Frédéric Bordry,  
LHCP 2014

## To measure Higgs boson properties

A Apyan, ECFA HL-LHC Aix-les-Bains Oct. 2014

- **Measure as many Higgs couplings** to fermions and bosons as precisely as possible, with  $3000 \text{ fb}^{-1}$  typical precision 2-10% per experiment (except rare modes),  $\sim 1.5\text{-}2\text{x}$  better than with  $300 \text{ fb}^{-1}$

Assumptions:

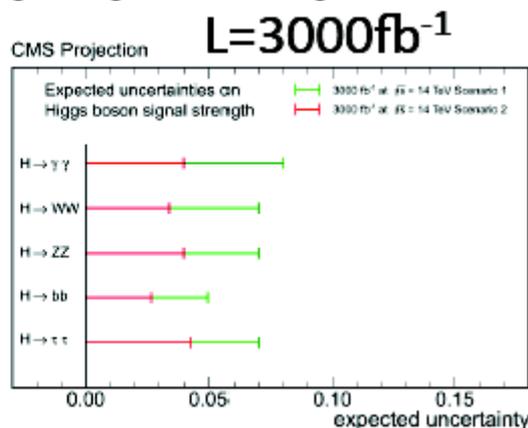
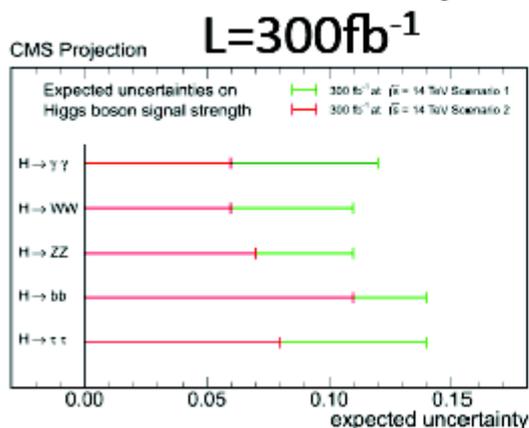
- Single resonance of mass 125 GeV
- Zero width approximation
- Tensor structure of Lagrangian assumed to be the same of SM

		$K_\gamma$	$K_W$	$K_Z$	$K_g$	$K_b$	$K_t$	$K_\tau$	$K_{Z\gamma}$	$K_\mu$
$300 \text{ fb}^{-1}$	ATLAS	[9,9]	[9,9]	[8,8]	[11,14]	[22,23]	[20,22]	[13,14]	[24,24]	[21,21]
$300 \text{ fb}^{-1}$	CMS	[5,7]	[4,6]	[4,6]	[6,8]	[10,13]	[14,15]	[6,8]	[41,41]	[23,23]
$3000 \text{ fb}^{-1}$	ATLAS	[4,5]	[4,5]	[4,4]	[5,9]	[10,12]	[8,11]	[9,10]	[14,14]	[7,8]
$3000 \text{ fb}^{-1}$	CMS	[2,5]	[2,5]	[2,4]	[3,5]	[4,7]	[7,10]	[2,5]	[10,12]	[8,8]

Uncertainties on coupling measurements

arXiv: 1307.7135  
arXiv: 1307.7292

- **Reduced uncertainty on Higgs signal strength**



- ATLAS: [no theory uncert., full theory uncert.]
- CMS: [Scenario 2, Scenario1]
- Scenario 1: Systematic uncertainties remain the same
- Scenario 2: Theoretical uncertainties scaled by  $\frac{1}{2}$ , other systematic uncertainties scaled by  $1/\sqrt{L}$

## ATLAS

- Insertable B-layer (pixel layer) cooled with CO<sub>2</sub>
- New cooling plant for pixel + SCT
- New Al/Be beam pipe
- Replaced all LV power supplies of calorimeter
- Increased acceptance in muon spectrometer
- Upgrade of L1 Calo (L1 trigger)
- Add neutron shielding
- ...

## LHCb

- New Forward shower detector
- RICH detector
- Trigger system to allow offline analysis at 12.5kHz
- ...



## CMS

- Upgrade HCAL photo detectors
- Increased acceptance in muon system
- Thinner and centered beam pipe
- Repair of shorts in pixel detector barrel
- Installed pilot system for future upgrades
- Consolidation work to complete original detector
- Start upgrade for high pile-up
- ...

## ALICE

- DCAL installation
- Additional TRD modules
- Augmented trigger hardware and software
- ...

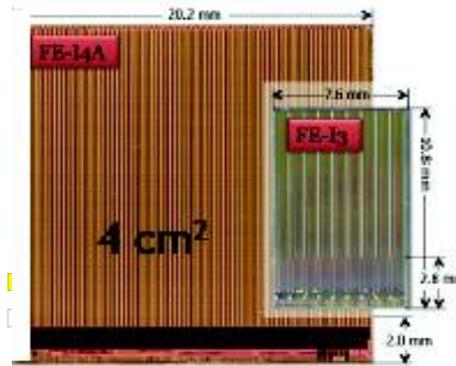
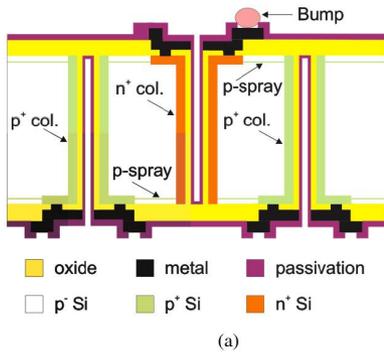
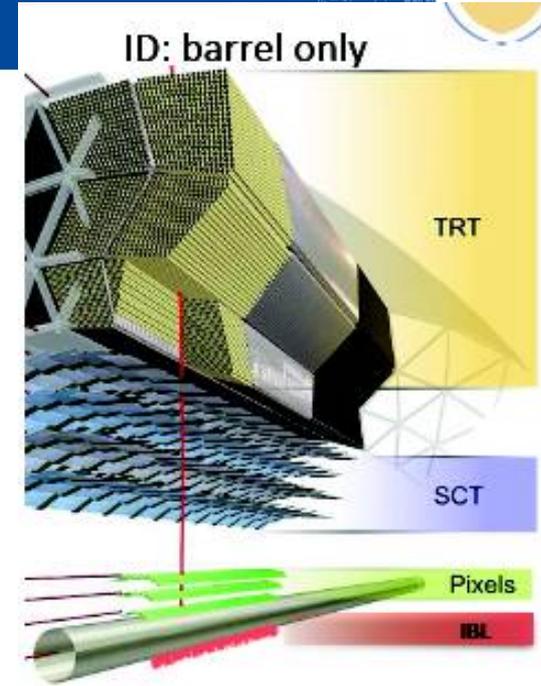


# ATLAS Insertable b-layer

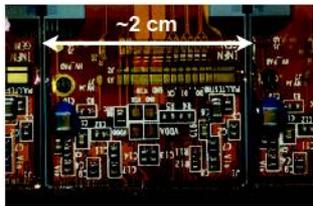
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Phase 0 Upgrade – Run 2

- Additional 4<sup>th</sup> pixel layer
- Close to interaction point (33 mm)
- 75% planar n-in-n sensors and 25% 3D-detectors (radiation tolerance  $\Phi \sim 5 \cdot 10^{15}$  Neq cm<sup>-2</sup>)
- Will improve tracking, have better impact parameter resolution, vertexing and b-tagging performance

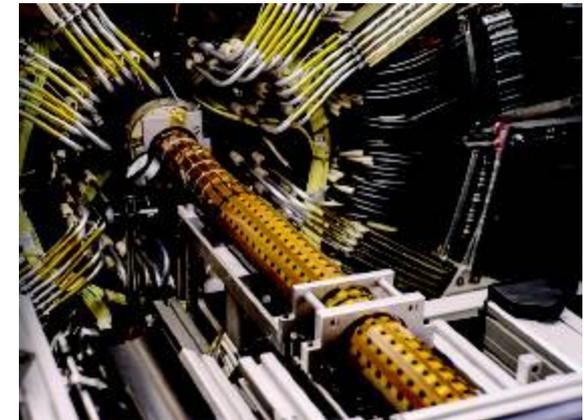


FEI4 electronics



ATLAS IBL pixel module

Smaller pixel cell  
50x250μm<sup>2</sup>, FEI4 130nm  
CMOS electronics



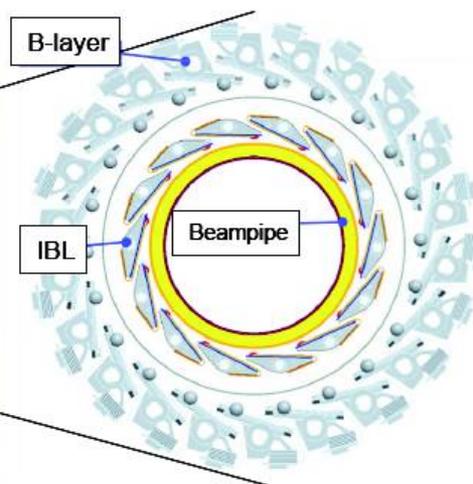
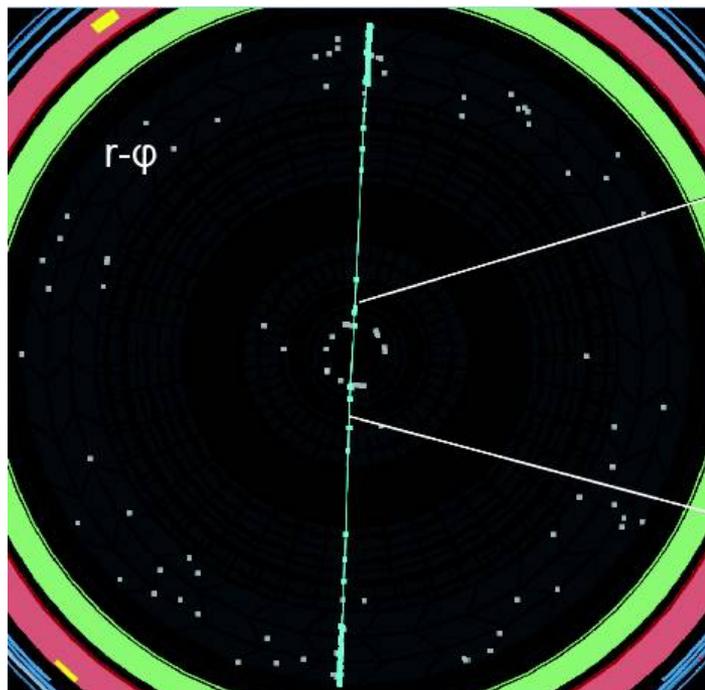
- Successfully inserted in detector and 99.9% working

CERN-LHCC-2010-013

# ATLAS Inner detector for Run 2

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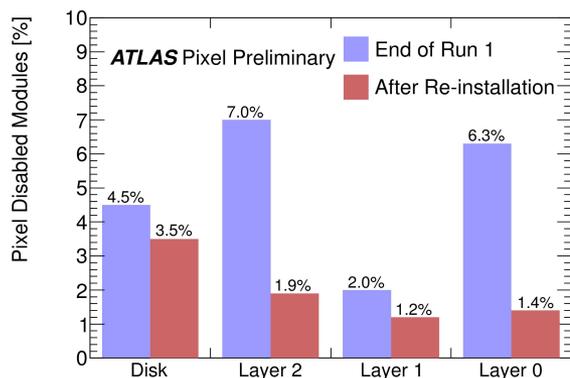
Phase 0 Upgrade – Run 2



- Beam pipe backout done



- Cosmics data taking of all parts of inner detector
- Track reconstruction working



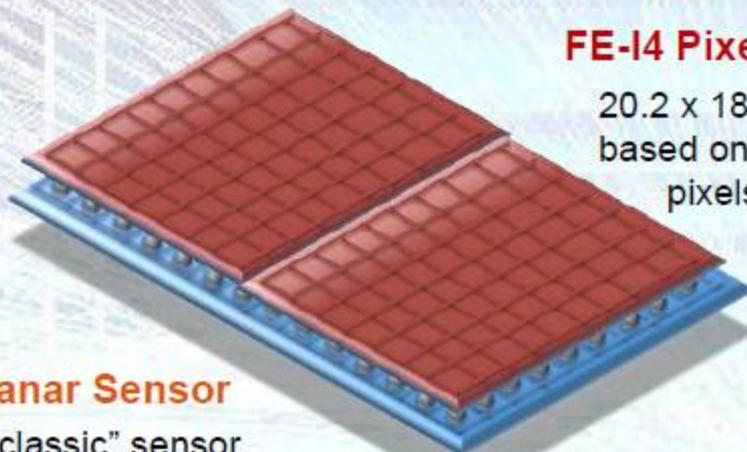
Pixel detector after the re-insertion

**All installation in pit finished and commissioning ongoing!**

## Insertable B-Layer

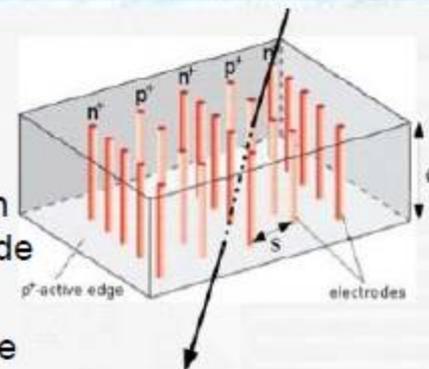
### FE-I4 Pixel Chip (26880 channels)

20.2 x 18.8 mm<sup>2</sup> 130 nm CMOS process,  
based on an array of 80 by 336  
pixels (each 250 x 50 μm<sup>2</sup>)



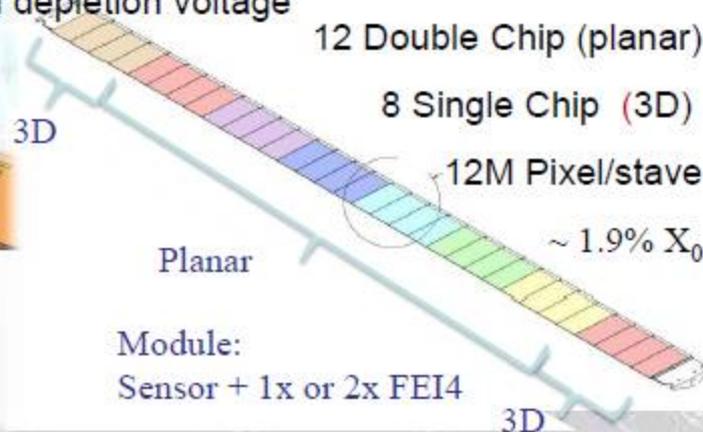
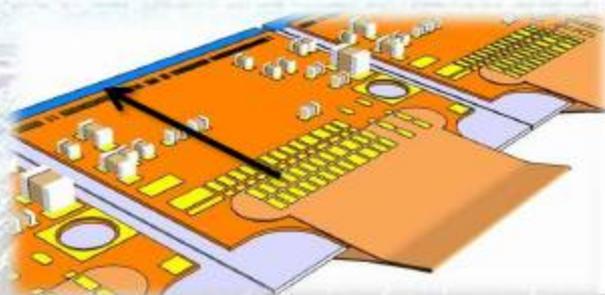
### 3D Sensor

- Both electrode types are processed inside the detector bulk
- Max. drift and depletion distance set by electrode spacing
- Reduced collection time and depletion voltage



### Planar Sensor

- "classic" sensor design
- oxygenated n-in-n
- 200μm thick
- Minimize inactive edge by shifting guard-ring under pixels (215 μm)
- Radiation hardness proven up to  $2.4 \times 10^{16}$  p/cm<sup>2</sup>



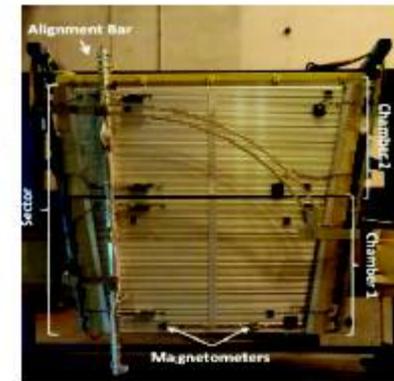
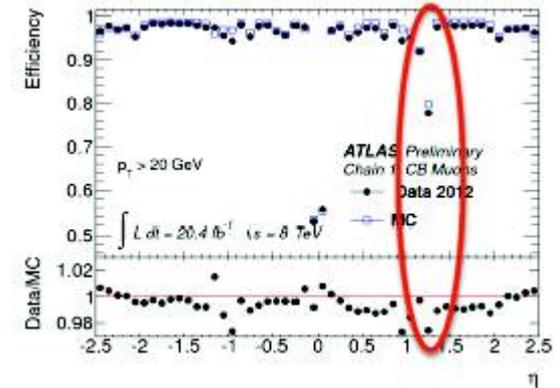
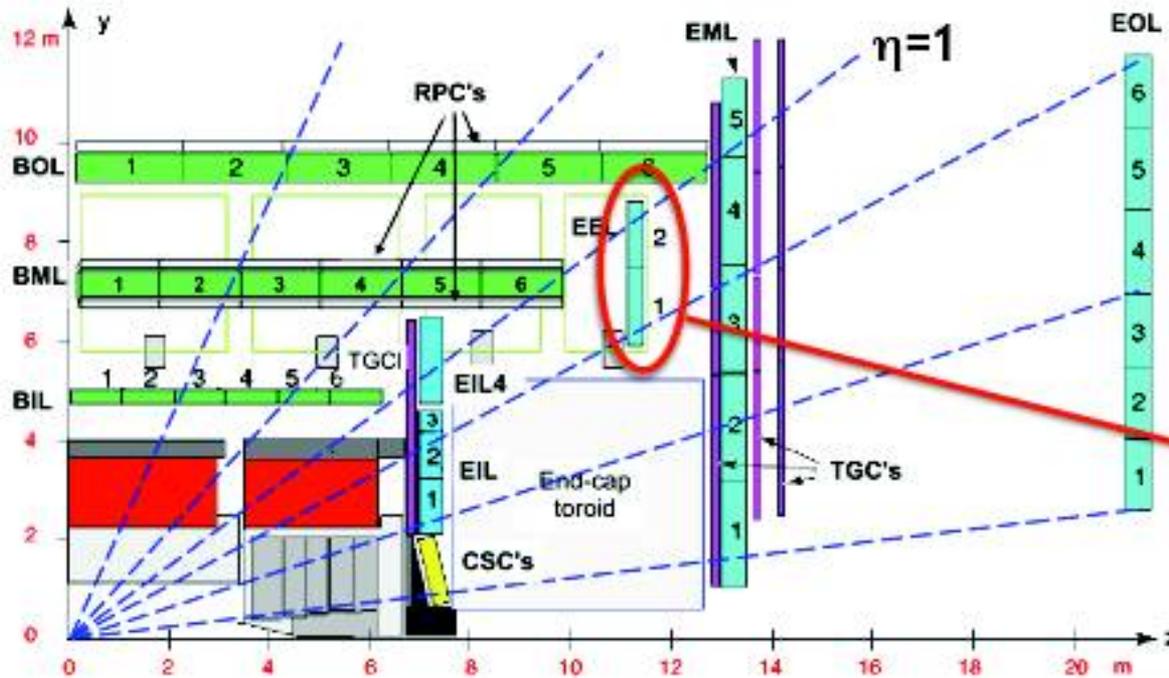
Phase 0 - Run 2

# ATLAS muon spectrometer

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- Consolidation for low efficiency on barrel-end cap transition region

Phase 0 Upgrade – Run 2



# ATLAS Phase 1 Upgrade: L1 calo trigger

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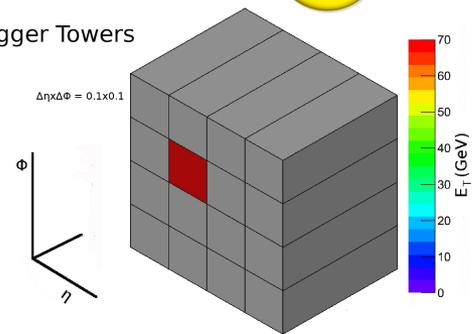


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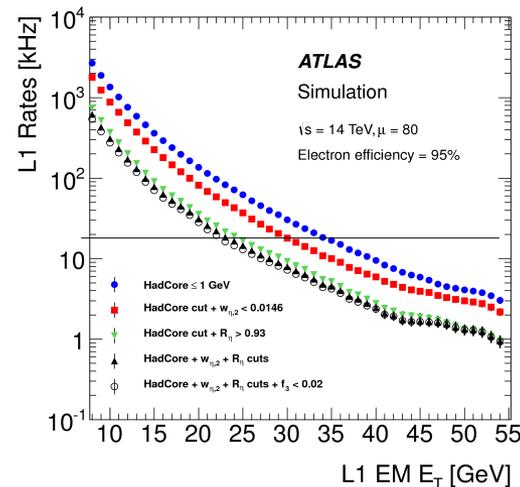
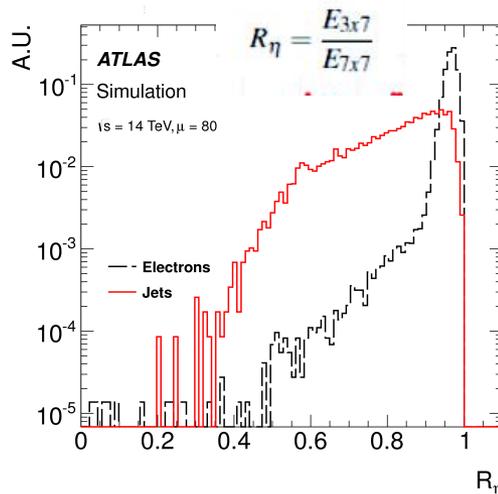
Improve granularity of L1 calorimeter readout to improve electron/jet discrimination and maintain low  $p_T$  electron and photon triggering

- Upgrade of calorimeter front-end electronics
- New shower shape algorithms at L1

Trigger Towers

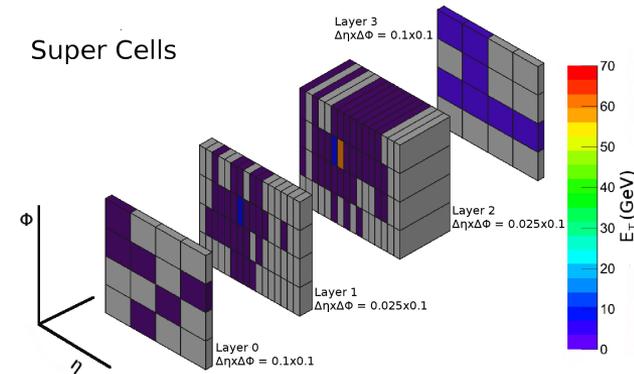


At 20 kHz  $p_T \sim 23$  GeV possible



From one trigger tower  $0.1 \times 0.1$  to  $1+4+4+1$  supercells on 4 layers

Super Cells



CERN-LHCC-2013-017, CERN-LHCC-2013-018

# Triggering in ATLAS in Run 2

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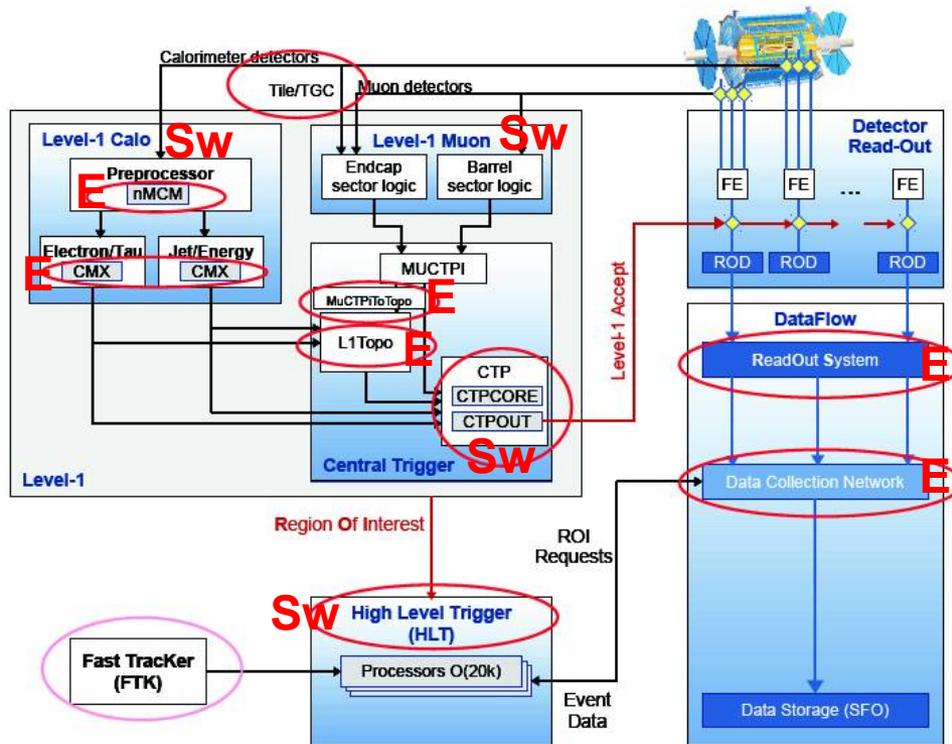
- Triggering is modified in ATLAS for Run 2
  - Additional flexibility and more selectivity

- New firmware and boards in central trigger system
- L1 rate increased to 100 kHz
- Improve L1 triggers by e.g. selection by topological signatures (L1 topo), ROI match to muon track (L1 muon), pile-up suppression (L1 MET)
- HLT rate increase to average 1kHz
- Better algorithms and use of resources for HLT to keep lepton triggers for W, Z

Phase 0 Upgrade – Run 2



Topological board



**E** - Electronic boards  
**Sw** - Software

# ATLAS Phase 1 Upgrade: FTK

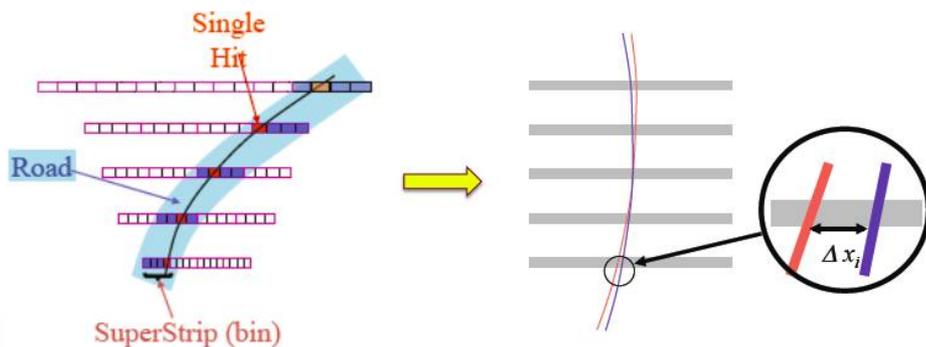
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CERN-LHCC-2013-007

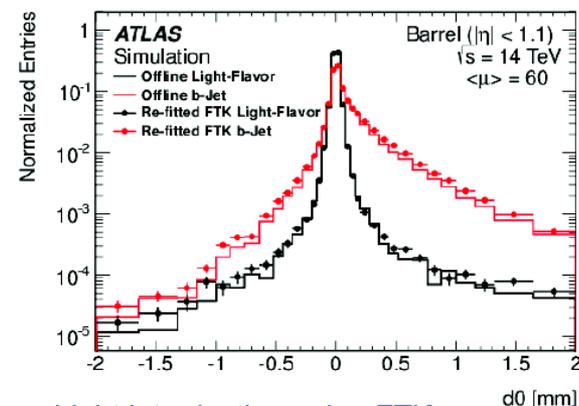
- Fast Track trigger at L1.5
  - Hardware based trigger to allow rapid pattern recognition using inner tracker (FPGA fitting: 1 fit/ns)
  - Input to HLT
  - Improves b-tagging and  $\tau$ -lepton triggers by combining tracking and calorimeter information
  - Installation started, to finish in 2018

Using associative memory ASIC



Pattern recognition in coarse resolution (superstrip  $\rightarrow$  road)

Track fit in full resolution (hits in a road)  
 $F(x_1, x_2, x_3, \dots) \sim a_0 + a_1 \Delta x_1 + a_2 \Delta x_2 + a_3 \Delta x_3 + \dots = 0$

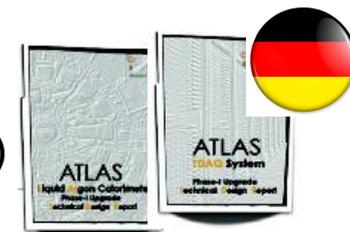


Light jet rejection using FTK compared to offline reconstruction

$\rightarrow$  offline  $\sim$  online precision

- Upgrade of trigger back-end electronics & TDAQ
- New front-end in LAr calorimeter + possibly forward physics (AFP)

CERN-LHCC-2013-017, CERN-LHCC-2013-018



# Triggering in CMS in Run 2 + Phase 1

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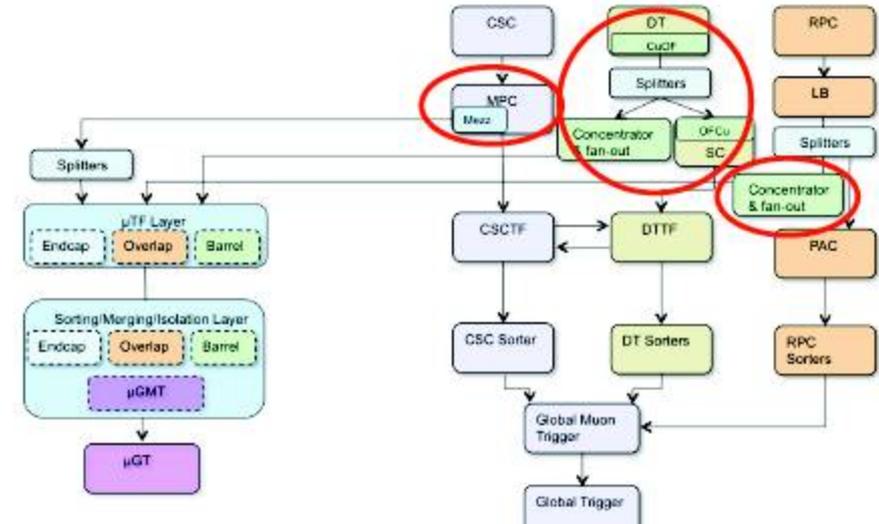
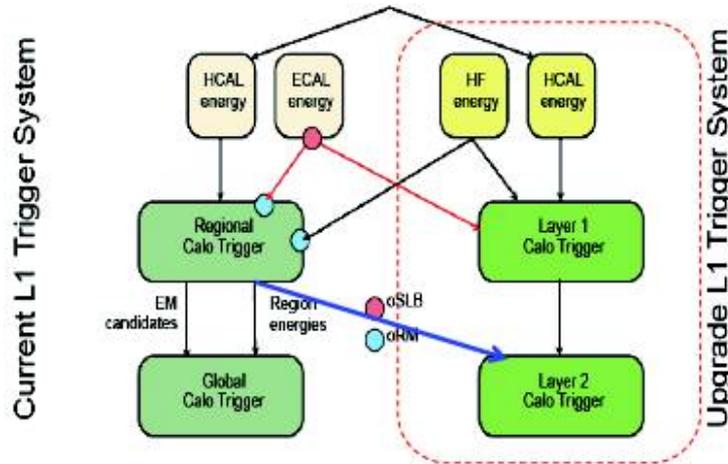
S. Lopez LHCC Nov. 2014

Triggering is modified in CMS for Run 2  
L1 Calo trigger scheme

L1 Muon trigger scheme

New system

Legacy system



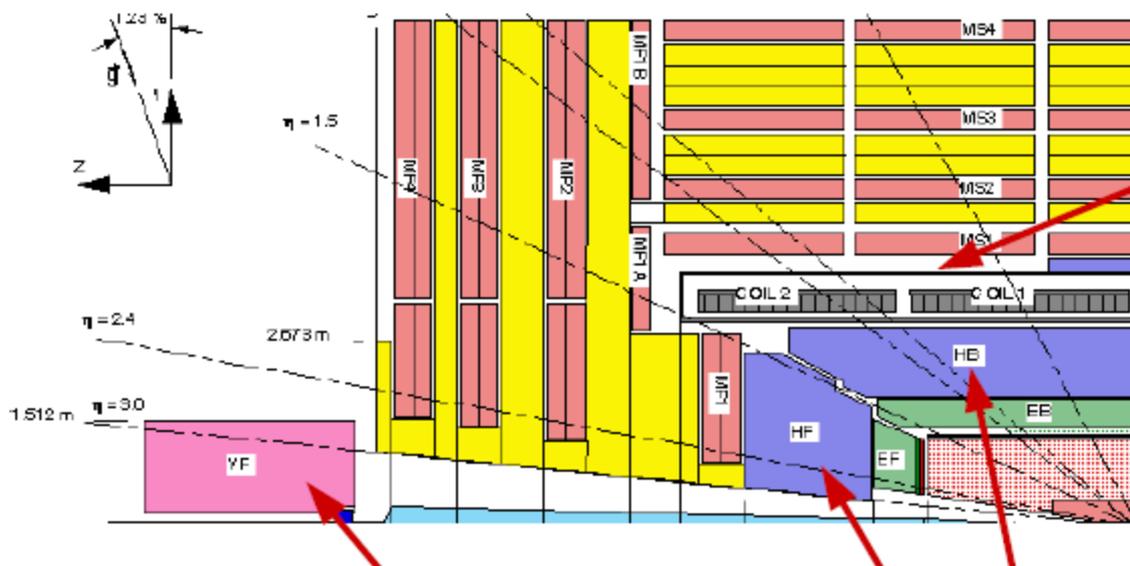
- Two-layer calorimeter trigger with
  - calorimeter tower-level precision
  - improved taus and electron isolation
  - pile-up subtraction
- Replacement of copper cable connections with optical connections.
  - Splitting from ECAL to Regional Calo Trigger and Layer 1 ✓
  - Splitting from Regional Calo Trigger to Global Calorimeter Trigger and Layer 2 ✓
  - Configurable switching legacy/upgrade

- Integrated muon trigger combining all 3 muon systems in 'early' track-finding
  - higher robustness and efficiency
  - more sophisticated  $p_T$  measurement
- Build up new Muon Track Finders in 2015 and commission in parallel (ready for physics by 2016)
- Full Full split of CSC signals installed and tested ✓
- Split a slice of the DT ✓ and RPC signals to commission the new trigger

## Replacements

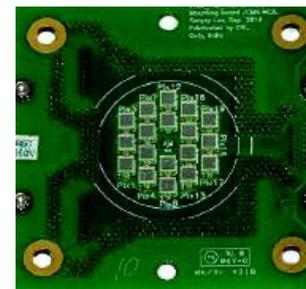
- Photo-detectors in HCAL
- New PMTs in forward calorimeter
- Change HPD with SiPMs in outer calo.  
→ lower pedestals

Phase 0 Upgrade – Run 2



Switch to multi-anode PMTs and fast electronics

Replacement of control modules



HPD → SiPMs  
(due to magnet field)

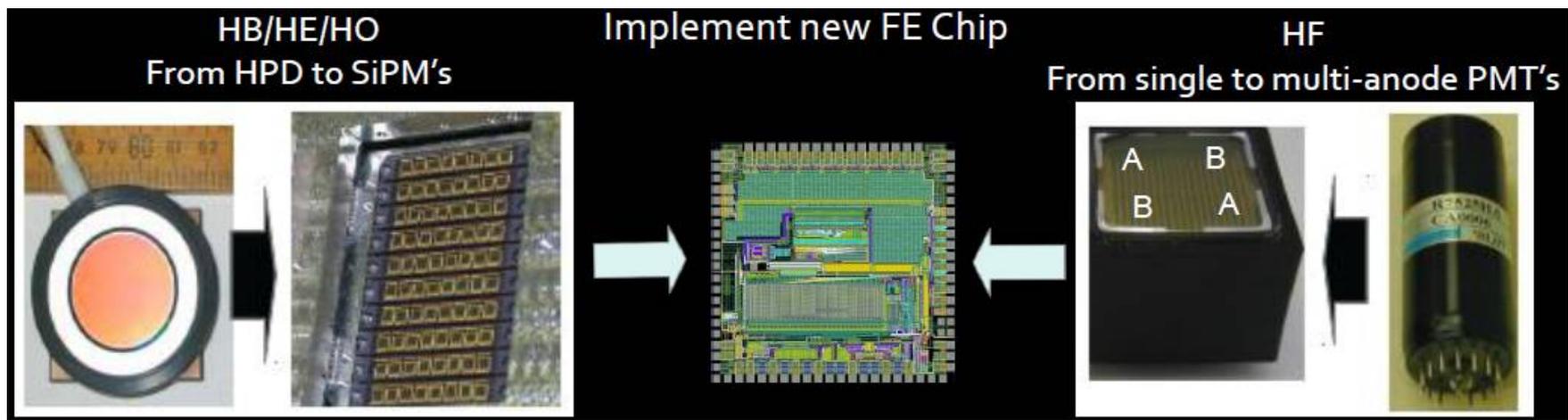
# CMS Upgrade in Run 2

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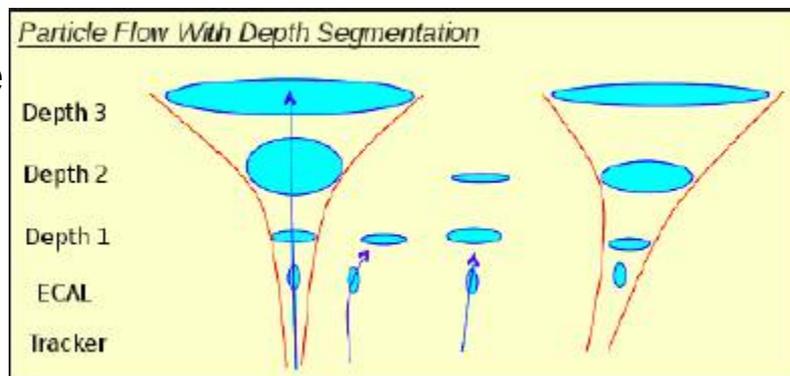


- Upgrade trigger system until 2016, already started in LS 1
- Replace photo-transducers to reduce noise and improve performance
- New FE chip for HCAL

During Run 2



- SiPMs increase depth segmentation → Improved particle flow hadronic shower localization
- Readout upgrade to  $\mu$ TCA

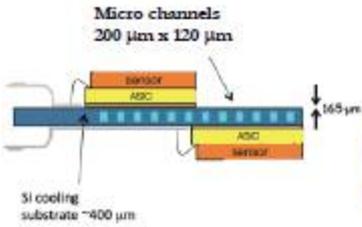


# LHCb VELO upgrade Phase 1

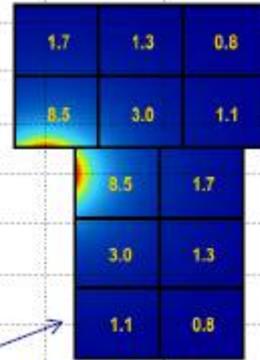
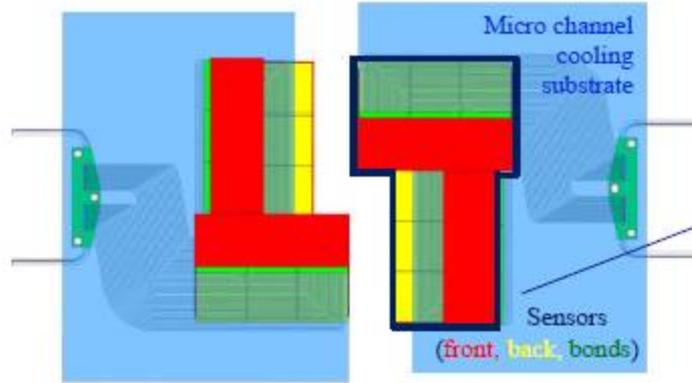
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Phase 1 Upgrade – Run 3

## VELO upgrade

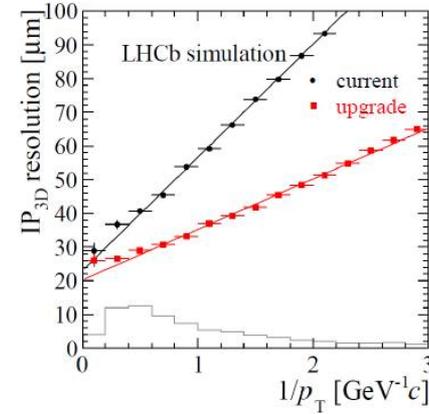


pixel detector with  
micro channel cooling

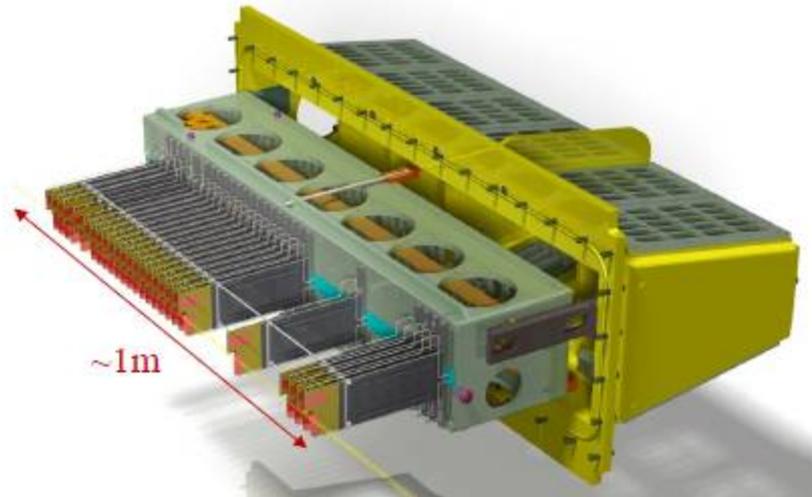
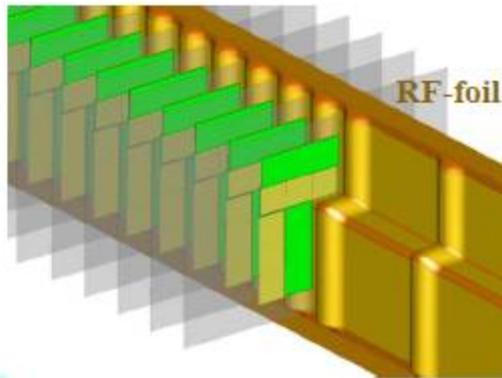


tracks/chip/event  
at  $L=2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

3D Impact-Parameter resolution at  $L = 2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$



note: full GEANT Monte Carlo with standard LHCb simulation framework



Andreas Schopper

# LHCb VELO upgrade Phase 1

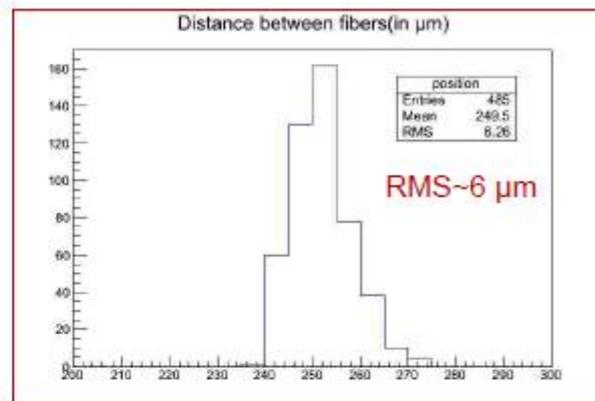
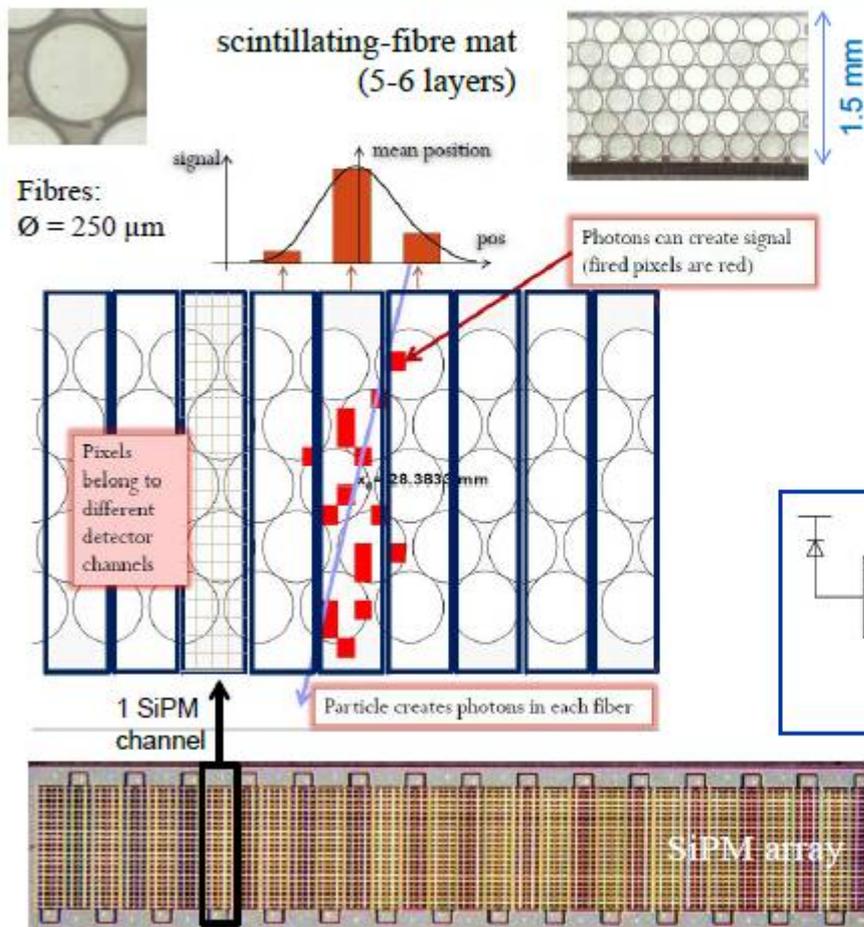
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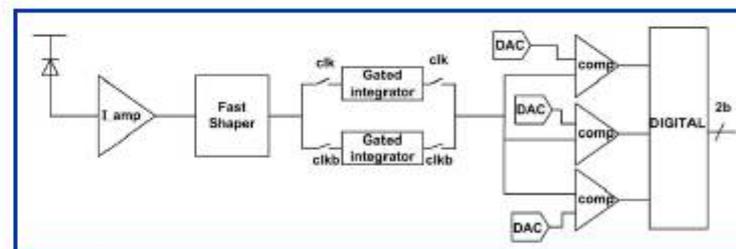
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25-1

## T-stations upgrade: Fibre Tracker (FT)



framework



- Readout by dedicated 128 ch.  
40 MHz PACIFIC chip
- 3 thresholds (2 bits)
  - sum threshold (FPGA)

Andreas Schopper

2.12.14

Susanne Kühn - LHC and Detector Upgrades

66

Phase 1 Upgrade - Run 3

# ALICE Phase 1 Upgrade

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## New Inner Tracking System (ITS)

- improved pointing precision
- less material -> thinnest tracker at the LHC

## Muon Forward Tracker (MFT)

- new Si tracker
- Improved MUON pointing precision

## Time Projection Chamber (TPC)

- new GEM technology for readout chambers
- continuous readout
- faster readout electronics

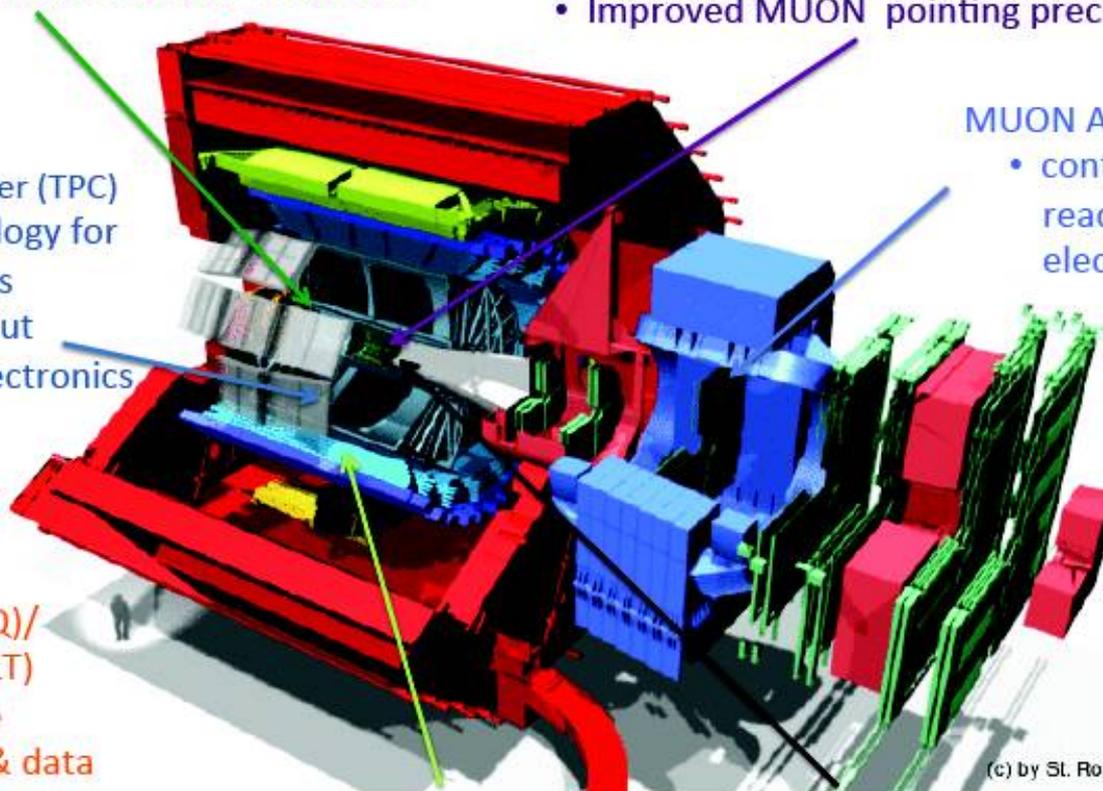
## MUON ARM

- continuous readout electronics

## New Central Trigger Processor

## Data Acquisition (DAQ)/ High Level Trigger (HLT)

- new architecture
- on line tracking & data compression
- 50kHz Pbb event rate



(c) by St. Rossegger

## TOF, TRD

- Faster readout

## New Trigger Detectors (FIT)

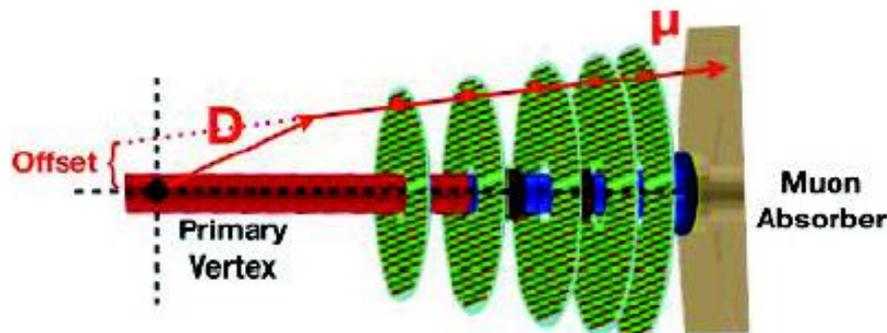
Phase 1 Upgrade – Run 3



ALICE

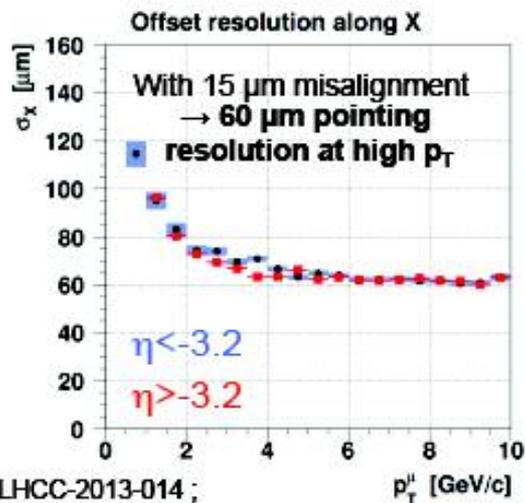


## Muon Forward Tracker



5-6 planes of CMOS silicon pixel sensors  
(same technology as ITS):

- $50 < z < 80$  cm
- $R_{\min} \approx 2.5$  cm (beam pipe constraint)
- $11 < R_{\max} < 16$  cm
- Area  $\approx 2700$  cm<sup>2</sup>
- $X/X_0 = 0.4\%$  per plane
- Current pixel size scenario:  $\sim 25 \times 25$  μm<sup>2</sup>



Technical Design Report in preparation

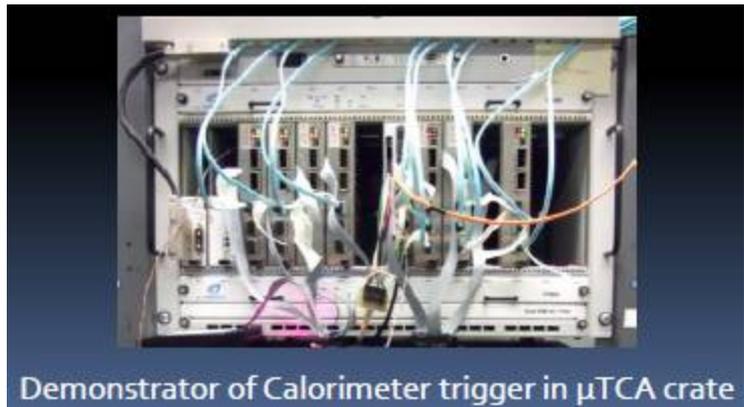
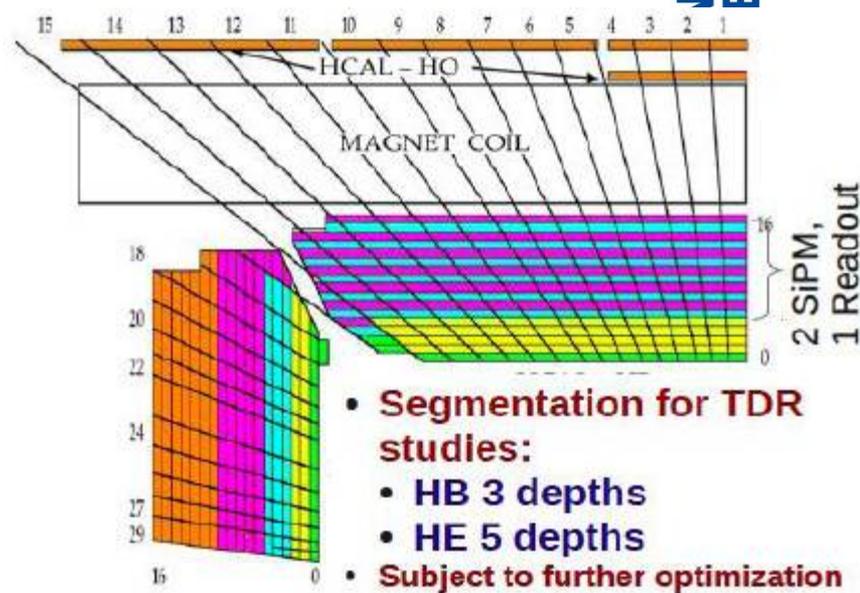
# CMS Phase 1 Upgrade

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Phase 1 Upgrade – Run 3

- Finish implementation of new photo-detectors for hadron calorimeter
- Complete coverage of muon read-out chambers, increased granularity (CSC)
- New trigger back-end electronics:
  - Modern FPGAs and muTCA backplane technology for high bandwidth and processing power
  - Allows earlier combination of calorimeter info and muon system



# CMS Phase 1 pixel detector

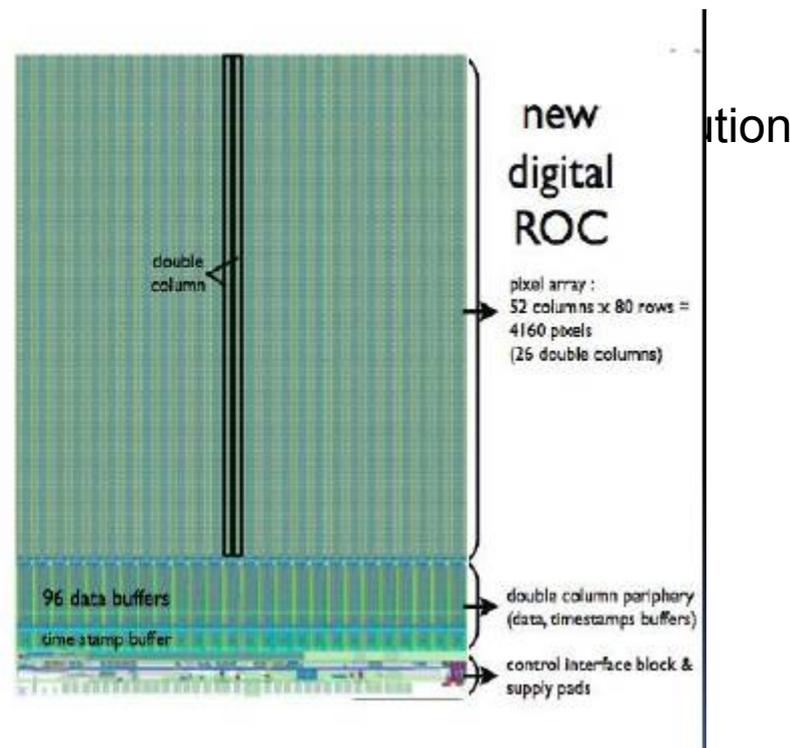
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Phase 1 Upgrade – Run 3

- Pixel Size:  $100\mu\text{m} \times 150\mu\text{m}$
- Reduced data loss
  - increased time stamp / data buffers 12/32  $\rightarrow$  24/80 to prevent overflow with high occupancy
  - Additional readout buffer (32 $\rightarrow$ 96) to reduce readout related data loss
- Increased readout link speed (40 MHz analog  $\rightarrow$  160MHz digital) for pixel address and pulse heights
- Reduced pixel threshold of 1800e from present 3500e



M. Manelli

# Detector preparation for Run 3

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Phase 1 Upgrade – Run 3

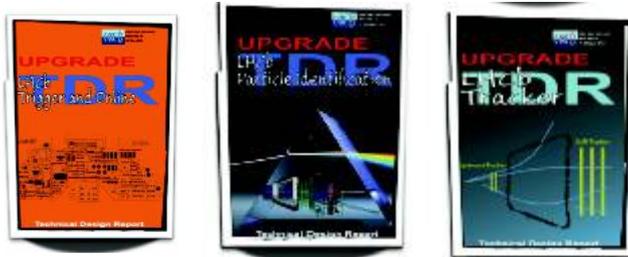
## ATLAS

- New trigger back-end electronics & TDAQ
- Adding forward disks in muon spectrometer
- Fast Track Trigger at L2
- New front-end in liquid argon calorimeter
- + Forward physics (AFP)



## LHCb

- New tracker: pixel + scintillating fibres
- Particle ID: RICH with new MAPMT
- Triggering



## CMS

- Finish implementation of new photo-detectors for hadron calorimeter
- Complete coverage of muon read-out chambers, increased granularity (CSC)
- New trigger back-end electronics



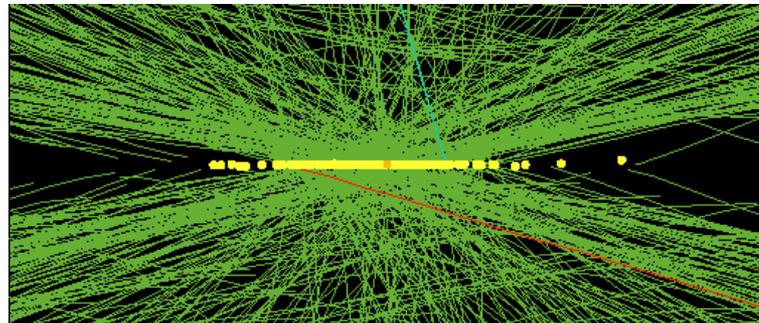
## ALICE

- New Pixel detector (ITS)
- TPC with GEM readout
- New Muon Forward Tracker (Pixels)
- New readout for all detectors
- Online offline system



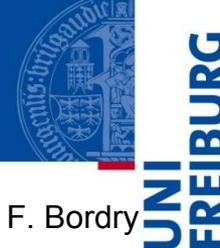
## Phase 2 – Run 4+

High luminosity LHC requires major upgrade of ATLAS and CMS



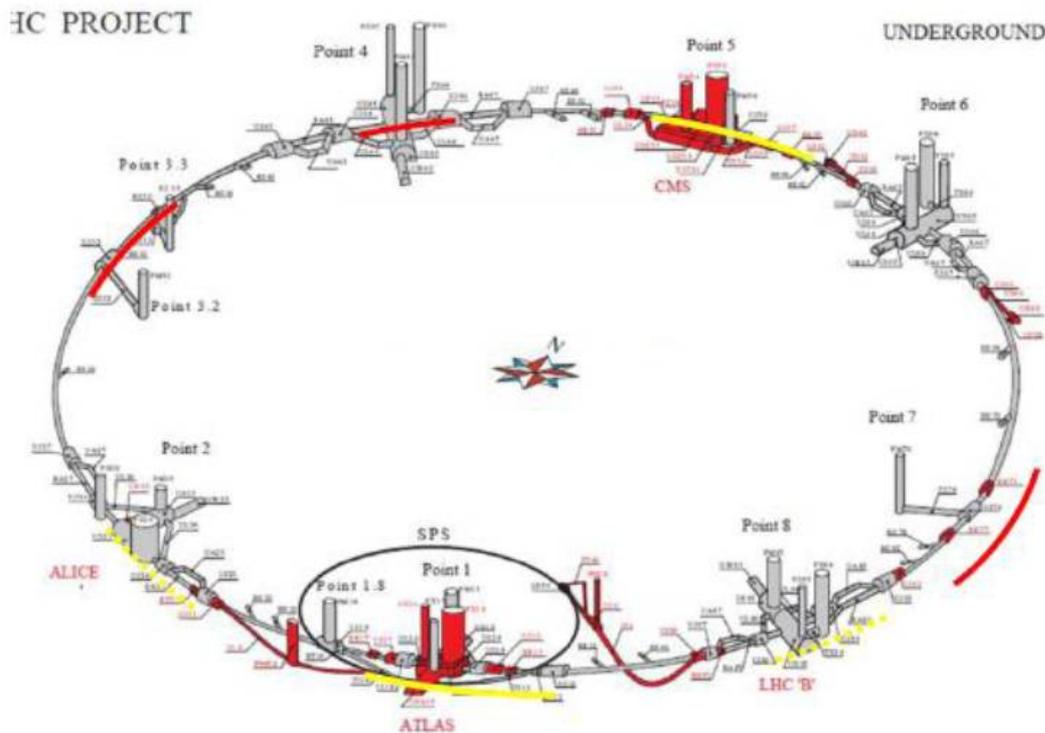
# HL-LHC for Run 4+

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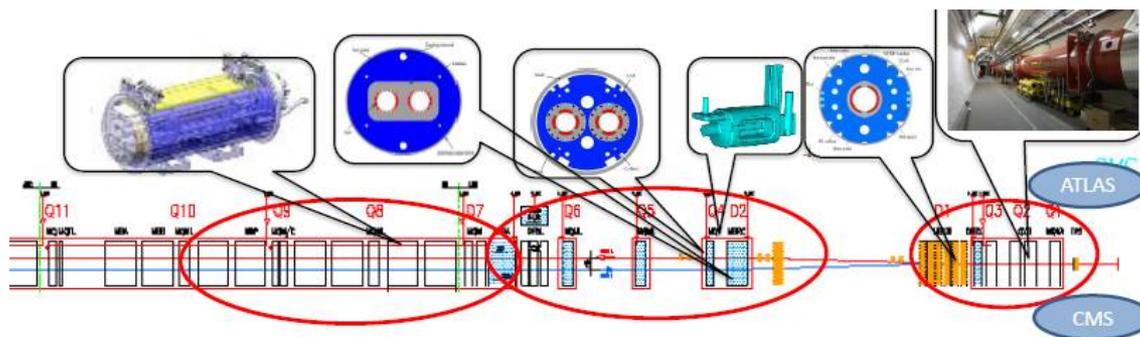


F. Bordry

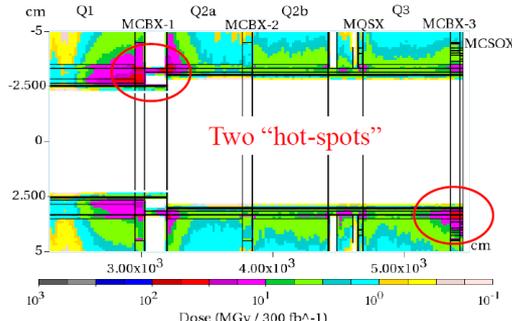
Phase 2 Upgrade – Run 4+



- Major intervention on more than 1.2 km of the LHC
- Next project milestone: Cost and schedule review for LIU and HL-LHC in spring 2015

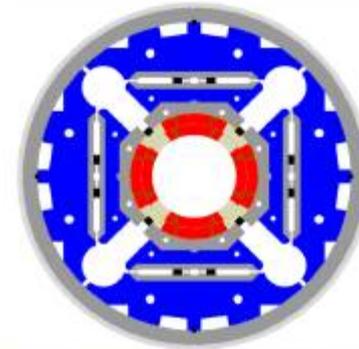


→ New large aperture triplet magnets ( $Nb_3Sn$ ) due to radiation damage



Expected radiation dose in present triplets after 300 fb<sup>-1</sup>

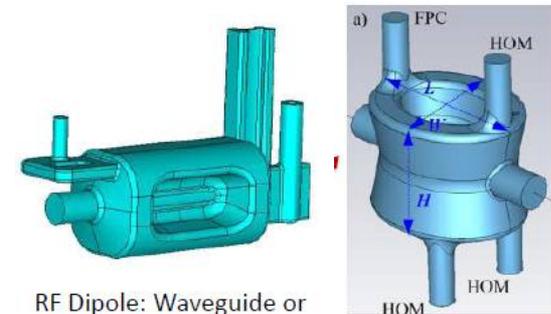
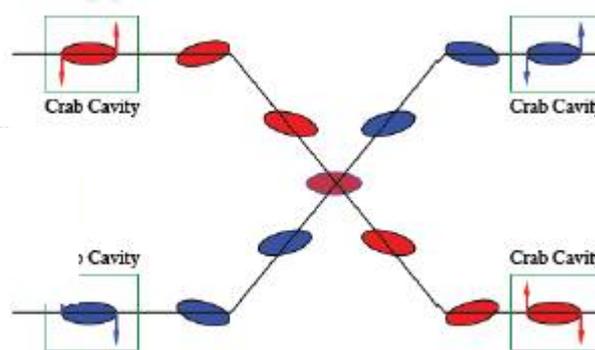
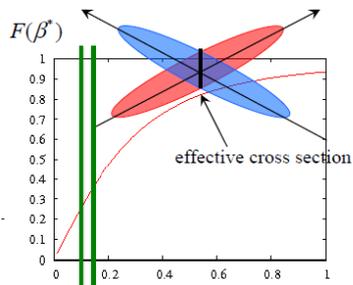
New technology  
140 T/m and  
150 mm coil aperture



US-LARP  
MQXF magnet  
design

→ Crab Cavities for compensation of geometrical reduction  
Reduce effect of crossing angle

New technology



RF Dipole: Waveguide or waveguide-coax couplers

Double 1/4-wave:

Test installation in  
SPS in 2016/17

# Requirements and Challenges for the Phase 2 Detectors (ATLAS + CMS)

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Hal Evans

## Requirements

SUSY cascades	Triggering & reconstruction of low $p_T$ leptons + identifying heavy flavor
$H \rightarrow \tau\tau$	Triggering of $\tau$ -leptons
Resonances in top pairs, W, Z, H	Reconstruction of leptons & b-quarks in boosted topologies
High-mass gauge bosons	Good lepton momentum resolution at high $p_T$
VBF, Missing transverse energy	Allow acceptance in forward region
Efficient tracking with small fake rates	Radiation tolerance and high granularity, low material budget

## Challenges

- Triggering: compatibility with current trigger system, sustain rates and occupancy
- High particle fluences: radiation hardness and activation of material
- Implementation in existing detector

Improve (at least maintain) at HL-LHC the performance of present detectors

Phase 2 Upgrade – Run 4+

# Upgrade Trigger Scheme in CMS

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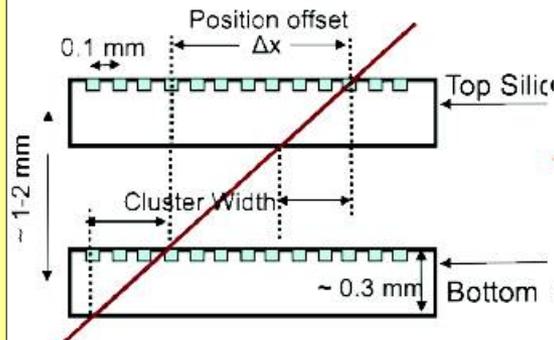
- Track trigger: silicon strip detectors explicitly used for triggering, modules provide time and  $p_T$  discrimination → rate reduction due to sharp thresholds (leptons) and isolation (multijet background reduction)

- Aim for  $p_T$  to 2 GeV

## Preselection of hits due to cluster width

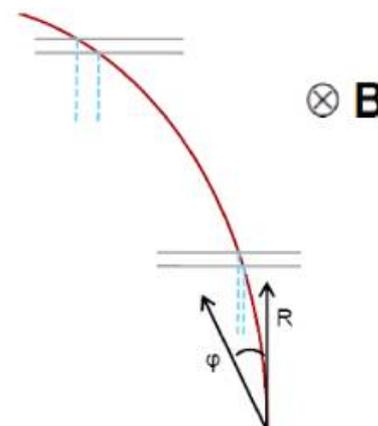
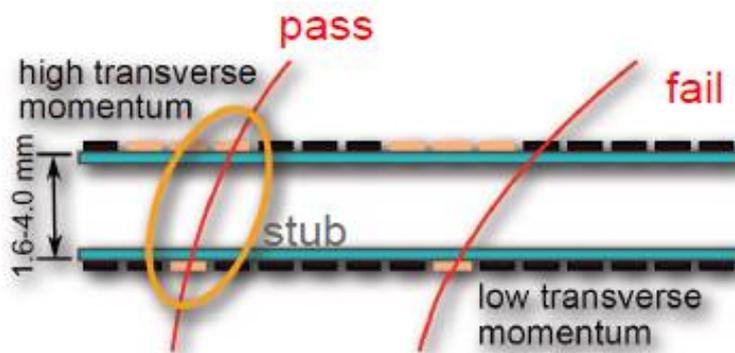
CW ~ radial distance of sensor from interaction point

CW ~  $1/p_T$



## $p_T$ discrimination with stacked modules:

exploit bending in magnetic field two closely spaced sensors read out by a single readout chip



sensor spacing and window optimized for best performance same geometrical cut corresponds to different  $p_T$

→ Correlation on module level to form stubs is sent out via separate trigger path if within  $p_T$  cut

# Outer tracker CMS: performance

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## Calculated performance with a "phase-1" pixel detector

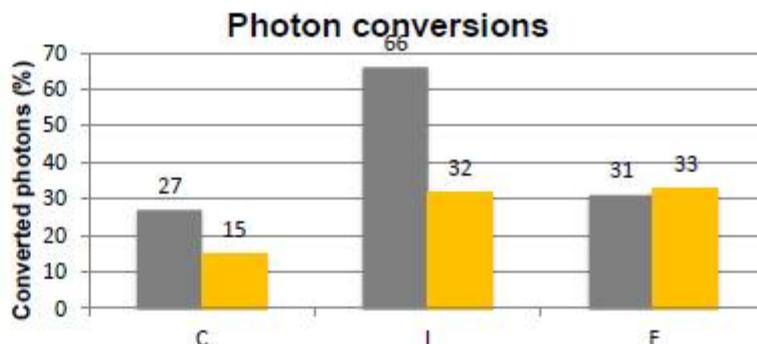
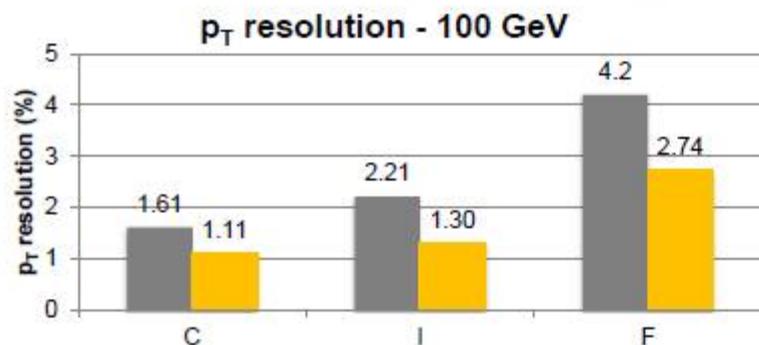
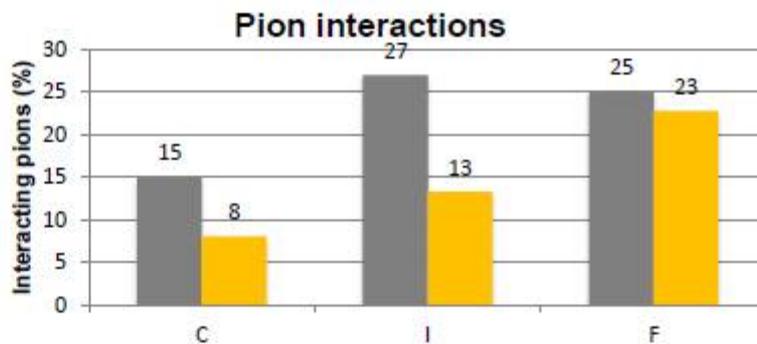
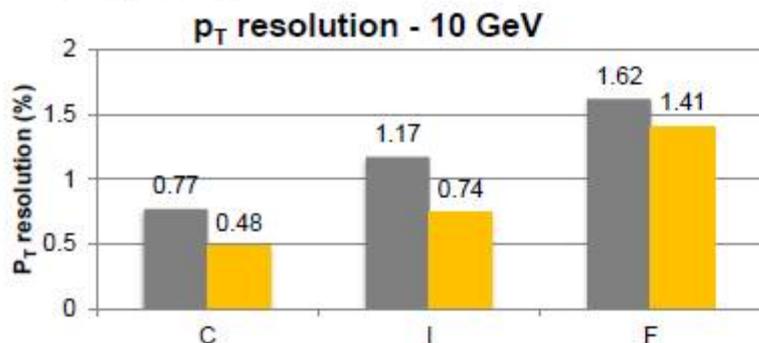
Rapidity regions

C 0 - 0.8

I 0.8 - 1.6

F 1.6 - 2.4

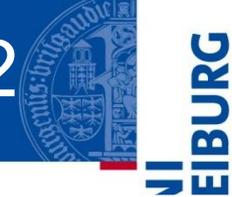
■ CMS  
■ Upgrade



A. Dierlamm, G. Steinbrueck

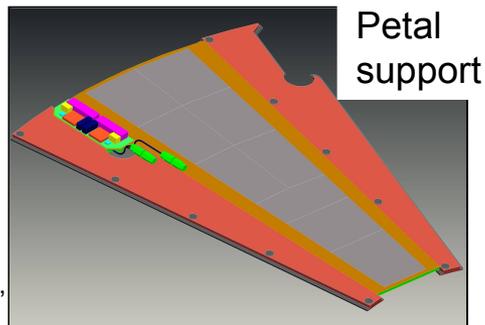
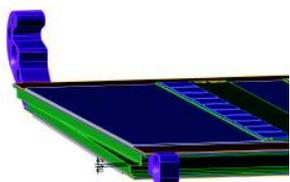
# Modularity of ATLAS strip tracker Phase 2

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- Staves slid into support cylinders and locked using single edge mounting, afterwards connection of services

- Service modules provide connection between EOS and patch panel: power, TTC, coolant, ground  
→ Reduce time for installation and material

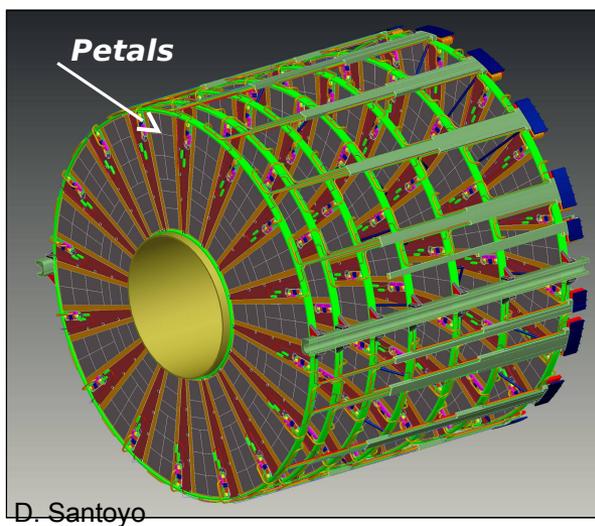


From Ian Wilmut at Forum on Tracking Detector Mechanics, Oxford, 2013 and David Santoyo



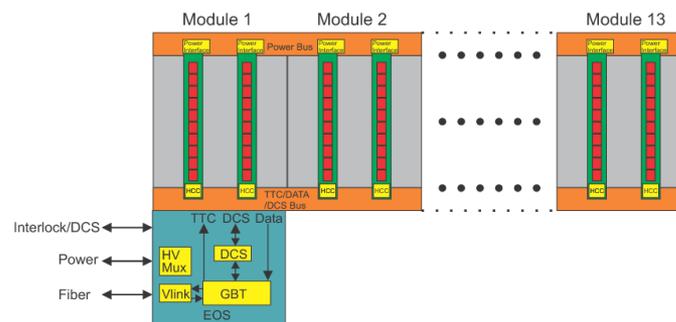
Phase 2 Upgrade – Run 4+

Support cylinder for EC where petals are slid in



D. Santoyo

## Readout scheme



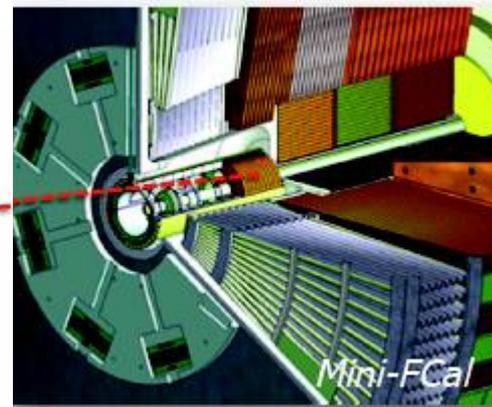
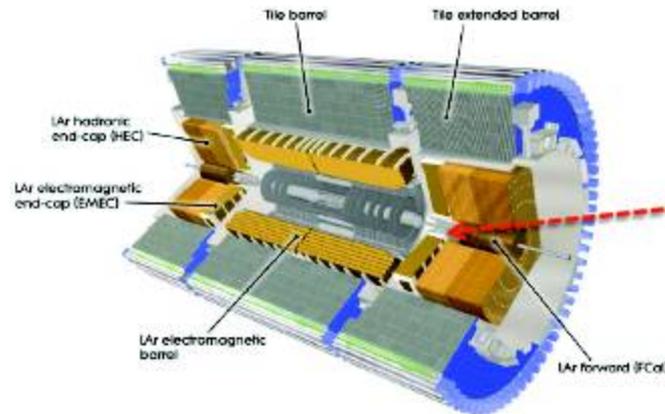
# Phase 2 Upgrade: Calorimetry in ATLAS

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- Replacement of electronics to digitize and move off-detector data for L0/L1 trigger and readout (no on detector buffer)
- EM and hadronic calorimeter don't require upgrade
- Possibly replacement of forward calorimeter with new mini-FCal or sFCal in front of FCAL with greater granularity



Phase 2 Upgrade – Run 4+

# Phase 2 Upgrade: Calorimetry CMS

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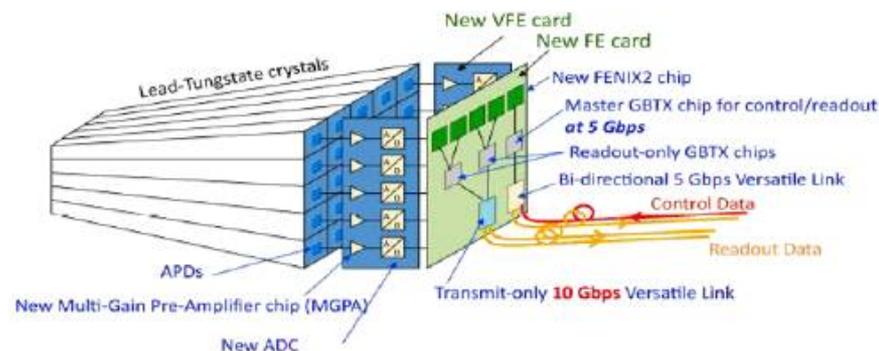
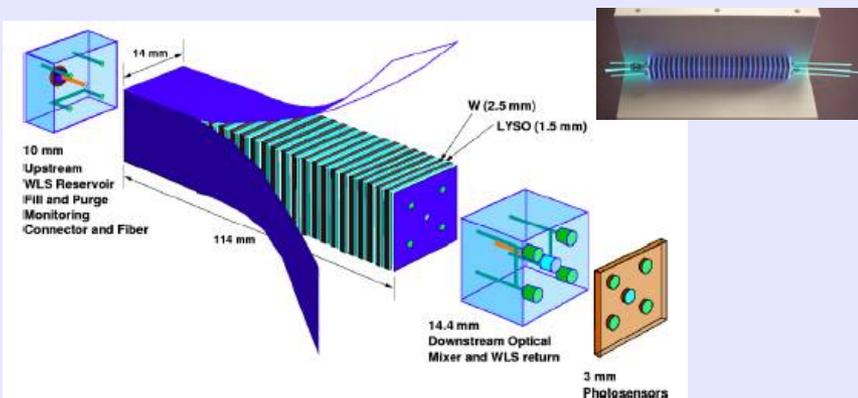


Phase 2 Upgrade – Run 4+

- Replacement of barrel electromagnetic calorimeter electronics (for track trigger latency)
  - Replacement of endcap EM calorimeter and hadronic calorimeter due to radiation induced loss of transparency
- Possible concepts:

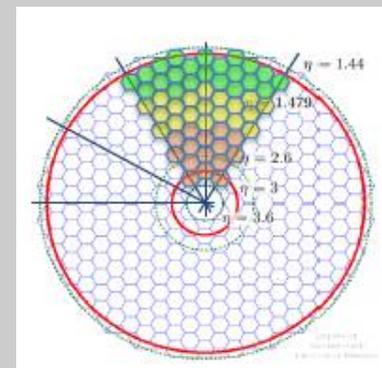
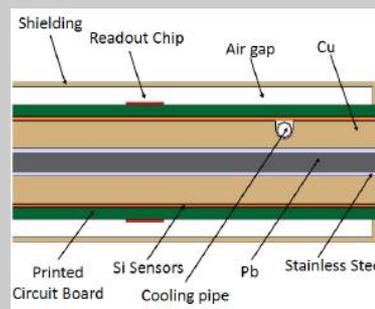
Crystal LYSO Shashlik + Scintillator HE

- EM: W/LYSO Shashlik using WLS and SiPM readout
- Hadr: Scintillator-based with 30% of volume tiles + 10% higher rad. tolerance



Silicon + Scintillator backing calorimeter

- EM: Silicon-lead/copper
- Hadr: Silicon-brass
- Scintillator-brass backing calorimeter
- 700 m<sup>2</sup> silicon pads 0.5-1 cm<sup>2</sup>



# Phase 2 Upgrade: CMS Muon Systems

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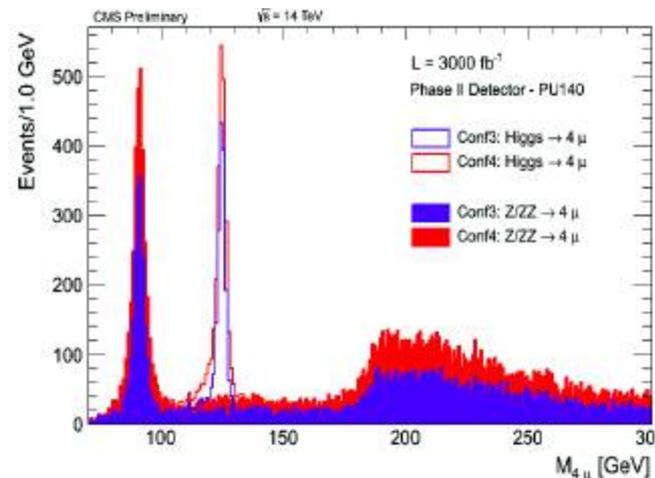
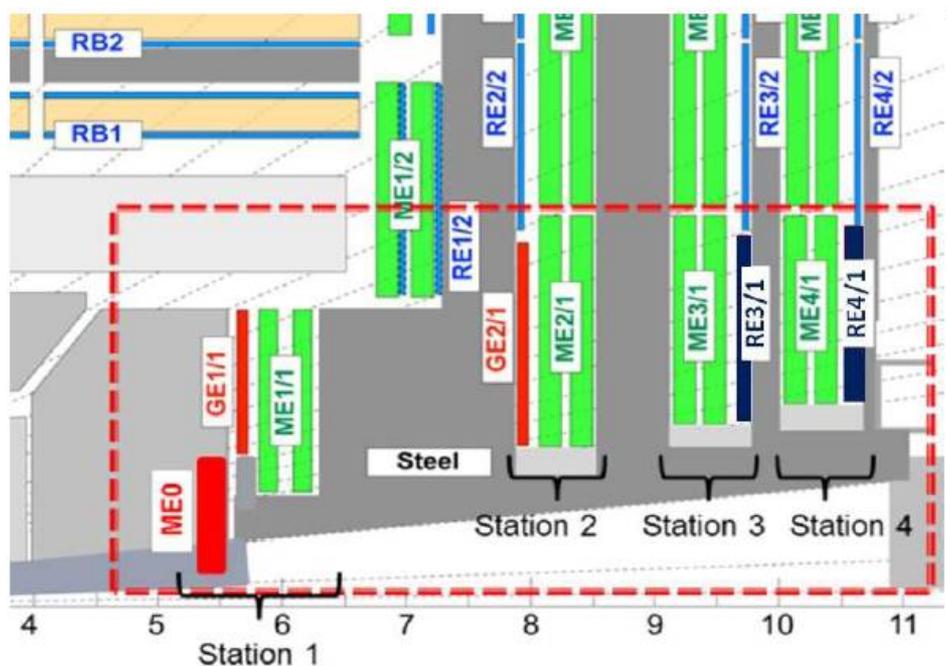
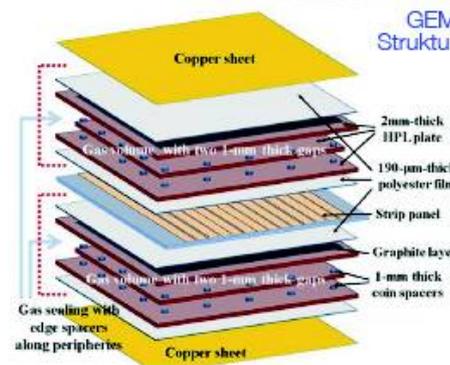


IBURG



## CMS

- Complete muon stations to  $1.6 < |\eta| < 2.4$ 
  - **GEMs in 2 first stations** (improved  $p_T$  resolution)
  - **RPCs in 2 last** (timing resolution to reduce background)
- Consider increased coverage to  $|\eta| < 4$ : ME0 with GEMs
- Replacement of electronics in DT minicrates and CSC inner readout



$H \rightarrow ZZ (4\mu)$  without with ME0

Phase 2 Upgrade - Run 4+

# Phase 2 Upgrade ATLAS Muon System

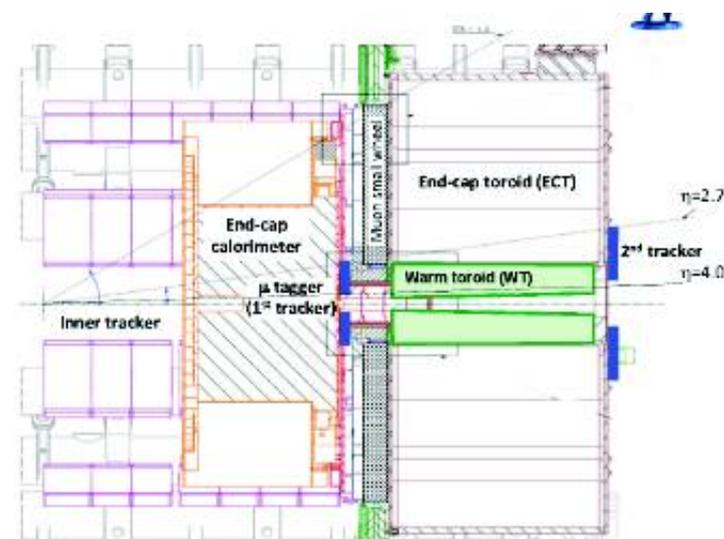
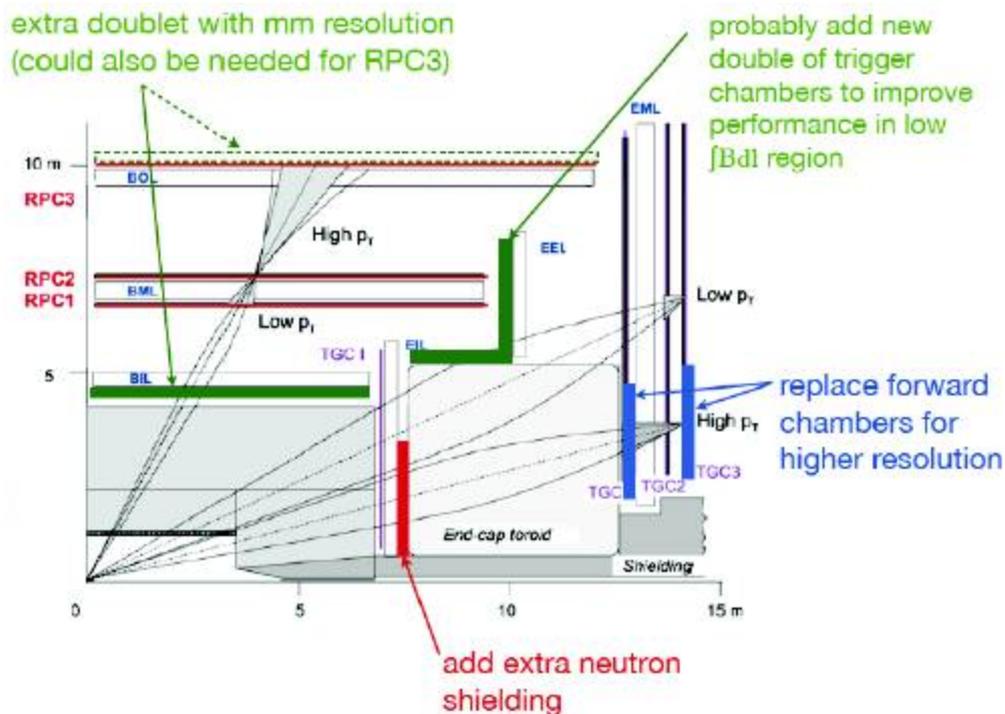
Albert-Ludwigs-Universität Freiburg

Phase 2 Upgrade – Run 4+

- Muon spectrometer sustains  $3000 \text{ fb}^{-1}$ : aging studies are ongoing
- Only replace of accessible electronics for more trigger flexibility
- Replacement of precision chambers electronics (MDTs) under investigation to deal with hardware trigger latency

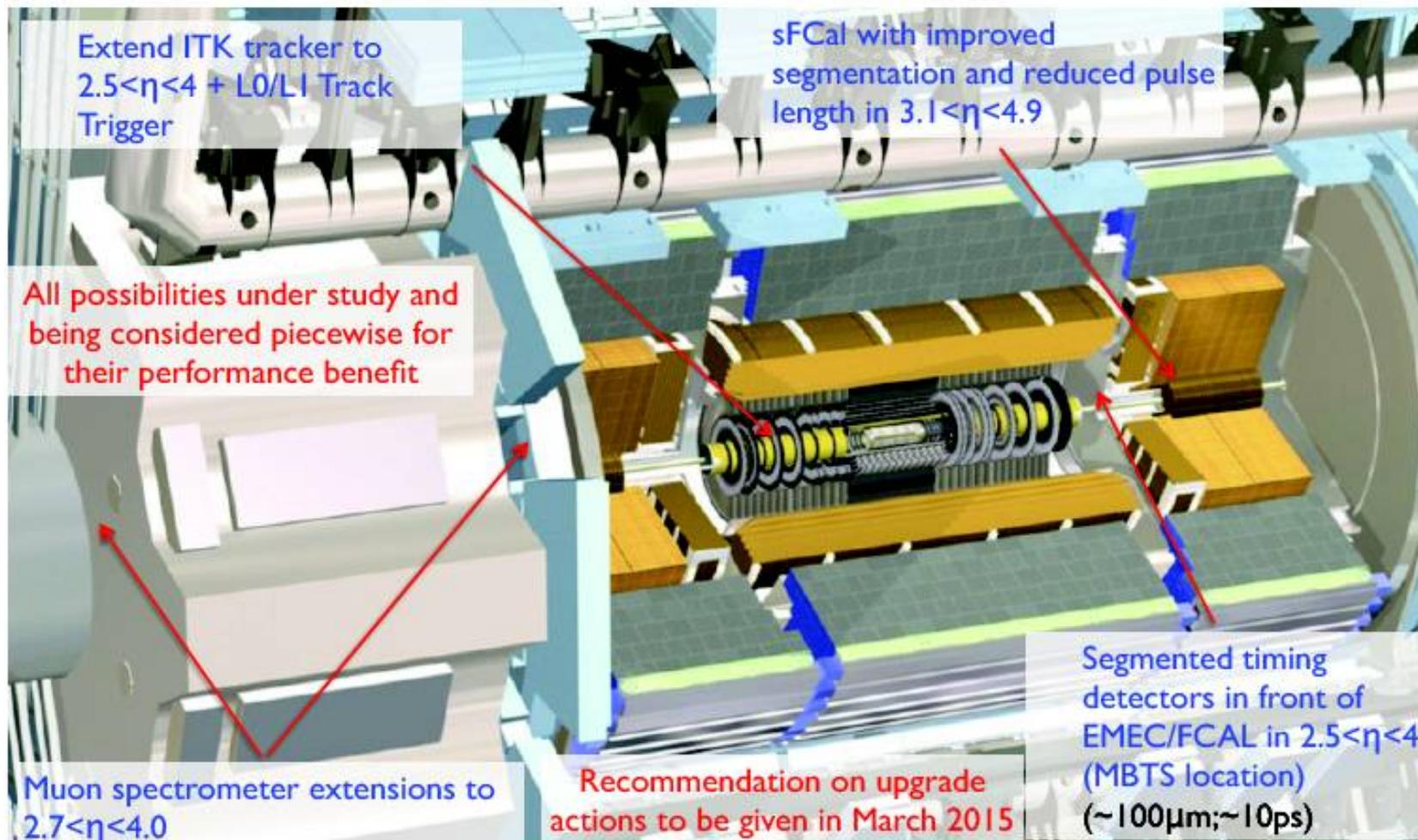
**Possible extension to high  $\eta$  under consideration:**

- Pixelated chamber before and after EC toroid
- Additional warm EC toroid with 8Tm bending power
- resolution better 35% to  $\eta = 4$





## ATLAS Phase 2 Options



Phase 2 Upgrade – Run 4+

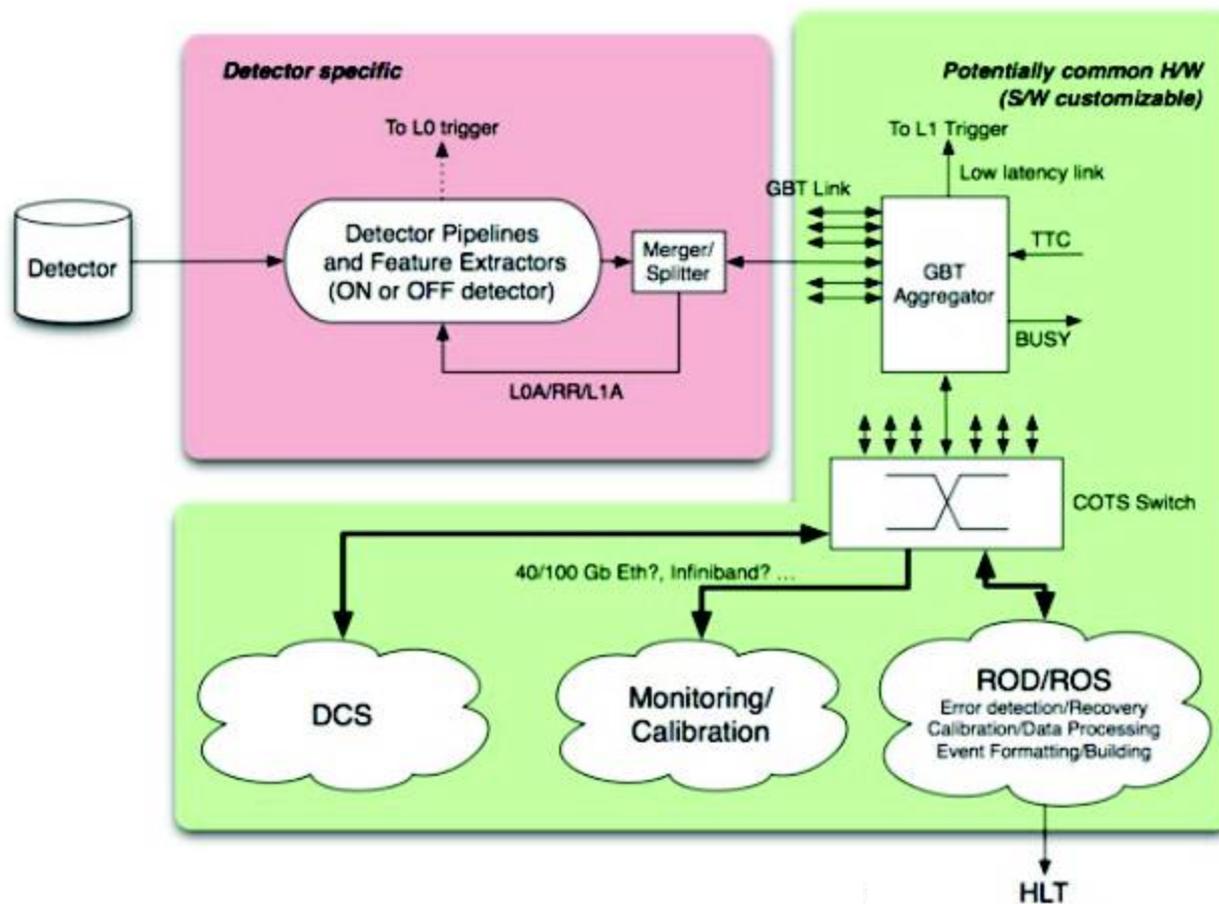
# ATLAS Phase 2 Upgrade

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## Readout architecture for Phase 2



Phase 2 Upgrade – Run 4+

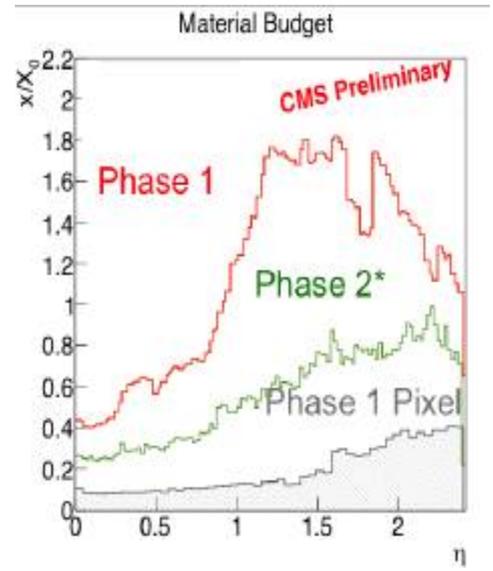
# Expected performance: Silicon strip tracker



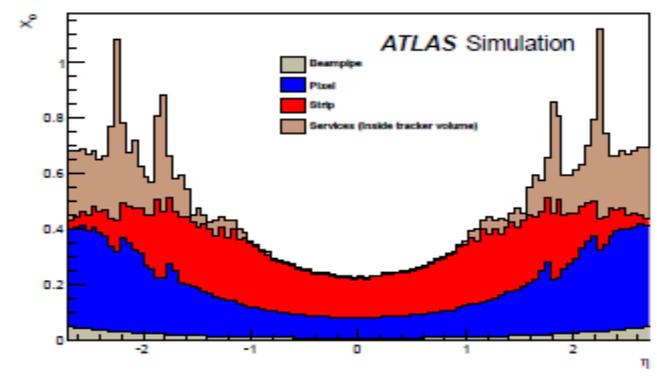
Phase 2 Upgrade – Run 4+

$X_0$  vs.  $\eta$ :

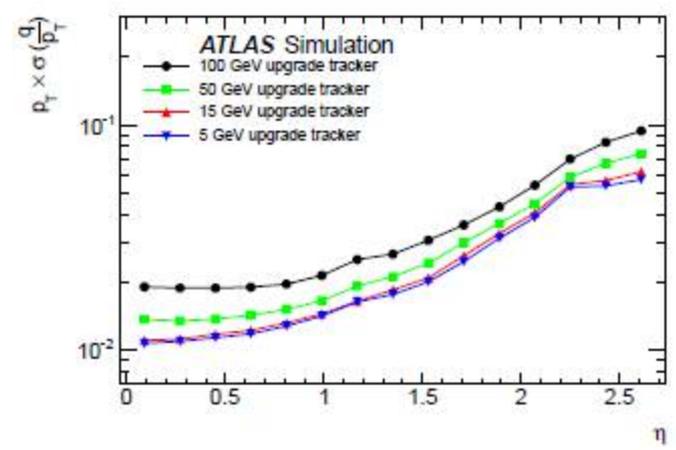
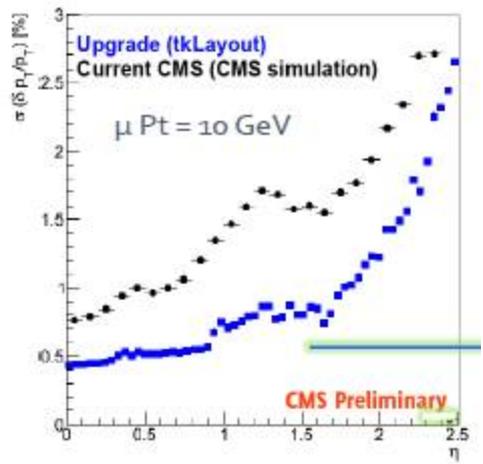
## CMS



## ATLAS



Muon  $p_T$  resolution:



# Upgrade Phase 2: ATLAS high $\eta$ extension

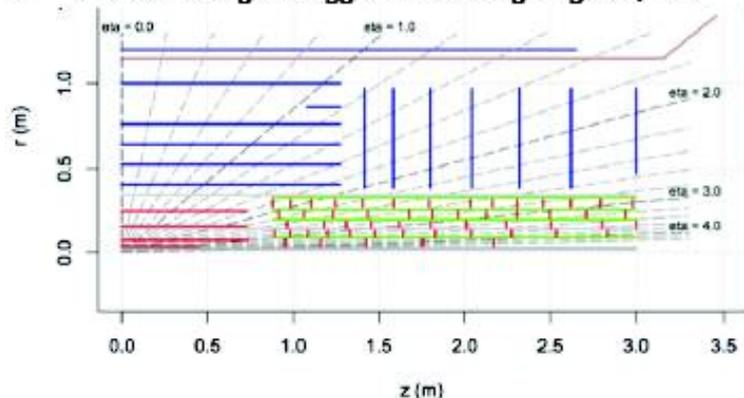
Albert-Ludwigs-Universität Freiburg



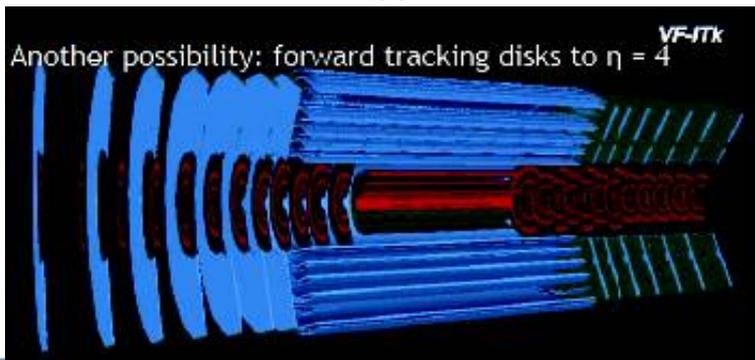
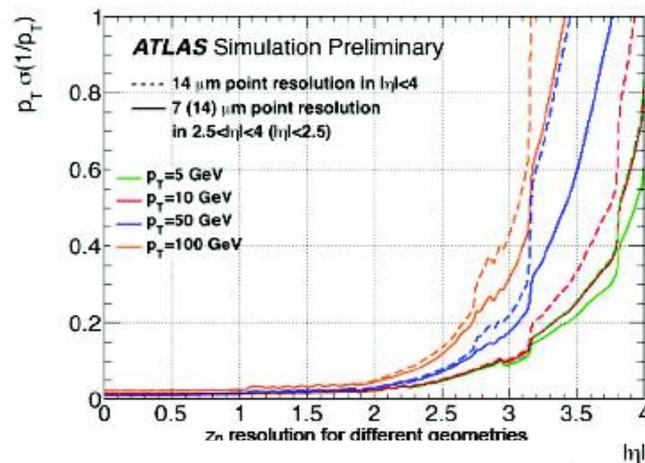
L. Gray, ECFA HL-LHC  
Aix-les-Bains Oct. 2014

- Acceptance increase to  $|\eta| \sim 4$  under evaluation to
  - Better associate jets to tracks
  - Reduce fake rate of jets from pile up in VBF and VBS channels
- Possible extension of tracker, FCAL, muon spectrometer
- Different pixel detector layouts  $\rightarrow$  physics impact under evaluation (for  $H \rightarrow ZZ \rightarrow 4\mu$ : acceptance + 35 %)

d Possible design: staggered tracking ring to  $\eta = 4$



Area with 1T magnet field  
Finer granularity brings improved  $p_T$  resolution



Recommendation in March 2015

Phase 2 Upgrade – Run 4+

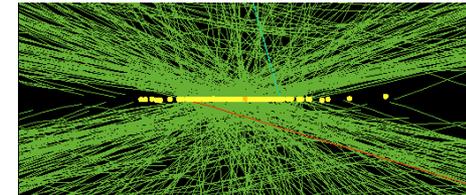
ATL-PHYS-PUB-2014-018

# Pile-up at the HL-LHC

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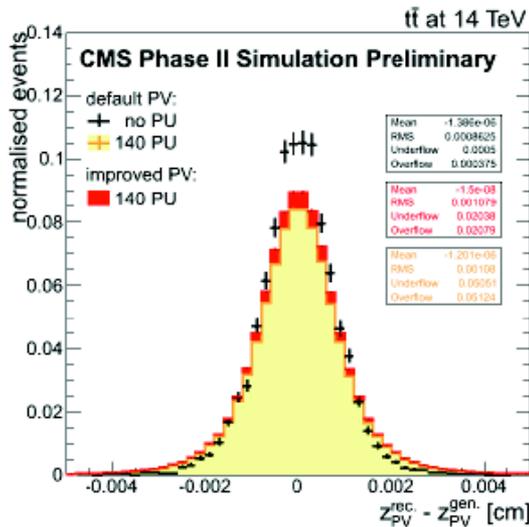
## Capabilities to mitigate pile-up assessed in ATLAS and CMS

At  $L \sim 5 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  average pile-up of 140, in-time and out-of-time pile-up occurring

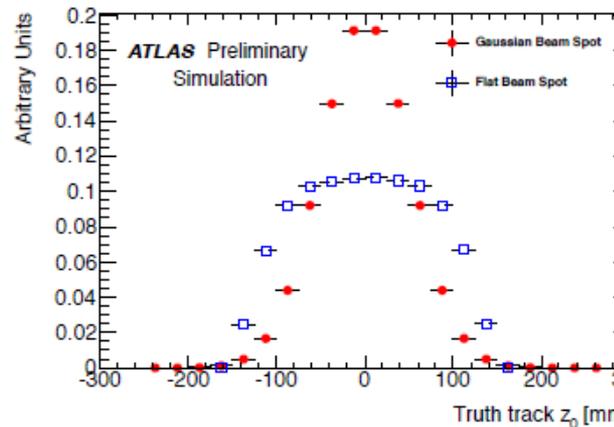


CMS-PHO-GEN-2012-002  
78 reconstructed vertices  
in event from high pile-up

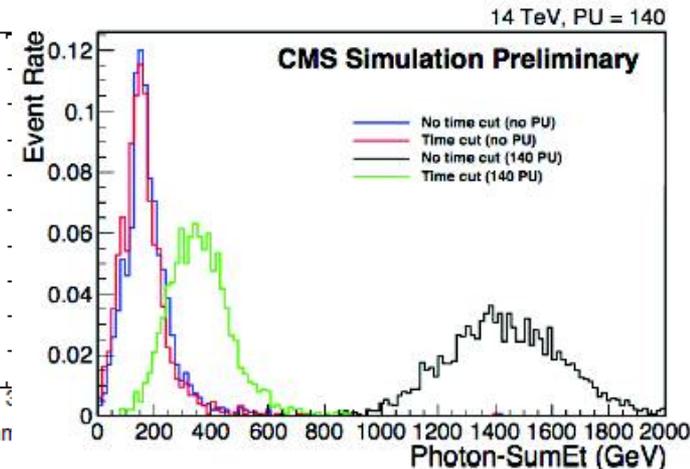
- Drives several detector developments (high eta tracking, muon tagging, timing) and algorithm optimization
- Impact of different beam configurations evaluated in ATLAS



Vertex finding in CMS Phase 2 tracker: new alg. 90% → 96% eff.



Longer beam spot ~flat +- 15cm compared gaussian sigma = 5 cm, ttbar events with 140 pile-up



Precise timing  
Sum ET of photons in VBF  
 $H \rightarrow \gamma\gamma$

- Baseline for Upgrade of LHC
  - Cryogenic system upgrade (IR1&5 and IR4)
  - Tungsten shielding of triplet
  - New large aperture triplet magnets ( $\text{Nb}_3\text{Sn}$ ) due to radiation damage
  - New large aperture insertion magnets (NbTi)
  - Crab Cavities for compensation of geometrical reduction → new technology!
  - Operation with luminosity levelling
  - Collimation upgrade (DS and impedance) to protect magnets
  - Removal of electronics from tunnel region and superconducting link to avoid radiation damage to electronics

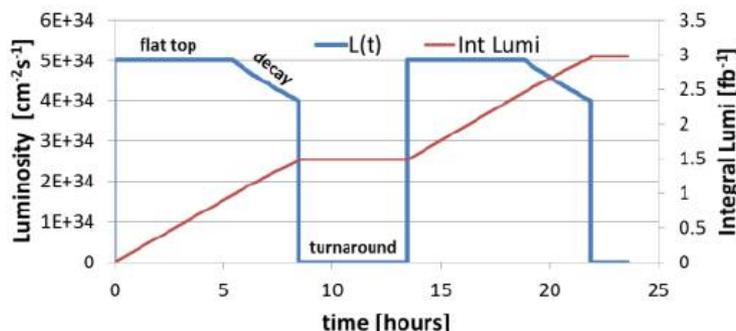
- Shutdown of 30 months

- Aim for maximal luminosity of 3000 fb<sup>-1</sup>

$$L = \frac{N_b^2 n_b f_{\text{rev}} \gamma_r}{4\pi \epsilon_n \beta^*} F \quad F = 1 / \sqrt{1 + \left( \frac{\theta_c \sigma_z}{2\sigma^*} \right)^2}$$

- Maximize bunch intensities → Injector complex
- Minimize beam emittance → LIU
- Minimize beam size (constant power) → triplet aperture
- Maximize number of bunches → 25 ns
- Compensate for “F” (reduce β\* (beam focal length at collision point) → flat beam operation or crab kissing scheme
- Improve machine “efficiency” → minimal unscheduled beam aborts, plan for 160 days/year operation

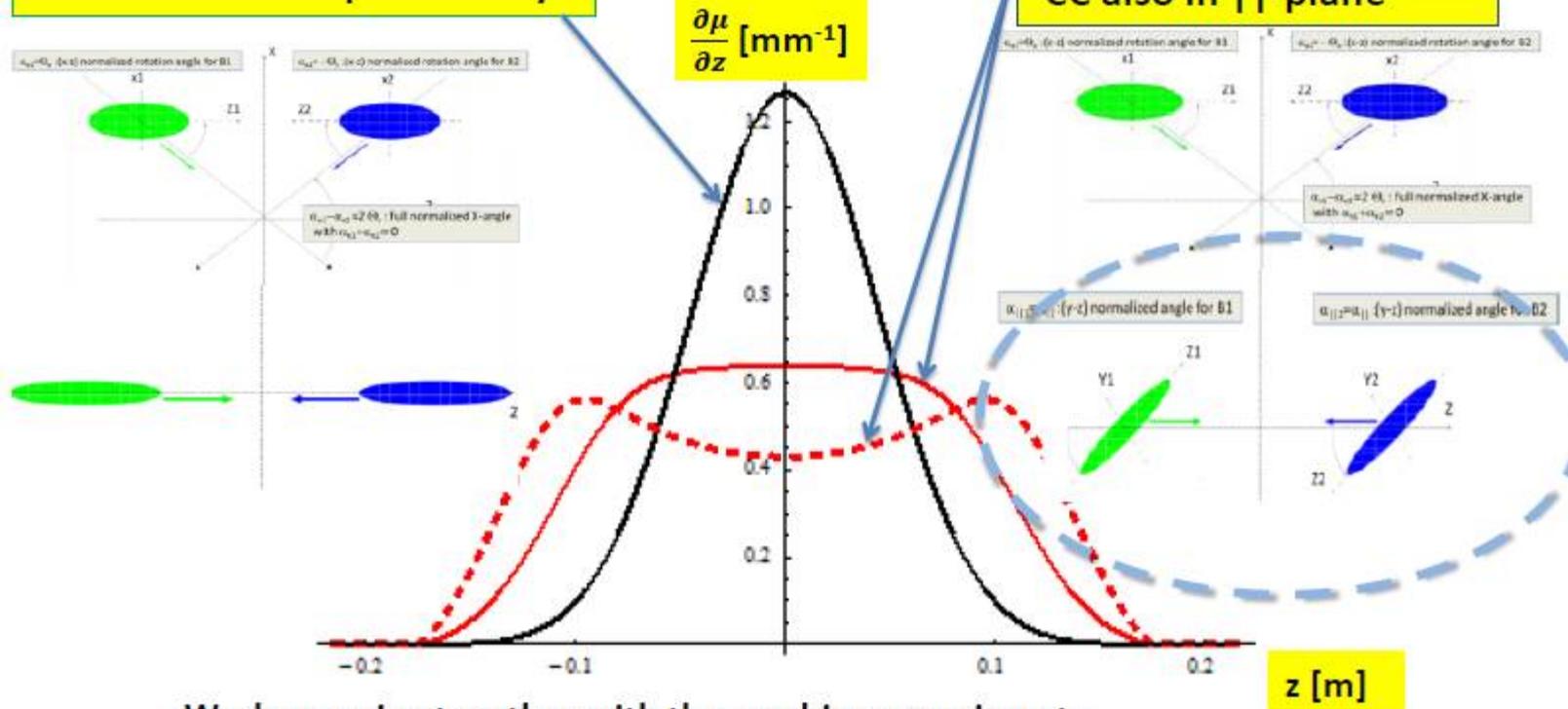
→ Collider upgrades and operation with levelled peak luminosity  $L \sim 5-7 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$



## The Crab-kissing (CK) scheme for pile-up density shaping and leveling (S. Fartoukh)

Baseline: CC in X-plane "only"

Crab-kissing & variants: CC also in ||-plane



... Work on-going together with the machine experiments  
(S. Fartoukh, A. Valishev, A. Ball, B. Di Girolamo, *et al.*)

## HL-LHC Baseline Parameters:

Parameter	Nominal LHC (design report)	HL-LHC 25ns (standard)	HL-LHC 25 ns (BCMS)	HL-LHC 50ns
Beam energy in collision [TeV]	7	7	7	7
$N_b$	1.15E+11	2.2E+11	2.2E11	3.5E+11
$n_b$	2808	2748 <sup>1</sup>	2604	1404
Number of collisions at IP1 and IP5	2808	2736	2592	1404
$N_{tot}$	3.2E+14	6.0E+14	5.7E+14	4.9E+14
beam current [A]	0.58	1.09	1.03	0.89
x-ing angle [ $\mu$ rad]	285	590	590	590
beam separation [ $\sigma$ ]	9.4	12.5	12.5	11.4
$\beta^*$ [m]	0.55	0.15	0.15	0.15
$\epsilon_n$ [ $\mu$ m]	3.75	2.50	2.50	3
$\epsilon_L$ [eVs]	2.50	2.50	2.50	2.50
r.m.s. energy spread	1.13E-04	1.13E-04	1.13E-04	1.13E-04
r.m.s. bunch length [m]	7.55E-02	7.55E-02	7.55E-02	7.55E-02
IBS horizontal [h]	80 -> 106	18.5	18.5	17.2
IBS longitudinal [h]	61 -> 60	20.4	20.4	16.1
Piwinski angle	0.65	3.14	3.14	2.87
Geometric loss factor R0 without crab-cavity	0.836	0.305	0.305	0.331
Geometric loss factor R1 with crab-cavity	0.981	0.829	0.829	0.838
beam-beam / IP without Crab Cavity	3.1E-03	3.3E-03	3.3E-03	4.7E-03
beam-beam / IP with Crab cavity	3.8E-03	1.1E-02	1.1E-02	1.4E-02
Peak Luminosity without crab-cavity [ $\text{cm}^{-2} \text{s}^{-1}$ ]	1.00E+34	7.18E+34	6.80E+34	8.44E+34
Virtual Luminosity with crab-cavity: $L_{peak} \cdot R1/R0$ [ $\text{cm}^{-2} \text{s}^{-1}$ ]	(1.18E+34)	19.54E+34	18.52E+34	21.38E+34
Events / crossing without levelling w/o crab-cavity	27	198	198	454
Levelled Luminosity [ $\text{cm}^{-2} \text{s}^{-1}$ ]		5.00E+34	5.00E34	2.50E+34
Events / crossing (with levelling and crab-cavities for HL)		138	146	135
Peak line density		1.25	1.31	1.20
Levelling time [h]		8.3	7.6	18.0

$$L = \gamma \frac{f_{rev} n_b N_b^2}{4\pi \epsilon_n \beta^*} R$$

LIU required

Impedance, efficiency etc.

ATS required

Crab Cavity required

Leveling required

Efficiency requires long fill times (ca. 10h)!

Collision values

Phase 2 Upgrade - Run 4+