



# Measurement of $\theta_{13}$ in Neutrino Oscillation Experiments

Stefan Roth, RWTH Aachen  
Seminar, DESY, 21<sup>st</sup>/22<sup>nd</sup> January 2014

# Overview

- Experiments with neutrinos
- Neutrino oscillations
- Reactor and accelerator neutrino experiments
- Current status and future sensitivities

Two experiments with RWTH participation:

- Reactor neutrino experiment Double Chooz  
Near and far detector  
at nuclear power plant  
Chooz (France)
- Accelerator neutrino experiment T2K  
Tokai to Kamioka  
long baseline neutrino  
experiment



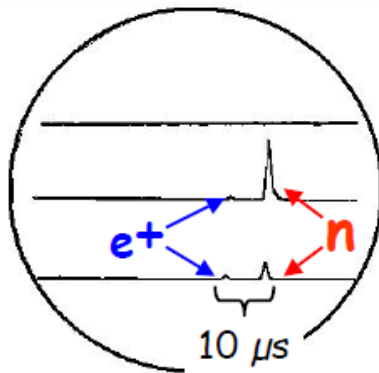
# Discovery of the Neutrino: Project „Poltergeist“ (1956)

Nuclear reactors produce a large flux of anti-neutrinos  $\bar{\nu}_e$   
 $\beta$ -decays of the fission products of the isotopes  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$

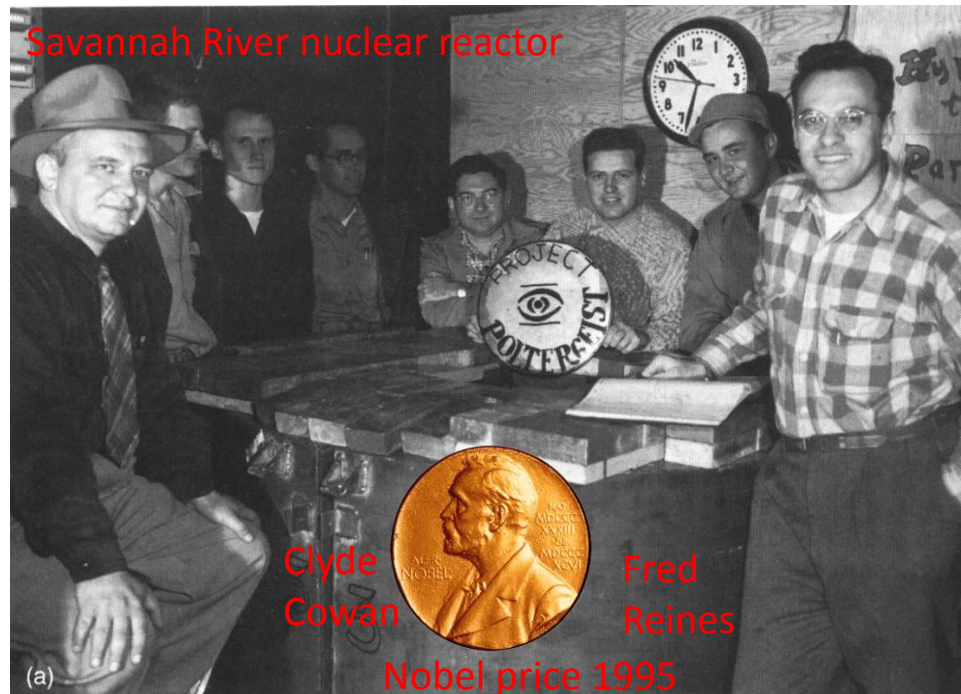
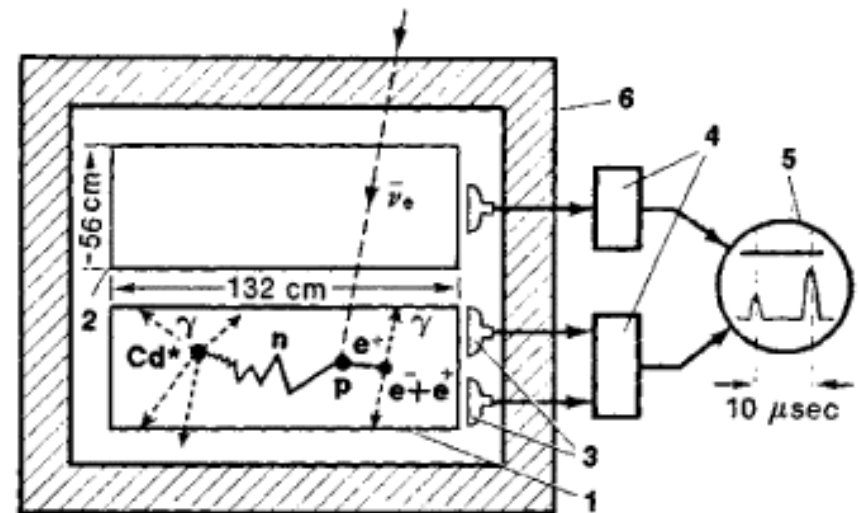


Inverse  $\beta$ -decay using  
 delayed coincidences:

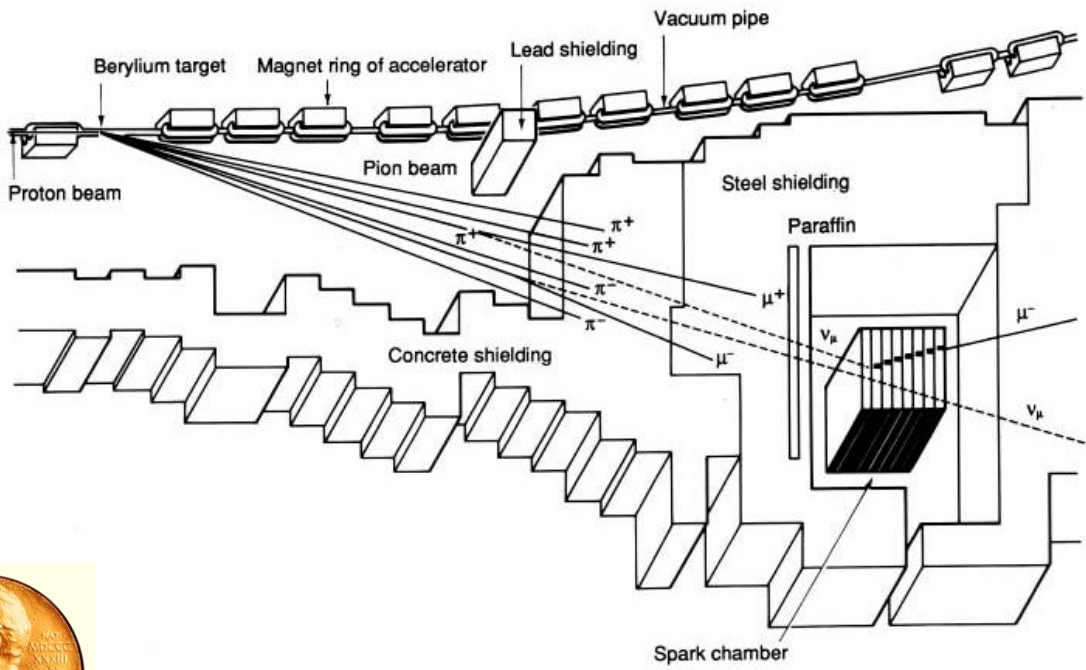
Prompt:  
 positron  
 annihilation



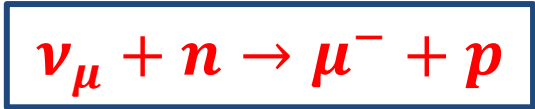
Delayed:  
 neutron  
 capture



# Discovery of the Myon-Neutrino: Brookhaven (1960)



Pion beam  
produces  $\nu_\mu$  beam



Myon track starts within spark chamber



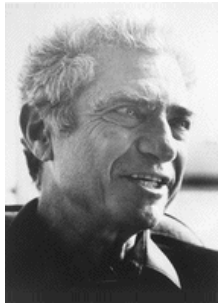
Nobel price 1988



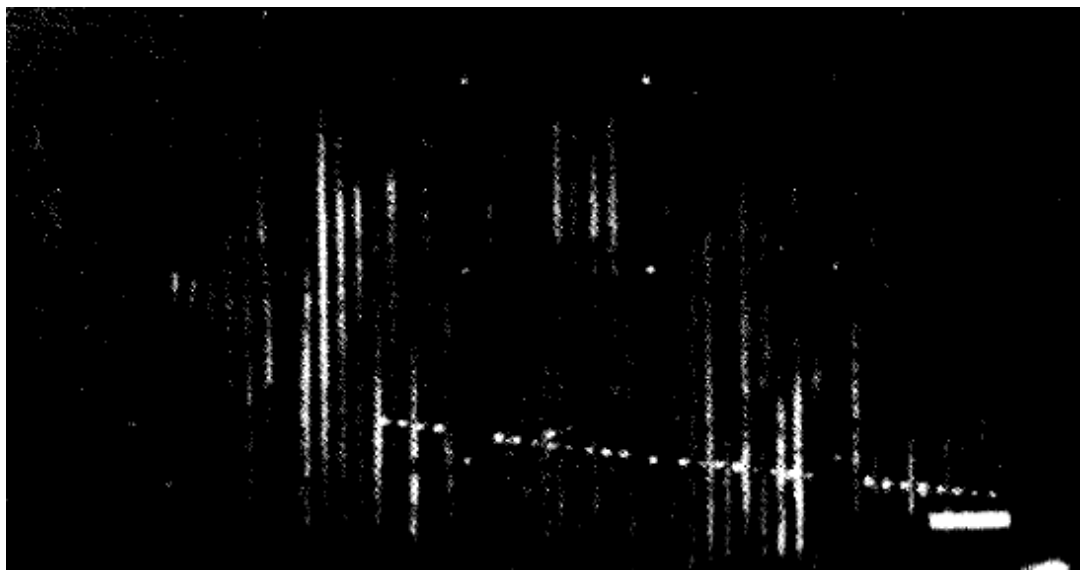
Leon  
Ledermann



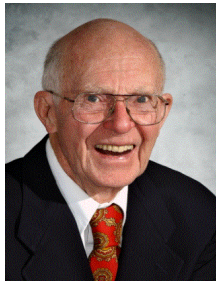
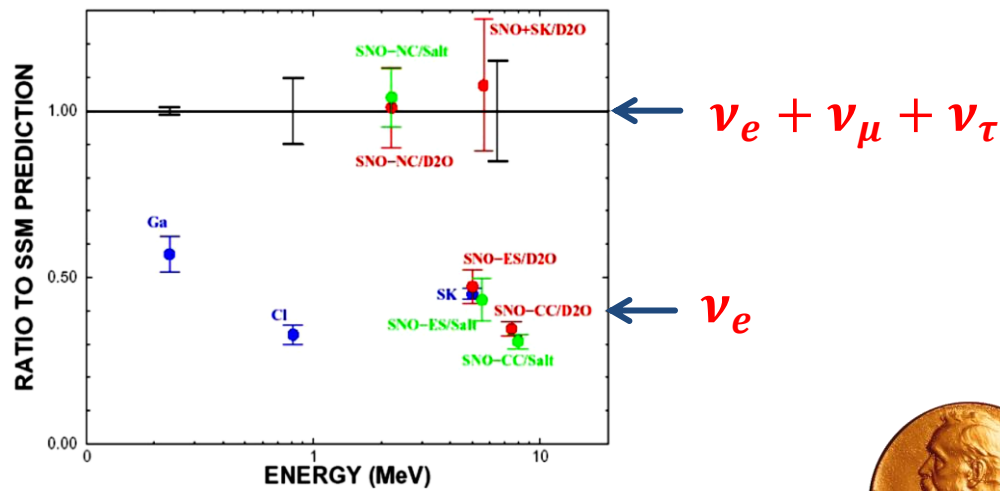
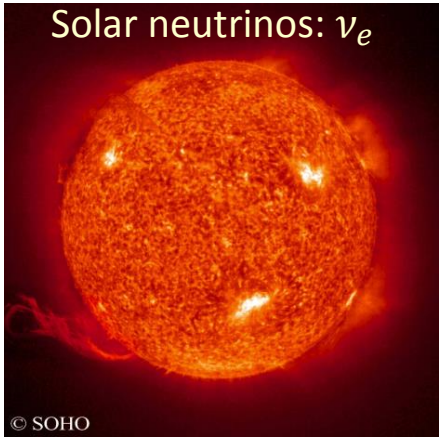
Melvin  
Schwartz



Jack  
Steinberger



# Discovery of Neutrino Oscillations



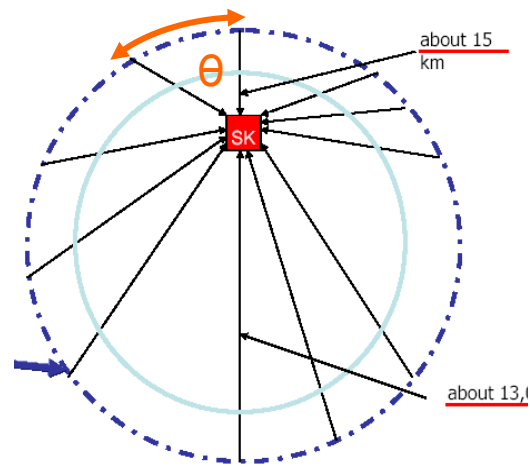
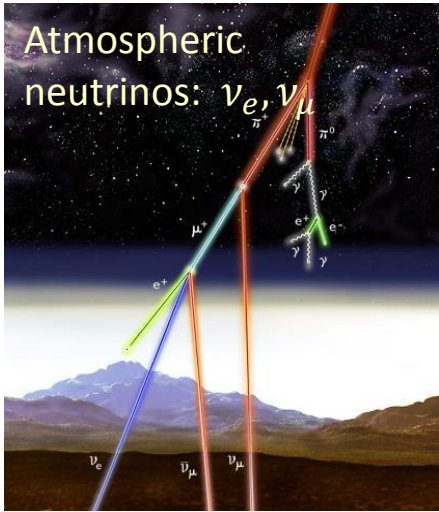
R. Davis



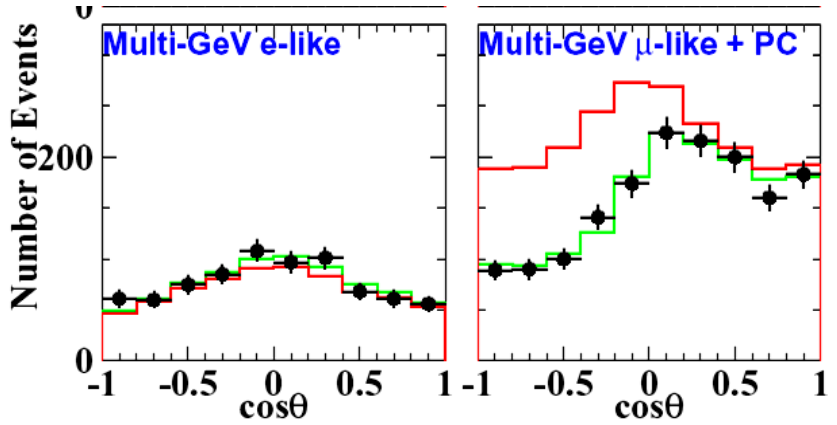
M. Koshiba



Nobel price 2002



- Measured
- expected no oscillations
- expected with oscillations



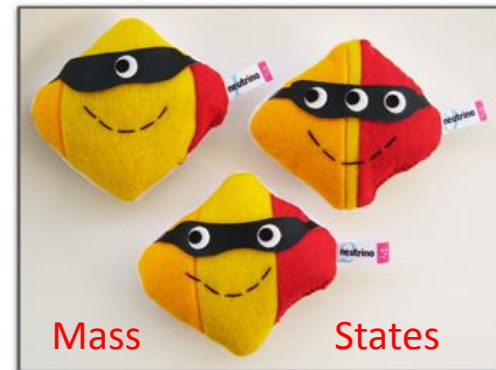


Flavor States

Production/detection:

$$\nu_e, \nu_\mu, \nu_\tau$$

# Mixing of mass and flavor states



Mass States

Propagation:

$$\nu_1, \nu_2, \nu_3$$

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle$$

$$\alpha = e, \mu, \tau$$

$$i = 1, 2, 3$$

Unitary rotation of states with  
3 mixing angles:  $\theta_{12}, \theta_{23}, \theta_{13}$   
1 CP violating phase:  $\delta_{CP}$

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix:  $c_{ij} = \cos \theta_{ij}$ ,  $s_{ij} = \sin \theta_{ij}$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} M$$

„Atmospheric“  
 $\theta_{23} \approx 45^\circ$

„Reactor“  
 $\theta_{13} \approx 10^\circ$

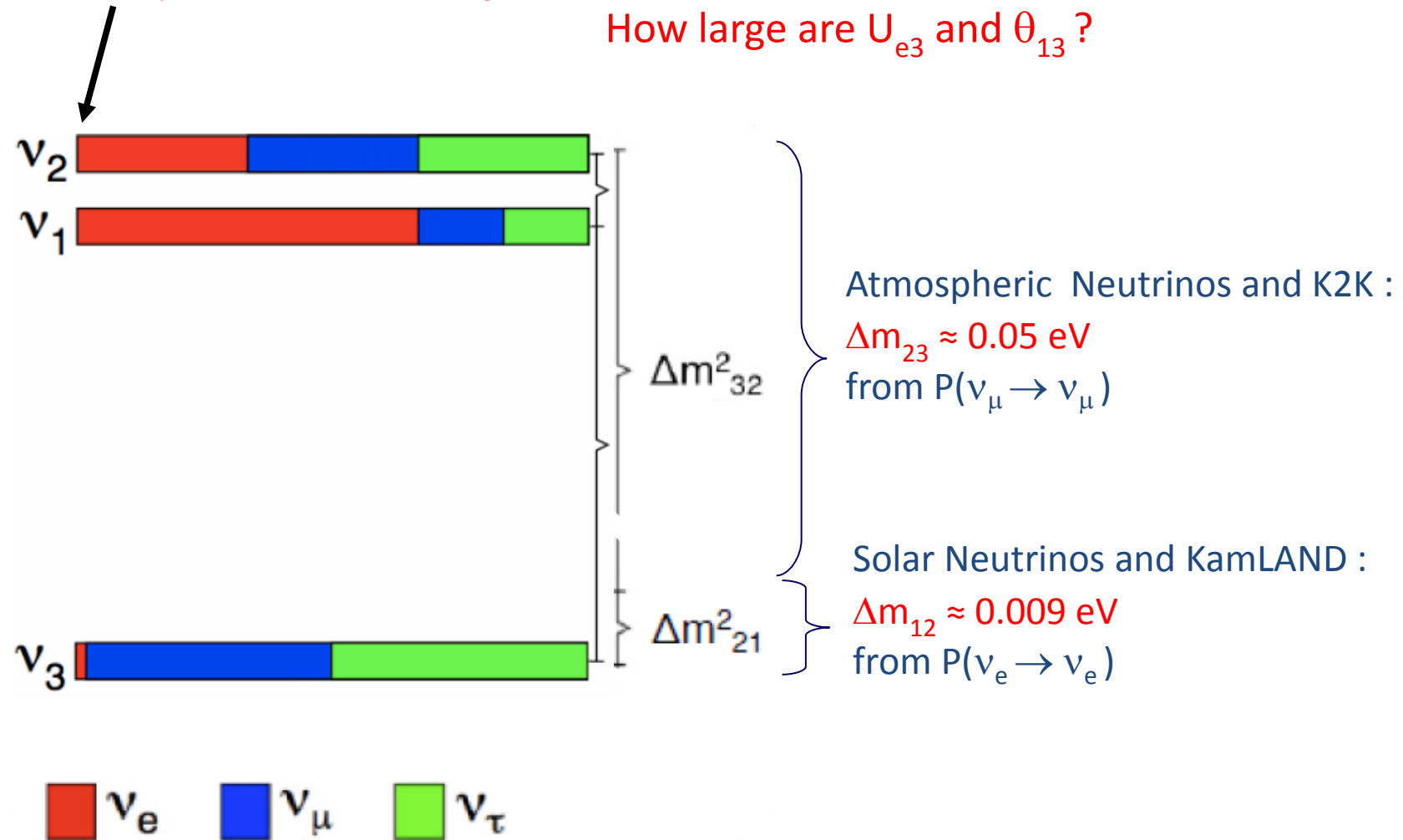
„Solar“  
 $\theta_{12} \approx 32^\circ$

Majorana  
phases

# Oscillation parameters

$\nu_e$  contribution to  $\nu_3$  is small!

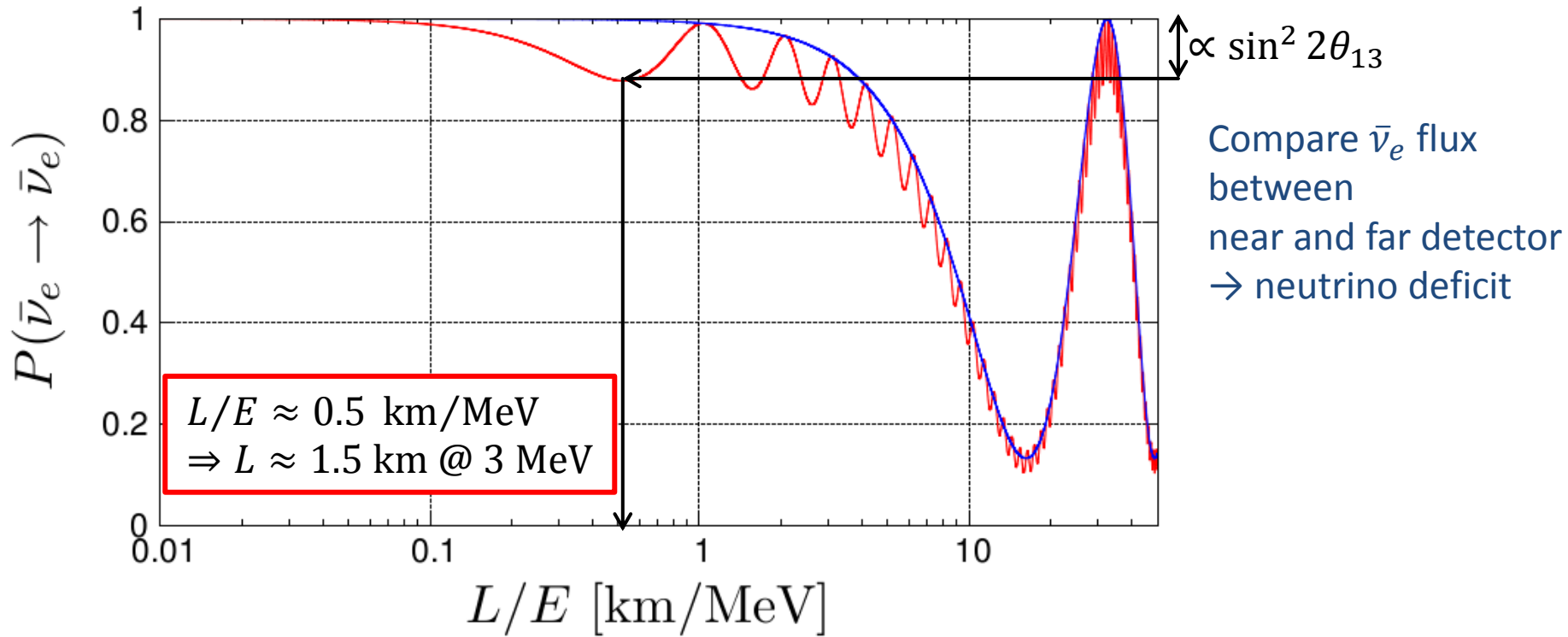
How large are  $U_{e3}$  and  $\theta_{13}$ ?



(other possibility: inverted mass hierarchy)

# Neutrino Oscillations (3 Masses)

Survival probability  $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ :

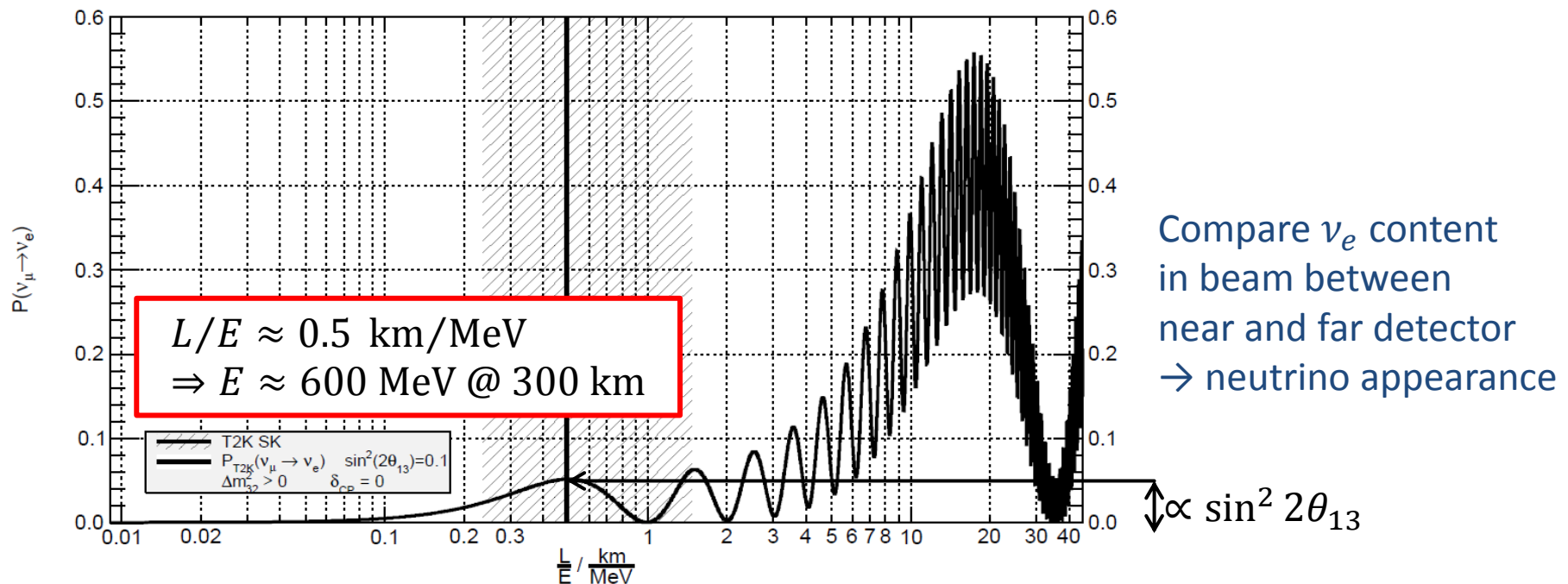


$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + f \left( \sin^2 \frac{\Delta m_{21}^2 L}{4E} \right)$$

$$\Delta m_{31}^2 \approx \Delta m_{32}^2 = 2.3 \cdot 10^{-3} \text{ eV}^2 \gg \Delta m_{21}^2 = 7.5 \cdot 10^{-5} \text{ eV}^2$$

# Neutrino Oscillations (3 Masses)

Appearance probability  $P(\nu_\mu \rightarrow \nu_e)$ :



$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + f \left( \sin^2 \frac{\Delta m_{21}^2 L}{4E} \right)$$

Here also neglected: Terms with  $\delta_{CP}$ , Terms due to matter effects

# New Reactor Neutrino Experiments

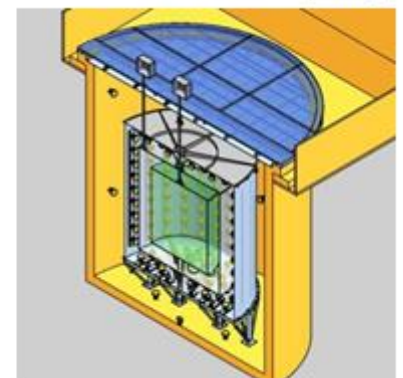
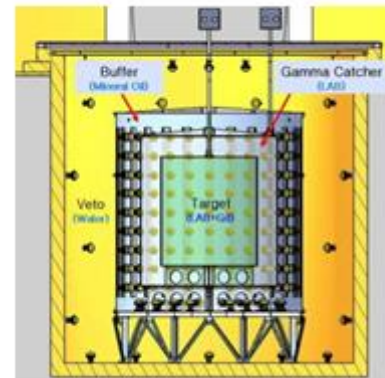
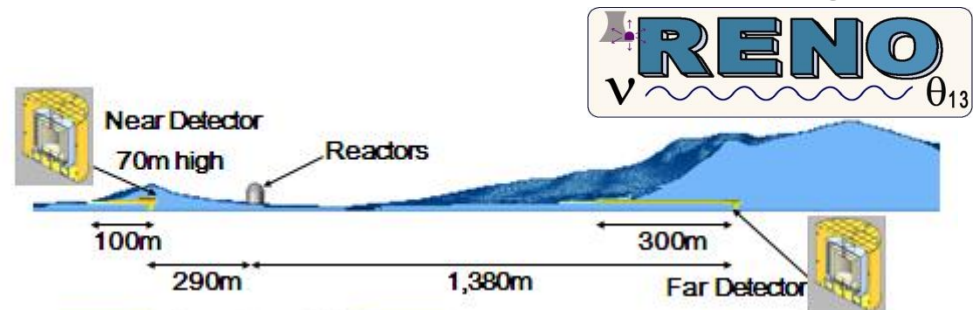
## DayaBay:

- Located at Daya Bay Nuclear Power Plant in China
- 6 x 2.9 GW<sub>th</sub> nuclear reactors
- 6 neutrino detectors
  - 3 near (520 m from reactors)
  - 3 far (1650 m from reactors)

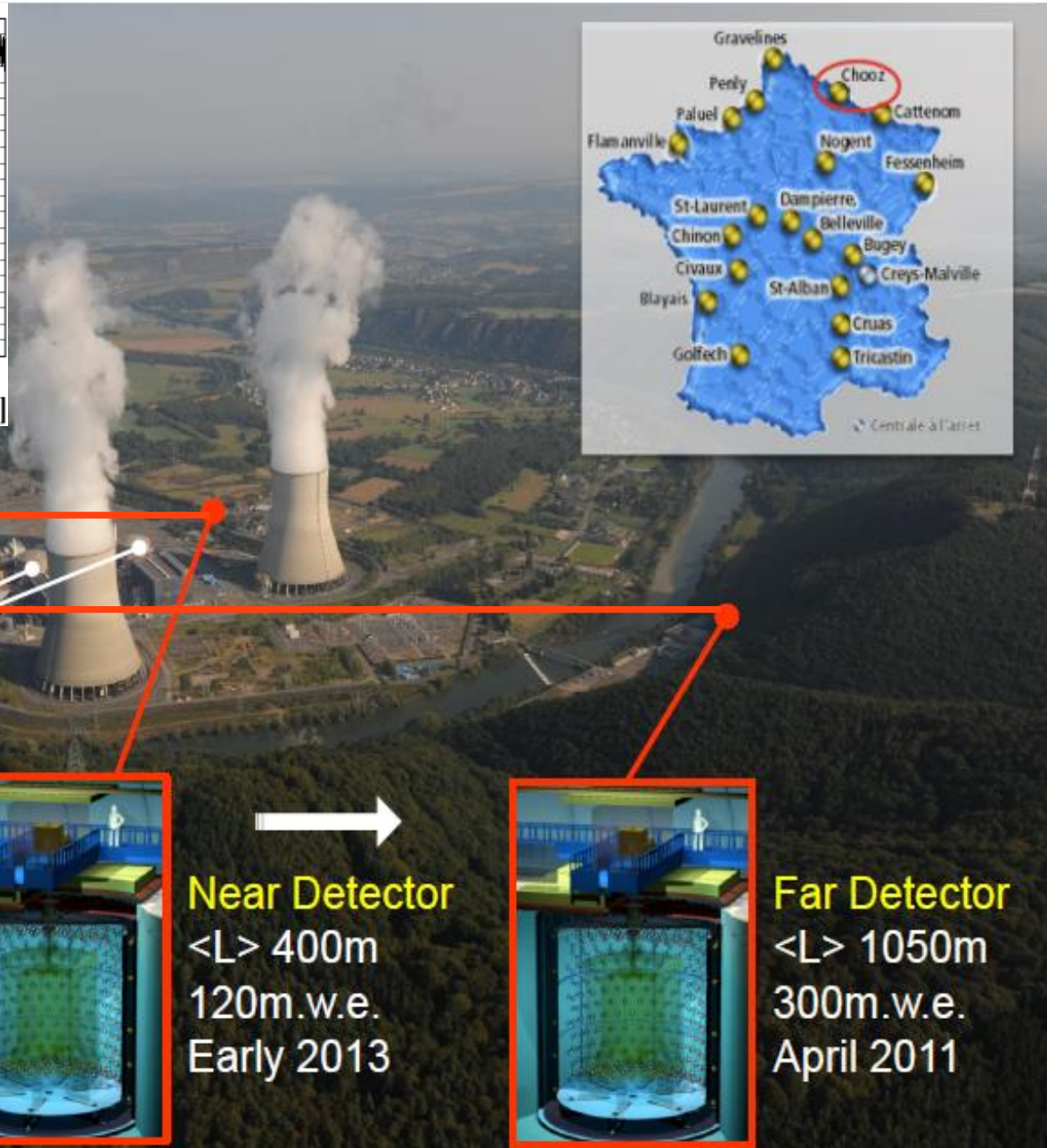
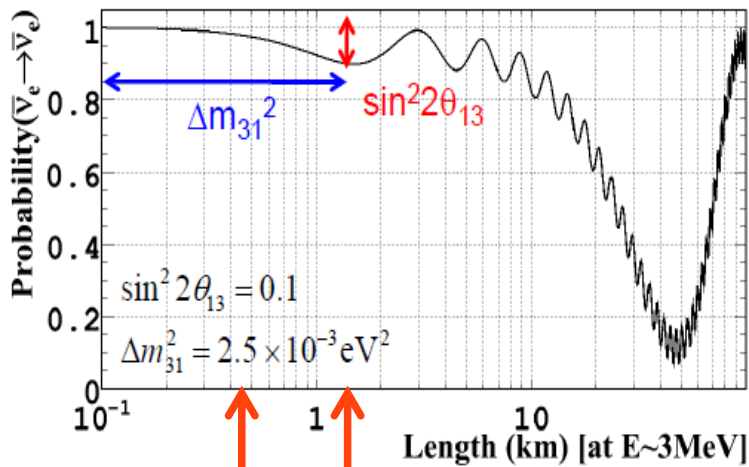


## RENO:

- Located at Yonggwang Nuclear Power Plant in Korea
- 6 x 2.8 GW<sub>th</sub> nuclear reactors
- 2 neutrino detectors
  - 1 near (294 m from reactor)
  - 1 far (1383 m from reactor)



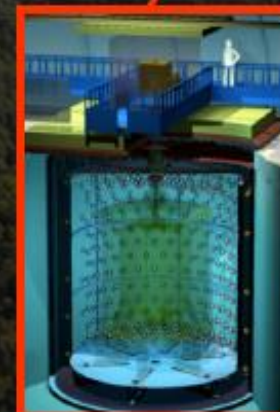
# The Double Chooz Experiment



Chooz Reactors  
 $4.27\text{GW}_{\text{th}} \times 2 \text{ cores}$



**Near Detector**  
 $\langle L \rangle = 400\text{m}$   
 120m.w.e.  
 Early 2013



**Far Detector**  
 $\langle L \rangle = 1050\text{m}$   
 300m.w.e.  
 April 2011

# Design of the DoubleChooz Detectors



Onion like structure  
to shield against backgrounds

**Outer Veto:**  
Plastic scintillator

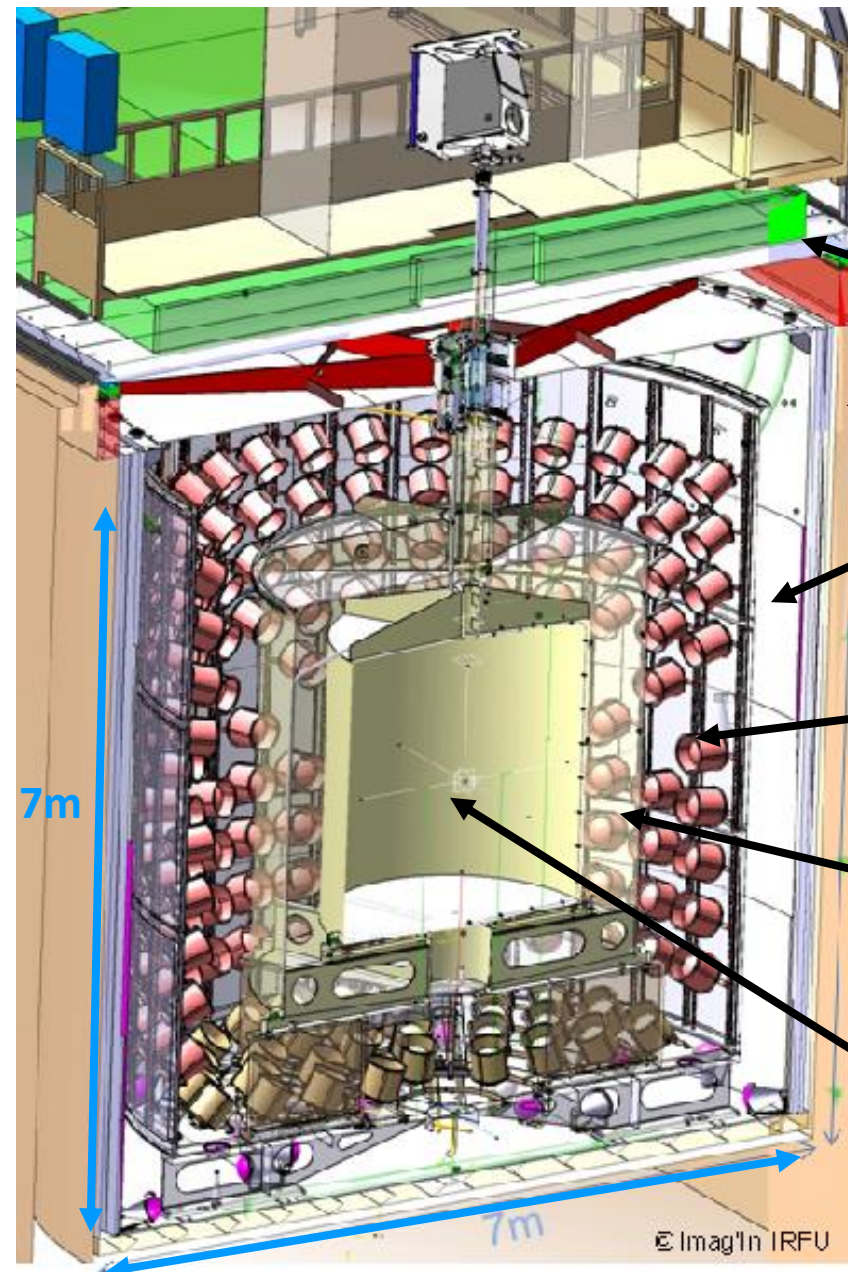
**Steel Shielding** (17 cm)

**Inner Veto** (steel vessel):  
80 m<sup>3</sup> liquid scintillator, 80 PMT

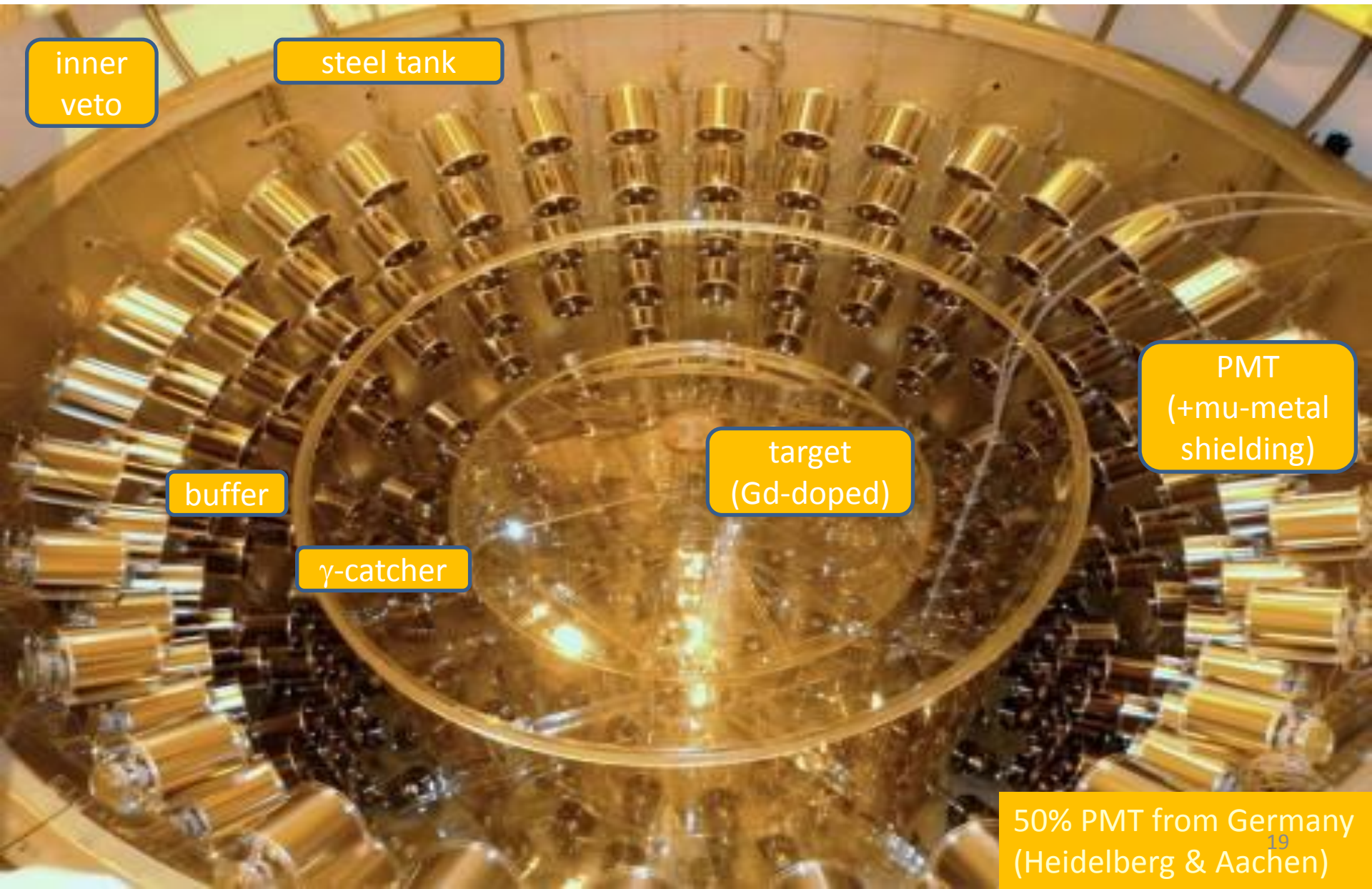
**Buffer** (steel vessel): 100 m<sup>3</sup> oil  
390 PMT (10 inch) observing the target

**Gamma Catcher** (acrylic vessel):  
22.6 m<sup>3</sup> liquid scintillator no Gd

**Target** (acrylic vessel) :  
10.3 m<sup>3</sup> liquid scintillator + 0.1% Gd



# Detector Vessels before Closing



inner  
veto

steel tank

PMT  
(+mu-metal  
shielding)

target  
(Gd-doped)

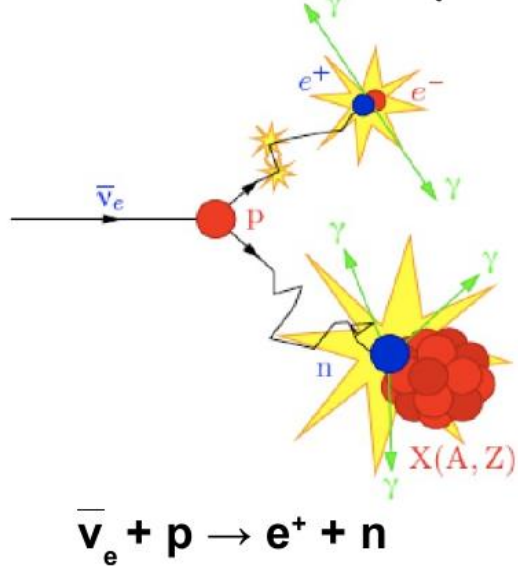
buffer

$\gamma$ -catcher

50% PMT from Germany  
(Heidelberg & Aachen)

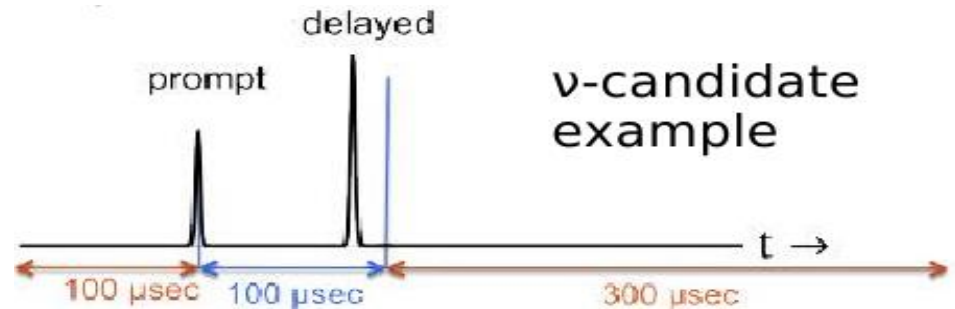
# Selection of Neutrino Candidates

## Inverse Beta Decay

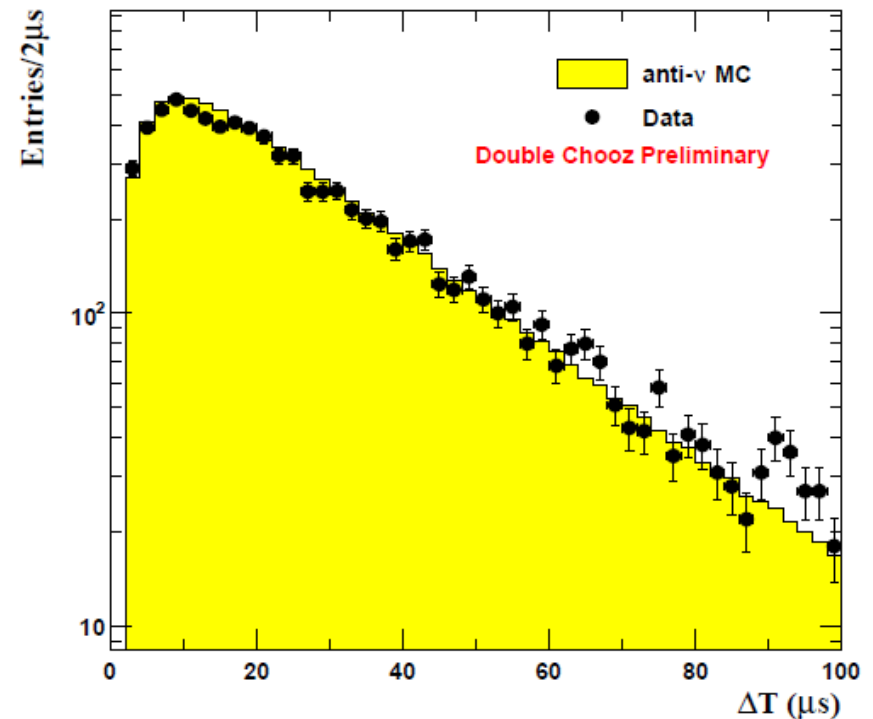


Coincidence Cut:

$$2 \mu s < \Delta T < 100 \mu s$$

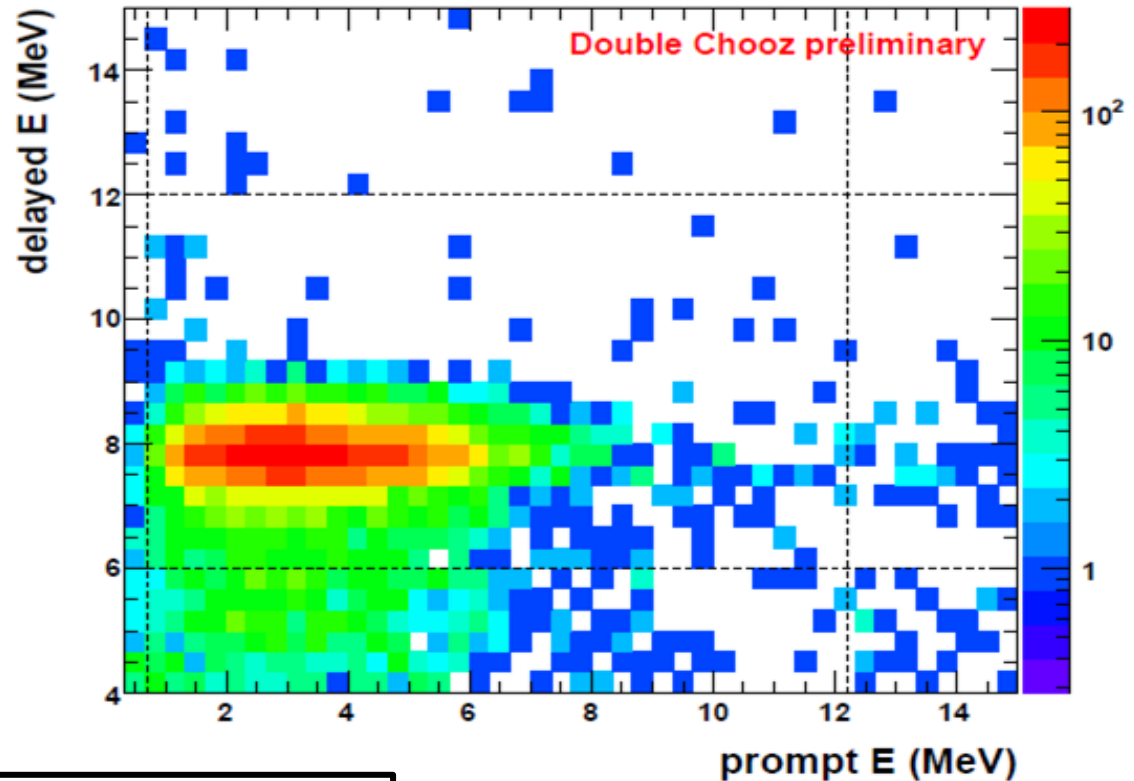
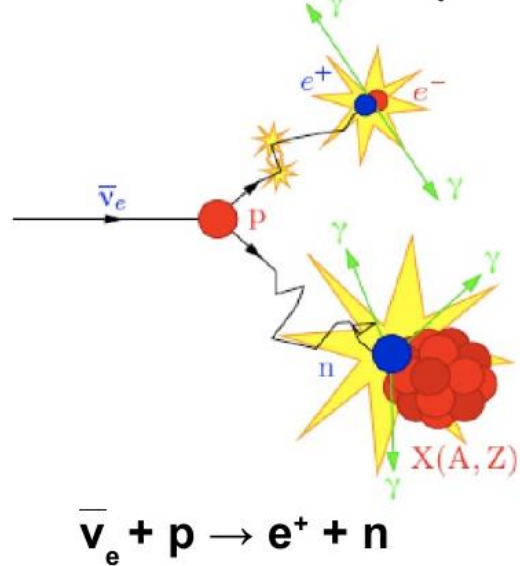


## Prompt-delay time difference



# Selection of Neutrino Candidates

## Inverse Beta Decay



Energy Cut:

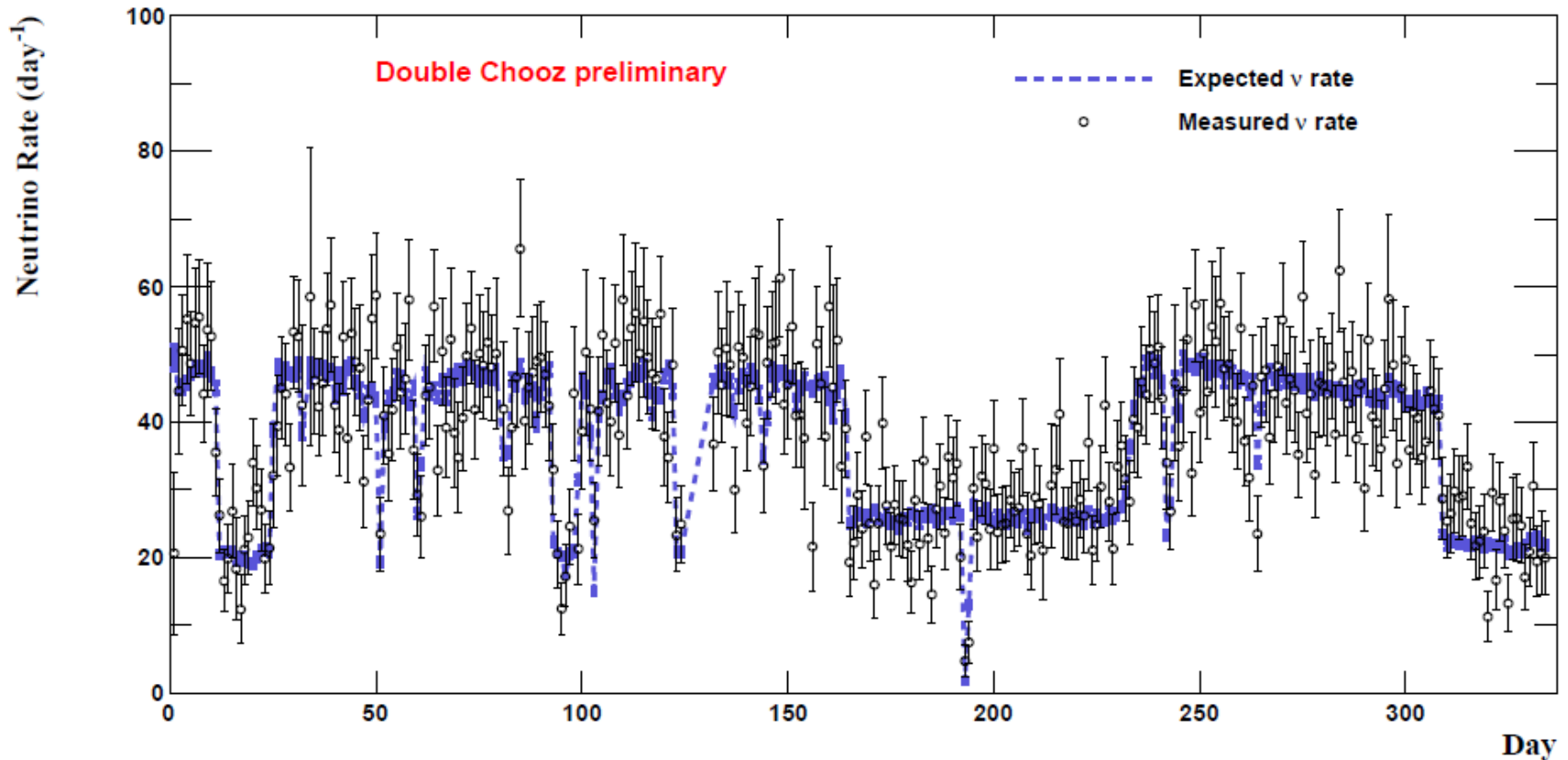
$$0.7 \text{ MeV} < E_{\text{prompt}} < 12.2 \text{ MeV}$$

$$6.0 \text{ MeV} < E_{\text{delayed}} < 12.0 \text{ MeV}$$

# Rate of Neutrino Candidates

~36 neutrino candidates per day  
~1 background event per day

Neutrino rate



- In total 8249 candidates survive the cuts (no background subtraction)
- Good correspondence to reactor power history
- Indicates low background level in detector

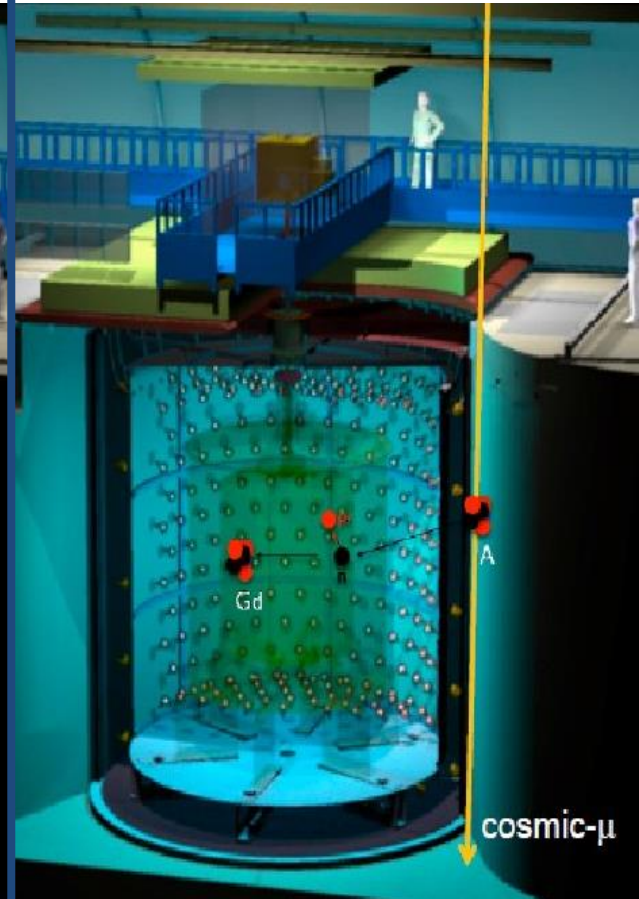
# Backgrounds

## Accidental background

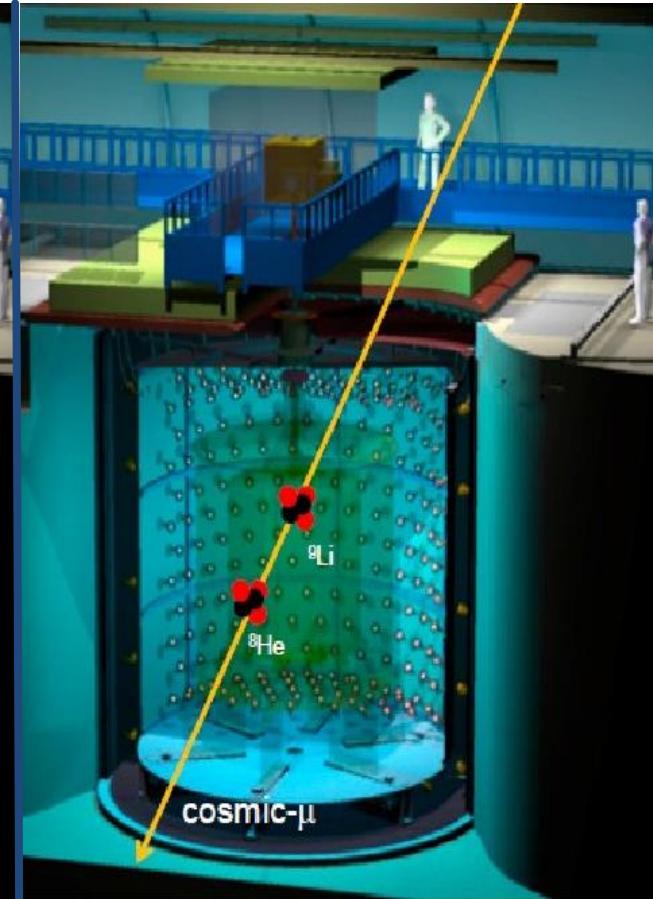


Prompt:  
environmental gamma-ray  
Delayed:  
neutron induced by muon

## Correlated background

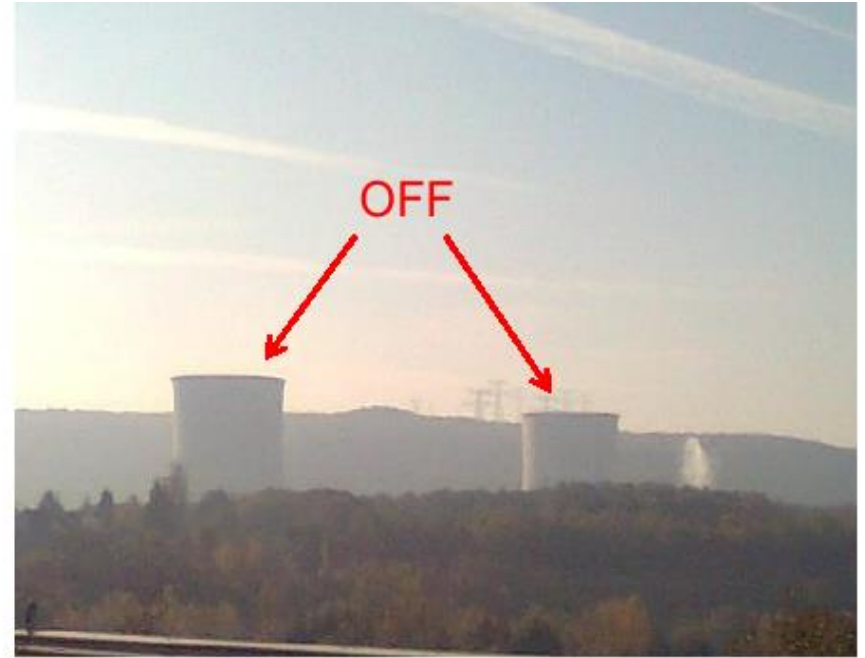


Prompt:  
proton recoils from neutron  
Delayed:  
neutron capture on Gd



Cosmogenics:  ${}^9\text{Li}$ / ${}^8\text{He}$   
from  $\mu$ -induced spallation  
 $\beta - n$  emitters,  
mimic the  $\nu$ -signal

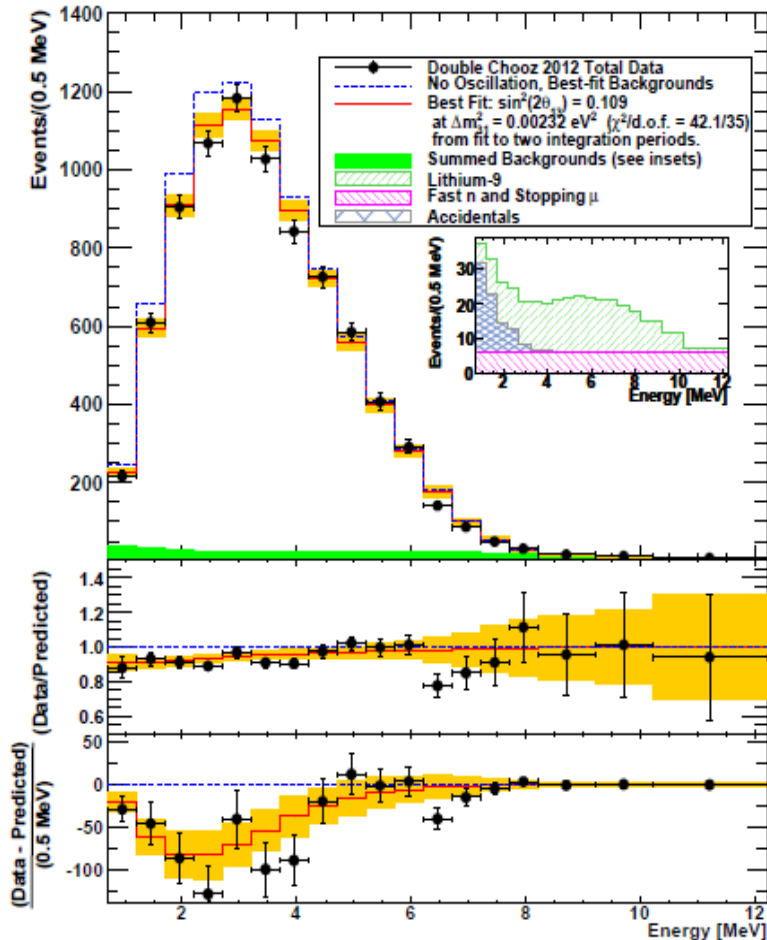
# Background Estimation



- Unique opportunity to measure backgrounds in-situ with both reactors off  
~7,5 days of reactor OFF-OFF data
- background event rate are consistent with background calculation  
→ waiting for more reactor OFF-OFF periods ...

# Oscillation Analysis

Y. Abe et al. arXiv:1207.6632 (2012)



- Oscillation depends on neutrino energy:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$

- Rate & shape analysis yields

$$\sin^2 2\theta_{13} = 0.109 \pm 0.030 \text{ (stat)} \pm 0.025 \text{ (syst)}$$

$$\text{using } \Delta m_{31}^2 \approx \Delta m_{32}^2 = 2.32 \cdot 10^{-3} \text{eV}^2 \text{ (MINOS)}$$

- Together with results from DayaBay and RENO:

$$\sin^2 2\theta_{13} = 0.095 \pm 0.010 \text{ (PDG 2014)}$$

# New Accelerator Neutrino Experiments

NOvA: Numi Off-Axis  $\nu_e$  Apppearance Experiment

Start planned for 2014

## NOvA

### *A broad physics scope*

Using  $\nu_\mu \rightarrow \nu_e$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  ...

- Measure  $\theta_{13}$  via  $\nu_e$  appearance
- Determine the  $\nu$  mass hierarchy
- Search for  $\nu$  CP violation
- Determine the  $\theta_{23}$  octant

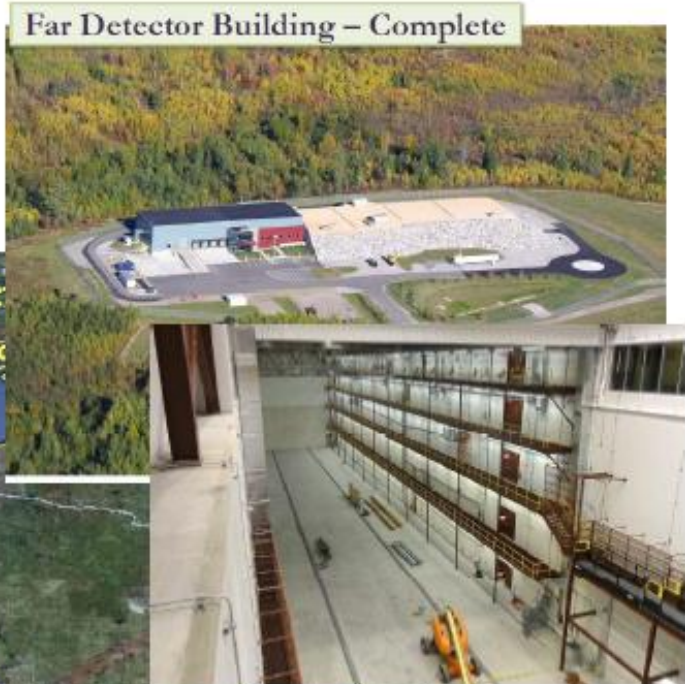
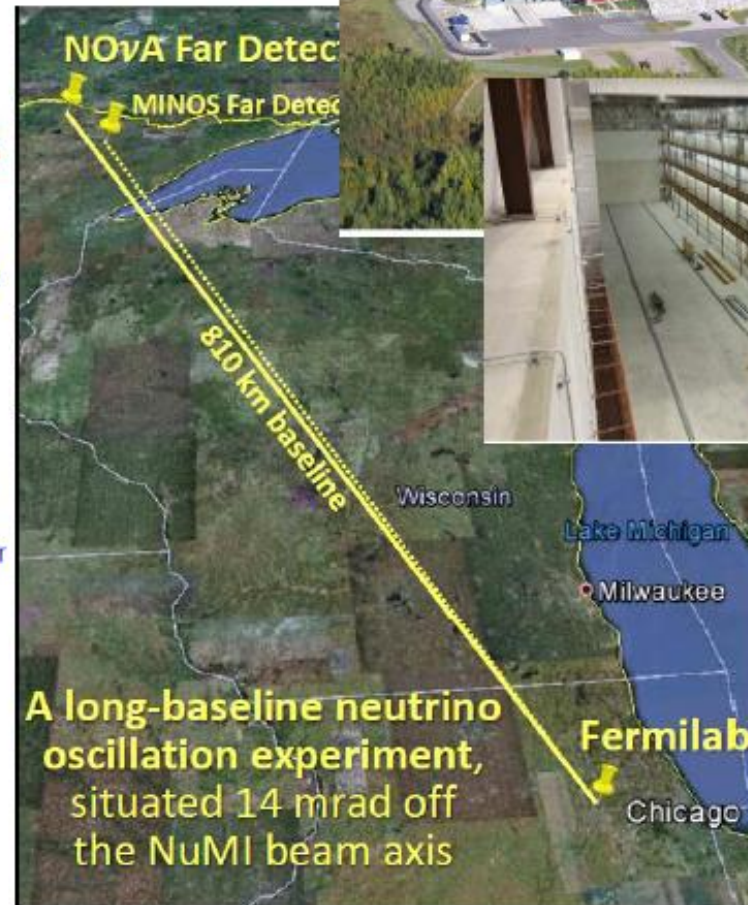
Using  $\nu_\mu \rightarrow \nu_\mu$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  ...

- **Atmospheric parameters:**  
precision measurements of  $\theta_{23}$ ,  
 $|\Delta m_{\text{atm}}^2|$ . (Exclude  $\theta_{23} = \pi/4$ ?)
- **Over-constrain** the atmos. sector  
(four oscillation channels!)

Also ...

- Neutrino cross sections at the NOvA Near Detector
- Sterile neutrinos
- Supernova neutrinos
- Other exotica

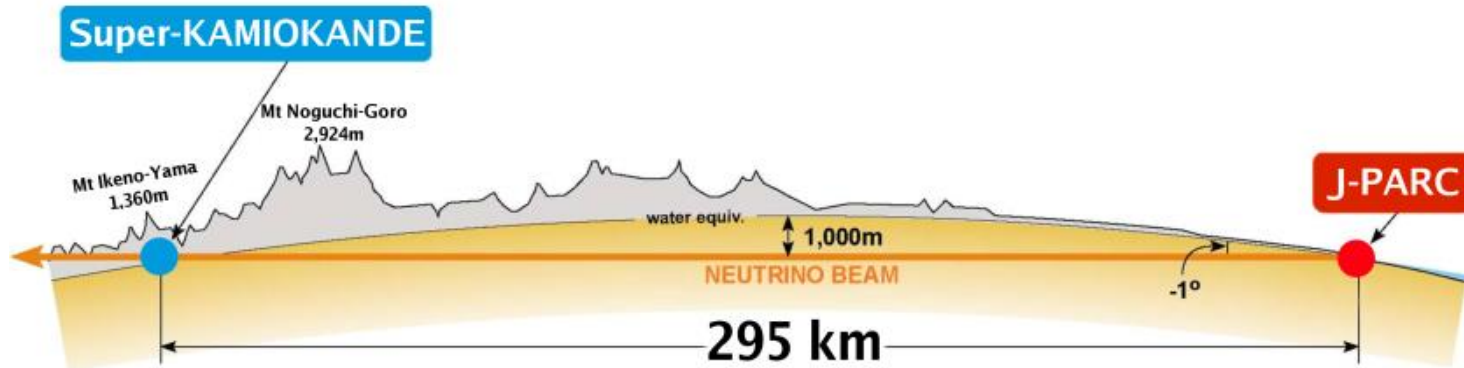
Ryan Patterson, Caltech



# The T2K Experiment (Tokai To Kamioka)



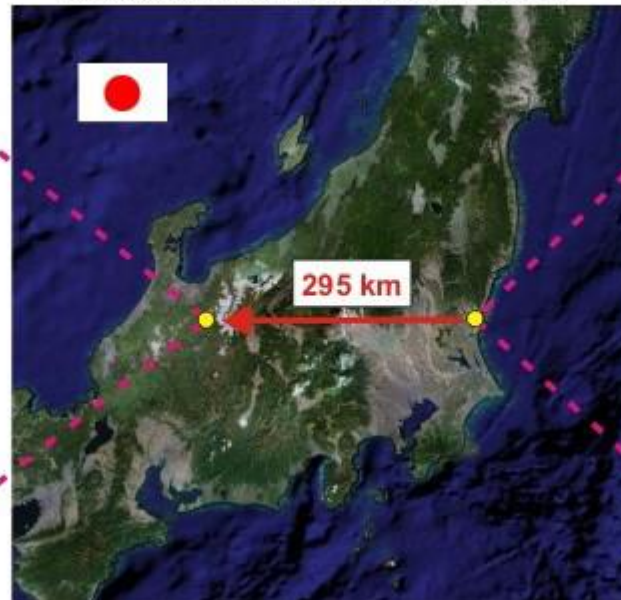
Data taking since 2010



Super Kamiokande  
50,000 tons of water  
10,000 phototubes



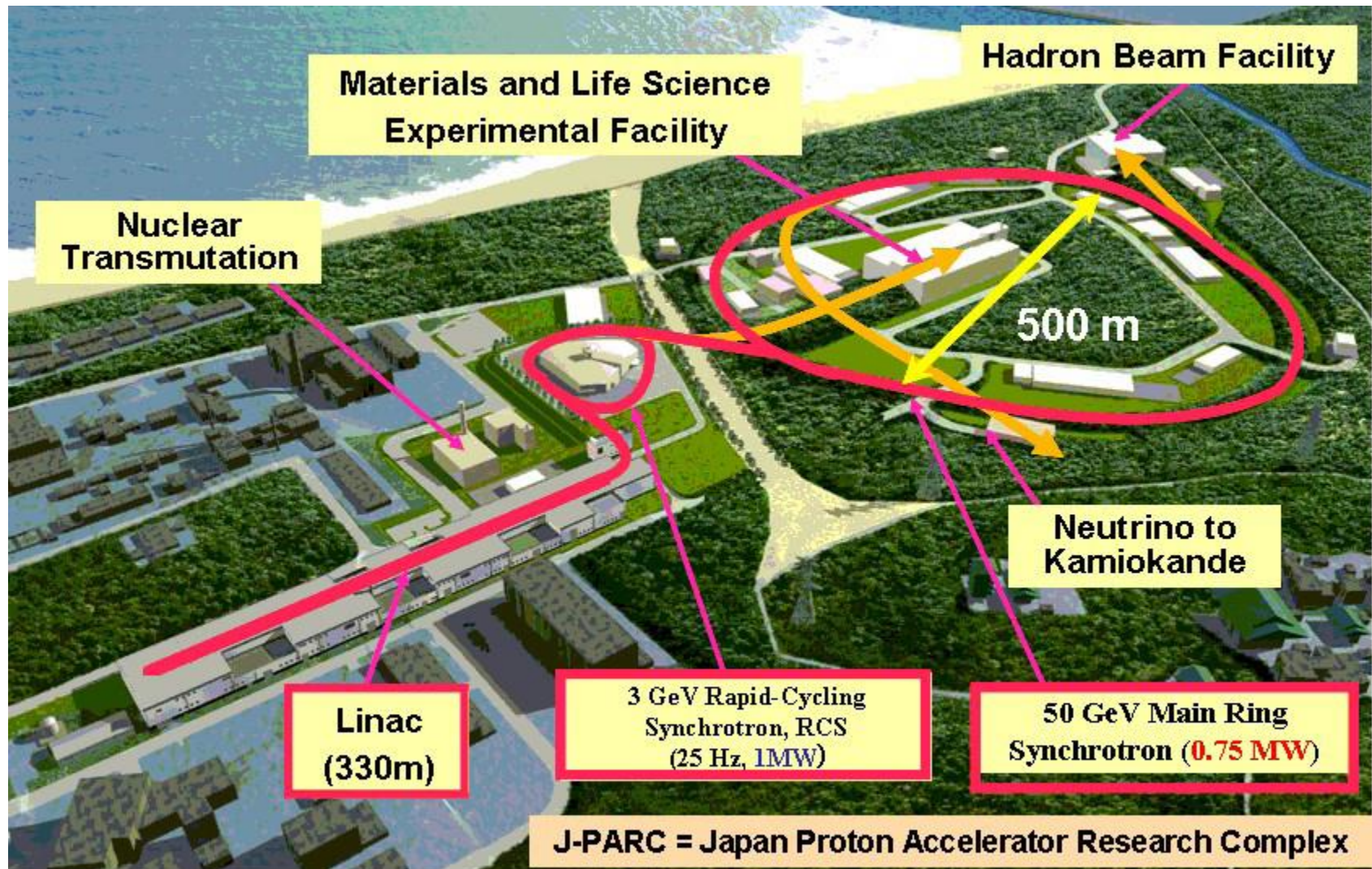
Neutrino beam directed across Japan



Tokai accelerator complex and  
location of near detector (ND280)



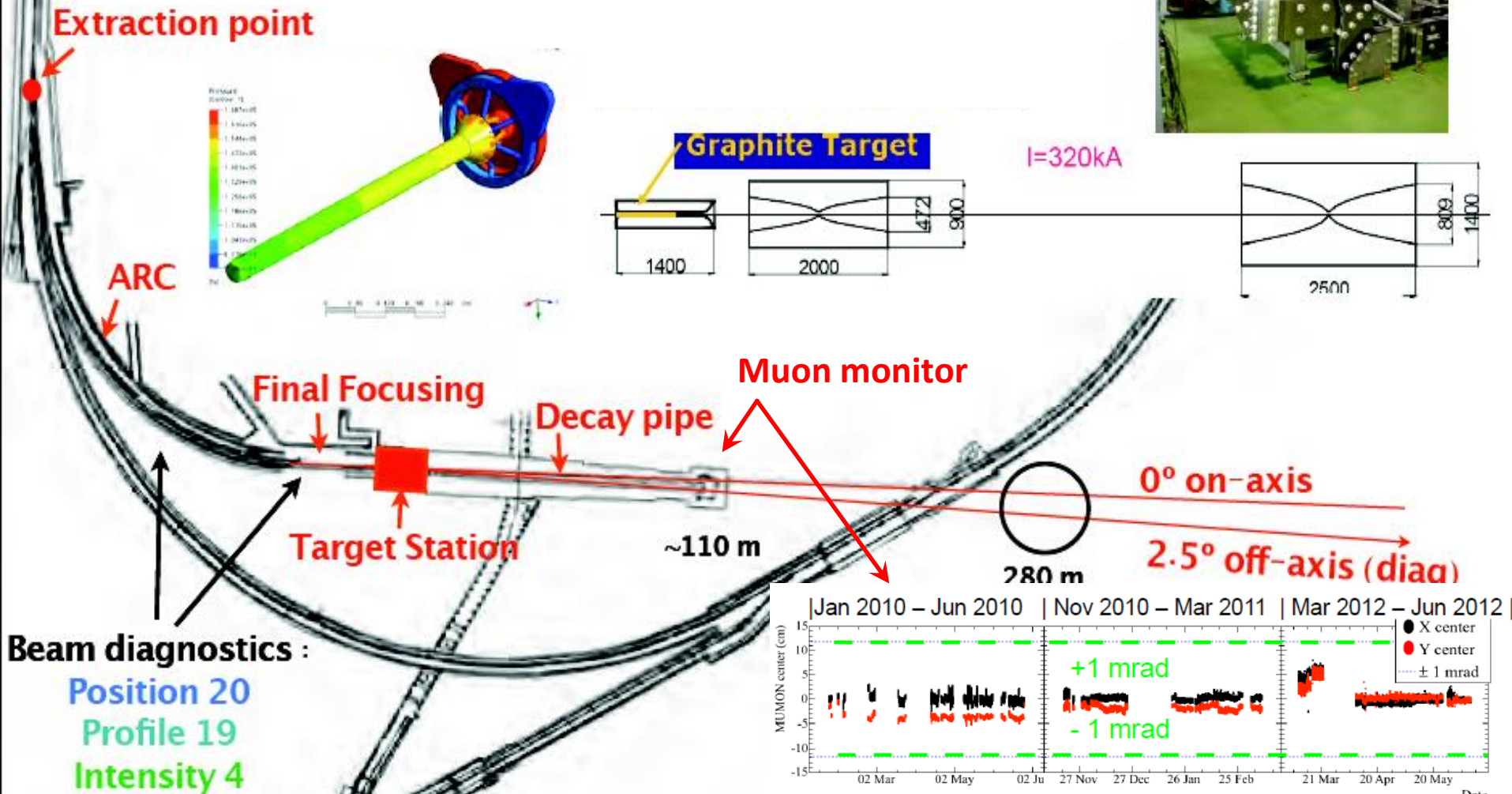
# Japan Proton Accelerator Research Center J-PARC



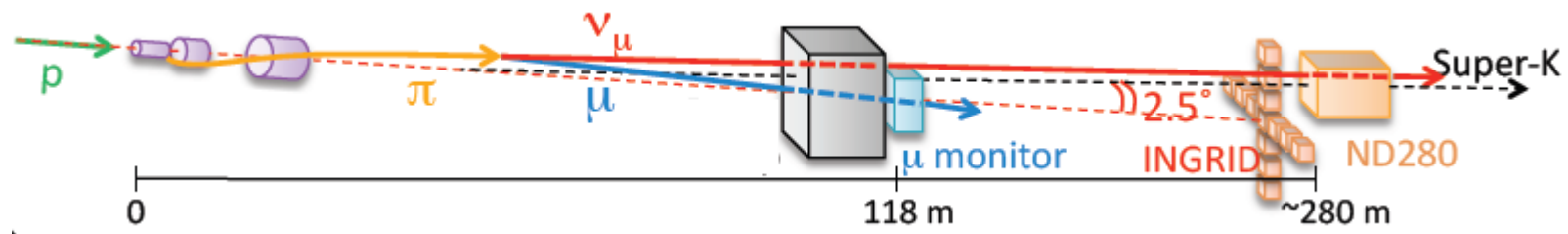
J-PARC: Joint project between KEK and JAEA

# The Neutrino Beam

- 30 GeV proton beam on carbon target
- Beam intensity currently 220 kW, design value 700 kW
- Final goal is  $8 \cdot 10^{21}$  protons on target (POT)
- Muon beam direction stable within 1 mrad

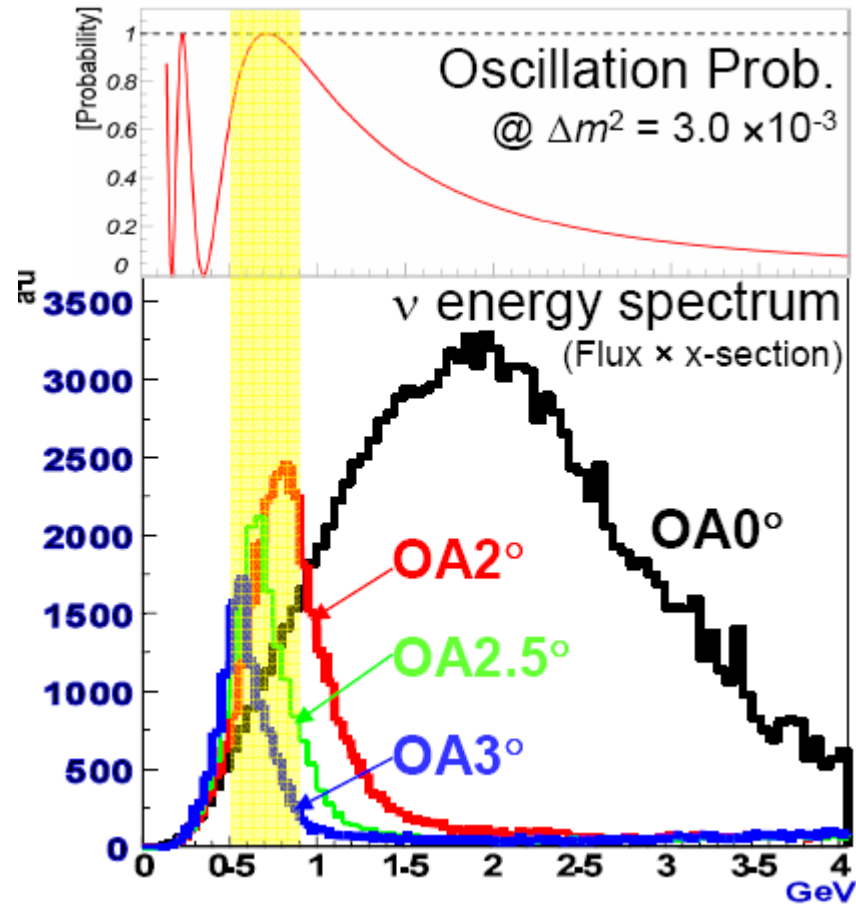
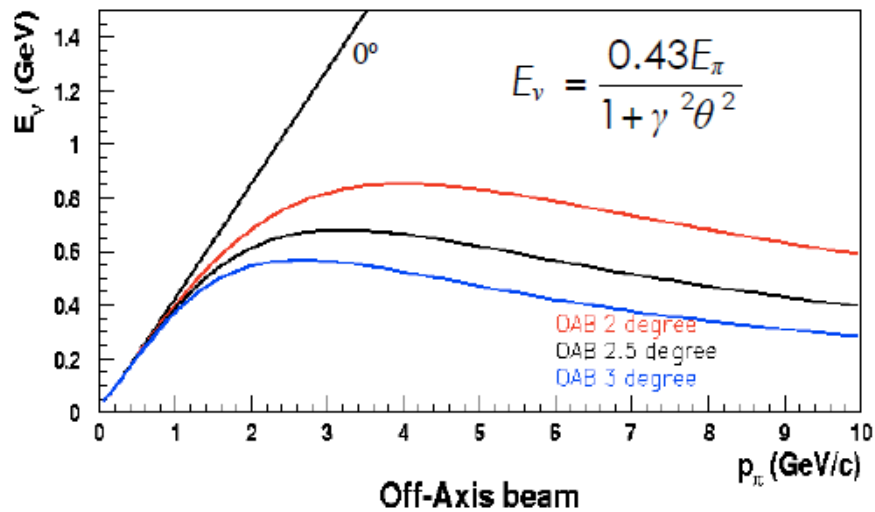


# Off Axis Neutrino Beam

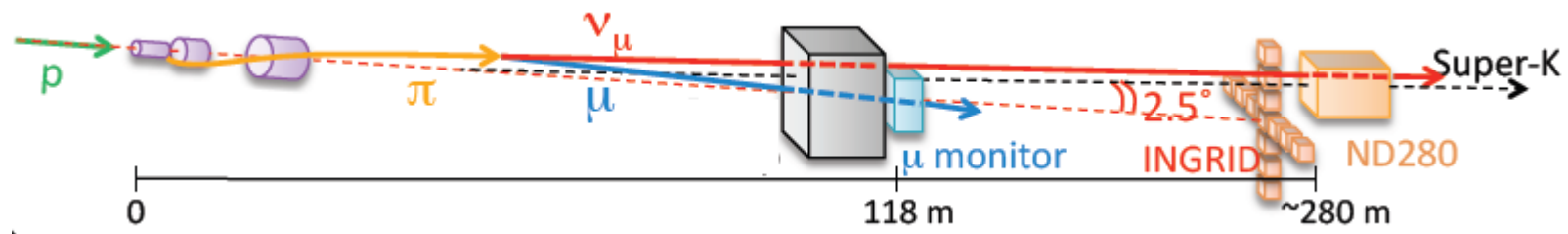


Neutrinos at 2.5° off-axis:

- Intense narrow energy band
- Energy maximum tuned to oscillation maximum at ~0.6 GeV



# Off Axis Neutrino Beam

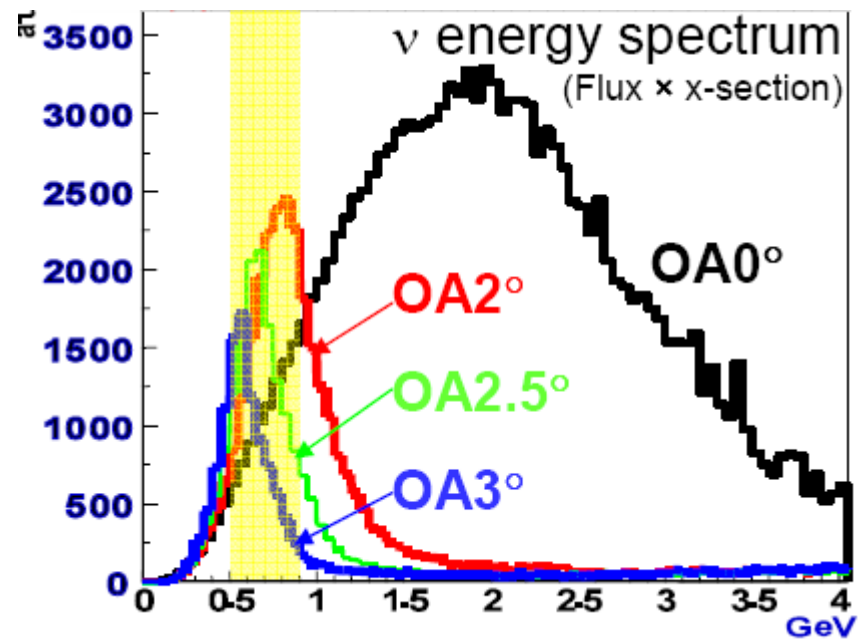
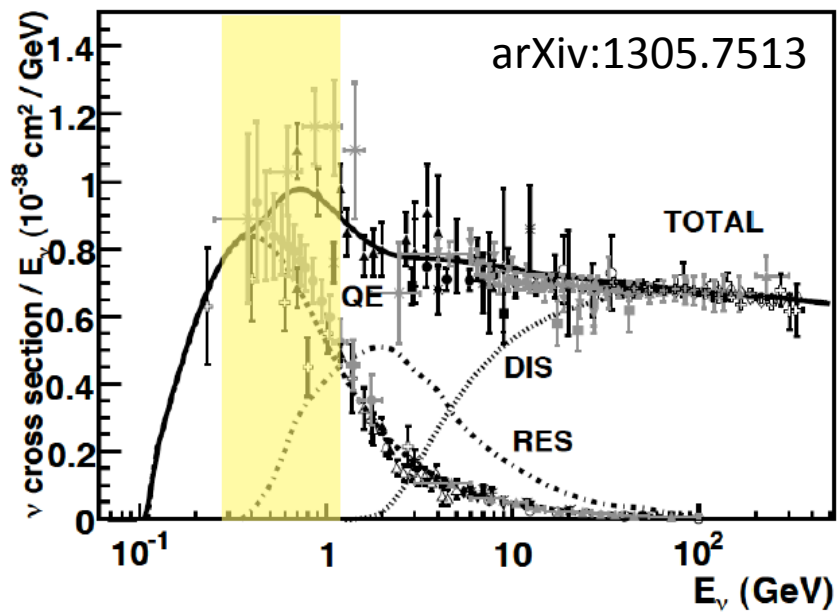


Charge Current (CC) processes:

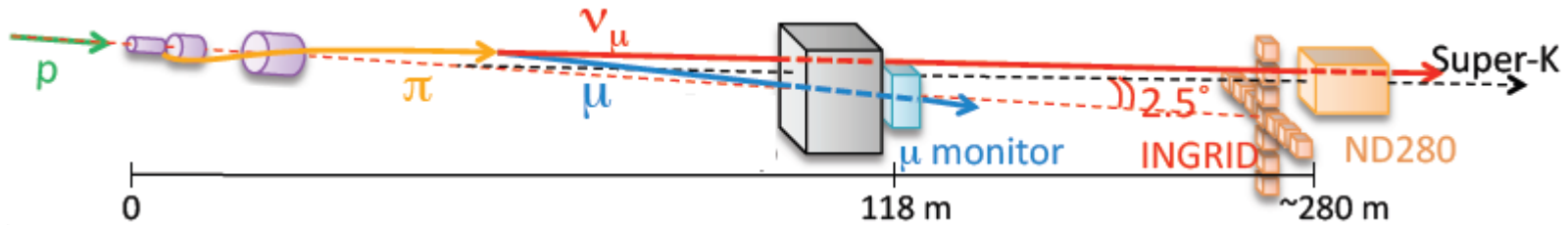
- Quasi Elastic (QE):  $\nu_\mu n \rightarrow \mu^- p$
- Resonant (RES):  $\nu_\mu n \rightarrow \mu^- \pi^{+,0} N$
- Deep Inelastic (DIS):  $\nu_\mu N \rightarrow \mu^- X$

Neutrinos at 2.5° off-axis:

- Enhances CCQE fraction
- Reduces associated pion production



# Neutrino Monitor



Off-axis

**ND280**

**ND280:**

- Tracker/Calorimeter in 0.2 T field
- Beam composition ( $\nu_e$  background)
- neutrino flux and cross sections

On-axis

**INGRID**

**INGRID:**

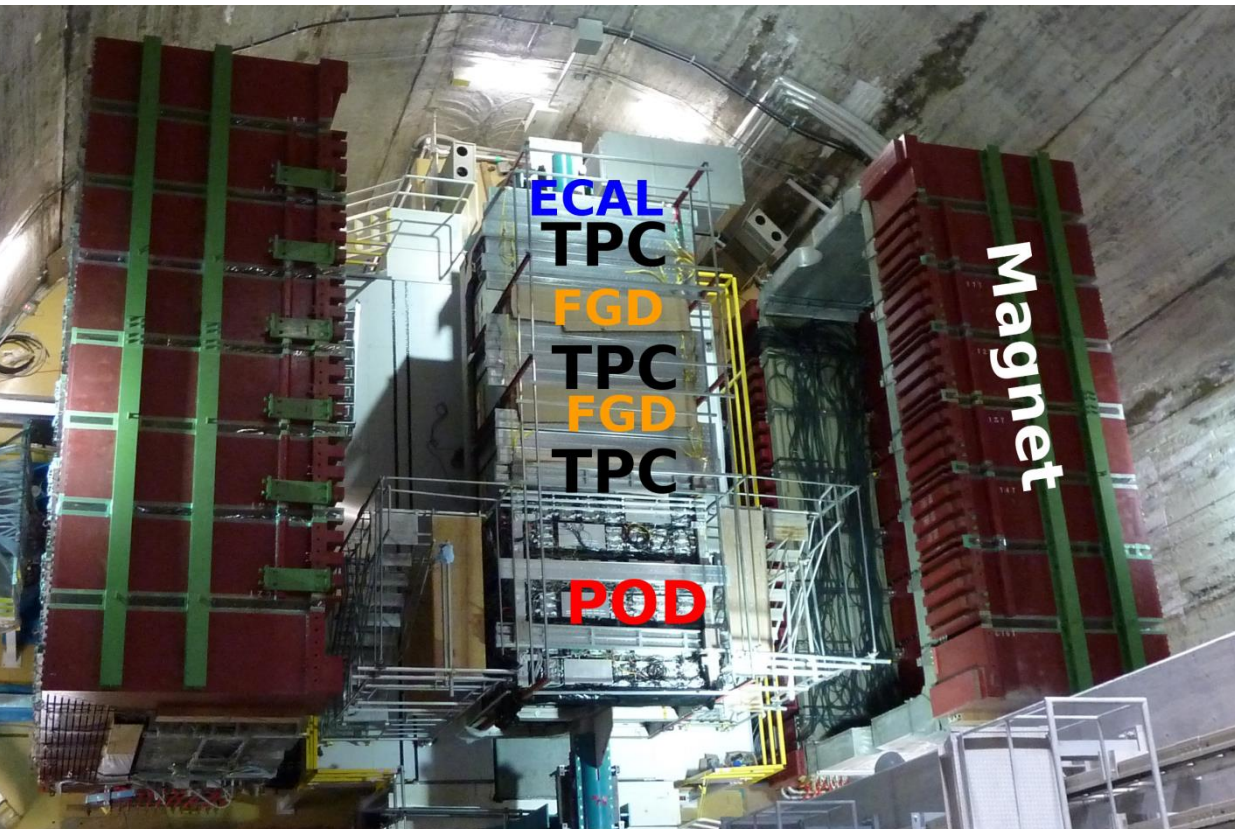
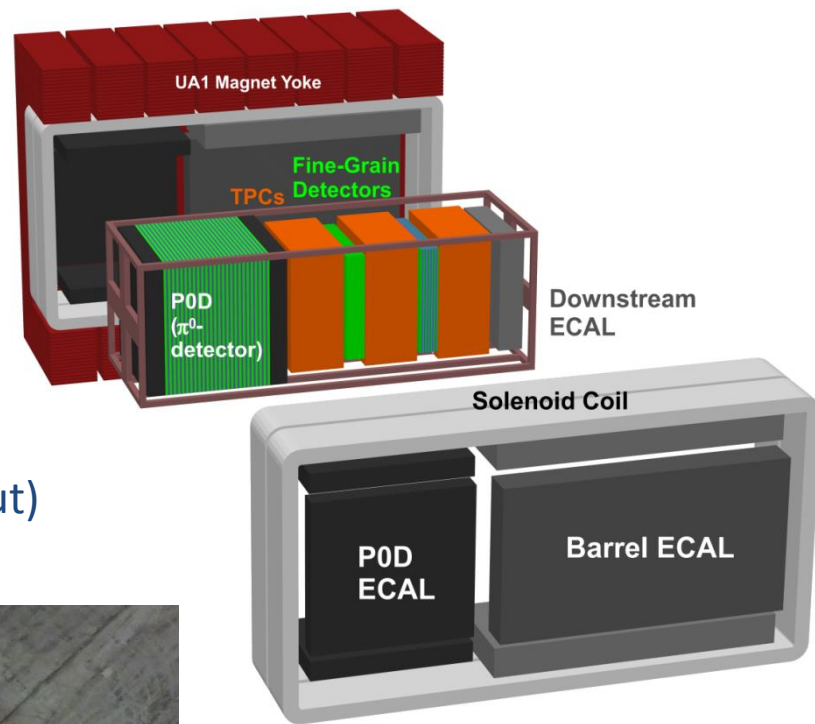
- Iron/Scintillator detector
- Beam profile
- Bunch timing

**Beam**

# Near Detector 280m (ND280)

Inside 0.2 T UA1/NOMAD magnet:

- The  $\pi^0$  detector POD (lead/water/scintillators)
- Barrel and downstream ECAL
- Fine Grain Detectors FGD (water/scintillators)
- Time Projection Chambers TPC  
(large gas volume with micromegas readout)



# Magnet Moving System

Opening and closing of 900 t UA1 magnet yokes

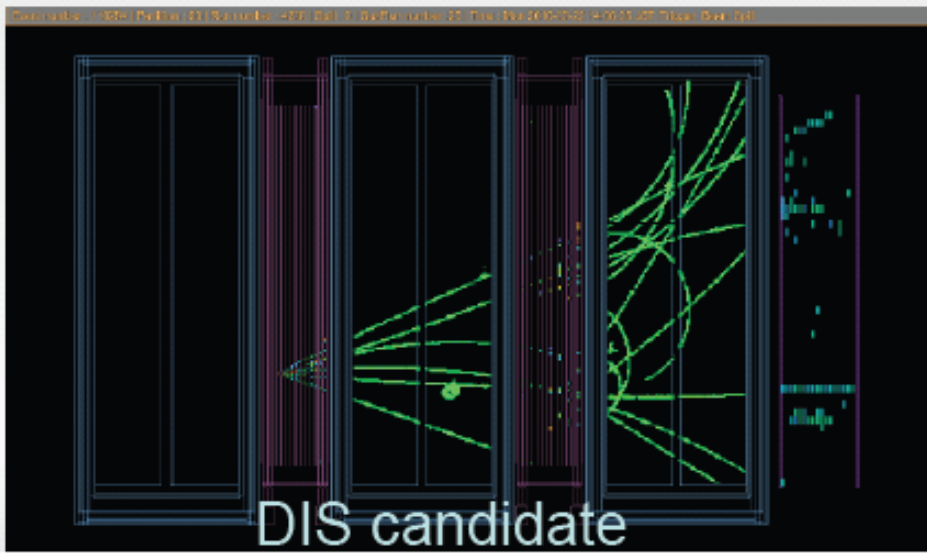
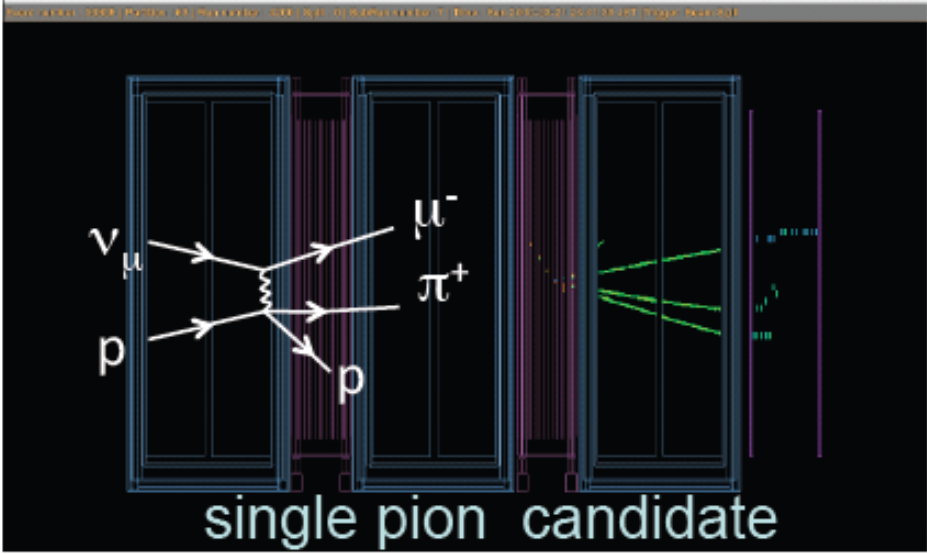
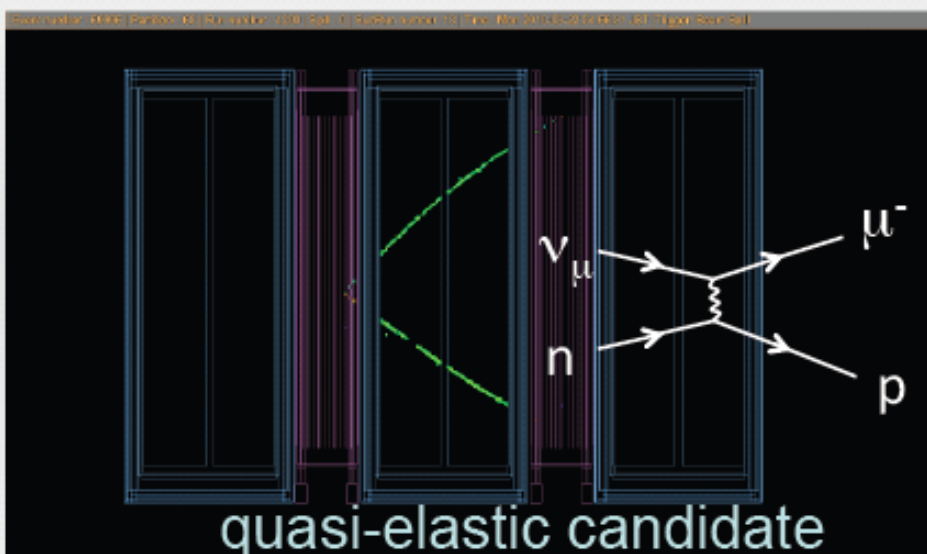
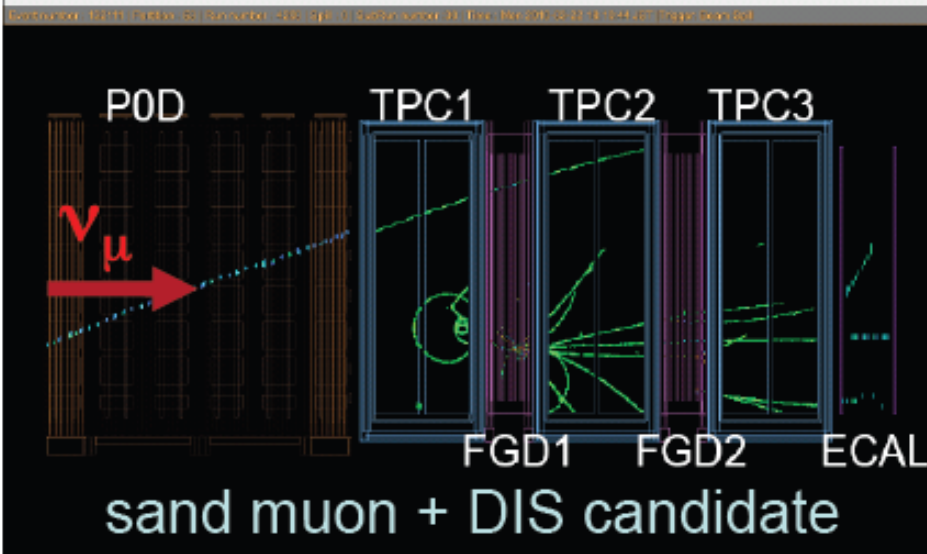
Adaption of HERA-B guide rollers to the UA1 magnet carriage

Re-use of ZEUS hydraulic movers

} Many thanks to DESY!

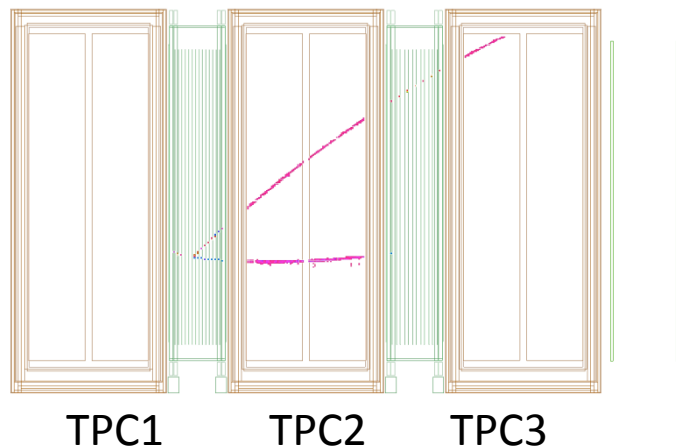


# ND280 Event Gallery



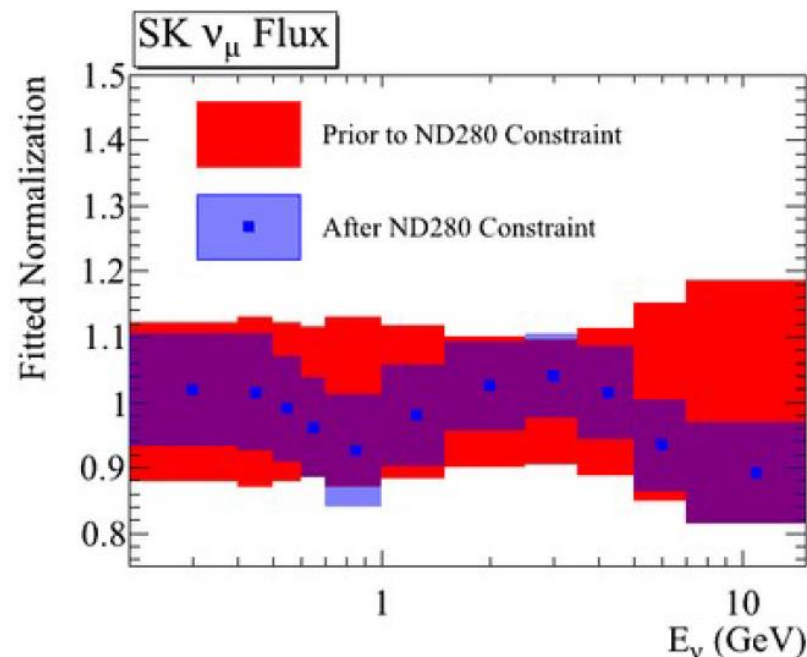
# Measurement of $\nu_\mu$ flux at ND280

Event number : 24083 | Partition : 63 | Run number : 4200 | Spill : 0 | SubRun number : 6 | Time : Sun 2010-03-21 22:33:25 JST [Trigger: Beam Spill]



Basic CC event selection at ND280 for  $\nu_\mu$ :

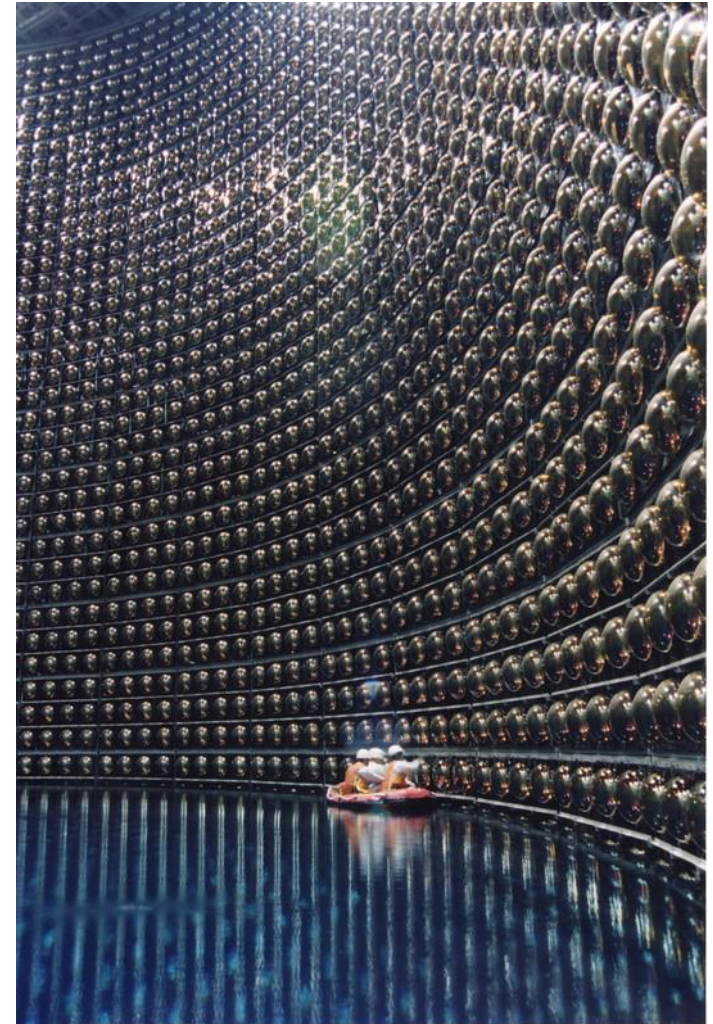
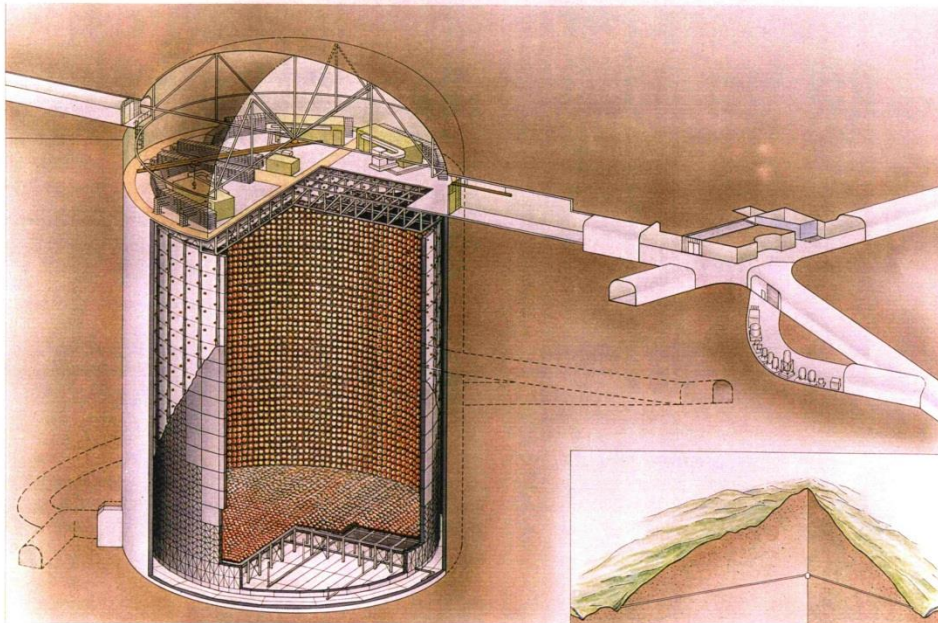
- Use the highest momentum, negative charged TPC track
- Select muon from TPC particle ID



Measurement of spectrum and flux of  $\nu_\mu$  neutrinos at ND280 yields prediction for  $\nu_\mu$  flux at SK

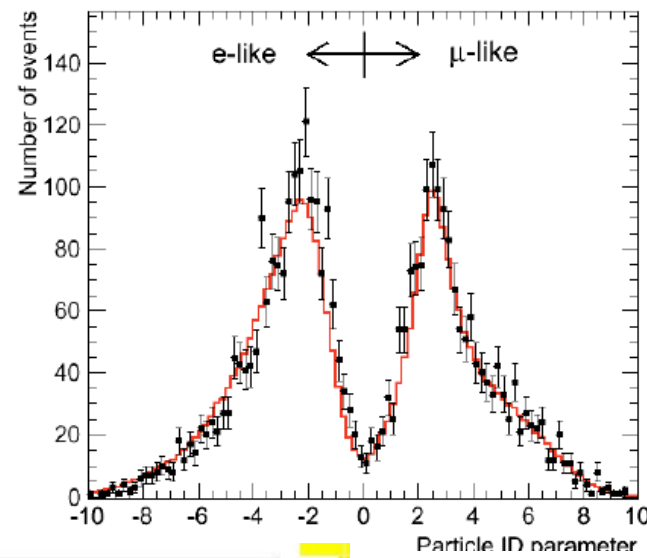
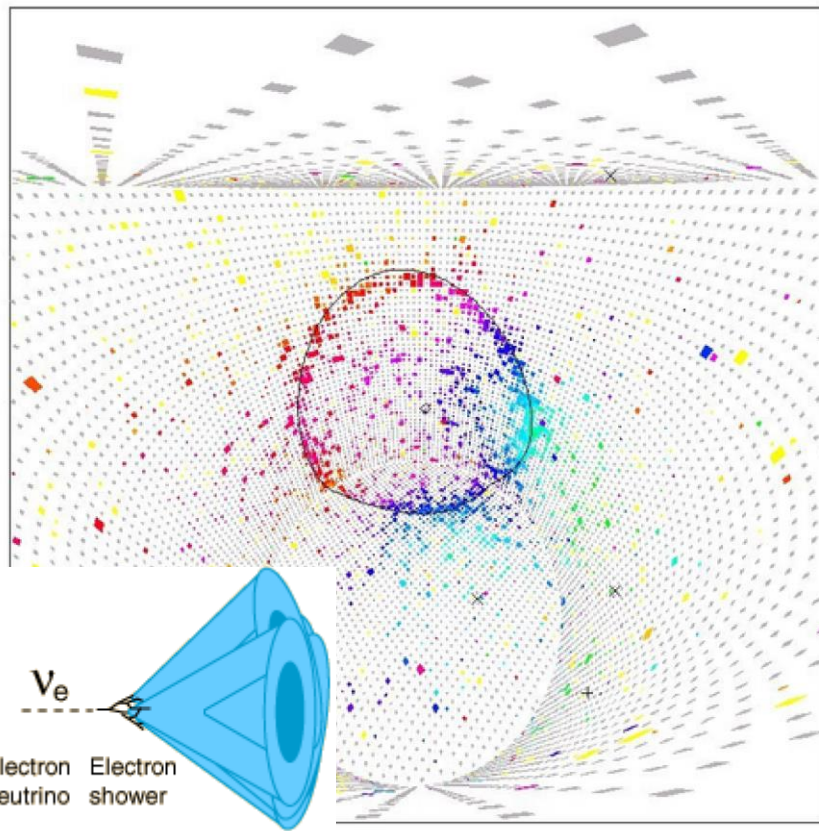
# Super Kamiokande

Super-Kamiokande is a 50,000 ton water Cherenkov detector, with 11,000 photomultiplier tubes, which started observation in 1996 after 5 years of construction

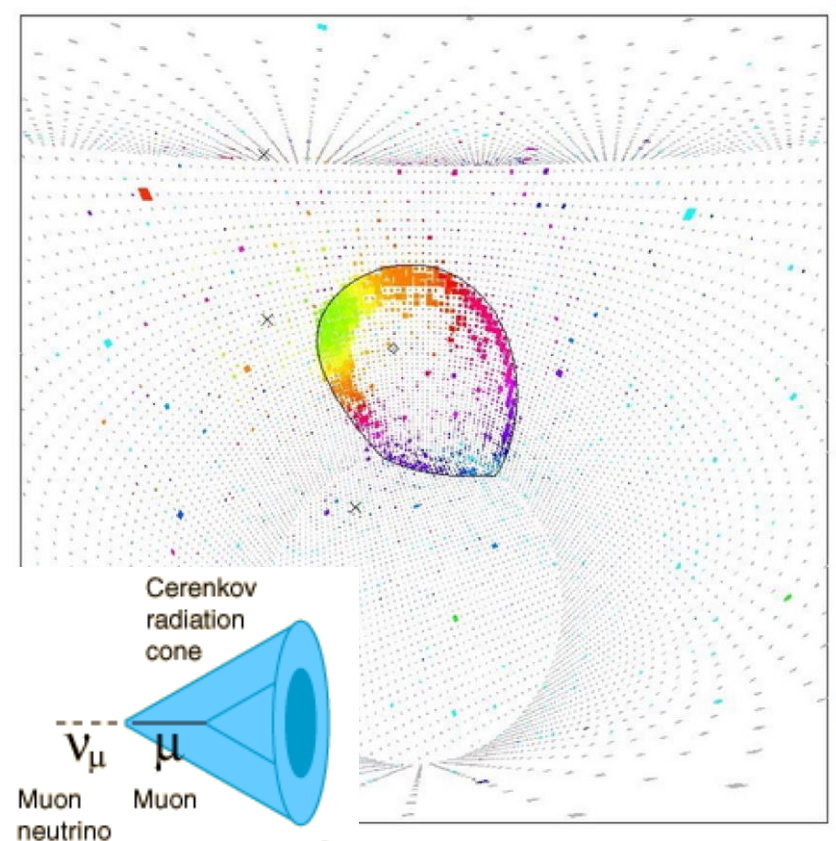


# Events at Super K

Electron-like event



Muon-like event

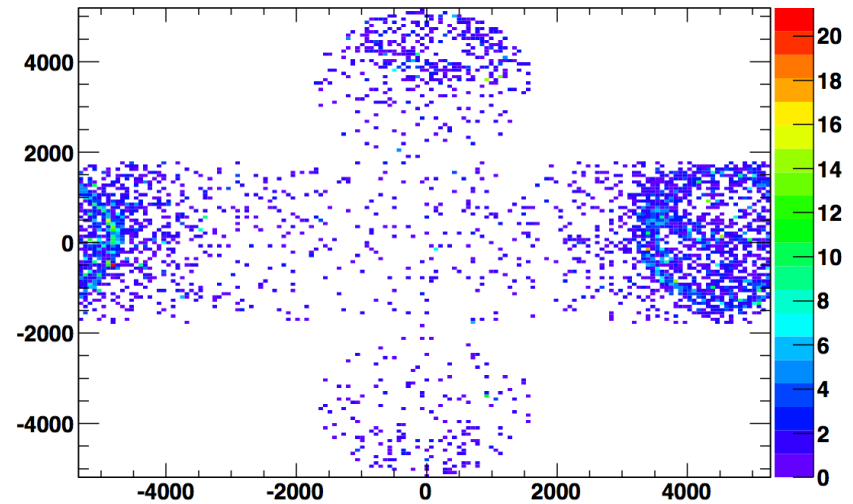


# $\pi^0$ Background at Super-K

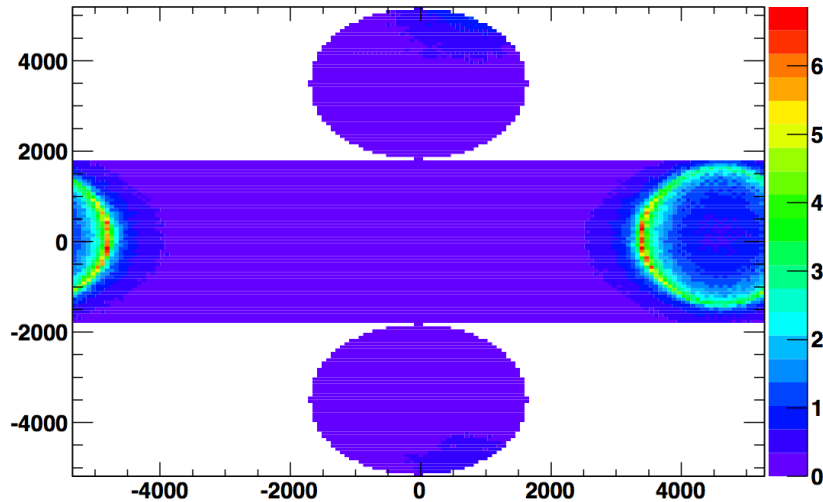
Important Background:

- Neutral Current process  $\nu_\mu p \rightarrow \nu_\mu p \pi^0$
- Pion decay  $\pi^0 \rightarrow \gamma\gamma$
- Photon conversion  $\gamma \rightarrow e^+e^-$  with two overlapping electron-like rings
- Build likelihood ratio from two fits

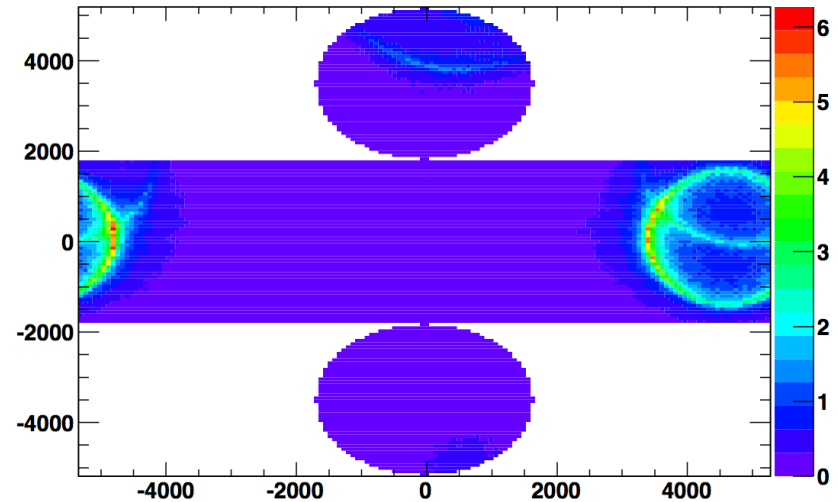
Measured charge



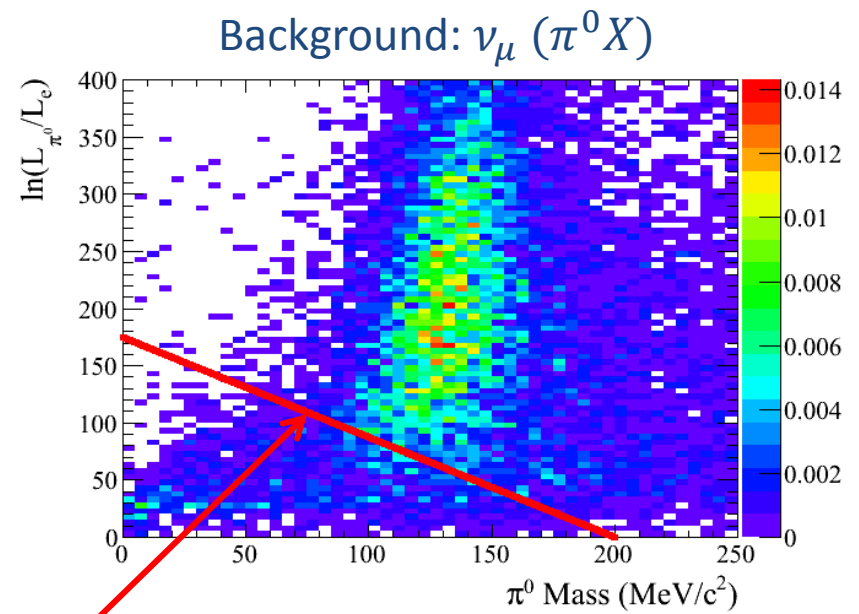
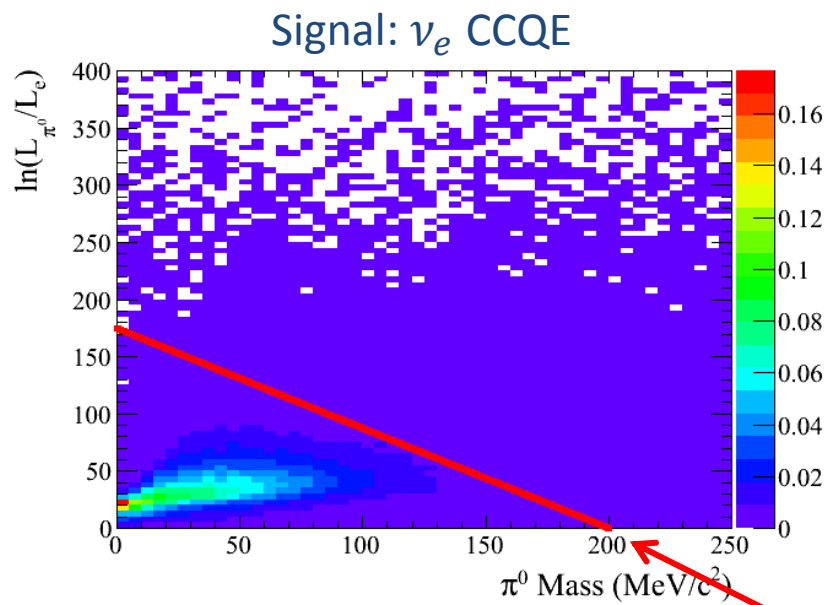
1-ring electron-like fit



2-ring  $\pi^0$ -like fit

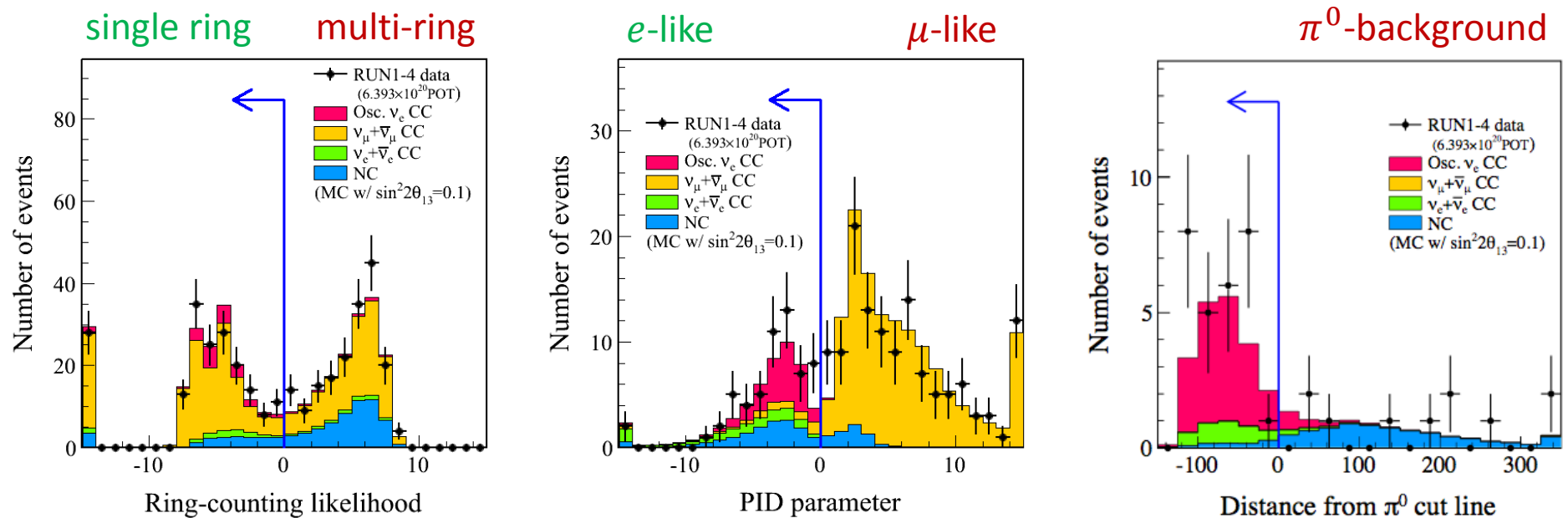


# Likelihood ratio vs. $\pi^0$ mass



Cut line to separate  $\pi^0$  background

# Selection of $\nu_e$ Appearance Candidates



→ Observation of 28  $\nu_e$  candidates in  $6.4 \cdot 10^{20}$  pot

# Appearance of $\nu_e$

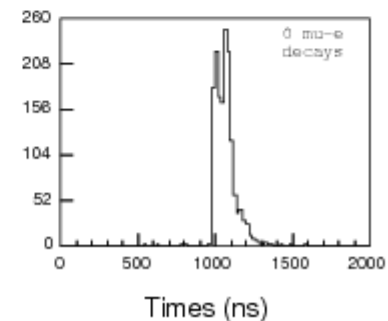
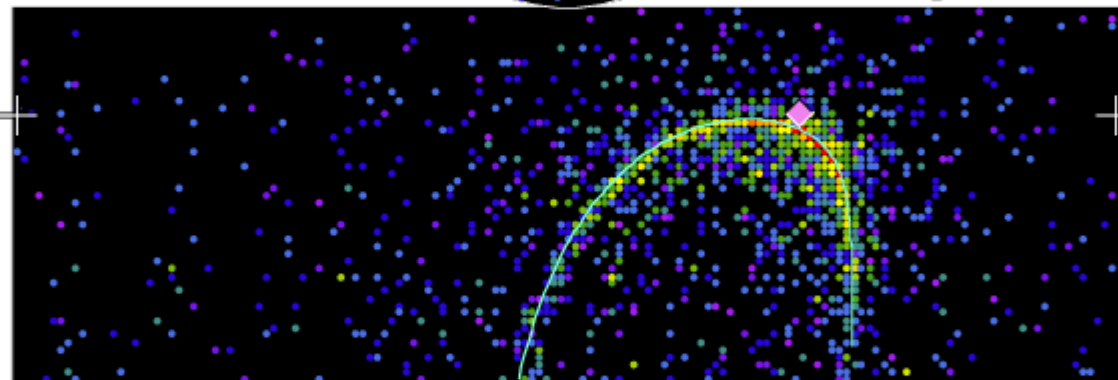
First  $\nu_e$  candidate observed (May 2010)

## Super-Kamiokande IV

T2K Beam Run 0 Spill 822275  
Run 66778 Sub 585 Event 134229437  
10-05-12:21:03:22  
T2K beam dt = 1902.2 ns  
Inner: 1600 hits, 3681 pe  
Outer: 2 hits, 2 pe  
Trigger: 0x80000007  
D\_wall: 614.4 cm  
e-like, p = 377.6 MeV/c

### Charge (pe)

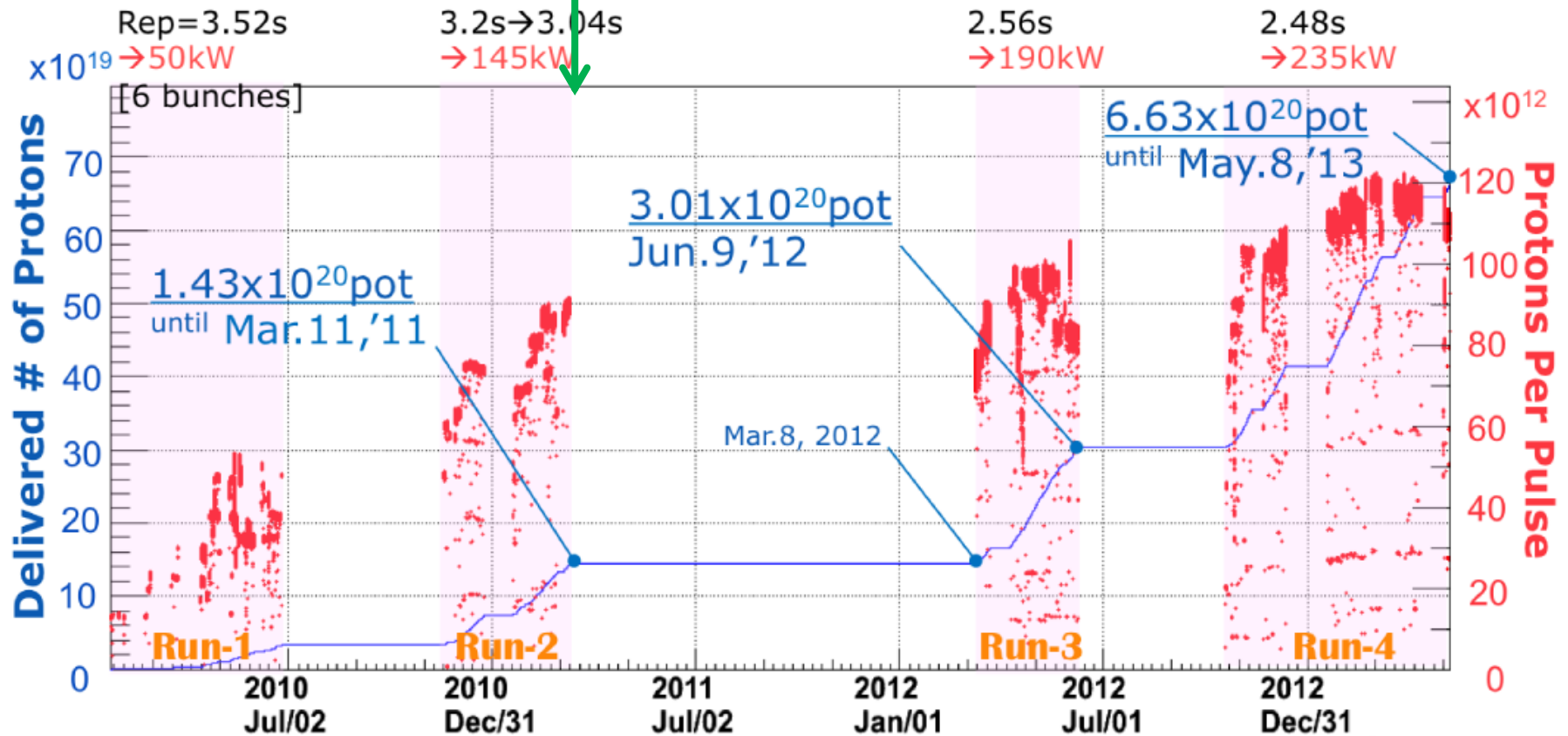
- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2- 8.0
- 4.7- 6.2
- 3.3- 4.7
- 2.2- 3.3
- 1.3- 2.2
- 0.7- 1.3
- 0.2- 0.7
- < 0.2



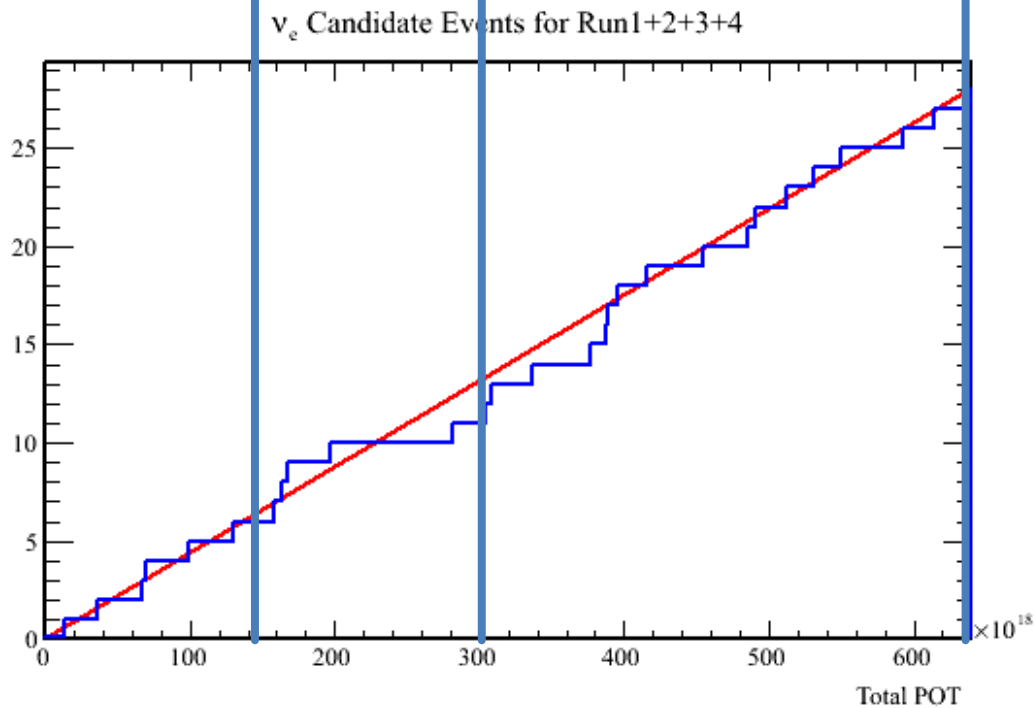
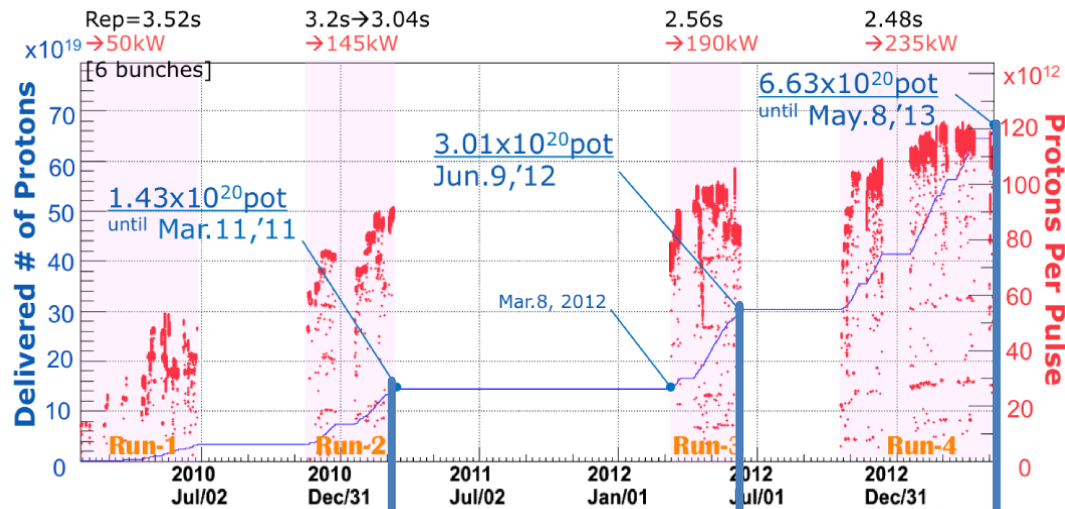
# Protons delivered

March 11, 2011

Great Eastern Japan Earth quake



Successful startup and running → Reached ~10% of the final design goal of  $8 \cdot 10^{21}$ pot



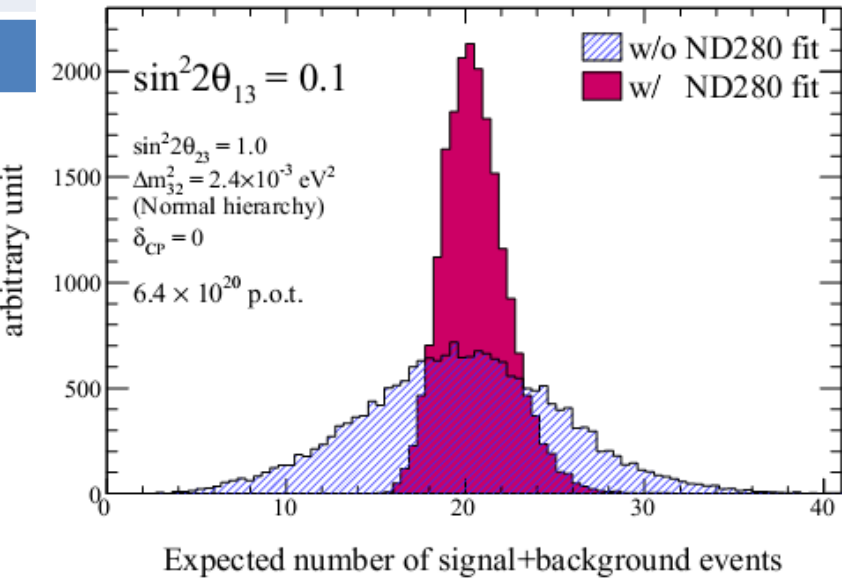
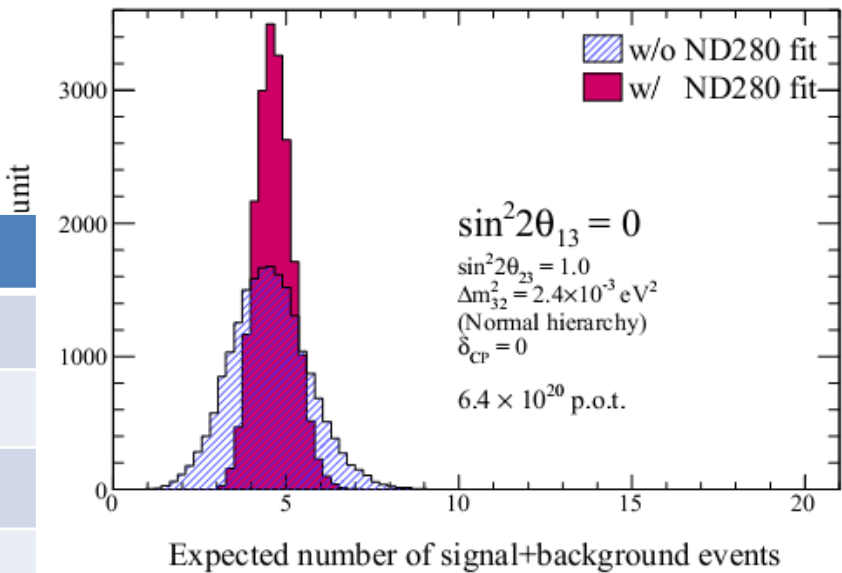
# Development of Data

- Runs 1-2:  $1.4 \cdot 10^{20}$  pot  
→ Indication of  $\nu_e$  appearance with  $2.5\sigma$  (6 candidates)
- Runs 1-3:  $3.0 \cdot 10^{20}$  pot  
→ Evidence of  $\nu_e$  appearance with  $3.1\sigma$  (11 candidates)
- Runs 1-4:  $6.4 \cdot 10^{20}$  pot  
→ Observation of  $\nu_e$  appearance with  $7.3\sigma$  (28 candidates)

# Predicted Number of Events

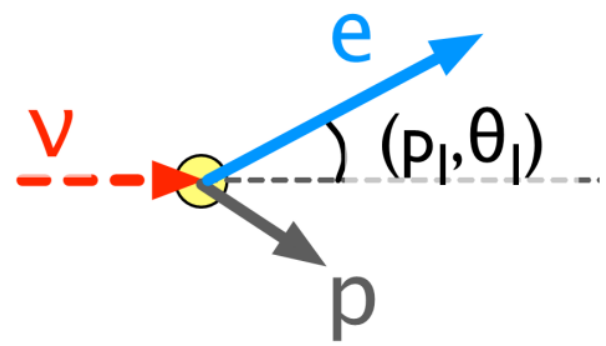
$6.4 \cdot 10^{20}$  pot

Event type	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$
$\nu_e$ signal	0.4	16.4
$\nu_e$ backg.	3.2	2.9
$\nu_\mu$ backg.	0.9	0.9
Other backg.	0.2	0.2
Total	4.6	20.4



Constraint from near detector very important!

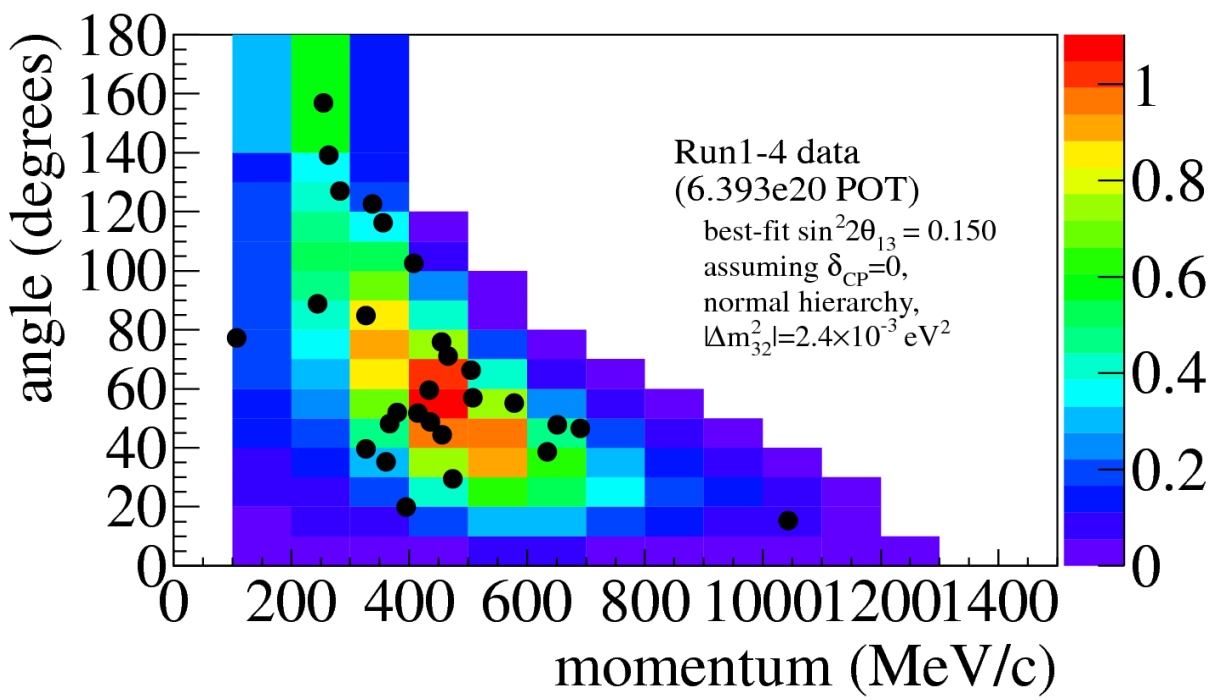
# Fit to the Data



Likelihood is calculated by comparing the number of observed events ( $N_{\text{obs}}$ ) and the electron momentum & angle ( $p$ - $\theta$ ) distribution with MC.

Assuming  $\delta_{CP} = 0$  and normal hierarchy

$\Rightarrow \sin^2 2\theta_{13} = 0.140^{+0.038}_{-0.032}$



No oscillation hypothesis is excluded at  $7.3 \sigma$

# Interpretation of $\nu_e$ data

With current  $\sin^2 2\theta_{13}$  value:

$$P(\nu_\mu \rightarrow \nu_e) \approx 0.051 - 0.014 \sin \delta_{CP}$$

Allowed region of  $\sin^2 2\theta_{13}$

for each value of  $\delta_{CP}$

→ Sensitivity to CP violating phase  $\delta_{CP}$ :

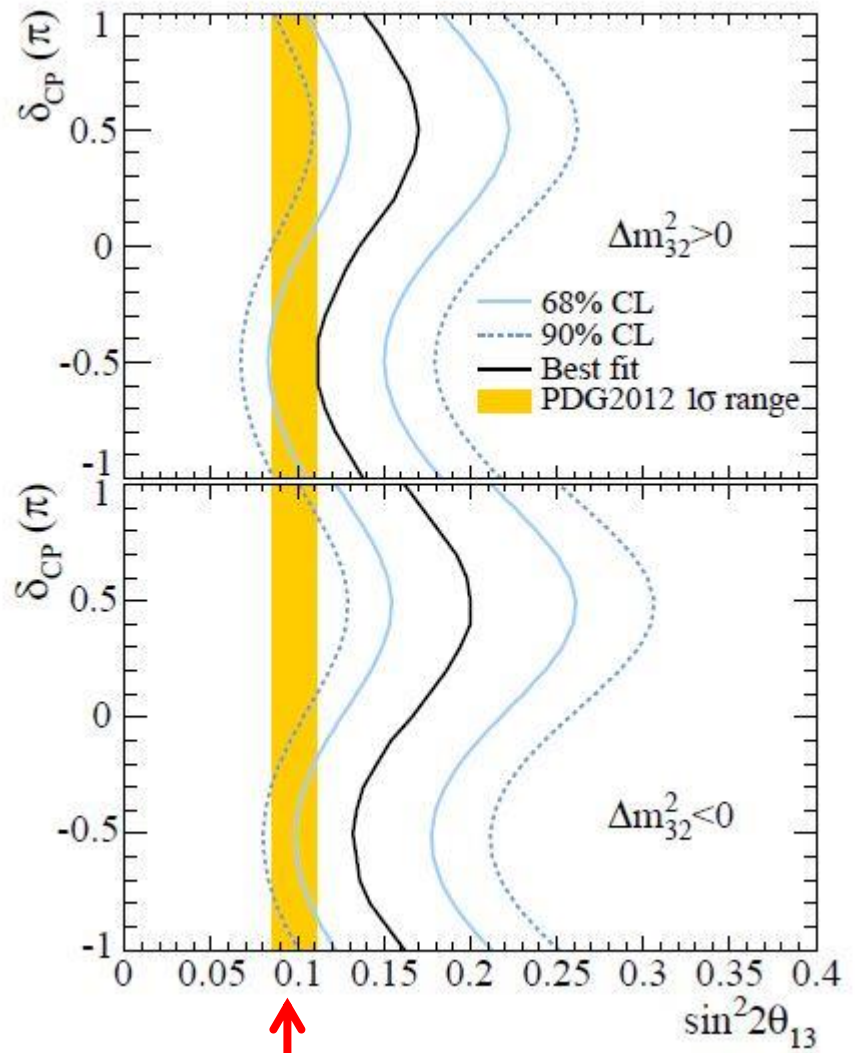
For normal mass hierarchy ( $\Delta m_{32}^2 > 0$ )

$$0.35 \pi < \delta_{CP} < 0.63 \pi$$

for inverted mass hierarchy ( $\Delta m_{32}^2 < 0$ )

$$0.09 \pi < \delta_{CP} < 0.90 \pi$$

are excluded at 90% *C. L.*



Constraint from reactor neutrinos:

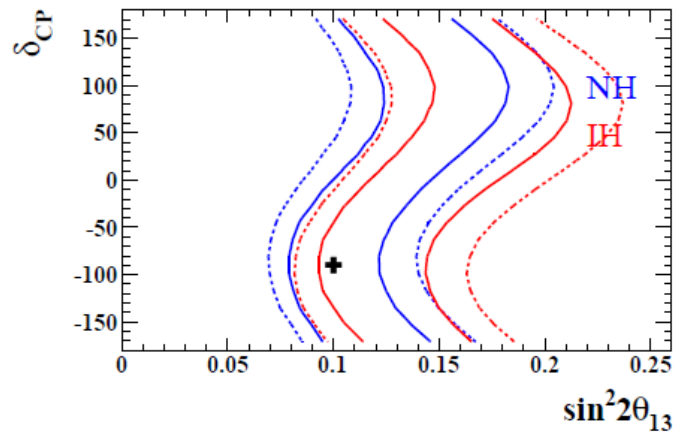
$$\sin^2 2\theta_{13} = 0.098 \pm 0.013 \quad (\text{PDG 2012})$$

They measure  $\sin^2 2\theta_{13}$  independent from  $\delta_{CP}$  and hierarchy

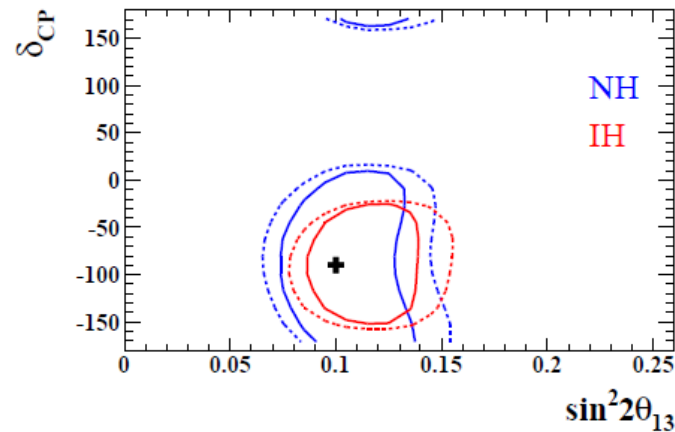
# Future Prospects

T2K 90% C.L. regions for true  $\delta_{CP} = -90^\circ$ ,  $\sin^2 2\theta_{13} = 0.1$ , normal hierarchy

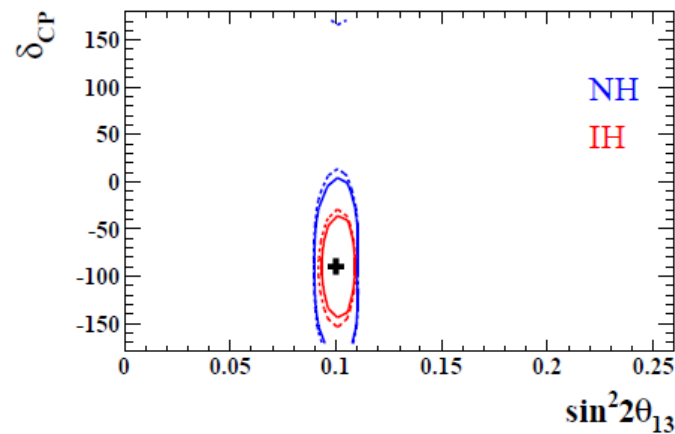
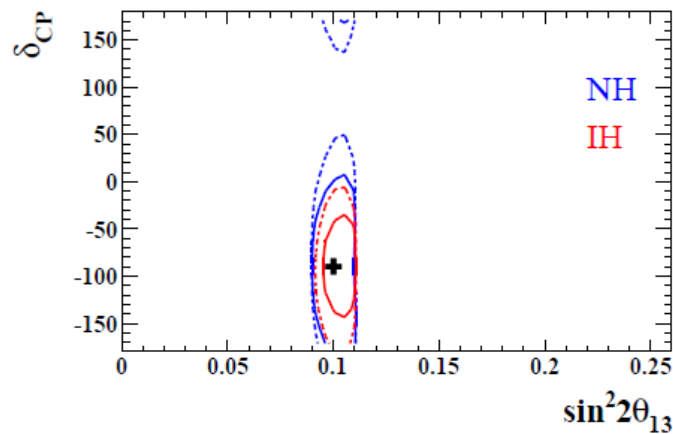
100%  $\nu$ -running



50%  $\nu$ -, 50%  $\bar{\nu}$ -running



Without  
reactor  
constraint

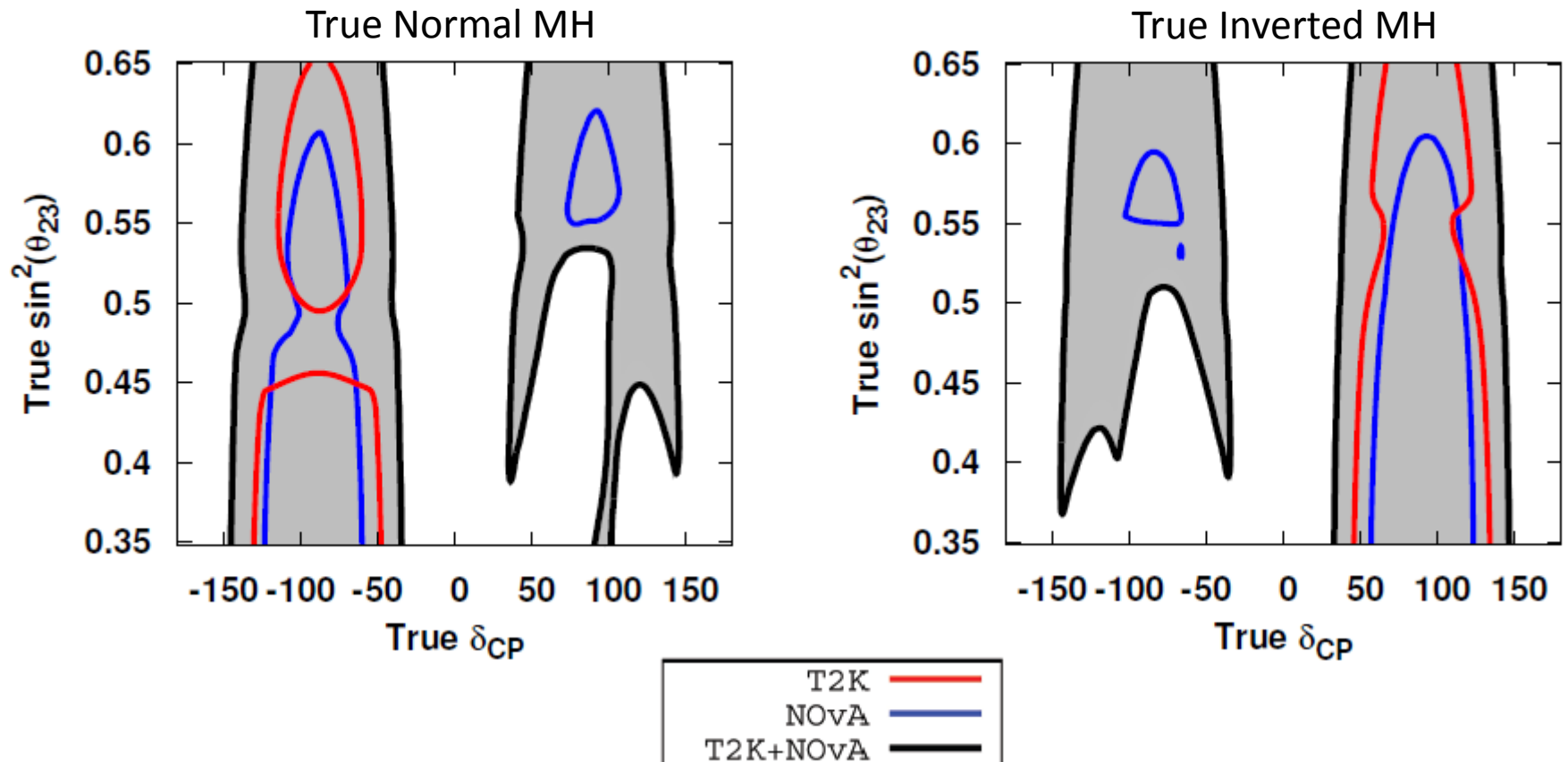


With  
reactor  
constraint

→ Scheduled a pilot run with anti-neutrinos in 2014

# Combination with NOvA

Region where evidence for CP violation can be found at the 90% C.L.



# Conclusions

- **Physics of neutrino oscillations is a very active field**
- **Several new experiments have started (will start soon):**
  - **Reactor neutrino experiments DoubleChooz, Reno, DayaBay**
  - **Neutrino beam experiments T2K, Nova (2014)**
- **Measurement of  $\theta_{13}$  has been established**
- **T2K has observed  $\nu_e$  appearance, hence shows for the first time neutrino flavour transition directly**
- **Combination of all neutrino experiments could resolve: CP-violation in leptonic sector (maybe mass hierarchy)**